NIST Special Database 14 Mated Fingerprint Cards Pairs 2 Version 2

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1. INTRODUCTION

This document describes the NIST fingerprint database, NIST Special Database 14, version 2. Version 2 of the database uses a certifiable version of the wavelet scalar quantization (WSQ) compression code [1] and all the images are recompressed from the original scanned data. The database is being distributed for use in the development and testing of automated fingerprint classification systems. Also, the first 13,500 pairs of images are the same fingerprints which were encoded using a lossless technique and stored in NIST Special Database 9 Volumes 1-5 giving users the potential to evaluate the WSQ compression algorithm. NIST Special Database 14 version 2 consist of 4 discs, each containing 6,750 pairs of fingerprint images for a total of 54,000 images. The prints are 832 (w) X 768 (h) pixels and the storage required on each CD-ROM is approximately 550 megabytes when the prints are compressed and 8.3 gigabytes of storage when uncompressed (15: 1 average compression ratio, using a bitrate of 0.75).

The data consists of mated fingerprint card pairs, which are two cards from the same individual, drawn from current work at the FBI Manual Image Comparison - Technical Section (MIC-TEC) verify desk. Fingerprint examiners from the Technical Section have attempted to take these card pairs at random, thus approximating a horizontal slice at the card level (see APPENDIX B for class distribution statistics). However, because the work is stacked by sex and unit at MIC-TEC verify desk, a true card level horizontal slice can not be guaranteed. A unit is a major grouping of the Henry Classifications [2].

The fingerprints are classified using the National Crime Information Center (NCIC) classes assigned by the FBI. Valid classes include: Arch, Tented Arch, Radial Loop (Ridge Counts), Ulnar Loop (Ridge Counts), Plain Whorls (all Whorls have Inner, Outer or Meeting Ridge Tracings), Central Pocket Whorl, Double Loop Whorl, Accidental Whorl, Scar and Amputation [2]. All classes and references are stored in a comment field in the WSQ compressed file, allowing for comparison with hypothesized classes.

2. DATABASE CONTENT AND ORGANIZATION

The four CD-ROMs in NIST Special Database 14 version 2 each contain 13,500 8-bit gray scale fingerprint images stored in the data directory. Included with the fingerprint data are software and documentation. See Figure 1 for the directory layout. A copy of this document (sd14ver2.pdf) is on the CDROM.

2.1 Database File Hierarchy

The top level of the file structure contains three directories src, man, and data. The code needed to decompress and use the image data is contained in the src directory with man (manual/help) pages

for the source code stored in the man directories. The data directory contains the fingerprint images stored in two levels of subdirectories for easier access and clarity (see Figure 2). The first level

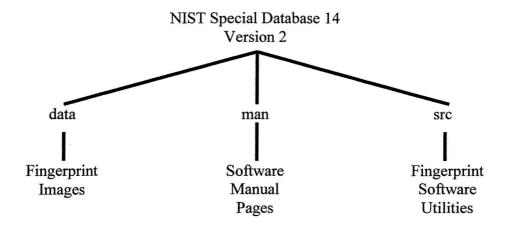


Figure 1 Top level directory tree for each CD-ROM in NIST Special Database 14.

consists of nine subdirectories, mfcp2_1 - mfcp2_9 (mated fingerprint card pairs 2), which divide the mated card pairs into groups of seventy five. The next level has a subdirectory for each of the seventy five mated card pairs stored there, with each subdirectory containing the segmented fingerprint pairs for that mated fingerprint card pair (20 total fingerprints per card level subdirectory). The names of the "card level" subdirectories (2nd level in the data directory) are sequentially continuous throughout the database.

Fingerprints are stored with filenames containing one letter, seven digits and a ".wsq" extension. The first character in the filename is always an "f" or "s" distinguishing if the card, from which the fingerprint was segmented, was a file or search card. The next seven characters indicate the sequence number, i.e., 0000001 - 0027000. The finger number is given by the last of the seven digits, with zero representing digit ten on the fingerprint card (see Figure 3 for fingerprint card layout). Every ten prints in sequential order are a group of prints from the same card, i.e., digits 1-10. The card and fingerprint numbers are sequentially continuous from the first CD-ROM through the last CD-ROM in the database.

2.2 Automated Name and Technical Search Cards

The first character in the second field of the FBI tape filename in the "HISTORY" tagged field or the IHEAD parent field (see Section 3) indicates if the card type was an automated name (n) or technical (t) search. A t means the current Identification Division Automated System (IDAS) found the ident by means of Automated Technical Search (ATS). The card was non-identifiable in Automated Name Search (ANS) and was classified by any one of a large number of fingerprint examiners. Thus database cards defined as search cards (indicated by an "s" in the filename) that are t cards represent a broad base of the Technical Section's (TECH) current manual classification practice. Database file cards (indicated by an "f" in the filename) that are t cards represent a broad base of TECH historical manual classification practice. The fact that the ident of the search and file cards was based in part on manual classification, means that both the search card and the file card

are classifiable at some minimum level of confidence, and that the classifications are the same, within the error permitted by ATS.

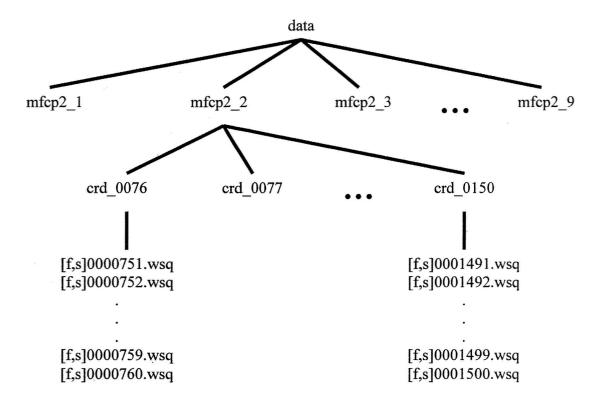


Figure 2 Arrangement of fingerprint files in data directory. (Note: This example is from CD-ROM 1 of 4.)

1. R. Thumb	2. R. Index	3. R. Middle	4. R. Ring	5. R. Little
6. L. Thumb	7. L. Index	8. L. Middle	9. L. Ring	10. L. Little

Figure 3 Layout of fingerprint card numbers.

The n means that IDAS found the ident using ANS. The search card is not classified by the main pool of examiners. Instead, the search card is classified for this project by an expert examiner directly associated with data collection. The database search cards that are n cards represent current TECH manual classification practice as done by a single individual and database file cards that are

n cards have the same classification characteristics as file cards that are t cards. Considered as search/file pairs, the n cards are not guaranteed to ident in ATS, although they are in fact identical.

2.3 NCIC Classifications

The classes stored in the "HISTORY" tagged field or the IHEAD id field (see Section 3) are the NCIC classes [2], including any references (see Figure 5), that were assigned by IDAS. A listing of the possible class codes is given in Figure 4. Note that the classification ac (approximate class) means that the classification immediately after the ac is the best classification that can be assigned to the print given the information shown in the image.

Classification name (class code)

Arch (aa) Tented Arch (tt) Ulnar Loop Ridge Counts (01 - 49) Radial Loop Ridge Counts (51 - 99) Plain Whorl Inner Ridge Tracing (pi) Plain Whorl Outer Ridge Tracing (po) Plain Whorl Meeting Ridge Tracing (pm) Central Pocket Whorl Inner Ridge Tracing (ci) Central Pocket Whorl Outer Ridge Tracing (co) Central Pocket Whorl Meeting Ridge Tracing (cm) Double Loop Whorl Inner Ridge Tracing (di) Double Loop Whorl Outer Ridge Tracing (do)

Accidental Whorl Outer Ridge Tracing (xo)
Accidental Whorl Meeting Ridge Tracing (xm)
Approximate Class (ac) followed by a valid class
Amputation or Missing (xx)
Scar or Mutilation (sr)

Double Loop Whorl Meeting Ridge Tracing (dm)
Accidental Whorl Inner Ridge Tracing (xi)

Figure 4 Classification codes for NIST Special Database 14.

2.4 Class Referencing

The referencing of a fingerprint is caused by a variety of ambiguities such as a scar occurring in the fingerprint, the quality of the print rolling, or the print having ridge structures characteristic of two different classes. The referenced prints could easily cause a wrong classification when used in testing an automated classification system, but could provide a challenge in the later stages of development. NIST Special Database 14 version 2 contains prints with references (see APPENDIX B for referencing statistics). The classifications of these prints contain the primary fingerprint class

followed by any references. As an example the classification for fingerprint f0000042.wsq is "co/09" and the corresponding print is shown in Figure 5. The fingerprint is classified as a co, but the right delta is near the core making it similar to an ulnar loop so it was given a 09 reference.

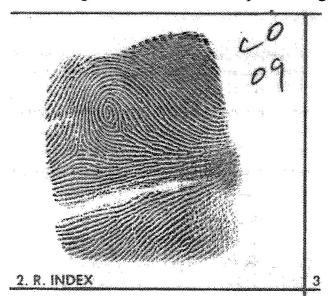


Figure 5 Fingerprint (f0000042.wsq) with a cross-referenced classification "co/09".

2.5 Segmenting the Fingerprint Images

The individual fingerprint images were obtained by scanning all ten prints on a card in one large image (4096 X 1536 pixels) and then segmenting each individual image from that larger image. The individual images were segmented at the same exact points on all the larger (full card) images. The image size of 832 X 768 pixels was selected to allow the user to reconstruct the image of the fingerprint card and resegment the individual fingerprint images if desired. The images overlap by 32 pixels with horizontally adjacent images and by 18 pixels with vertically adjacent images which has to be accounted for when reconstructing the image of the fingerprint card.

2.6 Inked and Live Scan Printed

The fingerprints were scanned from two types of prints. The first type were fingerprint patterns created by rolling the individual's ink-covered finger on the fingerprint card, denoted by an i in the "SCAN_TYPE" tagged field or the IHEAD id field (see Section 3). The second type were patterns taken with a live scanning device and then printed onto a fingerprint card (denoted by an l). This is important in that the quality of the image is affected by the resolution of the printer used to print the live scanned image onto the fingerprint card.

3. FINGERPRINT FILE FORMAT

The fingerprint images are stored on the CDROM's as WSQ compressed files. The IHEAD structure is no longer used on the compressed image file. The user can decompress the images into either IHEAD formatted files or raw data files. The decompression routines **dwsq** and **dwsq14v2** create a companion file with the extension ".ncm" for each file decompressed. This ".ncm" file is a

tagged text file that contains information about the fingerprint image file (see Figure 6) that may be especially useful if the user chooses a raw pixel format for the decompressed image data.

The WSQ compression algorithm was written using the "WSQ Gray-scale Fingerprint Image Compression Specification" [1]. The algorithm is a certifiable implementation of the WSQ specification. Based on the current classification system (PCASYS) used by the Image Recognition Group [13], the decompressed images are acceptable when training and testing classification and matching algorithms.

3.1 Compressed File Format

The compressed files are stored in the format specified in the WSQ compression standard. The file's distinguishing information such as classification, sex, scan type (inked or live), and historical link to the original data collection are stored in a comment field in the compressed file header. The comment uses tags to identify the information. Figure 6 shows a printout of the tagged comment from file f0000042.wsq. This information can be accessed using the "rdwsqcom" command and gets printed to a file when using the commands "dwsq" and "dwsq14v2" (see section 4.2).

The first tag "NIST_COM" indicates there are 14 "tagged" values in the comment. "SD_ID" references NIST Special Database 14. "HISTORY" contains the original file name (f0000042.pct), the NCIC classification (co/09), a link to the original data collection (tape3.n1116018.02), and the original card image size (4096x1536). "FING_CLASS" is a conversion of the first NCIC classification assigned the print to a set of six possible classes (Arch, Left-Loop, Right-Loop, Scar, Tented-Arch, or Whorl). "SEX" is the gender of the fingerprint subject. "SCAN_TYPE" is for inked or live-scan as discussed in section 2.6. "PIX_WIDTH", "PIX_HEIGHT", "PIX_DEPTH", "PPI" are pixel attributes stored in case the user decompresses to a raw image file format (see section 4.2). "LOSSY" is set to 1 if any lossy compression technique is used on the pixel data and "COLORSPACE" simply states the image is gray-scale data. "COMPRESSION" and "WSQ_BITRATE" describe the type of compression used and the bitrate used to compress the fingerprint pixel data.

NIST_COM 14
SD_ID 14
HISTORY f0000042.pct co/09 tape3.n1116018.02 4096x1536
FING_CLASS W
SEX m
SCAN_TYPE i
PIX_WIDTH 832
PIX_HEIGHT 768
PIX_DEPTH 8
PPI 500
LOSSY 1
COLORSPACE GRAY
COMPRESSION WSQ
WSQ_BITRATE 0.750000

Figure 6 Tagged comment in compressed file.

3.2 IHEAD Decompressed File Format

Numerous image formats exist, but most image formats are proprietary. Some are widely supported on small personal computers and others on larger workstations. A header format named IHead has been developed for use as a general purpose image interchange format. The IHead header is an open image format which can be universally implemented across heterogeneous computer architectures and environments. Both documentation and source code for the IHead format are publicly available and included with this database. IHead has been designed with an extensive set of attributes in order to represent adequately both binary and gray level images, to represent images captured from different scanners and cameras, and to satisfy the image requirements of diversified applications. These applications include, but are not limited to, image archival/retrieval, character recognition, and fingerprint classification. Figure 7 illustrates the IHead format.

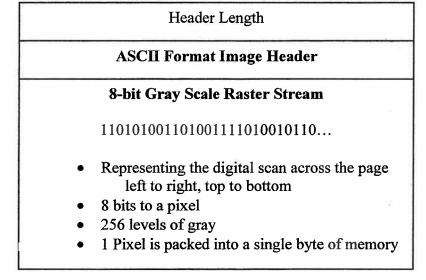


Figure 7 An illustration of the IHead raster file format.

Since the header is represented by the ASCII character set, IHead has been successfully ported and tested on several systems including UNIX workstations and servers, DOS personal computers, and VMS mainframes. All attribute fields in the IHead structure are of fixed length with all multiple character fields null-terminated, allowing the fields to be loaded into main memory in two distinct ways. The IHead attribute fields can be parsed as individual characters and null-terminated strings, an input/output format common in the `C' programming language, or the header can be read into main memory using record-oriented input/output. A fixed-length field containing the size in bytes of the header is prefixed to the front of an IHead image file as shown in Figure 7.

The IHead structure definition written in the 'C' programming language is listed in Figure 8. Figure 9 lists the header values from an IHead file corresponding to the structure members listed in Figure 8. This header information belongs to the database file f0000042.wsq shown in Figure 5. Referencing the structure members listed in Figure 8, the first attribute field of IHead is the

```
/**********************
      File Name: IHead.h
      Package: NIST Internal Image Header
      Author: Michael D. Garris
              2/08/90
      Date:
**********************
/* Defines used by the ihead structure */
#define IHDR SIZE 288
                          /* len of hdr record (always even bytes) */
                                 /* # of ASCII chars to represent a short */
#define SHORT CHARS
                          8
#define BUFSIZE
                          /* default buffer size */
                    80
#define DATELEN
                   26
                          /* character length of data string */
typedef struct ihead{
                                 /* identification/comment field */
 char id[BUFSIZE];
 char created[DATELEN];
                                 /* date created */
 char width[SHORT CHARS];
                                        /* pixel width of image */
 char height[SHORT CHARS];
                                        /* pixel height of image */
 char depth[SHORT_CHARS];
                                        /* bits per pixel */
                                        /* pixels per inch */
 char density[SHORT CHARS];
 char compress[SHORT CHARS];
                                        /* compression code */
 char complen[SHORT CHARS];
                                        /* compressed data length */
                                        /* scanline multiple: 8|16|32 */
 char align[SHORT CHARS];
 char unitsize[SHORT CHARS];
                                        /* bit size of image memory units */
 char byte order;
                                 /* 0->highlow | 1->lowhigh*/
 char sigbit:
                                 /* 0->sigbit first | 1->sigbit last */
                                        /* pixel column offset */
 char pix offset[SHORT CHARS];
 char whitepix[SHORT_CHARS];
                                        /* intensity of white pixel */
                                 /* 0->unsigned data | 1->signed data */
 char issigned;
                                 /* 0->row maj | 1->column maj */
 char rm cm;
 char tb bt;
                                 /* 0->top2bottom | 1->bottom2top */
                                 /* 0->left2right | 1->right2left */
 char lr rl;
                                 /* parent image file */
 char parent[BUFSIZE];
 char par_x[SHORT CHARS];
                                        /* from x pixel in parent */
                                        /* from y pixel in parent */
 char par y[SHORT CHARS];
}IHEAD
```

Figure 8 The IHead 'C' programming language structure definition.

identification field, id. This field uniquely identifies the image file, typically by a file name. The identification field in this example not only contains the image's file name, but also the sex of the individual, if the image was scanned from an inked or live scan printed image, and the NCIC classification of the fingerprint, along with any references to other classifications. This convention enables an image recognition system's hypothesized classification to be automatically scored against the actual classification.

IMAGE FILE HEADER

~~~~~~~

Identity : f0000042.wsq m i co/09

Header Size : 288 (bytes)

Date Created: Mon Dec 7 18:39:44 1992

Width : 832 (pixels) Height : 768 (pixels)

Bits per Pixel: 8

Resolution : 500 (ppi) Compression : 7 (code)

Compress Length : 36649 (bytes)

Scan Alignment : 8 (bits)
Image Data Unit : 8 (bits)

Byte Order : High-Low MSBit : First

Column Offset: 0 (pixels)

White Pixel : 255

Data Units : Unsigned Scan Order : Row Major,

Top to Bottom, Left to Right

Parent : tape3.n1116018.02 4096x1536

X Origin : 0 (pixels) Y Origin : 0 (pixels)

Figure 9 The IHead values for the fingerprint data file f0000042.wsq.

The attribute field, created (skip over the Header Size field in Figure 9), is the date on which NIST received the digitized image. The next three fields hold the image's pixel width, height, and depth. A binary image has a pixel depth of 1 whereas a gray scale image containing 256 possible shades of gray has a pixel depth of 8. The attribute field, density, contains the scan resolution of the image; in this case, 19.7 pixels/mm (500 pixels/inch). The next two fields deal with compression.

In the IHead format, images may be compressed with virtually any algorithm. Whether the image is compressed or not, the IHead is always uncompressed. This enables header interpretation and manipulation without the overhead of decompression. The compress field is an integer flag signifying which compression technique, if any, has been applied to the raster image data which follow the header. If the compression code is zero, then the image data are not compressed, and the data dimensions, width, height, and depth, are sufficient to load the image into main memory. However, if the compression code is nonzero, then the complen field must be used in addition to the image's pixel dimensions. The images create by the decompression software have a compression code of 0 signifying that the images are uncompressed data.

The attribute field, align, stores the alignment boundary to which scan lines of pixels are padded. Pixel values of 8-bit gray scale images are stored 1 byte (or 8 bits) to a pixel, so the images will automatically align to an even byte boundary.

The next three attribute fields identify data interchanging issues among heterogeneous computer architectures and displays. The unitsize field specifies how many contiguous bits are bundled into a single unit by the digitizer. The sigbit field specifies the order, most significant bit first or least significant bit first, in which bits of significance are stored within each unit. The last of these three fields is the byte\_order field. If unitsize is a multiple of bytes, then this field specifies the order in which bytes occur within the unit. Given these three attributes, data incompatibilities across computer hardware and data format assumptions within application software can be identified and dealt with effectively.

The pix\_offset attribute defines a pixel displacement from the left edge of the raster image data to where a particular image's significant image information begins. The whitepix attribute defines the value assigned to the color white. For example, the gray scale image described in Figure 9 is gray print on a white background and the value of the white pixel is 255. This field is particularly useful to image display routines. The issigned field is required to specify whether the units of an image are signed or unsigned. This attribute determines whether an image with a pixel depth of 8, should have pixel values interpreted in the range of -128 to +127, or 0 to 255. The orientation of the raster scan may also vary among different digitizers. The attribute field, rm\_cm, specifies whether the digitizer captured the image in row-major order or column-major order. Whether the scan lines of an image were accumulated from top to bottom, or bottom to top, is specified by the field, tb\_bt, and whether left to right, or right to left, is specified by the field, rl\_lr.

The final attributes in IHead provide a single historical link from the current image to its parent image. The images used in this database were renamed from their original filenames, given by the FBI, and the 'link' to the original filename was stored in the parent field as well as the size of the ten print image (columns x rows) before the individual fingerprints were segmented. The FBI filename consists of three fields separated by periods. The first field contains a tape number, i.e., tape1, tape2, ..., tapen, indicating the FBI streamer tape the file was stored on. The second field contains 8 characters. The first character in the second field is always an n or t indicating an automated name or technical search (see Section 2.2). The next four characters (all digits) are the month and day which the card was scanned and the last three characters of the field indicate the number of the card being scanned on the date given by the previous four digits. The last field indicates the finger number of the file (01-10).

The par\_x and par\_y fields contain the coordinates of the origin, upper left hand corner pixel coordinate, from where the extraction took place from the parent image. These fields provide a historical thread through successive generations of images and subimages.

We believe that the IHead image format contains the minimal amount of ancillary information required to successfully manage binary and gray scale images.

#### 4. SOFTWARE

The source code in this distribution has been developed using the GNU project's gcc compiler and gmake utility[11]. Manual pages giving a detailed description of the software utilities are included in APPENDIX C. The software has been tested under LINUX [10] and Windows NT using the Cygwin library [12] and associated tools. Most of the software came from existing code that is

<sup>&</sup>lt;sup>1</sup> Specific software products identified in this paper were used to adequately support the development of the technology described in this document. In no case does such identification imply recommendation or endorsement by the National

distributed on our NIST Fingerprint Image Software CDROM [13]. If code was needed from a NFIS "library" (src/lib/\*) then all the source code for that library was copied into this CDROM's src directory. This will reduce confusion if the user is using both CDROMs, by not having two versions of the code. The software tools (src/bin/\*) cjpegb, dwsq, dpyimage, and rdwsqcom are all copied from the NFIS CDROM. Software tools dwsq14v2 and dumpihdr are new source code that only exists on this CDROM. The NFIS CDROM contains other tools for editing/converting ANSI/NIST records and compressing/decompressing color and gray images in lossless/baseline JPEG and WSQ.

The baseline JPEG code in **src/lib/jpegb** uses the Independent JPEG Group's compression/decompression code. For details on its copyright and redistribution, see the included file **src/lib/jpegb/README**.

# 4.1 Software Compilation

The software can be installed and compiled by first copying the contents of the src directory on the CD-ROM to a read/writable disk partition on your computer. The directory to which you copy is referred to as the *installation directory* <install\_dir>.

The permissions on the copied subdirectories and the compilation scripts (named "makefile.mak") should then be changed to read/writable. Also note that the distribution was originally written and developed with all directories and file names in lowercase characters. The CD-ROM is in ISO-9660 format and some operating systems (such as the Win32 family) copy the CD-ROM's content converting directory and file names to all uppercase characters. Before compilation, these directories and file names should be changed to all lowercase characters. A Bourne Shell (sh) script has been provided to automatically change permission on directories and compilation scripts, and it combs the installation directory, converting subdirectory and file names containing uppercase characters to all lowercase characters. The script may be invoked from a UNIX or Cygwin shell window. Within the directory containing the script, type the following:

```
% sh setup.sh <install dir>
```

The text <install\_dir> is replaced by your specific installation directory path. Once copied and permissions changed, the software can be compiled by executing the following commands in the top-level installation directory on a Linux machine:

```
% make -f makefile.mak PROJDIR=<install_dir> depend
% make -f makefile.mak PROJDIR=<install_dir> install
```

The text <install\_dir> is replaced by your specific installation directory path. Alternatively, on a Win32 machine with the Cygwin library and utilities installed, type the following commands:

Institute of Standards and Technology, nor does it imply that the equipment identified is necessarily the best available for the purpose.

Successful compilation under Linux will produce the executable files stored in the top-level bin directory. To invoke these utilities you can specify a full path to these files, or you may add the top-level bin directory to your environment's execution path.

A manual page is provided for each utility in the top-level man directory. To view a man page on a Linux machine or a Win32 machine running a Cygwin shell, type:

% man -M <install dir>/man <executable>

The text <install\_dir> is replaced by your specific installation directory path and <executable> is replaced by the name of the utility of interest.

# 4.2 Software Tools

Some basic software tools are included on this CDROM to help the user access the WSQ compressed images.

The software for these commands is only on this database CDROM:

**dumpihdr** – Prints the IHEAD image structure to the screen. The IHEAD structure is attached to the uncompressed output image unless the "raw" option in chosen during decompression.

dwsq14v2 – Decompresses a WSQ compressed image. If the image is from NIST Special Database 14 version 2 it will format the IHEAD structure to contain the same information used in the original version of the database. If the user already has software to read the IHEAD format used in the original version of the database this command should probably be used instead of dwsq. This command also creates a companion tagged text file with a ".ncm" extension that contains information about the compressed file (see Figure 6).

The software for these commands was copied from the NFIS CDROM:

**cjpegb** - Convert the image to a baseline JPEG compressed file. Format is compatible with most image viewers. Warning: WSQ is a lossy compression and recompressing the images to baseline JPEG creates more data loss, so this should only be used, if needed, as an aid for viewing the images.

dwsq - Decompresses a WSQ compressed image. If the user wants the IHEAD structure of the uncompressed image to be formatted like the original version of the database use dwsq14v2. This command also creates a companion tagged text file with a ".ncm" extension that contains information about the compressed file (see Figure 6).

**dpyimage** - Will display a WSQ/JPEGL/JPEGB compressed image. Only works in an X windows environment.

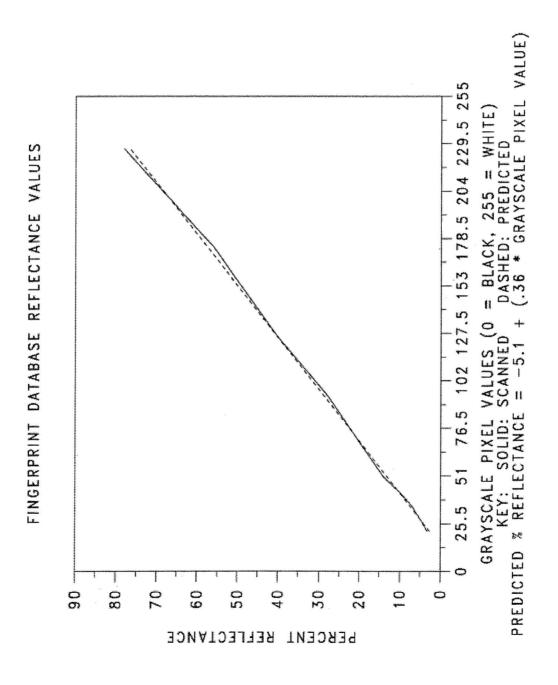
**rdwsqcom** - Will read and display the comment fields in a WSQ compressed image. Useful for accessing the fingerprint reference information stored in the comment field.

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- [12] Cygwin tools free GNU utility port for Win32 machines. Learn more at http://sourceware.cygnus.com/cygwin/.
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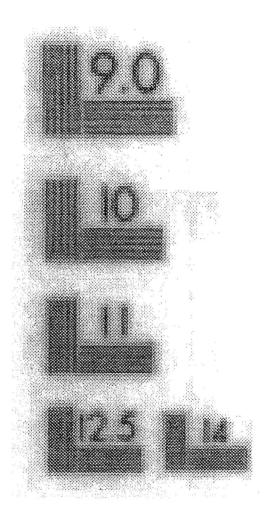
# APPENDIX A. Database Reflectance and Resolution Calibration

The reflectance values for the fingerprint database, NIST Special Database 14, were calibrated using a reflection step table [4]. A plot of the reflectance values obtained using this step table is shown below. Also shown on the plot is an equation used to predict the reflectance of a given datapoint. The plot shows that this predicted reflectance closely follows the actual reflectance obtained using the reflection step table.



# **Database Resolution Calibration**

The resolution of the scanner used to create the database was calibrated using a NBS 1010A resolution chart. A portion of this image is shown below (Figure B.2) after being magnified for viewing (Note: Printing has significantly reduced the quality of this image.). The scan of the table showed that the vertical resolution was approximately 10.5 line pairs/mm and the horizontal resolution was approximately 11.0 line pairs/mm.



# APPENDIX B. Fingerprint Class Distribution Statistics

# **Natural Fingerprint Class Distribution**

The data in this table give an estimate of the natural distribution of the listed fingerprint classes. This is from a very large sample of data given to NIST by the FBI and should give a good estimate of the "a priori" probabilities. See Figure 4 for the class codes.

# Note:

UL (1-5 ridge count ulnar loops)
UM (6-30 ridge count ulnar loops)
UH (31-49 ridge count ulnar loops)
(L,M,H) also used for radial loops (R)

All whorl ridge tracings (I,M,O) for a whorl class are counted together (i.e. PI,PM,PO are counted in PW).

| <u>Class</u>     | Count          | % of Total |
|------------------|----------------|------------|
| UL               | 17063157       | 7.6638     |
| UM               | 119530343      | 53.6860    |
| UH               | 26312          | 0.0118     |
| RL               | 3340194        | 1.5002     |
| RM               | 5617095        | 2.5229     |
| RH               | 3807           | 0.0017     |
| PW               | 44989517       | 20.2066    |
| CW               | 8727311        | 3.9198     |
| DW               | 7890156        | 3.5438     |
| XW               | 257264         | 0.1155     |
| AA               | 8125907        | 3.6497     |
| TT               | 6548416        | 2.9412     |
| SR               | 187927         | 0.0844     |
| $\underline{XX}$ | <u> 187927</u> | 0.1526     |
| Total            | 222647130      |            |

# Class Distribution with Referenced Classes Not Included

The following data represent the class breakdown of NIST Special Database 14. The data totals are shown for all cards, file cards (F) and search cards (S). The data is based on the prime class of each print. Fingerprints with references are not included in the prime class totals. The number of referenced prints is given in the "RF" class. See Figure 4 for the class codes.

# Note:

UL (1-5 ridge count ulnar loops) UM (6-30 ridge count ulnar loops) UH (31-49 ridge count ulnar loops) (L,M,H) also used for radial loops (R) All whorl ridge tracings (I,M,O) for a whorl class are counted together (i.e. PI,PM,PO are counted in PW).

| Class     | ı | All Files | % of Total | 1 | F files | % of Total | I | S files | % of Total |
|-----------|---|-----------|------------|---|---------|------------|---|---------|------------|
| UL        | 1 | 3452      | 6.9657     | ! | 1680    | 6.8757     | ļ | 1772    | 7.0533     |
| UM        | l | 27113     | 54.7107    | 1 | 13450   | 55.0462    |   | 13663   | 54.3844    |
| UH        | i | 4         | 0.0081     | i | 2       | 0.0082     | i | 2       | 0.0080     |
| RL        | l | 521       | 1.0513     | ! | 256     | 1.0477     | ! | 265     | 1.0548     |
| RM        | l | 1087      | 2.1934     | 1 | 538     | 2.2018     | 1 | 549     | 2.1852     |
| RH        | i | 1         | 0.0020     | i | 1       | 0.0041     | i | 0       | 0.0000     |
| PW        | I | 11744     | 23.6980    | I | 5757    | 23.5614    | 1 | 5987    | 23.8308    |
| CW        | l | 1440      | 2.9057     | ļ | 726     | 2.9713     | ļ | 714     | 2.8420     |
| DW        | ľ | 1802      | 3.6362     | l | 889     | 3.6384     | i | 913     | 3.6341     |
| XW        | İ | 40        | 0.0807     | ĺ | 20      | 0.0819     | İ | 20      | 0.0796     |
| AA        | ! | 1764      | 3.5595     | ļ | 864     | 3.5361     | ļ | 900     | 3.5824     |
| TT        | - | 544       | 1.0977     | i | 230     | 0.9413     | i | 314     | 1.2499     |
| SR        | i | 45        | 0.0908     | i | 21      | 0.0859     | i | 24      | 0.0955     |
| XX        | ļ | 0         | 0.0000     | ļ | 0       | 0.0000     | ! | 0       | 0.0000     |
| <u>RF</u> | ŀ | _4443     |            | 1 | _2566   |            | ļ | _1877   |            |
| Total     |   | 54000     |            |   | 27000   |            |   | 27000   |            |

# Distribution with Referenced Classes Included

The following data represent the class breakdown of NIST Special Database 14. The data is based on the prime class of each print without regard to references. The data totals are shown for all cards, file cards (F) and search cards (S). See Figure 4 for the class codes.

# Note:

UL (1-5 ridge count ulnar loops)
UM (6-30 ridge count ulnar loops)
UH (31-49 ridge count ulnar loops)
(L,M,H) also used for radial loops (R)

All whorl ridge tracings (I,M,O) for a whorl class are counted together (i.e. PI,PM,PO are counted in PW).

| Class | All Files | % of Total | F files | % of Total | S files | % of Total |
|-------|-----------|------------|---------|------------|---------|------------|
| UL    | 4136      | 7.6593     | 2128    | 7.8815     | 2008    | 7.4370     |
| UM    | 27580     | 51.0741    | 13744   | 50.9037    | 13836   | 51.2444    |
| UH    | 4         | 0.0074     | 2       | 0.0074     | 2       | 0.0074     |
| RL    | 743       | 1.3759     | 386     | 1.4296     | 357     | 1.3222     |
| RM    | 1192      | 2.2074     | 598     | 2.2148     | 594     | 2.2000     |
| RH    | 2         | 0.0037     | 2       | 0.0074     | 0       | 0.0000     |
| PW    | 12507     | 23.1611    | 6184    | 22.9037    | 6323    | 23.4185    |
| CW    | 1918      | 3.5519     | 1020    | 3.7778     | 898     | 3.3259     |
| DW    | 2155      | 3.9907     | 1096    | 4.0593     | 1059    | 3.9222     |
| XW    | 54        | 0.1000     | 31      | 0.1148     | 23      | 0.0852     |
| AA    | 1932      | 3.5778     | 972     | 3.6000     | 960     | 3.5556     |
| TT    | 1732      | 3.2074     | 816     | 3.0222     | 916     | 3.3926     |
| SR    | 45        | 0.0833     | 21      | 0.0778     | 24      | 0.0889     |
| XX    | 0         | 0.0000     | 0       | 0.0000     | 0       | 0.0000     |
| Total | 54000     |            | 27000   |            | 27000   |            |

# Finger Class Distribution Comparison (Includes References)

The following data represent the class distribution comparison of NIST Special Database 14. The data is based on the prime class of each print without regard to references. The data shows the distribution for a "natural" dataset, which was calculated from a large data sample given to NIST by the FBI, and the fingerprints in NIST Special Database 14. The "natural" dataset should be large enough to give a good estimate of the "a priori" probabilities. The distribution for NIST Special Database 14 is shown for "all" the prints and for the prints from the file ("f") and search ("s") cards.

| Class | Natural  | % of Total | All files 9 | 6 of Total | F files | % of Total | S files | % of Total |
|-------|----------|------------|-------------|------------|---------|------------|---------|------------|
| 01    | 1184450  | 0.531985   | 277         | 0.513      | 166     | 0.615      | 111     | 0.411      |
| 02    | 2910419  | 1.307189   | 712         | 1.319      | 374     | 1.385      | 338     | 1.252      |
| 03    | 3611045  | 1.621869   | 916         | 1.696      | 456     | 1.689      | 460     | 1.704      |
| 04    | 4535306  | 2.036993   | 1038        | 1.922      | 519     | 1.922      | 519     | 1.922      |
| 05    | 4821937  | 2.165731   | 1193        | 2.209      | 613     | 2.270      | 580     | 2.148      |
| 06    | 4877860  | 2.190848   | 1263        | 2.339      | 627     | 2.322      | 636     | 2.356      |
| 07    | 5179856  | 2.326487   | 1392        | 2.578      | 708     | 2.622      | 684     | 2.533      |
| 08    | 6167910  | 2.770262   | 1495        | 2.769      | 729     | 2.700      | 766     | 2.837      |
| 09    | 6905017  | 3.101328   | 1576        | 2.918      | 808     | 2.993      | 768     | 2.844      |
| 10    | 8176735  | 3.672509   | 1838        | 3.404      | 915     | 3.389      | 923     | 3.419      |
| 11    | 9184468  | 4.125123   | 2157        | 3.994      | 1069    | 3.959      | 1088    | 4.030      |
| 12    | 10275618 | 4.615203   | 2347        | 4.346      | 1189    | 4.404      | 1158    | 4.289      |
| 13    | 10963356 | 4.924095   | 2399        | 4.443      | 1195    | 4.426      | 1204    | 4.459      |
| 14    | 10773739 | 4.838930   | 2462        | 4.559      | 1187    | 4.396      | 1275    | 4.722      |
| 15    | 9230044  | 4.145593   | 2164        | 4.007      | 1084    | 4.015      | 1080    | 4.000      |
| 16    | 9855852  | 4.426669   | 2089        | 3.869      | 1050    | 3.889      | 1039    | 3.848      |
| 17    | 7998642  | 3.592520   | 1713        | 3.172      | 858     | 3.178      | 855     | 3.167      |
| 18    | 5810787  | 2.609864   | 1361        | 2.520      | 672     | 2.489      | 689     | 2.552      |
| 19    | 4326753  | 1.943323   | 971         | 1.798      | 496     | 1.837      | 475     | 1.759      |
| 20    | 3409114  | 1.531174   | 852         | 1.578      | 422     | 1.563      | 430     | 1.593      |
| 21    | 2244371  | 1.008039   | 545         | 1.009      | 259     | 0.959      | 286     | 1.059      |
| 22    | 1596525  | 0.717065   | 376         | 0.696      | 191     | 0.707      | 185     | 0.685      |
| 23    | 1039230  | 0.466761   | 243         | 0.450      | 111     | 0.411      | 132     | 0.489      |
| 24    | 618620   | 0.277848   | 156         | 0.289      | 78      | 0.289      | 78      | 0.289      |
| 25    | 391637   | 0.175900   | 76          | 0.141      | 37      | 0.137      | 39      | 0.144      |
| 26    | 229292   | 0.102984   | 48          | 0.089      | 27      | 0.100      | 21      | 0.078      |
| 27    | 131863   | 0.059225   | 17          | 0.031      | 9       | 0.033      | 8       | 0.030      |
| 28    | 76709    | 0.034453   | 21          | 0.039      | 12      | 0.044      | 9       | 0.033      |
| 29    | 40995    | 0.018413   | 9           | 0.017      | 5       | 0.019      | 4       | 0.015      |
| 30    | 25350    | 0.011386   | 10          | 0.019      | 6       | 0.022      | 4       | 0.015      |
| 31    | 11772    | 0.005287   | 0           | 0.000      | 0       | 0.000      | 0       | 0.000      |
| 32    | 6754     | 0.003034   | 1           | 0.002      | 1       | 0.004      | 0       | 0.000      |
| 33    | 3468     | 0.001558   | 1           | 0.002      | 0       | 0.000      | 1       | 0.003      |
|       |          |            |             |            |         |            |         |            |
|       |          | ı          | ı           | I          | 1       | 1          | •       |            |

| Class | Natural | % of Total | All files 9 |       | F files | % of Total |     | % of Total |
|-------|---------|------------|-------------|-------|---------|------------|-----|------------|
| 34    | 1877    | 0.000843   | 0           | 0.000 | 0       | 0.000      | 0   | 0.000      |
| 35    | 1065    | 0.000478   | 2           | 0.004 | 1       | 0.004      | 1   | 0.004      |
| 36    | 562     | 0.000252   | 0           | 0.000 | 0       | 0.000      | 0   | 0.000      |
| 37    | 268     | 0.000120   | 0           | 0.000 | 0       | 0.000      | 0   | 0.000      |
| 38    | 157     | 0.000071   | 0           | 0.000 | 0       | 0.000      | 0   | 0.000      |
| 39    | 91      | 0.000041   | 0           | 0.000 | 0       | 0.000      | 0   | 0.000      |
| 40    | 105     | 0.000047   | 0           | 0.000 | 0       | 0.000      | 0   | 0.000      |
| 41    | 74      | 0.000033   | 0           | 0.000 | 0       | 0.000      | 0   | 0.000      |
| 42    | 18      | 0.000008   | 0           | 0.000 | 0       | 0.000      | 0   | 0.000      |
| 43    | 20      | 0.000009   | 0           | 0.000 | 0       | 0.000      | 0   | 0.000      |
| 44    | 31      | 0.000014   | 0           | 0.000 | 0       | 0.000      | 0   | 0.000      |
| 45    | 19      | 0.000009   | 0           | 0.000 | 0       | 0.000      | 0   | 0.000      |
| 46    | 8       | 0.000004   | 0           | 0.000 | 0       | 0.000      | 0   | 0.000      |
| 47    | 5       | 0.000002   | 0           | 0.000 | 0       | 0.000      | 0   | 0.000      |
| 48    | 11      | 0.000005   | 0           | 0.000 | 0       | 0.000      | 0   | 0.000      |
| 49    | 7       | 0.000003   | 0           | 0.000 | 0       | 0.000      | 0   | 0.000      |
| 51    | 355982  | 0.159886   | 89          | 0.165 | 45      | 0.167      | 44  | 0.163      |
| 52    | 755477  | 0.339316   | 213         | 0.394 | 111     | 0.411      | 102 | 0.378      |
| 53    | 798428  | 0.358607   | 189         | 0.350 | 100     | 0.370      | 89  | 0.330      |
| 54    | 799378  | 0.359034   | 154         | 0.285 | 77      | 0.285      | 77  | 0.285      |
| 55    | 630929  | 0.283376   | 98          | 0.181 | 53      | 0.196      | 45  | 0.167      |
| 56    | 455045  | 0.204379   | 91          | 0.169 | 43      | 0.159      | 48  | 0.178      |
| 57    | 370298  | 0.166316   | 60          | 0.111 | 33      | 0.122      | 27  | 0.100      |
| 58    | 341372  | 0.153324   | 79          | 0.146 | 38      | 0.141      | 41  | 0.152      |
| 59    | 366137  | 0.164447   | 77          | 0.143 | 40      | 0.148      | 37  | 0.137      |
| 60    | 343091  | 0.154096   | 82          | 0.152 | 43      | 0.159      | 39  | 0.144      |
| 61    | 299996  | 0.134741   | 79          | 0.146 | 33      | 0.122      | 46  | 0.170      |
| 62    | 372938  | 0.167502   | 68          | 0.126 | 37      | 0.137      | 31  | 0.115      |
| 63    | 400723  | 0.179981   | 113         | 0.209 | 54      | 0.200      | 59  | 0.219      |
| 64    | 394334  | 0.177112   | 77          | 0.143 | 40      | 0.148      | 37  | 0.137      |
| 65    | 387294  | 0.173950   | 75          | 0.139 | 40      | 0.148      | 35  | 0.130      |
| 66    | 418185  | 0.187824   | 75          | 0.139 | 42      | 0.156      | 33  | 0.122      |
| 67    | 366353  | 0.164544   | 88          | 0.163 | 44      | 0.163      | 44  | 0.163      |
| 68    | 298189  | 0.133929   | 65          | 0.120 | 30      | 0.111      | 35  | 0.130      |
| 69    | 233616  | 0.104927   | 49          | 0.091 | 25      | 0.093      | 24  | 0.089      |
| 70    | 195708  | 0.087901   | 30          | 0.056 | 12      | 0.044      | 18  | 0.067      |
| 71    | 134253  | 0.060299   | 27          | 0.050 | 14      | 0.052      | 13  | 0.048      |
| 72    | 89073   | 0.040006   | 24          | 0.044 | 11      | 0.041      | 13  | 0.048      |
| 73    | 56760   | 0.025493   | . 14        | 0.026 | 7       | 0.026      | 7   | 0.026      |
| 74    | 37274   | 0.016741   | 6           | 0.011 | 4       | 0.015      | 2   | 0.007      |
| 75    | 23134   | 0.010390   | 5           | 0.009 | 4       | 0.015      | .1  | 0.004      |
| 76    | 13765   | 0.006182   | 6           | 0.011 | 3       | 0.011      | 3   | 0.011      |
| 77    | 8436    | 0.003789   | 0           | 0.000 | 0       | 0.000      | 0   | 0.000      |
| 78    | 5281    | 0.002372   | 0           | 0.000 | 0       | 0.000      | 0   | 0.000      |

| Class | Natural   | % of Total | All files %             | of Total | F files | % of Total | S files   | % of Total |
|-------|-----------|------------|-------------------------|----------|---------|------------|-----------|------------|
| 79    | 3253      | 0.001461   | 0                       | 0.000    | 0       | 0.000      | 0         | 0.000      |
| 80    | 2587      | 0.001162   | 2                       | 0.004    | 1       | 0.004      | 1         | 0.004      |
| 81    | 1270      | 0.000570   | $\overline{\mathbf{o}}$ | 0.000    | 0       | 0.000      | 0         | 0.000      |
| 82    | 877       | 0.000394   | 2                       | 0.004    | 2       | 0.007      | 0         | 0.000      |
| 83    | 551       | 0.000247   | 0                       | 0.000    | 0       | 0.000      | 0         | 0.000      |
| 84    | 355       | 0.000159   | o                       | 0.000    | 0       | 0.000      | 0         | 0.000      |
| 85    | 275       | 0.000124   | o                       | 0.000    | 0       | 0.000      | 0         | 0.000      |
| 86    | 158       | 0.000071   | 0                       | 0.000    | 0       | 0.000      | 0         | 0.000      |
| 87    | 75        | 0.000034   | Ō                       | 0.000    | 0       | 0.000      | 0         | 0.000      |
| 88    | 70        | 0.000031   | 0                       | 0.000    | 0       | 0.000      | 0         | 0.000      |
| 89    | 37        | 0.000017   | 0                       | 0.000    | 0       | 0.000      | 0         | 0.000      |
| 90    | 40        | 0.000018   | o                       | 0.000    | 0       | 0.000      | 0         | 0.000      |
| 91    | 37        | 0.000017   | 0                       | 0.000    | 0       | 0.000      | 0         | 0.000      |
| 92    | 15        | 0.000007   | 0                       | 0.000    | 0       | 0.000      | 0         | 0.000      |
| 93    | 8         | 0.000004   | 0                       | 0.000    | 0       | 0.000      | 0         | 0.000      |
| 94    | 5         | 0.000002   | 0                       | 0.000    | 0       | 0.000      | 0         | 0.000      |
| 95    | 5         | 0.000002   | 0                       | 0.000    | 0       | 0.000      | 0         | 0.000      |
| 96    | 7         | 0.000003   | 0                       | 0.000    | 0       | 0.000      | 0         | 0.000      |
| 97    | 5         | 0.000002   | 0                       | 0.000    | 0       | 0.000      | 0         | 0.000      |
| 98    | 7         | 0.000003   | 0                       | 0.000    | 0       | 0.000      | 0         | 0.000      |
| 99    | 10        | 0.000004   | 0                       | 0.000    | 0       | 0.000      | 0         | 0.000      |
| AA    | 8125907   | 3.649680   | 1932                    | 3.578    | 972     | 3.600      | 960       | 3.556      |
| TT    | 6548416   | 2.941163   | 1732                    | 3.207    | 816     | 3.022      | 916       | 3.393      |
| XX    | 339724    | 0.152584   | 0                       | 0.000    | 0       | 0.000      | 0         | 0.000      |
| SR    | 187927    | 0.084406   | 45                      | 0.083    | 21      | 0.078      | 24        | 0.089      |
| PΙ    | 17938974  | 8.057132   | 5129                    | 9.498    | 2513    | 9.307      | 2616      | 9.689      |
| CI    | 4629016   | 2.079082   | 930                     | 1.722    | 503     | 1.863      | 427       | 1.581      |
| DI    | 4585388   | 2.059487   | 1294                    | 2.396    | 665     | 2.463      | 629       | 2.330      |
| XI    | 100401    | 0.045094   | 15                      | 0.028    | 9       | 0.033      | 6         | 0.022      |
| PM    | 9045208   | 4.062576   | 2582                    | 4.781    | 1305    | 4.833      | 1277      | 4.730      |
| CM    | 270727    | 0.121595   | 53                      | 0.098    | 31      | 0.115      | 22        | 0.081      |
| DM    | 453692    | 0.203772   | 126                     | 0.233    | 67      | 0.248      | 59        | 0.219      |
| XM    | 67205     | 0.030185   | 15                      | 0.028    | 8       | 0.030      | 7         | 0.026      |
| PO    | 18005335  | 8.086938   | 4796                    | 8.881    | 2366    | 8.763      | 2430      | 9.000      |
| CO    | 3827568   | 1.719118   | 935                     | 1.731    | 486     | 1.800      | 449       | 1.663      |
| DO    | 2851076   | 1.280536   | 735                     | 1.361    | 364     | 1.348      | 371       | 1.374      |
| XO    | 89658     | 0.040269   | 24                      | 0.044    | 14      | 0.052      | <u>10</u> | 0.037      |
|       | 222647130 |            | 54000                   |          | 27000   |            | 27000     |            |

# **Referencing Information Tables**

The following data gives information about referencing of the fingerprint classes in NIST Special Database 14. The totals are shown for all cards, file cards, and search cards. The primary class is the first class given followed by reference class(es). The only class/reference combinations given are ones that exist in the database; therefore all combinations not shown, do not exist in the database. It is also important to know that if a primary class has two references, the order of the references is not significant. That is, UL/TT/AA is the same as UL/AA/TT and, due to the order of search through the classes for references, both would be included in the UL/AA/TT total. Scars and amputations are treated differently in that a count of the total number is given at the end of the list.

# Note:

UL (1-5 ridge count ulnar loops)
UM (6-30 ridge count ulnar loops)
UH (31-49 ridge count ulnar loops)
(L,M,H) also used for radial loops (R)

All whorl ridge tracings (I,M,O) for a whorl class are counted together (i.e. PI,PM,PO are counted in PW).

| Class/Reference | All Cards | File Cards | Search Cards |
|-----------------|-----------|------------|--------------|
| UL              | 3452      | 1680       | 1772         |
| UL/UM           | 101       | 88         | 13           |
| UL/PW           | 3         | 1          | 2            |
| UL/CW           | 5         | 1          | 4            |
| UL/TT           | 539       | 339        | 200          |
| UL/UM/TT        | 5         | 4          | 1            |
| UL/RL/AA        | 1         | 1          | -            |
| UL/CW/TT        | 1         | -          | 1            |
| UL/AA/TT        | 2         | 2          | -            |
| TD (            | 07110     | 12450      | 12772        |
| UM              | 27113     | 13450      | 13663        |
| UM/UL           | 47        | 42         | 5            |
| UM/PW           | 1         | 1          | -            |
| UM/CW           | 201       | 107        | 94           |
| UM/DW           | 59        | 39         | 20           |
| UM/XW           | 1         | -          | 1            |
| UM/TT           | 9         | 6          | 3            |
| UM/UL/TT        | 2         | 2          | -            |
| UM/PW/CW        | 3         | 2          | 1            |
| UM/CW/DW        | 11        | 8          | 3            |
| UM/UL/CW/DW/XW  | 1         | 1          | -            |
| UH              | 4         | 2          | 2            |
| UH              | 4         | 2          | 2            |
| RL              | 521       | 256        | 265          |
| RL/RM           | 11        | 6          | 5            |
| RL/CW           | 9         | 6          | 3            |
| RL/DW           | 1         |            | 1            |

| Class/Reference<br>RL/TT<br>RL/PW/CW                     | All Cards<br>189<br>1                                   | File Cards<br>115                                | Search Cards<br>74<br>1                    |
|----------------------------------------------------------|---------------------------------------------------------|--------------------------------------------------|--------------------------------------------|
| RM<br>RM/RL<br>RM/PW<br>RM/CW<br>RM/DW<br>RM/XW<br>RM/TT | 1087<br>4<br>1<br>59<br>7<br>.5                         | 538<br>4<br>1<br>34<br>4<br>2<br>1               | 549<br>-<br>25<br>3<br>3<br>2              |
| RM/RL/TT<br>RM/PW/DW<br>RM/CW/DW<br>RM/DW/XW             | 1<br>1<br>2<br>1                                        | 2                                                | 1<br>1<br>-                                |
| RH<br>RH/DW                                              | 1<br>1                                                  | 1<br>1                                           | -                                          |
| PW<br>PW/UL<br>PW/UM<br>PW/CW                            | 11744<br>2<br>7<br>289                                  | 5757<br>1<br>2<br>150                            | 5987<br>1<br>5<br>139                      |
| PW/DW PW/XW PW/UM/CW PW/UM/DW PW/RL/XW                   | 420<br>12<br>2<br>2<br>1                                | 247<br>9<br>1<br>1                               | 173<br>3<br>1<br>1                         |
| PW/CW/DW<br>PW/CW/TT<br>PW/DW/XW                         | 6<br>1<br>6                                             | 3<br>1<br>4                                      | 3 - 2                                      |
| CW CW/UL CW/UM CW/RL CW/RM CW/PW CW/DW CW/UM/PW CW/UM/PW | 1440<br>3<br>126<br>1<br>33<br>265<br>31<br>2<br>6<br>1 | 726<br>2<br>80<br>1<br>16<br>167<br>15<br>1<br>4 | 714<br>1<br>46<br>-<br>17<br>98<br>16<br>1 |
| CW/PW/DW<br>CW/DW/XW                                     | 3 2                                                     | 3 2                                              | -                                          |
| DW<br>DW/UL                                              | 1802<br>1                                               | 889<br>1                                         | 913                                        |

| Class/Reference | All Cards | File Cards | Search Cards |
|-----------------|-----------|------------|--------------|
| DW/UM           | 36        | 18         | 18           |
| DW/RM           | 5         | 1          | 4            |
| DW/PW           | 258       | 160        | 98           |
| DW/CW           | 16        | 8          | 8            |
| DW/XW           | 12        | 5          | 7            |
| DW/UM/CW        | 6         | 4          | 2            |
| DW/UM/XW        | 3         | 3          | <u>-</u>     |
| DW/RM/CW        | 1         | _          | 1            |
| DW/PW/CW        | 1         | _          | i            |
| DW/PW/XW        | 2         | 1          | i            |
| DW/CW/XW        | 1         | i          | -            |
| DW/CW/PW/UM     | î         | _          | 1            |
| DW/CW/PW/UL/UM  | î         | 1          | 1            |
| DW/CW/I W/OL/OW | 1         | 1          | _            |
| XW              | 40        | 20         | 20           |
| XW/UM           | 3         | 2          | 1            |
| XW/RM           | 2         | 2          | _            |
| XW/PW           | 3         | 1          | 2            |
| XW/DW           | 5         | 5          | _            |
| XW/UL/DW        | 1         | 1          | _            |
|                 |           |            |              |
| AA              | 1764      | 864        | 900          |
| AA/TT           | 162       | 105        | 57           |
| AA/UL/TT        | 4         | 3          | 1            |
| AA/RL/TT        | 1         | -          | 1            |
| TT              | 544       | 230        | 314          |
| TT/UL           | 644       | 300        | 344          |
|                 | 3         | 2          |              |
| TT/UM<br>TT/RL  | 270       |            | 1            |
|                 |           | 138        | 132          |
| TT/RM           | 5         | 3          | 2            |
| TT/CW           | 1         | 1          | -            |
| TT/XW           | 1         | -          | 1            |
| TT/AA           | 191       | 93         | 98           |
| TT/UL/RL        | 1         | 1          | -            |
| TT/UL/CW        | 1         | 1          | -            |
| TT/UL/AA        | 50        | 36         | 14           |
| TT/RL/RM        | 1         | -          | 1            |
| TT/RL/CW        | 1         | 1          | -            |
| TT/RL/AA        | 5         | 4          | 1            |
| TT/RL/XW/DW     | 1         | -          | 1            |
| TT/UL/XW/DW     | 2         | 1          | 1            |
| TT/RL/CW/DW     | 1         | -          | 1            |
|                 |           |            |              |
| Total Scars     | 276       | 152        | 124          |
| Total Doals     | 210       | 134        | 124          |

# APPENDIX C. Software Manual Pages.

#### NAME

cjpegb - compresses a grayscale or color (RGB) image using lossy Baseline JPEG (JPEGB).

#### **SYNOPSIS**

#### DESCRIPTION

Cjpegb takes as input a file containing an uncompressed grayscale or color (RGB) image. Two possible input file formats are accepted, NIST IHead files and raw pixmap files. If a raw pixmap file is to be compressed, then its image attributes must be provided on the command line as well. Once read into memory, the grayscale or color pixmap is then *lossy* compressed to a specified level of reconstruction quality using the Independent JPEG Group's (IJG) library for Baseline JPEG (JPEGB). The JPEGB results are then written to an output file.

Note that **cjpegb** calls the IJG library in a default color mode where one of the compression steps includes a colorspace conversion from RGB to YCbCr, and then the Cb & Cr component planes are downsampled by a factor of 2 in both dimensions. Due to this colorspace conversion, **cjpegb** should only be used to compress RGB color images.

The color components of RGB pixels in a raw pixmap file may be interleaved or non-interleaved. By default, **cjpegb** assumes interleaved color pixels. (See INTERLEAVE OPTIONS below.) Regarding color pixmaps, the NIST IHead file format only supports interleaved RGB images.

#### **OPTIONS**

All switch names may be abbreviated; for example, -raw\_in may be written -r.

#### < a = 20-95 >

specifies the level of quality in the reconstructed image as a result of lossy compression. The integer quality value may range between 20 and 95. The lower the quality value, the more drastic the compression.

#### <outext>

the extension of the compressed output file. To construct the output filename, **cjpegb** takes the input filename and replaces its extension with the one specified here.

# <image file>

the input file, either an IHead file or raw pixmap file, containing the grayscale or color (RGB) image to be compressed.

#### -raw\_in w,h,d,[ppi]

the attributes of the input image. This option must be included on the command line if the input is a raw pixmap file.

w the pixel width of the pixmap

h the pixel height of the pixmap

d the pixel depth of the pixmap

ppi the optional scan resolution of the image in integer units of pixels per inch.

# -nonintrly

specifies that the color components in an *input* raw pixmap file image are non-interleaved and stored in separate component planes. (See INTERLEAVE OPTIONS below).

# comment file

an optional user-supplied ASCII comment file. (See COMMENT OPTIONS below.)

#### INTERLEAVE OPTIONS

The color components of RGB pixels in a raw pixmap file may be interleaved or non-interleaved. Color components are interleaved when a pixel's (R)ed, (G)reen, and (B)lue components are sequentially adjacent in the image byte stream, ie. RGBRGBRGB.... If the color components are non-interleaved, then all (R)ed components in the image are sequentially adjacent in the image byte stream, followed by all (G)reen components, and then lastly followed by all (B)lue components. Each complete sequence of color components is called a plane. The utilities intr2not and not2intr convert between interleaved and non-interleaved color components. By default, cjpegb assumes interleaved color components, and note that all color IHead images must be interleaved.

#### COMMENT OPTIONS

Upon successful compression, this utility generates and inserts in the compressed output file a specially formatted comment block, called a NISTCOM. A NISTCOM is a text-based attribute list comprised of (name, value) pairs, one pair per text line. The first line of a NISTCOM always has name = "NIST\_COM" and its value is always the total number of attributes included in the list. The utility **rdjpgcom** scans a JPEG compressed file for any and all comment blocks. Once found, the contents of each comment block is printed to standard output. Using this utility, the NISTCOM provides easy access to relevant image attributes. The following is an example NISTCOM generated by **cjpegb**:

NIST\_COM 12 PIX\_WIDTH 768 PIX\_HEIGHT 1024 PIX\_DEPTH 24 PPI -1 LOSSY 1 COLORSPACE YCbCr NUM\_COMPONENTS 3 HV\_FACTORS 2,2:1,1:1,1 INTERLEAVE 1 COMPRESSION JPEGB JPEGB\_QUALITY 50

Cjpegb also accepts an optional comment file on the command line. If provided, the contents of this file are also inserted into the compressed output file. If the comment file is a NISTCOM attribute list, then its contents are merged with the NISTCOM internally generated by cjpegb and a single NISTCOM is written to the compressed output file. Note that cjpegb gives precedence to internally generated attribute values. If the user provides a non-NISTCOM comment file, then the contents of file are stored to a separate comment block in the output file. Using these comment options enables the user to store application-specific information in a JPEG file.

#### **EXAMPLES**

From test/imgtools/execs/cjpegb/cjpegb.src:

```
% cjpegb 50 jpb face08.raw -r 768,1024,8 compresses a grayscale face image in a raw pixmap file. % cjpegb 50 jpb face24.raw -r 768,1024,24 compresses a color face image in a raw pixmap file.
```

### SEE ALSO

 $\label{eq:complex} \textbf{cjpeg}(1E), \ \textbf{cjpegl}(1D), \ \textbf{dpyimage}(1D), \ \textbf{intr2not}(1D), \ \textbf{jpegtran}(1E), \ \textbf{not2intr}(1D), \ \textbf{rdjpg-com}(1E), \ \textbf{wrjpgcom}(1E) \\$ 

AUTHOR

NIST/ITL/DIV894/Image Group

#### NAME

dpyimage – displays the image contents of Baseline JPEG, Lossless JPEG, WSQ, IHead, and raw pixmap files.

#### **SYNOPSIS**

```
dpyimage [options] image-file ...
```

**-r** *w*,*h*,*d*,*wp* 

-A

-s n

-a n

-V

-V

-X

**-b** *n* 

-N n

**-O** 

-k -W n

-H n

-X n

¥7

-Y n

-T title

-t

-D dir

-d display

#### DESCRIPTION

**Dpyimage** reads various image file formats, decompresses and reconstructs pixmaps as needed, and displays image contents in an X11 window. Supported file formats include Baseline JPEG (lossy), Lossless JPEG, WSQ (lossy), NIST IHead, and raw pixmap files. Raw pixmaps containing either grayscale or interleaved RGB color pixels are supported. This utility automatically differentiates between these different formats.

If only one file (or the -n option) is specified on the command line, the image or images are simply read from disk and then displayed. If multiple files are specified, **dpyimage** attempts to minimize the display waiting time by forking a background process to pre-read images from disk. By default, the child transfers images to the parent via a pipe. This always allows at least one image to be read in from disk while the user is viewing the current image. Since a process writing on a pipe is blocked (until a read on the other end of the pipe) after transferring four kilobytes, the child will only be one image ahead of the parent except when handling smaller images.

If the -t option appears on the command line, the processes use temporary files as the means of exchanging image data. Therefore, the child is not constrained on the number of images it may pre-read for the parent. However, the filesystem on which the directory for temporary files resides must have enough space for copies of all images in uncompressed state or an error may occur. This is the suggested mode for viewing compressed images for which decompression takes considerably longer than disk I/O.

If the image is too large to be displayed on the screen, the upper lefthand corner will be displayed and the rest of the image can be moved into view by holding down a mouse button, moving in the direction desired, and then releasing the button. Button presses when another button(s) is already down and button releases when another button(s) is still down are ignored.

Users may exit from the program by striking keys 'x' or 'X'. Advancing to the next image is accomplished by any other keystroke.

#### **OPTIONS**

```
-r w,h,d,wp
```

raw pixmap attributes:

w - pixel width,

h - pixel height,

d - pixel depth,

wp - white pixel value

bi-level wp=0|1

grayscale wp=0|255

RGB wp=0 (value ignored)

- -A automatically advances through images.
- -s n in automatic mode, sleeps n seconds before advancing to the next image [2].
- -a n sets drag accelerator to n changes in pointer position will result in n shifts in the displayed image [1].
- turns on verbose output.
- turns on debug mode, causing a core dump when an X11 error occurs.
- -b n sets border width to n pixels [4].
- -N n the child I/O process is niced to level n.
- overrides the redirect on windows (no window manager).
- -k informs utility that there is no keyboard input.
- -W n displays image in a window of width n pixels.
- **-H** n displays image in a window of height n pixels.
- -X n positions image window with top-left corner n pixels to the right of the display's top-left corner [0]
- -Y n positions image window with top-left corner n pixels below the display's top-left corner [0].
- n does not fork to display multiple images.
- -T title sets window name to title [file]. -t uses temporary files to transfer multiple images to parent [via pipe].
- -D directory

creates temporary files in directory [/tmp].

-d display

connects to alternate display.

image-file ...

one or more image files whose pixmaps are to be displayed.

#### **ENVIRONMENT**

If the environment variable **TMPDIR** is set and the **-D** option is not set on the command line, **dpyimage** uses this directory as the location for temporary files.

#### **EXAMPLES**

From test/imgtools/execs/dpyimage/dpyimage.src:

% dpyimage -r 500,500,8,255 ../../data/finger/gray/raw/finger.raw displays a fingerprint image from a raw pixmap file.

% dpyimage ../../data/finger/gray/jpegl/finger.jpl

displays a reconstructed fingerprint image from a Lossless JPEG file.

# % dpyimage ../../data/finger/gray/wsq/finger.wsq

displays a reconstructed fingerprint image from a WSQ file.

# % dpyimage ../../data/face/gray/jpegb/face.jpb

displays a reconstructed grayscale face image from a Baseline JPEG file.

# % dpyimage -r 768,1024,24,0 ../../data/face/rgb/raw/intrlv/face.raw

displays a color face image from a raw pixmap file.

# % dpyimage ./../data/face/rgb/jpegb/face.jpb

displays a reconstructed color face image from a Baseline JPEG file.

# % dpyimage ../../data/face/rgb/jpegl/face.jpl

displays a reconstructed color face image from a Lossless JPEG file.

#### SEE ALSO

an2ktool (1C), cjpegb (1D), cjpegl (1D), cwsq (1D), djpegb (1D), djpegl (1D), dpyan2k (1C), dwsq (1D)

#### **AUTHOR**

NIST/ITL/DIV894/Image Group

DUMPIHDR(1) DUMPIHDR(1)

NAME

dumpihdr - takes NIST IHEAD image files and prints their header content to stdout

**SYNOPSIS** 

dumpihdr ihdrfile ...

DESCRIPTION

Dumpihdr opens NIST IHEAD rasterfiles and formats and prints their header contents to stdout.

**OPTIONS** 

ihdrfile any NIST IHEAD image file name

**EXAMPLES** 

dumpihdr foo.pct bar.pct

**FILES** 

include/ihead.h

NIST's raster header include file

DIAGNOSTICS

Dumpihdr exits with a status of -1 if opening an ihdrfile fails.

BUGS

#### NAME

dwsq - decompresses a WSQ-encoded grayscale fingerprint image.

#### SYNOPSIS

dwsq <outext> <image file> [-raw\_out]

#### DESCRIPTION

Dwsq takes as input a file containing a WSQ-compressed grayscale fingerprint image. Once read into memory, the lossy-compressed pixmap is decoded and reconstructed using Wavelet Scalar Quantization as described in the FBI's Criminal Justice Information Services (CJIS) document, "WSQ Gray-scale Fingerprint Compressions Specification," Dec. 1997. This is the only fingerprint compression format accepted by the FBI IAFIS system.

Upon completion, two different output image file formats are possible, a NIST IHead file (the default) or a raw pixmap file (specified by the **-raw\_out** flag). In addition, a specially formatted text file, called a NIST-COM, is created with extension ".ncm". The NISTCOM file contains relevant image attributes associated with the decoded and reconstructed output image. (See NISTCOM OUTPUT below.)

#### **OPTIONS**

All switch names may be abbreviated; for example, -raw\_out may be written -r.

<outext>

the extension of the decompressed output file. To construct the output filename, dwsq takes the input filename and replaces its extension with the one specified here.

<image file>

the input WSQ file to be decompressed.

-raw\_out

specifies that the decoded and reconstructed image should be stored to a raw pixmap file.

#### NISTCOM OUTPUT

Upon successful completion, dwsq, creates a specially formatted text file called a NISTCOM file. A NISTCOM is a text-based attribute list comprised of (name, value) pairs, one pair per text line. The first line of a NISTCOM always has name = "NIST\_COM" and its value is always the total number of attributes included in the list. These attributes are collected and merged from two different sources to represent the history and condition of the resulting reconstructed image. The first source is from an optional NISTCOM comment block inside the WSQ-encoded input file. This comment block can be used to,hold user-supplied attributes. The WSQ encoder, cwsq, by convention inserts one of these comment blocks in each compressed output file it creates. (The utility rdwsqcom can be used to scan a WSQ file for any and all comment blocks.) The second source of attributes comes from the decompression process itself. In general, attribute values from this second source are given precedence over those from the first.

The NISTCOM output filename is constructed by combining the basename of the input WSQ file with the extension ".ncm". By creating the NISTCOM file, relevant attributes associated with the decoded and reconstructed image are retained and easily accessed. This is especially useful when dealing with raw pixmap files and creating image archives. The following is an example NISTCOM generated by dwsq:

NIST\_COM 7 PIX\_WIDTH 500 PIX\_HEIGHT 500 PIX\_DEPTH 8 PPI 500 LOSSY 1 COLORSPACE GRAY

# **EXAMPLES**

From test/imgtools/execs/dwsq/dwsq.src:

# % dwsq raw finger.wsq -r

decompresses a WSQ-encoded fingerprint image and stores the reconstructed image to a raw pixmap file. Note the NISTCOM file, finger.ncm, is also created.

# SEE ALSO

cwsq(1D), dpyimage(1D), rdwsqcom(1D), wrwsqcom(1D)

# **AUTHOR**

NIST/ITL/DIV894/Image Group

#### **NAME**

dwsq14v2 - decompresses a WSQ-encoded grayscale fingerprint image and formats the IHEAD structure of the uncompressed image to be the same as the original version of NIST Special Database 14.

#### SYNOPSIS

```
dwsq14v2 < outext> < image file> [-raw_out]
```

#### DESCRIPTION

Dwsq14v2 takes as input a file containing a WSQ-compressed grayscale fingerprint image. Once read into memory, the lossy-compressed pixmap is decoded and reconstructed using Wavelet Scalar Quantization as described in the FBI's Criminal Justice Information Services (CJIS) document, "WSQ Gray-scale Finger-print Compressions Specification," Dec. 1997. This is the only fingerprint compression format accepted by the FBI IAFIS system.

Upon completion, two different output image file formats are possible, a NIST IHead file (the default) or a raw pixmap file (specified by the **-raw\_out** flag). In addition, a specially formatted text file, called a NIST-COM, is created with extension ".ncm". The NISTCOM file contains relevant image attributes associated with the decoded and reconstructed output image. (See NISTCOM OUTPUT below.)

#### **OPTIONS**

All switch names may be abbreviated; for example, -raw\_out may be written -r.

<outext>

the extension of the decompressed output file. To construct the output filename, dwsq14v2 takes the input filename and replaces its extension with the one specified here.

<image file>

the input WSQ file to be decompressed.

#### -raw out

specifies that the decoded and reconstructed image should be stored to a raw pixmap file.

#### NISTCOM OUTPUT

Upon successful completion, dwsq14v2, creates a specially formatted text file called a NISTCOM file. A NISTCOM is a text-based attribute list comprised of (name, value) pairs, one pair per text line. The first line of a NISTCOM always has name = "NIST\_COM" and its value is always the total number of attributes included in the list. These attributes are collected and merged from two different sources to represent the history and condition of the resulting reconstructed image. The first source is from an optional NISTCOM comment block inside the WSQ-encoded input file. This comment block can be used to hold user-supplied attributes. The WSQ encoder, cwsq, by convention inserts one of these comment blocks in each compressed output file it creates. (The utility rdwsqcom can be used to scan a WSQ file for any and all comment blocks.) The second source of attributes comes from the decompression process itself. In general, attribute values from this second source are given precedence over those from the first.

The NISTCOM output filename is constructed by combining the basename of the input WSQ file with the extension ".ncm". By creating the NISTCOM file, relevant attributes associated with the decoded and reconstructed image are retained and easily accessed. This is especially useful when dealing with raw pixmap files and creating image archives. The following is an example NISTCOM generated by dwsq14v2:

NIST\_COM 12 SD\_ID 14 HISTORY f0000001.pct 20 tape3.t1116010.01 4096x1536 FING\_CLASS R SEX m SCAN\_TYPE i PIX\_WIDTH 832 PIX\_HEIGHT 768 PIX\_DEPTH 8 PPI 500 LOSSY 1 COLORSPACE GRAY

# SEE ALSO

 $\pmb{cwsq(1D), dpyimage(1D), rdwsqcom(1D), wrwsqcom(1D)}\\$ 

# **AUTHOR**

NIST/ITL/DIV894/Image Group

#### NAME

rdwsqcom - scans a WSQ-encoded image file for any and all comment blocks, printing their contents to standard output.

#### **SYNOPSIS**

rdwsqcom <image file>

#### DESCRIPTION

**Rdwsqcom** takes as input a file containing a WSQ-compressed image, and *without* decoding and reconstructing the image, the utility scans the file for any and all comment blocks. As a comment block is encountered, its contents is printed to standard output. Comments can be written to a WSQ file by using the **wrwsqcom** command.

#### **OPTIONS**

<image file>

the input WSQ file to be scanned.

#### **EXAMPLES**

From test/imgtools/execs/rdwsqcom/rdwsqcom.src:

% rdwsqcom finger.wsq > finger.com prints any comments stored in the WSQ fingerprint file to an output file.

#### SEE ALSO

cwsq(1D), wrwsqcom(1D)

# **AUTHOR**

NIST/ITL/DIV894/Image Group