

1. Framework Introduction

1.1. Overview

Communities are places where people live, work, play, and build their futures. Each community has its own identity based on its location, history, leadership, available resources, and the people who live and work there. Successful communities provide their members with the means to meet essential needs as well as pursue their interests and aspirations.

All communities are subject to disruptive events. Across the nation, communities experience disruptions from weather events, infrastructure failures, cyber-attacks, technological accidents, sea level rise, or other disruptive events. Buildings and infrastructure systems are vital to community prosperity and health. If these systems fail or are damaged, essential services are interrupted. Depending on the magnitude and duration of the disruptive event, communities may experience anything from temporary interruptions in services to a permanent loss of businesses and relocation of residents.

Community resilience is the ability of a community to prepare for anticipated hazards, adapt to changing conditions, and withstand and recover rapidly from disruptions. Communities are looking for ways to become more resilient to disasters. This framework focuses on community resilience planning for the built environment, where the performance goals for the physical infrastructure systems are informed by the needs of the residents and social institutions. The built environment includes buildings and infrastructure systems, including power, communication, water and wastewater, and transportation systems.

Communities are increasingly aware of the need to become proactive and take steps to improve their resiliency, by preparing for anticipated hazards, adapting to changing conditions, and withstanding and recovering rapidly from disruptions. Changing conditions include the effects of aging infrastructure systems and climate change, such as sea level rise in coastal areas. In a resilient community, a hazard event at the design level should cause only local disruptions that the community can tolerate without long-term detrimental effects. If an unanticipated or extreme event occurs, the resilience planning and preparation should reduce the extent of disruption and recovery time. Additionally, communities that have a well-developed resilience plan are prepared to recover in a way that improves sustainability and resilience.

The Disaster Resilience Framework provides communities with a methodology to plan for resilience by prioritizing improvements to buildings and infrastructure systems based on their importance in supporting social institutions and economic functions in the community. Communities should implement resilience plans as a part of their long-term community planning process. Integrated long-term planning and implementation of measures to improve resilience can benefit community goals, such as providing an attractive, vibrant place to live for residents and a reliable environment for businesses to locate. A resilient community also provides day-to-day benefits to communities by reducing daily disruptions if improved design and construction practices are adopted. Even if it is many years before a significant hazard occurs, the community's resilience plan will continue to improve the performance of buildings and infrastructure systems to other hazards, including interdependencies and cascading effects of system failures.

This community resilience methodology has a set of core activities for developing a community resilience plan, presented in Chapters 2 to 9:

- Characterize Social Dimensions of the Community
- Characterize Built Environment and Hazards
- Plan for Community Resilience
- Develop Strategies for Existing Built Environment

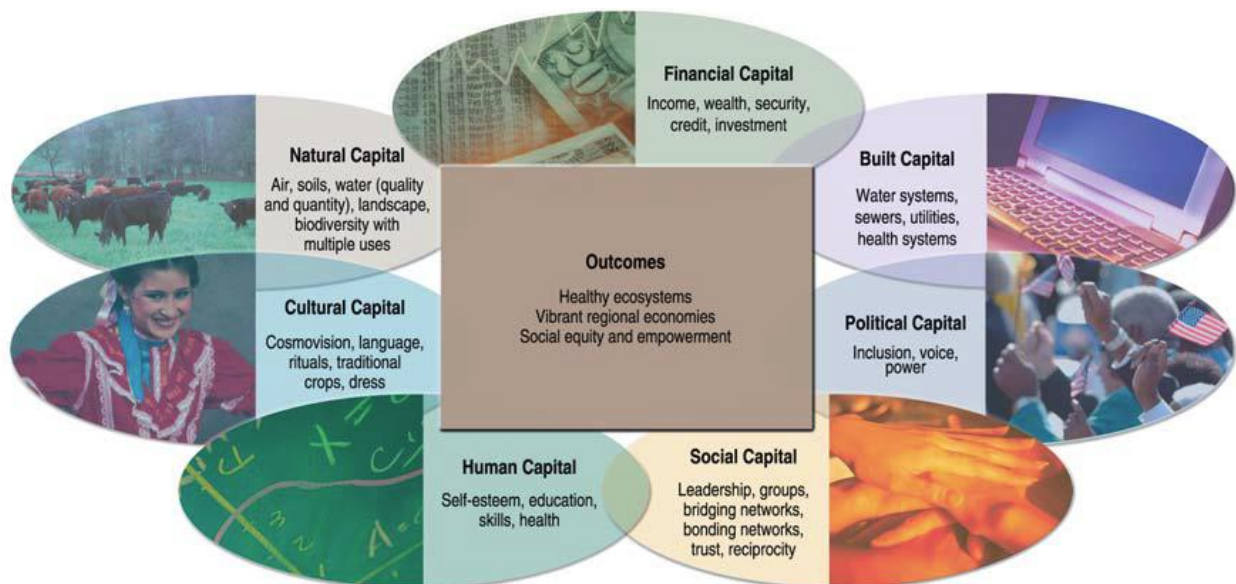
- 46 • Develop Strategies for New Built Environment

47 Community resilience planning for the built environment requires input from all stakeholders, including
48 local government, owners and operators of buildings and infrastructure systems, and residents with equal
49 representation from the community’s social institutions and economic functions. When all interests and
50 needs are addressed in a comprehensive evaluation at the community level, communities develop a
51 transparent, supportable path forward that is embraced and supported by everyone. Additionally, precious
52 resources can be allocated based on a community-wide evaluation that prioritizes needed improvements.

53 **1.2. Defining Communities**

54 Communities are highly variable and diverse, with geographic areas and populations ranging from small,
55 rural communities to large, urban, dense communities. Communities also differ by their histories,
56 cultures, social make-up, businesses, industries, and access to and availability of resources.

57 The Community Capitals Framework, depicted in Figure 1-1, describes community assets and resources
58 in terms of various forms of capital: natural, built (physical), financial (economic), human, social,
59 political, and cultural. Each of the community capitals are interrelated and interact with each other, and
60 can be considered the collective set of assets available within a given community.



61
62 **Figure 1-1: The Community Capitals Framework (Flora et al, 2008).**

63 Community capitals are described as:¹

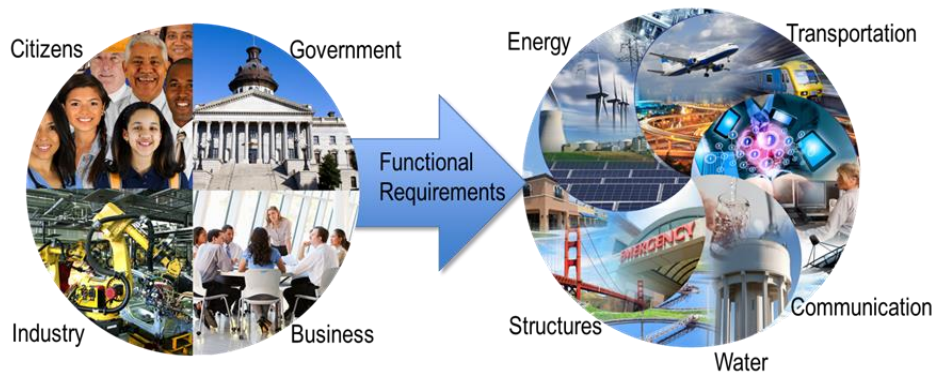
- 64 • **Natural** – resources such as air, land, water, minerals, oil, and the overall stability of ecosystems
- 65 • **Built** – buildings and infrastructure systems within a community
- 66 • **Financial** – financial savings, income, investments, and available credit at the community-level
- 67 • **Human** – the knowledge, skills, health and physical ability of community members
- 68 • **Social** – social networks, associations, and the trust generated by them among groups and
- 69 individuals within the community
- 70 • **Political** – having access to resources and the ability/power to influence their distribution; also,
- 71 the ability to engage external entities in efforts to achieve goals

¹ Ritchie, Liesel A. and D.A. Gill, “Considering Community Capitals in Disaster Recovery and Resilience.”
http://www.riskinstitute.org/peri/component?option=com_deeppockets/task,catsContShow/cat,86/id,1086/Itemid,84/.

- 72 • **Cultural** – language, symbols, mannerisms, attitudes, competencies, and orientations of local
73 community members/groups.

74 Knowledge about each type of capital in a community provides stakeholders with valuable information,
75 as it contributes to understanding about the community’s well-being, sustainable development, and
76 resilience. Awareness of community capitals helps identify short-term and long-term benefits, whether or
77 not a hazard event occurs, and provides input to mitigation, preparedness, response, and recovery plans
78 and investments.

79 While all the types of capitals are important to each community, this report focuses primarily on built
80 capital (buildings and infrastructure systems), with consideration of how built capital supports other
81 capitals within a community. The needs of citizens and social institutions, government, industry, and
82 business should help define functional requirements for a community’s buildings and infrastructure
83 systems, as illustrated in Figure 1-2. For instance, after a significant hazard event, will residents be able to
84 remain in their homes? Can governments communicate with residents to inform them and support
85 recovery efforts? Will businesses and industries be able to resume operations within a reasonable period?
86 These types of social needs determine the performance expected from a community’s buildings and
87 infrastructure systems. However, functional requirements at the community level are often not explicitly
88 established.



89
90 **Figure 1-2: Social activities, such as individual citizens and social institutions, business and**
91 **government define the functional requirements of the community buildings and infrastructure systems.**

92 A resilience plan offers a community answers and available alternative options. There may be multiple
93 solutions or multiple stages to meet a requirement, including alternative or temporary solutions to meet
94 the immediate need, as well as restoring a building or infrastructure system.

95 Functional buildings and infrastructure systems are necessary for communities to prosper. When
96 buildings and infrastructure systems are damaged by hazard events, social services are interrupted,
97 economic losses soar, and precious resources must be re-allocated to repair and rebuild. When the damage
98 is extensive, the recovery process can be a significant drain on local residents and their resources and can
99 be drawn out over years.

100 **1.3. Community Resilience**

101 The term “resilience” is used in many ways. The definition for the framework is contained in Presidential
102 Policy Directive 21 (PPD-21).² The definition states, “The term ‘resilience’ means the ability to prepare
103 for and adapt to changing conditions and withstand and recover rapidly from disruptions.” Under this
104 broad definition, resilience includes activities already conducted by some communities, such as disaster
105 preparedness, hazard mitigation, code adoption and enforcement, and emergency response.

² Presidential Policy Directive 21, <http://www.whitehouse.gov/the-press-office/2013/02/12/presidential-policy-directive-critical-infrastructure-security-and-resil>.

106 In the context of this framework, the phrase “prepare for and adapt to changing conditions” refers to
107 preparing for conditions that are likely to occur within the lifetime of a facility or infrastructure system,
108 such as a hazard event, and hazard intensities or physical conditions that may change over time.
109 Depending on location, this may include effects of climate change, such as sea level rise in coastal areas
110 or a change in understanding of a hazard such as tornadoes. Changing conditions also include changes in
111 our use of infrastructure systems. For example, increasing the use of communication and information
112 devices leads to evolving levels of dependencies on information and power systems. Changing conditions
113 may also include aging effects on infrastructure systems. If buildings and infrastructure systems are
114 designed, maintained and operated properly, disruption to community functions should reduce over time,
115 as more of the built environment will be performing at levels compatible with community resilience
116 goals.

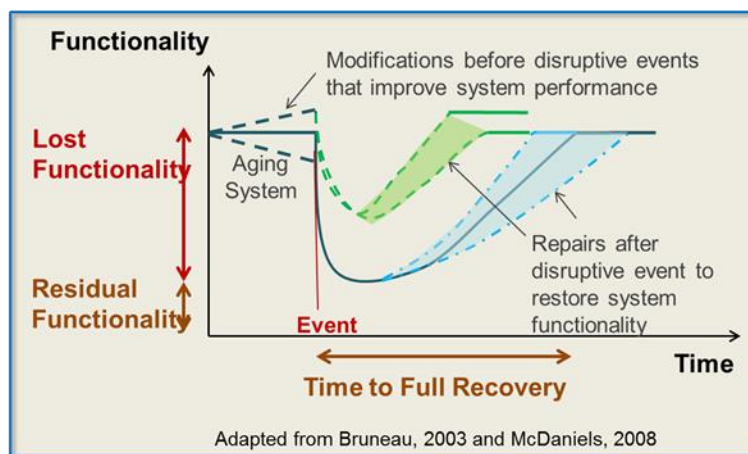
117 The second part of the definition, “withstand and recover quickly from disruptions,” must be examined
118 for the anticipated range of possible hazard events. In a resilient community, a hazard event at the design
119 level may cause local disruptions tolerated by the community without long-term detrimental effects (e.g.,
120 permanent relocation of residents or business). If an unanticipated or extreme event occurs, the resilience
121 planning and preparation will likely reduce the extent of disruption and recovery time. Additionally,
122 communities that have a well-developed resilience plan are prepared for the recovery process.

123 1.4. Community Resilience of the Built Environment

124 1.4.1. Resilience Concept

125 Figure 1-3 illustrates the concept of resilience for an element of the built environment in terms of
126 ‘functionality’ versus ‘recovery time.’ Functionality is a measure of how well a building or infrastructure
127 system is able to operate and perform at its intended purpose. Recovery time provides a measure of how
128 long a building or system function is unavailable or is operating at a reduced capacity. Recovery time also
129 provides an indirect measure of the pre-event condition of the system, the performance of the system
130 during the event, and the level of damage sustained.

131 Planning for resilience can minimize or even eliminate loss of functionality for a range of hazard event
132 intensities, depending on the available solutions, resources, and priorities. For hazard events, loss of
133 functionality occurs suddenly – on the order of minutes to days – due to physical damage to one or more
134 systems, whereas recovery of functionality may take anywhere from hours to years. Typically, a lesser
135 degree of lost functionality corresponds to a reduced time to full recovery. However, this simple example
136 does not account for dependencies on other systems.



137
138 **Figure 1-3: Resilience can be expressed simply, in terms of system functionality and the time to recover**
139 **functionality following a disruptive hazard event.**

1.5. Why Is Community Resilience Needed?

Hazard events can disrupt community functions so extensively that they result in permanent changes. Hurricane Katrina, in 2005, and Superstorm Sandy, in 2012, both caused extensive damage across many communities that are still recovering. However, even for lesser storm events, communities across our country experience significant damage each year. There were between 45 and 81 Presidential disaster declarations each year, from January 2000 to January 2011, for floods, hurricanes, tornadoes, earthquakes, fire events, and severe storms (FEMA 2011). Many of the disaster declarations were for hazard events with loads less than current design levels. Communities need to be proactive in staying resilient and minimizing and mitigating disruptions.

Communities currently reduce threats and vulnerabilities through activities that include adoption and enforcement of codes, standards, and regulations, as well as preparedness, mitigation, codes and standards-based design, and emergency management. These activities are necessary and prudent, but they are not enough to make a community resilient. Community resilience also requires that the built environment maintains acceptable levels of functionality during and after events. More specifically, communities should develop plans that recover the built environment to full functionality within a specified period. The recovery times are based on the role and importance of each facility or system within the community and the extent of disruption that can be tolerated while remaining functional.

However, across the nation, communities continue to experience significant damage and losses, despite robust adoption and enforcement of best practices, regulations, and codes and standards. This is partly because each one is developed independently for buildings and each infrastructure system and they do not address interdependencies between systems, nor community-level performance goals. As a result, integrated performance and dependencies between buildings and infrastructure systems cannot currently be addressed solely through the universal adoption of codes and regulations.

Additionally, communities are primarily composed of existing construction. Buildings and infrastructure systems are built to different standards based on the understanding of the hazards at the time. Many of the nation's infrastructure systems are reaching the end of their useful service life or operating in a degraded state. The American Society of Civil Engineers (ASCE) is committed to protecting the health, safety, and welfare of the public. As such, ASCE is equally committed to improving the nation's infrastructure systems. To document the national needs, a Report Card is issued to evaluate the condition and performance in 16 categories for infrastructure systems, assigning letter grades that are based on physical condition and needed investments for improvement. In 2013 (ASCE 2013), the overall Grade was a D+ with estimated investment of \$3.6 trillion needed by 2020. Further, not all of these systems are operated and maintained as intended, some operate beyond design lifetimes, and the replacement rate for the built infrastructure is slow. While this deteriorated state is a cause for significant concern, it is also an opportunity to develop and implement a new paradigm – community resilience – when planning for and envisioning the future of each community.

1.5.1. Developing a Plan for Community Resilience

Resilience Activities. For a community to have a resilient built environment, additional activities are needed beyond code adoption and enforcement. Figure 1-4 depicts how community resilience can be addressed at the community level. Disruptive events, including all anticipated hazards and effects of changing conditions are countered by a community resilience plan that includes performance goals for the built environment, and supporting strategies that include mitigation, response, and recovery activities. Other aspects of a resilient community – security, protection, emergency response, business continuity, and other issues related to human health, safety, and general welfare – may also inform the performance goals for the built environment. Plans to improve community resilience may also include land use policy, temporary measures, and other non-structural approaches.

186 **Mitigation through Land Use Planning.** Land use planning is an important part of community planning
187 and mitigation measures. Building and infrastructure design and construction are just one part of a
188 comprehensive community development process that involves both new and renewed development. For
189 communities that are built out, or are concerned about areas already constructed, there are two resilience
190 options: (a) implement land use planning and redevelopment strategies to reduce the potential damage and
191 disruption before a hazard event if there is political will and resources to do so and (b) develop plans for
192 alternate land use/redevelopment strategies as part of the recovery process (return of functions and
193 repairs/rebuilding). These options are part of hazards-based community development processes,
194 particularly in geologic and flood-prone hazard areas.



195
196 **Figure 1-4. Community resilience can be achieved over time by developing performance goals and**
197 **implementing methods to mitigate, resist, or recover from damage imposed by hazards, degradation,**
198 **and climate change effects.**

199 **Hazards.** Many older systems are difficult to improve through mitigation or design improvements.
200 Therefore, it is helpful for communities to understand how their built environment (buildings and
201 supporting infrastructure systems) will respond to a range of hazard levels or intensities. A hazard that
202 occurs several times during the life of the system, such as every 10 to 20 years, is not expected to cause
203 significant damage, and is referred to as a *Routine Hazard* event in this framework. *Expected Hazard*
204 events, or design-level hazard events, may occur over the service life of a system. At a minimum,
205 buildings are anticipated to remain stable during a hazard event, so that occupants can evacuate safely.
206 However, the building may need to be repaired or replaced, depending on the hazard event and the extent
207 and type of damage. Occasionally, *Extreme Hazard* events occur with a greater level or intensity than the
208 Design Hazard. A system's capacity may be exceeded and cause widespread, cascading damage to other
209 systems. These varying levels of hazard should all be considered with appropriate levels of emergency
210 response and recovery plans.

211 **Performance Goals.** Inclusion of desired performance goals versus anticipated performance of the built
212 environment to hazard events, and expected recovery sequences, time, and costs provides a complete
213 basis for communities to allocate resources and prioritize improvements. Ideally, community resilience
214 planning should integrate with long-term plans for economic development to achieve improved social and
215 economic well-being in the long term. San Francisco and the state of Oregon are developing and
216 implementing this approach for resilience planning (SPUR 2009, Yu, Wilson, and Wang 2014).

217 **Implementation.** Community resilience is achieved over time through implementation of prioritized
218 improvements occurring as funds and opportunities are available. Resilience planning at the individual
219 system level, without a comprehensive understanding of the social and economic drivers present and the
220 role of building or infrastructure systems in the community, may be incomplete and less effective.

DISASTER RESILIENCE FRAMEWORK

75% Draft for San Diego, CA Workshop

11 February 2015

Framework Introduction, Why Is Community Resilience Needed?

221 With a resilience plan, answers and alternative options for the restoration of the built environment will be
222 available and understood by the community. There may be multiple solutions or multiple stages to meet a
223 requirement, including temporary or short-term solutions to meet immediate needs as well as long-term,
224 permanent solutions that restore buildings or infrastructure systems.

225 **Core Activities.** Table 1-1 lists core activities for developing a community resilience plan. The social
226 dimensions of the community identify what functions are important to a community, and when they need
227 to be available during or after an event.

228 **Table 1-1: Core Activities for Community Resilience**

Establish Core Resilience Team	<ul style="list-style-type: none">• Identify Chief Resilience Officer or other resilience leader• Establish Resilience Office within community government• Engage key stakeholders
Characterize Social Dimensions of the Community	<ul style="list-style-type: none">• Identify and assess actual and desired functions of social institutions, including business, industry, and financial systems, based on individual/social needs met by these institutions and social vulnerabilities.• Identify key stakeholders and representatives for decision making.
Characterize Built Environment and Hazards of the Community	<ul style="list-style-type: none">• Identify and assess building and infrastructure systems, including condition, location, and vulnerabilities, and the ways in which the built environment support social functions.• Identify hazard types and range of levels or intensities and changing conditions that the community anticipates.• Identify key stakeholders and representatives for decision making.
Develop Plan for Community Resilience	<ul style="list-style-type: none">• Establish desired and expected performance goals for the built environment during and after a hazard event that meet needed social functions after a hazard event with input from all key stakeholders• Identify and prioritize gaps in the desired performance of the built environment that need to be addressed to improve community resilience
Implement Strategies for Existing Built Environment	<ul style="list-style-type: none">• Identify methods that may include mitigation, retrofit, or relocation options• Prioritize strategies based on gaps in the desired performance goals
Implement Strategies for New Built Environment	<ul style="list-style-type: none">• Adopt provisions to improve the integrated performance of the built environment, such as land use, zoning, codes and standards, and local ordinances for buildings and infrastructure systems

229 Chapter 2 discusses considerations for the needs of individuals and how a community meets these needs
230 through social institutions, including government, business, industry, health care, and education
231 institutions. Buildings and infrastructure systems that support the identified social functions are grouped,
232 or clustered, as a subsystem. Additionally, anticipated hazards and the effects of changing conditions are
233 identified. The desired and expected performance (i.e., recovery of function) of the clustered subsystems
234 after a hazard event is evaluated. Significant gaps between these two performance levels are prioritized
235 into strategies for improvement. Last, strategies are developed to address prioritized needs in the built
236 environment. Chapter 3 offers guidance related to this process at the community level, and the basis for
237 three hazard levels and intensities for each hazard. Chapters 5 to 9 provide a more detailed overview of
238 buildings and infrastructure systems' performance in hazard events of all sizes, how they may affect
239 community resilience, primary codes, standards, and regulations, and strategies for setting performance
240 goals and determining prioritization and improvement of mitigation efforts.

241 **Resilience Guidance, Metrics and Tools.** Chapter 10 summarizes available guidance, metrics, and tools
242 for assessing community resilience. The chapter presents three types of community resilience metrics:
243 recovery times for restoring function in building and infrastructure systems; economic metrics that

244 represent business, tax base, income, local services and amenities; and sustained growth, and social
245 metrics that represent survival, safety and security, sense of belonging, and growth and achievement. The
246 chapter further reviews examples of existing community resilience assessment tools and identifies the
247 primary metrics used in each method.

248 **1.6. Other Federal Activities Supporting Resilience**

249 **1.6.1. The National Preparedness Frameworks**

250 For the last several years, the Federal Government worked to improve the resilience of the nation to
251 disruptive events such as natural and human-caused hazards. This effort resulted in a number of guidance
252 documents and tools for use to assess threats, hazards, and vulnerabilities in buildings and infrastructure
253 systems and to develop approaches to reduce or eliminate those vulnerabilities. In particular, the Federal
254 Emergency Management Agency (FEMA) was tasked through Presidential Policy Directive 8 on National
255 Preparedness to produce a series of frameworks to address the spectrum of prevention, protection,
256 mitigation, response, and recovery. This section provides a brief overview of the Presidential Policy
257 Directive 8 frameworks and the relationship of the NIST Disaster Resilience framework to those
258 documents.

259 On March 30, 2011, the President issued Presidential Policy Directive 8 (PPD-8), on National
260 Preparedness.³ PPD-8 directed the Secretary of Homeland Security to develop a National Preparedness
261 Goal, establish a National Preparedness System, build and sustain preparedness, and submit a National
262 Preparedness report annually.

263 The National Preparedness Goal, developed in response to PPD-8 is:

264 *“A secure and resilient nation with the capabilities required across the whole community to*
265 *prevent, protect against, mitigate, respond to, and recover from the threats and hazards that pose*
266 *the greatest risk.”⁴*

267 The National Preparedness Goal further established 31 core capabilities necessary to achieve the goal.⁵
268 These core capabilities are organized into five mission areas: Prevention, Protection, Mitigation,
269 Response, and Recovery. Each mission area has a framework document that describes the roles and
270 responsibilities of the whole community.

- 271 • Individuals, families, and households
- 272 • Communities
- 273 • Non-governmental organizations (NGOs)
- 274 • Private sector entities
- 275 • Local governments
- 276 • State, tribal, territorial, and insular area governments
- 277 • Federal Government

278 With the exception of the National Prevention Framework, which specifically addresses, “the capabilities
279 necessary to avoid, prevent, or stop a threatened or actual act of terrorism,”⁶ the remaining framework
280 documents address protection, mitigation, and response to all hazards – natural and human-caused. The
281 National Response Framework, while structured somewhat differently to address the roles that state, tribal
282 and, especially, the federal government play in supporting recovery following a major event. The

³ Presidential Policy Directive, PPD-8 – National Preparedness, <http://www.dhs.gov/presidential-policy-directive-8-national-preparedness>.

⁴ National Preparedness Goal, <https://www.fema.gov/national-preparedness-goal>.

⁵ National Preparedness Goal, Core Capabilities, <https://www.fema.gov/core-capabilities>.

⁶ National Prevention Framework, http://www.fema.gov/media-library-data/20130726-1913-25045-6071/final_national_prevention_framework_20130501.pdf, page 1.

283 documents also emphasize the role of community and local government in recovery and especially in pre-
284 event planning for the recovery.

285 The PPD-8 framework documents distinguish between community and local government. The PPD-8
286 documents consider communities as “unified groups that share goals, values, or purposes, and may
287 operate independently of geographic boundaries or jurisdictions.”⁷ When NIST refers to “community” in
288 the Disaster Resilience Framework, it refers to an entity defined by a clear geographical boundary and a
289 governance structure capable of making or influencing decisions that affect resilience. The NIST Disaster
290 Resilience Framework recognizes the importance of these organizations to community resilience, but
291 relies on the local government to coordinate closely with these organizations when establishing plans and
292 priorities for the built environment, so that these organizations are able to carry out their roles in support
293 of response and recovery when disruptive events occur.

294 The NIST Disaster Resilience Framework complements the PPD-8 framework documents by providing a
295 methodology and specific guidance for developing a prioritization plan, at the local level, to reestablish
296 the function of buildings and infrastructure following a disruptive event, so as to meet the societal goals
297 of the community. The Disaster Resilience Framework allows communities to consider interdependencies
298 among buildings, infrastructure and the social and economic systems present in the community. The
299 Disaster Resilience Framework also considers potential downstream cascading effects that occur from
300 disruptions in these systems. The Disaster Resilience Framework provides a critical to identify and
301 address opportunities to enhance resilience.

302 **1.6.2. Disaster Mitigation Assessment**

303 Nearly 24,000 communities, representing 80% of the people in the United States, have developed
304 mitigation plans in accordance with FEMA Disaster Mitigation Assessment guidance⁸, based on the
305 Disaster Mitigation Act of 2000⁹. As mitigation is a component of resilience, these communities are
306 taking substantive steps toward planning for resilience. A planning process that includes a detailed
307 consideration of the built environment as outlined in the Disaster Resilience Framework and incorporates
308 ongoing mitigation planning provides a comprehensive understanding of community resilience.

309 With the existing community mitigation planning structures, expanding the scope to resilience is the next
310 logical step. Those already involved in mitigation activities have similar types of roles and responsibilities
311 needed for resilience. The mitigation planning process emphasizes public participation in vetting
312 mitigation strategies with targets, actions and priorities. Community resilience plans can be built around
313 existing mitigation plans using the framework techniques related to the built environment.

314 **1.7. Disaster Resilience Framework and Supporting Activities**

315 **1.7.1. Disaster Resilience Framework**

316 The framework addresses resilience at the community scale, and provides an adaptable process for
317 communities of varying size and complexity. Communities have a governance structure that can lead
318 development, manage resources, and enforce codes, standards, regulations and other policies. In
319 implementing mitigation and recovery planning, community resilience planning aims to engage the whole
320 community to transform their interdependencies into opportunities for progressive investments in their
321 future that have tangible, everyday benefits with big payoffs.

322 Resilience of the built environment can be assessed at local, regional, or national scales, depending on the
323 infrastructure systems under consideration and the entity conducting the assessment. For instance, many
324 electric power systems provide service to a region with a number of communities. A resilience assessment

⁷ National Protection Framework, http://www.fema.gov/media-library-data/1406717583765-996837bf788e20e977eb5079f4174240/FINAL_National_Protection_Framework_20140729.pdf, page 6.

⁸ <https://www.fema.gov/multi-hazard-mitigation-plan-status>

⁹ <https://www.fema.gov/media-library/assets/documents/4596>

325 by the power company of its system would likely be at a regional scale. However, a community receiving
326 service from the power company would assess the resilience of its infrastructure systems within the
327 community boundaries, based on individually established needs and performance goals. Part of the
328 community resilience plan should include coordination with and input from the power company to inform
329 the community performance goals. While a community will not own all the infrastructure systems
330 operating within its boundaries, their plans should include input from building and infrastructure system
331 owners.

332 The framework provides guidance on how to identify a community's social functions and establish
333 supporting performance goals for recovery of function for the built environment. Achieving a resilient
334 built environment requires the participation of many parties, from decision makers to system operators
335 and users of the systems. Thus, this framework is intended for several audiences: community-level
336 decision makers, owners and operators of buildings and infrastructure systems, and planners and
337 designers of the built environment.

338 The executive summary provides an overview of why community resilience should be incorporated into
339 community development plans, community resilience activities, and how other ongoing plans, such as
340 mitigation plans, can be incorporated into community resilience plans. Chapters 2 to 4 provide
341 community level guidance for resilience planning and describe the process for setting performance goals,
342 identifying hazards and vulnerabilities, and planning for recovery after a hazard event. These chapters
343 should inform those tasked with developing community level plans and coordinating with owners and
344 operators of infrastructure systems and organizations. Chapters 5 to 9 offer specific resilience guidance
345 for buildings and infrastructure systems and Chapter 10 provides guidance on available resilience tools
346 and metrics.

347 Chapter 2 supplies guidance on the types of social functions and vulnerabilities that a community may
348 need to address following a disaster event, including education, health care, economic and government
349 functions, and on how social needs can help define the performance goals for the built environment.

350 Chapter 3 presents guidance on developing integrated performance goals for recovery of the community,
351 independent of hazards. In other words, the community needs to envision how it wants to function during,
352 and recover after, an event. It is strongly recommended that communities define performance goals for
353 several levels of a hazard: routine hazards, expected hazards, and extreme hazards. When the performance
354 goals are evaluated for each hazard level, different vulnerabilities may be identified.

355 Chapter 4 addresses known interdependencies between infrastructure systems, and identifies the types of
356 cascading events that may occur given the failure of an individual infrastructure system. Knowledge of
357 possible dependencies will improve recovery planning.

358 Chapters 5 to 9 describe the process in more detail for buildings, building clusters and infrastructure
359 systems (i.e., transportation, power, communication, and water and wastewater systems), with a focus on
360 owners and operators. The guidance includes considerations for determining desired and expected
361 performance goals for recovery of function, based on the guidance provided in Chapter 3. These chapters
362 also describe the types of systems that should be considered and the regulatory environment under which
363 they are designed. Primary codes, standards, tools, and best practices are also identified.

364 Chapter 10 provides an annotated listing of available metrics and tools to support resilience planning and
365 implementation.

366 Due to the significant breadth of stakeholders and knowledge required to develop this report, NIST
367 consulted experts in each of the infrastructure domains, held a series of workshops to engage a number of
368 stakeholders across the country, and solicited public comments during the framework development.

369 **1.7.2. Disaster Resilience Standards Panel**

370 A Disaster Resilience Standards Panel (DRSP), representing the broad spectrum of the stakeholder
371 community, will support the further framework development and refinement. The DRSP will operate as
372 an independent organization for the broad range of stakeholders to address community resilience issues.
373 Stakeholder interests include community planning, disaster recovery, emergency management, business
374 continuity, insurance/re-insurance, state and local government, design, construction, and maintenance of
375 buildings and infrastructure systems (water and wastewater, energy, communications, transportation), and
376 standards and code development. The DRSP will also develop Model Resilience Guidelines for
377 communities to enhance their disaster resilience.

378 **1.7.3. Model Resilience Guidelines**

379 The Model Resilience Guidelines will promote best practices and help communities develop their own
380 disaster resilience plan. Expected topics include:

- 381 • Disaster-Resilient Performance Goals for Buildings and Infrastructure Systems
- 382 • Evaluating Community Disaster Resilience
- 383 • Procedures for Achieving Resilience Performance Goals
- 384 • Prioritizing Risk Reduction Activities at the Community Level

385 **1.8. References**

386 FEMA (2011) Presidential Disaster Declarations, January 10, 2000 to January 1, 2011,
387 <https://www.hsd1.org/?view&did=12383>

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