# Face Recognition Vendor Test (FRVT) Part 2: Identification 

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National Institute of Standards and Technology
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## DISCLAIMER

Specific hardware and software products identified in this report were used in order to perform the evaluations described in this document. In no case does identification of any commercial product, trade name, or vendor, imply recommendation or endorsement by the National Institute of Standards and Technology, nor does it imply that the products and equipment identified are necessarily the best available for the purpose.

## Executive Summary

This report updates and extends NIST Interagency Report 8238, documenting the evaluation of automated face recognition algorithms submitted to NIST in November 2018. The algorithms, which implement one-to-many identification of faces appearing in two-dimensional images, are prototypes from the research and development laboratories of mostly commercial suppliers, and are submitted to NIST as compiled black-box libraries implementing a NIST-specified C++ test interface. The report therefore does not describe how algorithms operate.

The evaluation used four datasets - frontal mugshots, profile views, webcam photos and wild images - and the report lists accuracy results alongside developer names. It will therefore be useful for comparison of face recognition algorithms and assessment of absolute capability. The primary dataset is comprised of 26.6 million reasonably wellcontrolled live portrait photos of 12.3 million individuals. The three smaller datasets contain more unconstrained photos: 3.2 million webcam images; 200 thousand side-view images; and 2.5 million photojournalism and amateur photographer photos. These datasets are sequestered at NIST, meaning that developers do not have access to them for training or testing. The last dataset, however, consists of images drawn from the internet for testing purposes so while it is not truly sequestered, its composition is unknown to the developers.

The evaluation was run in three phases, starting Feburary, June, and November 2018 respectively, with developers receiving technical feedback between phases. Results for 127 algorithms from 41 developers were published in November 2018 as NIST Interagency Report 8238. This update adds results for an additional 76 algorithms from 42 developers submitted in October 2018. At that time seven developers ceased participation, and nine developers started. The developer totals constitute a substantial majority of the face recognition industry.

The major result given in NIST IR 8238 was that massive gains in accuracy have been achieved in the last five years (2013-2018) and these far exceed improvements made in the prior period (2010-2013). While the industry gains were broad - at least 30 developers' algorithms outperformed the most accurate algorithm from late 2013 - there remains a wide range of capability. While this report shows accuracy gains only over the course of 2018, the most accurate algorithm reported here is substantially more accurate than anything reported in NIST IR 8238. This is evidence that face recognition development continues apace, and that FRVT reports are but a snapshot of contemporary capability.

From discussion with developers, the accuracy gains stem from the adoption of deep convolutional neural networks. As such, face recognition has undergone an industrial revolution, with algorithms increasingly tolerant of poorly illuminated and other low quality images, and poorly posed subjects. One related result is that a few algorithms correctly match side-view photographs to galleries of frontal photos, with search accuracy approaching that of the best c. 2010 algorithms executing frontal-frontal search. The capability to recognize under a 90 -degree change in viewpoint - pose invariance - has been a long-sought milestone in face recognition research.

With good quality portrait photos, the most accurate algorithms will find matching entries, when present, in galleries containing 12 million individuals, with rank one miss rates of approaching $0.1 \%$. The remaining errors are in large part attributable to long-run ageing, facial injury and poor image quality. In at least $5 \%$ of images identification often succeeds (i.e. the mate is returned at rank 1) but recognition similarity scores are weak such that true and false matches become indistinguishable, and human adjudication becomes necessary.

From Fall 2019 this report will be updated continuously as new algorithms are submitted to FRVT, and run on new datasets. Participation in the one-to-many identification track requires a devloper to first demonstrate high accuracy in the one-to-one verification track of FRVT.

| 2019/09/11 | $\operatorname{FNIR}(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | T = Threshold | $\mathrm{T}=0 \rightarrow$ Investigation |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 16:09:13 | $\operatorname{FPIR}(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined |  | $\mathrm{T}>0 \rightarrow$ Identification |

## Scope and Context

Audience: This report is intended for developers, integrators, end users, policy makers and others who have some familiarity with biometrics applications. The methods and metrics documented here will be of interest to organizations engaged in tests of face recognition algorithms. Some of these have been incorporated in the ISO/IEC 19795 Part 1 Biometric Testing and Reporting Framework standard, now under revision.

Prior benchmarks: Automated face recognition accuracy has improved massively in the two decades since initial commercialization of the various technologies. NIST has tracked that improvement through its conduct of regular independent, free, open, and public evaluations. These have fostered improvements in the state of the art. This report serves as an update to the NIST Interagency Report 8238 on performance of face identification algorithms, published in November 2018.

Scope: As with NIST IR 8238, this report documents recognition results for four databases containing in excess of 30.2 million still photographs of 14.4 million individuals. This constitutes the largest public and independent evaluation of face recognition ever conducted. It includes results for accuracy, speed, investigative vs. identification applications, scalability to large populations, use of multiple images per person, images of cooperative and non-cooperative subjects. The report also includes results for ageing, recognition of twins, and recognition of profile-view images against frontal galleries. It otherwise does not address causes of recognition failure, neither image-specific problems nor subjectspecific factors including demographics. Separate reports on demographic dependencies in face recognition will be published in the future. Additionally out of scope are: performance of live human-in-the-loop transactional systems like automated border control gates; human recognition accuracy as used in forensic applications; and recognition of persons in video sequences (which NIST evaluated separately [9]). Some of those applications share core matching technologies that are tested in this report.

Images: Three kinds of images are employed. The primary dataset is a set of law enforcement mugshot images (Fig. 3) which are enrolled and then searched with three kinds of images: 1) other mugshots (i.e. within-domain); 2) profileview photographs (90 degree cross-view); 3) lower quality webcam images (Fig. 4) collected in similar detention operations (cross-domain); Additionally wild images (Fig. 6) are searched against other wild images.

Participation and industry coverage: The report includes performance figures for 203 prototype algorithms from the research laboratories of 51 commercial developers and one university. This represents a substantial majority of the face recognition industry, but only a tiny minority of the academic community. Participation was open worldwide. While there is no charge for participation, developers incur some software engineering expense in implementing their algorithms behind the NIST application programming interface (API). The test is a black-box test where the function of the algorithm, and the intellectual property associated with it, is hidden inside pre-compiled libraries.

Recent technology development: Most face recognition research with deep convolutional neural networks (CNNs) has been aimed at achieving invariance to pose, illumination and expression variations that characterize photojournalism and social media images. The initial research [18,24] employed large numbers of images of relatively few $\left(\sim 10^{4}\right)$ individuals to learn invariance. Inevitably much larger populations ( $\sim 10^{7}$ ) were employed for training [11,20] but the benchmark, Labeled Faces in the Wild with (essentially) an equal error rate metric [12], represents an easy task, one-to-one verification at very high false match rates. While a larger scale identification benchmark duly followed, Megaface [15], its primary metric, rank one hit rate, contrasts with the high threshold discrimination task required in most large-population applications of face recognition, namely credential de-duplication, and background checks. There, identification in galleries containing up to $10^{8}$ individuals must be performed using a) very few images per individual and b) stringent thresholds to afford very low false positive identification rates. FRVT 2018 was launched to measure the capability of the new technologies, including in these two cases. FRVT has included open-set identification tests since 2002, reporting both false negative and positive identification rates [7].

| 2019/09/11 | FNIR $(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | $\mathrm{T}=$ Threshold |
| :--- | ---: | :--- | :--- | :--- |
| 16:09:13 | FPIR $(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined |  |
| $\mathrm{T}=0 \rightarrow$ Investigation |  |  |  |  |
|  |  |  | $\mathrm{T}>0 \rightarrow$ Identification |  |

Performance metrics for applications: This report documents the performance of one-to-many face recognition algorithms. The word "performance" here refers to recognition accuracy and computational resource usage, as measured by executing those algorithms on massive sequestered datasets.

This report includes extensive tabulation of recognition error rates germane to the main use-cases for face search technology. The Figure below, inspired by the Figure 1 in [25] differentiates different applications of the technolgy. The last row directs readers to the main tables relevant to those applications, respectively threshold-based and rank-based metrics that are special cases of the metrics given in section 3. The terms negative identification and positive identification are taken from the ISO/IEC 2382-37:2017 standardized biometrics vocabulary.


The algorithms are specifically configured for these applications by setting thresholds and candidate list lengths. Both

| 2019/09/11 | FNIR $(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ |  |  |
| :--- | ---: | :--- | :--- | :--- | :--- |
| 16:09:13 | FPIR $(\mathrm{N}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects |
| False pos. identification rate | $\mathrm{R}=$ Num. candidates examined |  |  |$\quad \mathrm{T}=$ Threshold $\quad$| $\mathrm{T}=0 \rightarrow$ Investigation |
| :--- |
| $\mathrm{T}>0 \rightarrow$ Identification |

rank-based metrics and threshold-based metrics include tradeoffs. In investigation, overall accuracy will be reduced if labor is only available to review a few candidates from the automated system. Note that when a fixed number of candidates are returned, the false positive identification rate of the automated face recognition engine will be $100 \%$, because a probe image of anyone not enrolled will still return candidates. In identification applications where false positives must be limited to satisfy reviewer labor availability or a security objective, higher false negative rates are implied. This report includes extensive quantification of this threshold-based tradeoff.

See Sec. 3
Template diversity: The FRVT is designed to evaluate black-box technologies with the consequence that the templates that hold features extracted from face images are entirely proprietary opaque binary data that embed considerable intellectual property of the developer. Despite migration to CNN-based technologies there is no consensus on the optimal feature vector dimension. This is evidenced by template sizes ranging from below 100 bytes to more than four kilobytes. This diversity of approaches, suggests there is no prospect of a standard template something that would require a common feature set to be extracted from faces. Interoperability in automated face recognition remains solidly based on images and documentary standards for those, in particular the ICAO portrait [29] specification deriving from the ISO/IEC 19794-5 Token frontal [26] standard, which are similar to certain ANSI/NIST Type 10 [28] formats.

Training: The algorithms submitted to NIST have been developed using image datasets that developers do not disclose. The development will often include application of machine learning techniques and will additionally involve iterative training and testing cycles. NIST itself does not perform any training and does not refine or alter the algorithm in any way. Thus the model, data files, and libraries that define an algorithm are fixed for the duration of the tests. This reflects typical operational reality where recognition software, once installed, is fixed and constant until upgraded. This situation persists because on-site training of algorithms on customer data is atypical essentially because training is not a turnkey process.

Automated search and human review: Virtually all applications using automated face search require human review of the outputs at some frequency: Always for investigational applications; rarely in positive identification applications, after rejection (false or otherwise); and rarely in negative identification applications, after an alarm (false or otherwise). The human role is usually to compare a reference image with the query image or the live-subject if present, to render either a definitive decision on "exclusion" (different subjects), or "identification" (same subject), or a declaration that one or both images have "no value" and that no decision can be made. Note that automated face recognition algorithms are not built to do exclusion - low scores from a face comparison arise from different faces and poor quality images of the same face.

Human reviewers make recognition errors $[5,19,27]$ and are sensitive to image acquisition and quality. Accurate human review is supported by high resolution - as specified in the Type 50, 51 acquisition profiles of the ANSI/NIST Type 10 record [28], and by multiple non-frontal views as specified in the same standard. These often afford views of the ear. Organizations involved in image collection should consider supporting human adjudication by collecting high-resolution frontal and non-frontal views, preparing low resolution versions for automated face recognition [26], and retaining both for any subsequent resolution of candidate matches. Along these lines, the ISO/IEC Joint Technical Committee 1 subcommittee 37 on biometrics has just initiated projects on image quality assessment and face-aware capture.

Next steps: NIST expects to publish a first report on demographic dependencies in face recognition in 2019. This will include the effects of age, sex and race.

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| :---: | :---: | :---: | :---: | :---: | :---: |
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## Technical Summary

$\triangleright$ Rank-based accuracy: The inset table shows false negative "miss rates" realized when searching a 12 million person gallery populated with FRVT 2018 mugshots. The two most accurate algorithms fail to return the correct mate somewhere within the top 50 ranks in fewer than $0.1 \%$ of searches (Table 1, rows 1,2). This is achieved for galleries populated with multiple images per person. In the case where only the most recent image is present the miss rate is modestly higher (rows 3,4). The mates are almost always at rank 1, so in cases where only very short candidate lists must be used, the rank-1 miss rate is barely higher $0.12 \%$ (row 5 ) which again modestly rises when persons are enrolled with a single image (row 7). All the miss rates are measured over a fixed set of 154549 searches, and the lowest false negative error rate recorded in this report $(0.038 \%$, row 10$)$ corresponds to just 58 misses. Given such low error rates, what misses remain? By inspection they arise in five categories, those due to: a) ageing i.e. longterm time lapse between images; $b$ ) images of injured individuals e.g. bruised or bandaged faces; c) the presence of a second face e.g. printed on a T-shirt; d) images of some object that is not a face; e) profile-view images, and f) actual clerical ID label errors. As discussed in section 3.8.2, the first three categories are legitimately part of a test designed to measure accuracy on portrait images col-

|  | Investigation | Num- | Enrolled | Num- | Algorithm | FNIR |  |
| :--- | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
|  | miss rate at | subjects | image | images |  | Raw | Corrected |
| 1 | Rank-50 | 12 M | Lifetime | 26.1 M | NEC-2 | $0.09 \%$ | $0.09 \%$ |
| 2 | Rank-50 | 12 M | Lifetime | 26.1 M | Microsoft-5 | $0.06 \%$ | $\mathbf{0 . 0 6 \%}$ |
| 3 | Rank-50 | 12 M | Recent | 12 M | NEC-2 | $0.25 \%$ | $\mathbf{0 . 0 8 \%}$ |
| 4 | Rank-50 | 12 M | Recent | 12 M | Microsoft-5 | $0.21 \%$ | $0.09 \%$ |
| 5 | Rank-1 | 12 M | Lifetime | 26.1 M | NEC-2 | $0.14 \%$ | $\mathbf{0 . 1 2 \%}$ |
| 6 | Rank-1 | 12 M | Lifetime | 26.1 M | Microsoft-5 | $0.25 \%$ | $0.24 \%$ |
| 7 | Rank-1 | 12 M | Recent | 12 M | NEC-2 | $0.31 \%$ | $\mathbf{0 . 1 3 \%}$ |
| 8 | Rank-1 | 12 M | Recent | 12 M | Microsoft-5 | $0.52 \%$ | $0.37 \%$ |
| 9 | Rank-50 | 640 K | Lifetime | 1.25 M | NEC-2 | $0.08 \%$ | $0.08 \%$ |
| 10 | Rank-50 | 640 K | Lifetime | 1.25 M | Microsoft-5 | $0.04 \%$ | $\mathbf{0 . 0 4 \%}$ |

Table 1: Rank-based accuracy floor 2018. lected in law-enforcement settings. The latter three categories, however, should not be included in a test that is attempting to measure accuracy on only frontal images. Thus, by removing all known images in those categories, the rightmost column shows error rates that would be attainable in an application where exclusively frontal portrait images were collected without identity labeling errors.

Error rates today are two orders of magnitude below what they were in 2010, a massive reduction that stems from wholesale replacement of the old algorithms with those based on (deep) convolutional neural networks (CNNs). This constitutes a revolution rather than the evolution that defined the period 2010-2013. The rapid innovations around CNN architectures and loss functions including, both proprietary and published in the academic literature ${ }^{1}$, may yet produce further gains. Even without that possibility, the results imply that prospective end-users should establish whether installed algorithms pre-date the development of the prototypes evaluated here and inquire with suppliers on availability of the latest versions. The gains mean that searches that had previously failed to yield candidates may now do so, such that unsolved cases could be revisited.

Given this impressive achievement - close to perfect recognition - an advocate might claim that frontal face recognition is a solved problem, a statement that should be refuted with the following context and caveats:
$\triangleright$ Algorithm accuracy spectrum: Many algorithms do not achieve the low error rates tabulated above, and while many of those may still be useful and valuable to end-users, only the most accurate excel on poor quality images and those collected long after the initial enrollment sample.
$\triangleright$ Versioning: While results for up to seven algorithms from each developer are reported here, the intra-provider accuracy variations are usually smaller than the inter-provider variations. That said different versions give order of magnitude fewer misses. Some developers demonstrate speed-accuracy tradeoffs ${ }^{2}$.

See Figs. 17, 18.

[^0]| 2019/09/11 | FNIR $(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | $\mathrm{T}=$ Threshold |
| :--- | ---: | :--- | :--- | :--- |
| 16:09:13 | FPIR $(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined |  |$\quad$| $\mathrm{T}=0 \rightarrow$ Investigation |
| :--- |
| $\mathrm{T}>0 \rightarrow$ Identification |

$\triangleright$ Quality: The low error rates here are attained using mostly excellent cooperative live-capture mugshot images collected with an attendant present. Recognition in other circumstances, particularly those without a dedicated photographic environment and human or automated quality control checks, will lead to declines in accuracy. This is documented here for poorer quality webcam images and unconstrained "wild" images.
$\triangleright$ Low similarity scores: In thousands of cases the correct gallery image is returned at rank 1 but its similarity score is nevertheless low, below some operationally required score threshold. This does not matter when face recognition is used for "lead generation" in investigational applications because human reviewers are specifically required to review potentially long candidate lists and the threshold is effectively 0 . In applications where search volumes are higher and labor is not available to review the results from searches, a higher threshold can be applied. This reduces the length of candidate lists and false positive identification rates at the expense of increased false negative miss rates. The tradeoff between the two error rates is reported extensively later.
$\triangleright$ Population size: As the number of enrolled subjects grows, some mates are displaced from rank one, decreasing accuracy. As tabulated later for N up to 12 million, false negative rates generally rise slowly with population size.

Database integrity: An operational error rate should be added to all false negative rates in this report reflecting the proportion of images in a real database that are un-matchable. Such anomalies arise from images that: do not contain a face; include multiple persons; cannot be decoded; are rotated by $90^{\circ}$ or $180^{\circ}$; depict a face on clothing; and others introduced by a long tail of various clerical errors. While the mugshot trials in this report have been constructed to minimize such effects, they are a real problem in actual operations.
$\triangleright$ Threshold-based accuracy: Recognition accuracy is very strongly dependent on the algorithm and, more generally, on the developer of the algorithm. False negative error rates in a particular scenario range from a few tenths of one percent to beyond fifty percent. This is tabulated exhaustively later: For example Table 22 shows accuracy across datasets. The inset figure here compares algorithms on mugshot searches in a consolidated gallery of 12 million subjects and 26.1 million photos. In positive or negative identification applications, a score threshold is set to limit the rate at which non-mate searches produce false positives. This has the consequence that some mated searches will report the mate below threshold, i.e. a miss, even if it is at rank 1 . The utility of this is that many non-mated searches will usually not return any candidate identities at all. As the


Figure 1: Miss rates across the false positive range
inset error-tradeoff characteristic
shows, investigational miss rates on the right side are very low but then rise steadily (in the center region) as threshold is increased to support "lights-out" applications, and ultimately rise quickly (left side) as discussed below. Thus, if we demand that just one in one thousand non-mate searches produce any false positives, the most accurate algorithm there (NEC-3) would fail on $7.9 \%$ of mated searches. Even though the graph shows results for the most accurate algorithms, all but two would fail to find the mate in more than $10 \%$ of mated searches. While the NEC algorithm produces a relatively flat error tradeoff until the threshold is raised to limit false positives to about 1 in 400 non-mated searches ${ }^{3}$

Thereafter, as the threshold is raised to further reduce false positives, miss rates rise rapidly. This means that low false positive identification rates are inaccesible with these algorithms, a result that does not apply for ten-finger identification algorithms. The rapid rise occurs because the lower mate scores are mixed with very high non-mate scores, the low scores from poor image quality and ageing, the high non-mates from the presence of lookalikes persons (doppelgangers), twins (discussed next) and, ultimately, the presence of a few unconsolidated subjects i.e. persons present under multiple IDs.
$\triangleright$ False positives from twins: By enrolling 640000 mugshots, adding photos of one twin, and then searching photos of those subjects and their twin the inset figure shows, for one typical algorithm, the similarity is generally greater when searching twins against themselves (A) than when searching twins against their sibling (B) but very often still above even stringent thresholds i.e. those corresponding to one in one thousand searches producing a false positive. Thus twins will very often produce a high-scoring non-match on a candidate list and a false alarm in an online identification system. The plot shows that some fraternal twins are correctly rejected at those thresholds - these are largely from different sex twins (at center). Figure 21 shows substantially similar behavior for all algorithms tested. In an investigative search, a twin would typically appear at rank 1 , or rank 2 if their sibling happened to also be the gallery. Twins (and triplets etc.) constituted 3.3\%


Figure 2: Intra- and inter-twin scores of all live births [17] in recent years ${ }^{4}$, and because that number is higher today than when the individuals in current adult databases were born, the false positives that arise from twins are now, and will increasingly be, an operational problem. Relative to the United States, twins are born with considerable regional variation. For example they are much less common in East Asia, and much more common in Sub-Saharan Africa [22]. The presence of twins in the mugshot database is inevitable given its size, around 12.3 million people. As this is not an insignificant sample of the domestic United States population, people with other familial ties will be present also. The data was collected over an extended period and because location information is not available, we are unable to estimate the proportion of the domestic population that is present in the dataset. However, if we assume twins are neither more or less disposed to arrest than the general population, we can estimate that hundreds of thousands of individuals in the dataset are twins. This will affect false positive rates because we randomly set aside 331254 individuals for nonmate searches, and some proportion of those will be twins with siblings in the gallery.

[^1]| 2019/09/11 | FNIR $(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects |
| :--- | ---: | :--- | :--- | :--- |
| 16:09:13 | $\operatorname{FPIR}(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined |$\quad$| $\mathrm{T}=$ Threshold |
| :---: | | $\mathrm{T}=0 \rightarrow$ Investigation |
| :--- |
| $\mathrm{T}>0 \rightarrow$ Identification |

$\triangleright$ False negatives from ageing: A large source of error in long-run applications where subjects are not re-enrolled on a schedule is ageing. This is a function of the time elapsed between photographs. Change in facial appearance causes recognition similarity scores to decline such that over the longer term, accuracy will decline. All faces age and while this usually proceeds in a graceful and progressive manner, drug use can accelerate this [30]. Elective surgery may be effective in delaying it although this has not been formally quantified with face recognition. As ageing is essentially unavoidable, it can only be mitigated by scheduled re-capture, as in passport re-issuance. To quantify ageing effects, we used the more accurate algorithms to enroll the earliest image of 3.1 million adults and then search with 10.3 million newer photos taken up to 18 years after

| Algorithm | Metric, FNIR@ | $(0,2]$ | $(2,4]$ | $(4,6]$ | $(6,8]$ | $(8,10]$ | $(10,12]$ | $(12,14]$ | $(14,18]$ |
| :--- | :--- | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| nec-2 | Rank $=1$ | 0.3 | 0.4 | 0.4 | 0.4 | 0.4 | 0.5 | 0.6 | 0.4 |
| microsoft-4 | Rank $=1$ | 0.3 | 0.5 | 0.6 | 0.7 | 0.9 | 1.0 | 1.3 | 1.6 |
| yitu-4 | Rank $=1$ | 0.6 | 0.8 | 0.8 | 0.8 | 0.9 | 1.1 | 1.5 | 2.1 |
| everai-3 | Rank $=1$ | 0.5 | 0.7 | 0.9 | 1.1 | 1.3 | 1.5 | 1.8 | 2.2 |
| idemia-4 | Rank $=1$ | 1.1 | 1.5 | 1.9 | 2.3 | 2.8 | 3.1 | 3.7 | 5.1 |
| cogent-3 | Rank $=1$ | 0.8 | 1.1 | 1.3 | 1.5 | 1.7 | 1.9 | 2.4 | 3.1 |
| cognitec-2 | Rank $=1$ | 1.0 | 1.4 | 1.7 | 2.0 | 2.4 | 2.6 | 3.1 | 3.9 |
| nec-2 | FPIR $=0.001$ | 0.7 | 0.9 | 1.1 | 1.3 | 1.5 | 1.7 | 2.1 | 2.7 |
| microsoft-4 | FPIR $=0.001$ | 2.7 | 4.7 | 7.2 | 10.1 | 12.9 | 16.1 | 20.5 | 25.9 |
| yitu-4 | FPIR $=0.001$ | 1.2 | 2.0 | 3.1 | 4.7 | 6.7 | 9.6 | 14.2 | 20.1 |
| everai-3 | FPIR $=0.001$ | 3.5 | 6.2 | 9.3 | 12.9 | 16.2 | 19.6 | 24.1 | 29.2 |
| idemia-4 | FPIR $=0.001$ | 3.7 | 5.9 | 8.3 | 11.0 | 13.4 | 15.8 | 19.1 | 24.8 |
| cogent-3 | FPIR $=0.001$ | 5.8 | 9.7 | 14.2 | 19.2 | 23.8 | 28.4 | 34.4 | 42.1 |
| cognitec-2 | FPIR $=0.001$ | 5.2 | 8.8 | 12.7 | 17.1 | 21.0 | 24.6 | 29.2 | 35.3 |

Table 2: Impact of ageing on accuracy. the the initial enrollment photo. In the inset table, accuracy is seen to degrade progressively with time, as mate scores decline and non-mates displace mates from rank 1 position. More accurate algorithms tend to be less sensitive to ageing. The more accurate algorithms give fewer errors after 18 years of ageing than middle tier algorithms give after four. Note also we do not quantify an ageing rate - more formal methods [2] borrowed from the longitudinal analysis literature have been published for doing so (given suitable repeated measures data).

See Figures 62, 72 and 77.
$\triangleright$ Image quality matters: Poor quality photographs undermine recognition, either because the imaging system is poor (lighting, camera, etc.) or because the subject mis-presents to the camera (head orientation, facial expression, occlusion, etc.). Imaging problems can be mitigated by design i.e. ensuring adherence to long-standing face image capture standards. Presentation problems, however, must be detected at capture time, either by the photographer, or by an automated system, and recapture performed. The most accurate algorithms in FRVT are highly tolerant of image quality problems. This derives from the invariances afforded by CNN-based algorithms, and this is the fundamental reason why accuracy has improved since 2013. For example, the Microsoft algorithms are can match many profileview images to frontal mugshots - see Figures 100 and 102. As the inset table shows, rank-1 false negative identification rates are much higher with wild images than webcams and, in turn, mugshots. Further, even with the most capable algorithms, comparison scores are lower with unconstrained images, so that when

| Algorithm | Metric, FNIR@ | Wild | Mugshot | Webcam |
| :--- | :--- | ---: | ---: | ---: |
| cognitec-3 | Rank $=1$ | 5.1 | 0.9 | 2.5 |
| everai-3 | Rank $=1$ | 3.8 | 0.5 | 1.9 |
| idemia-5 | Rank $=1$ | 4.4 | 1.1 | 3.9 |
| microsoft-5 | Rank $=1$ | 3.3 | 0.3 | 1.1 |
| nec-3 | Rank $=1$ | 8.8 | 0.3 | 1.0 |
| ntechlab-6 | Rank $=1$ | 3.8 | 0.6 | 1.7 |
| visionlabs-5 | Rank $=1$ | 4.3 | 0.4 | 1.9 |
| yitu-4 | Rank $=1$ | 4.4 | 0.4 | 0.8 |
| cognitec-3 | FPIR $=0.01$ | 32.5 | 2.8 | 10.0 |
| everai-3 | FPIR $=0.01$ | 35.7 | 1.8 | 6.0 |
| idemia-5 | FPIR $=0.01$ | 34.0 | 2.8 | 10.2 |
| microsoft-5 | FPIR $=0.01$ | 34.4 | 1.2 | 4.1 |
| nec-3 | FPIR $=0.01$ | 38.0 | 0.4 | 1.3 |
| ntechlab-6 | FPIR $=0.01$ | 38.1 | 2.1 | 5.9 |
| visionlabs-5 | FPIR $=0.01$ | 34.4 | 2.2 | 8.7 |
| yitu-4 | FPIR $=0.01$ | 30.6 | 0.7 | 1.7 |

Table 3: Impact of image quality on accuracy. (high) thresholds are necessary to limit false positives, here to 1 in 100 searches, error rates are very high. Such figures should guide prospective users of face recognition to consider whether face recognition can meet a formal written accuracy requirement.
$\triangleright$ Accuracy in large populations: This report documents identification accuracy in galleries containining up to 12 million people and 26.1 million images. False negative rates climb very slowly as population size increases. For the most accurate algorithm, NEC-2, when searching a database of size 640000 , about $0.26 \%$ of searches fail to produce the

| 2019/09/11 | FNIR(N, R, T) $=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | $\mathrm{T}=$ Threshold |
| :--- | ---: | :--- | :--- | :--- |
| 16:09:13 | FPIR $(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined | $\mathrm{T}=0 \rightarrow$ Investigation |
| $\mathrm{T}>0 \rightarrow$ Identification |  |  |  |  |

correct mate as its best hypothesized identity. In a database of 12000000 this rises to just $0.31 \%$. This benign growth in miss rates is fundamentally the reason for the utility of face recognition in large scale one-to-many search applications. See Table 12 and Figure 22.

The reason for this is that as more identities are enrolled into an database, the possibility of a false positive increases due to lookalike faces that yield extreme values from the right tail of the non-mate score distribution. However, these scores are lower than most mate scores such that when an identification algorithm is configured with a threshold of zero (so human adjudication is always necessary), rank-one identification miss rates scale very favorably with population size, N , growing slowly, approximately as a power law, $a N^{b}$ with $b \ll 1$. This dependency was first noted in 2010. Depending on the algorithm, the exponent $b$ for mugshot searches is low, around 0.06 for the some of the more accurate algorithms with up to 12 million identities.

See Table 12.
In any case, variations in accuracy with increasing population size are small relative to both ageing and algorithm choice. See Figure 20.
$\triangleright$ Utility of adjudicating long candidate lists: In the regime where a system is configured with a threshold of zero, and where human adjudication is always necessary, the reviewer will find some mates quite far down candidate lists. This usually occurs because either the probe image or its corresponding enrolled mate image have poor quality, or large time-lapse. The accuracy benefits of traversing say 50 candidates versus just the first one is broadly a reduction in error by up to a factor of two.

See Figure 30 and compare Tables 12 and 13.
However, accuracy from the leading algorithm is now so high - mates that in 2013 were placed at rank $>1$, are now at rank 1 - such that reviewers can expect to review substantially fewer candidates. Note, however, for the proportion of searches where there is no mate, reviewers might still examine all candidates, fruitlessly. This report does not address the issue of human error in adjudicating candidates produced in one-to-many searches.
$\triangleright$ Utility of enrolling multiple images per subject: We run three kinds of enrollment: First, by enrolling just the most recent image; second by creating a single template from a person's full lifetime history of images; and third by enrolling multiple images of a person separately, as though under different identities. The overall effect is that the enrollment of multiple images yields as much as a factor of two lower miss rates. This occurs due to higher information content and because the most recent image may sometimes be of poorer quality than historical images.

See Table 12.
Gains depend on the number of available images: FNIR drops steadily. Some algorithms reduce FPIR or maintain it the desirable behaviors - but others give higher false positive rates. See Figures leading up to

Figure 87.
$\triangleright$ Reduced template sizes: There has been a trend toward reduced template sizes, i.e. a smaller feature representation of an image. In 2014, the most accurate algorithm used a template of size 2.5 KB ; the figure in 2018 is around 1600 bytes. Close competitors produce templates of size $256,364,512$, and about 2 KB bytes. In 2014, the leading competitors had templates of size 4 KB to 8 KB . Some algorithms, when enrolling more than one image of a person, produce a template whose size is independent of the number of images given to the algorithm. This can be achieved by selecting a "best" image, or by integrating (fusing) information from the images.

See Table 16.
$\triangleright$ Template generation times: Template generation times, as measured on a single circa-2016 server processor core ${ }^{5}$, vary from below 20 milliseconds up to nearly 1 second. This wide variation across developers may be relevant to end-users who have high-volume workflows. There has not been a wide downward trend since 2014. Note that speed may be expedited over the figure reported here by exploiting new vector instructions on recent chips. Note that GPUs were not used and, while indispensable for training CNNs, are not necessary for feeding an image forward through a network.

See Table 16.
$\triangleright$ Search duration and scalability: Template search times, as measured on circa-2016 Intel server processor cores,

[^2]| 2019/09/11 | $\operatorname{FNIR}(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | $\mathrm{T}=$ Threshold | $\mathrm{T}=0 \rightarrow$ Investigation |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 16:09:13 | $\operatorname{FPIR}(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined |  | $\mathrm{T}>0 \rightarrow$ Identification |

vary massively across the industry. For a database of size 1 million subjects, and the more accurate implementations, durations range from below 1 to 500 milliseconds, with other less accurate algorithms going much slower still. Several algorithms exhibit sublinear search time i.e. the duration does not double with a doubling of the enrolled population size, N. This was noted also in 2014. This has improved in 2018, however, such that close-to-logarithmic growth is evident for several developers' algorithms and extremely fast search. The consequence of this is that as N increases even the fastest linear algorithm (NEC-3) will quickly become much slower than the strongly sublinear algorithms. For the Dermalog-5 algorithm, search of a template against a database of $\mathrm{N}=12$ million images takes 850 microseconds on a single core of a contemporary CPU. That number is faster than any other algorithm even with the smallest gallery we tested ( $\mathrm{N}=640000$ ).

See Table 6 and Figure 111.
$\triangleright$ Accuracy gains June - October 2018 NIST Interagency Report 8238 documented massive gains from 2013 to 2018. This report shows most developers achieved gains over the four month interval between June and October 2018. For a set of 12 million subjects enrolled with their most recent mugshot image, the inset table shows, for selected algorithms, the proportion of searches where mates are not returned against the given criteria (column 2). The result is that substantial reductions in false negatives - by a factor of two or more - were realized by algorithms submitted by Cogent, Cognitec, Dermalog, Hikvision, Innovatrics, NEC, Rank One, Shaman, Tiger-IT, and Vigilant Solutions. In particular, in this same time period one developer, NEC, which had produced broadly the most accurate algorithms in 2010 and 2013, submitted algorithms that are substantially more accurate than their June 2018 versions, and on many measures are now the most accurate. A number of other developers produced slightly less accurate implementations.

| Application | Metric | Algorithm |  | FNIR |
| :--- | ---: | ---: | ---: | ---: |
| Mode: Mugshot | Miss rate | Date | Name |  |
| Investigation | at Rank=1 | 2018-JUN | NEC-0 | $3.20 \%$ |
| Investigation | at Rank=1 | 2018-OCT | NEC-2 | $0.31 \%$ |
| Investigation | at Rank=1 | 2018-JUN | Microsoft-4 | $0.45 \%$ |
| Investigation | at Rank=1 | 2018-OCT | Microsoft-5 | $0.52 \%$ |
| Investigation | at Rank=1 | 2018-JUN | Yitu-2 | $0.55 \%$ |
| Investigation | at Rank=1 | 2018-OCT | Yitu-5 | $0.55 \%$ |
| Identification | at FPIR=0.001 | 2018-JUN | NEC-0 | $20.0 \%$ |
| Identification | at FPIR=0.001 | 2018-OCT | NEC-3 | $5.8 \%$ |
| Identification | at FPIR=0.001 | $2018-J U N ~$ | Microsoft-4 | $15.8 \%$ |
| Identification | at FPIR=0.001 | $2018-O C T$ | Microsoft-6 | $15.6 \%$ |
| Identification | at FPIR=0.001 | 2018-JUN | Yitu-2 | $12.4 \%$ |
| Identification | at FPIR=0.001 | $2018-O C T$ | Yitu-5 | $11.1 \%$ |

Table 4: Accuracy gains since June - October 2018
See Tables 16 and 19, and Figure 19.
$\triangleright$ Non-technical considerations: Recognition accuracy is likely the most important technical indicator for an algorithm. But even among the more accurate developers accuracy, template size, and resource consumption vary widely. This, incidentally, implies that technological diversity remains, that there is no consensus on approach and that algorithms are not commoditized. But beyond the performance statements in this report, face recognition outcomes in complete systems will be influenced by things like code and model size, software maturity, extensibility, reliability, ease of integration and maintenance, cost, availability of monitoring tools, and support for human review of true and false matches using, for example, capable graphical user interfaces.
$\triangleright$ Conclusions: As with other biometrics, accuracy of facial recognition implementations varies greatly across the industry. Absent other performance or economic parameters, users should prefer the most accurate algorithm. Note that accuracy, and algorithm rankings, vary somewhat with the kinds of images used and the mode of operation: investigation with zero threshold; or identification with high threshold.
$\triangleright$ Supplementary Data: This document is accompanied by a supplement that includes a three page report for each of the algorithms evaluated. Each report includes various performance plots pertinent to the particular algorithm under test. The supplement, which currently runs to more than 600 pages, is available from the same webpage as this report.

| 2019/09/11 | FNIR $(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | $\mathrm{T}=$ Threshold |
| :--- | ---: | :--- | :--- | :--- |
| 16:09:13 | FPIR $(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined |  |
| $\mathrm{T}=0 \rightarrow$ Investigation |  |  |  |  |
| $\mathrm{T}>0 \rightarrow$ Identification |  |  |  |  |

## Release Notes

FRVT Activities: NIST restarted FRVT's one-to-many track in February 2018, inviting participants to send up to seven prototype algorithms. Since February 2017, NIST has been evaluating one-to-one verification algorithms on an ongoing basis. This allows developers to submit updated algorithms to NIST at any time but no more frequently than four calendar months. This more closely aligns development and evaluation schedules. Results are posted to the web within a few weeks of submission. Details and full report are linked from the Ongoing FRVT site.

FRVT Reports: The results of the FRVT appear in the series NIST Interagency Reports tabulated below. The reports were developed separately and released on different schedules. In prior years NIST has mostly reported FRVT results as a single report; this had the disadvantage that results from completed sub-studies were not published until all other studies were complete.

| Date | Link | Title | No. |
| :--- | :--- | :--- | :---: |
| $2014-03-20$ | PDF | FRVT Performance of Automated Age Estimation Algorithms | 7995 |
| $2015-04-20$ | PDF | Face Recognition Vendor Test (FRVT) Performance of Automated Gender Classification Algorithms | 8052 |
| $2014-05-21$ | PDF | FRVT Performance of face identification algorithms | 8009 |
| $2017-03-07$ | PDF | Face In Video Evaluation (FIVE) Face Recognition of Non-Cooperative Subjects | 8173 |
| $2017-11-23$ | PDF | The 2017 IARPA Face Recognition Prize Challenge (FRPC) | 8197 |
| $2018-04-13$ | WWW | Ongoing Face Recognition Vendor Test (FRVT) | Draft |

Details appear on pages linked from https://www.nist.gov/programs-projects/face-projects.
Appendices: This report is accompanied by appendices which present exhaustive results on a per-algorithm basis. These are machine-generated and are included because the authors believe that visualization of such data is broadly informative and vital to understanding the context of the report.

Typesetting: Virtually all of the tabulated content in this report was produced automatically. This involved the use of scripting tools to generate directly type-settable $\mathrm{EAT}_{\mathrm{E}} \mathrm{X}$ content. This improves timeliness, flexibility, maintainability, and reduces transcription errors.

Graphics: Many of the Figures in this report were produced using the ggplot2 package running under R, the capabilities of which extend beyond those evident in this document.

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## 1 Introduction

One-to-many identification represents the largest market for face recognition technology. Algorithms are used across the world in a diverse range of biometric applications: detection of duplicates in databases, detection of fraudulent applications for credentials such as passports and driving licenses, token-less access control, surveillance, social media tagging, lookalike discovery, criminal investigation, and forensic clustering.

This report contains a breadth of performance measurements relevant to many applications. Performance here refers to accuracy and resource consumption. In most applications, the core accuracy of a facial recognition algorithm is the most important performance variable. Resource consumption will be important also as it drives the amount of hardware, power, and cooling necessary to accommodate high volume workflows. Algorithms consume processing time, they require computer memory, and their static template data requires storage space. This report documents these variables.

### 1.1 Open-set searches

FRVT tested open-set identification algorithms. Real-world applications are almost always "open-set", meaning that some searches have an enrolled mate, but some do not. For example, some subjects have truly not been issued a visa or drivers license before; some law enforcement searches are from first-time arrestees ${ }^{6}$. In an "open-set" application, algorithms make no prior assumption about whether or not to return a high-scoring result, and for a mated search, the ideal behaviour is that the search produces the correct mate at high score and first rank. For a non-mate search, the ideal behavior is that the search produces zero high-scoring candidates.

Too many academic benchmarks execute only closed-set searches. The proportion of mates found in the rank one position is the default accuracy metric. This hit rate metric ignores the score with which a mate is found; weak hits count as much as strong hits. This ignores the real-world imperative that in many applications it is necessary to elevate a threshold to reduce the number of false positives.

## 2 Evaluation datasets

This report documents accuracy for four kinds of images - mugshots, webcam, profiles and wild - as described in the following sections.

### 2.1 Mugshot images

The main mugshot dataset used is referred to as the FRVT 2018 set. This set was collected over the period 2002 to 2017 in routine United States law enforcement operations. This set has been extracted from a larger operational parent set by excluding non-face images, and setting aside webcam and profile-view images, for use in separate tests.

NIST Interagency Report 8238 includes a comparison of this set of mugshots with the smaller and easier sets of mugshots used in tests run in 2010 and 2014.
${ }^{6}$ Operationally closed-set applications are rare because it is usually not the case that all searches have an enrolled mate. One counter-example, however, is a cruise ship in which all passengers are enrolled and all searches should produce exactly one identity. Another example is forensic identification of dental records from an aircraft crash.

| 2019/09/11 | $\operatorname{FNIR}(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | T = Threshold | $\mathrm{T}=0 \rightarrow$ Investigation |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 16:09:13 | $\operatorname{FPIR}(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined |  | $\mathrm{T}>0 \rightarrow$ Identification |

$\triangleright$
Mugshots: Mugshots comprise about $86 \%$ of the database. They have reasonable compliance with the ANSI/NIST ITL1-2011 Type 10 standard's subject acquisition profiles levels 10-20 for frontal images [28]. The most common departure from the standard's requirements is the presence of mild pose variations around frontal - the images of Figure 3 are typical. The images vary in size, with many being $480 \times 600$ pixels with JPEG compression applied to produce filesizes of between 18 and 36 KB with many images outside this range, implying that about 0.5 bits are being encoded per pixel.
$\triangleright$ Profile images: Profile-view images have been collected in law enforcement for more than 100 years, as human capability is improved with orthogonal information. The profile images used in this report were collected during the same session as the frontal mugshot photograph, in the same standardized photographic setup. These would not therefore be used with automated face recognition. A small subset, 200000 images, were set aside for testing.
$\triangleright$ Webcam images: The remaining $14 \%$ of the images were collected using an inexpensive webcam attached to a flexible operator-directed mount. These images are all of size $240 \times 240$ pixels, that are in considerable violation of most quality-related clauses of all face recognition standards. As evident in the figure, the most common defects are non-frontal pose (associated with the rotational degrees of freedom of the camera mount), low contrast (due to varying and intense background lights), and poor spatial resolution (due to inexpensive camera optics) - see examples in Fig 4. The images are overly JPEG compressed, to between 4 and 7 KB , implying that only 0.5 to 1 bits are being encoded per color pixel.

Example images are shown in Figures 3, 4 and 5 These are drawn from NIST Special Database 32 which may be downloaded here.

These images were partitioned in galleries and probesets for the various experiment listed in Table 5.


Figure 3: Six mated mugshot pairs representative of the FRVT-2014 (LEO) and FRVT-2018 datasets. The images are collected live, i.e. not scanned from paper. Image source: NIST Special Database 32


Figure 5: [Profile views] The three images are a frontal enrollment, subsequent frontal probe, and same-session ninety degree profile view. While collection of both frontal and profile views has been typical in law enforcement for more than a century, the recognition of profile to frontal views has essentially been impossible. However, reasonbly high accuracy results is now possible - see section $E$.


Figure 4: Twelve webcam images representative of probes against the FRVT-2018 mugshot gallery. The first eight images are four mated pairs. Such images present challenges to recognition including pose, non-uniform illumination, low contrast, compression, cropping, and low spatial sampling rate. Image source: NIST Special Database 32

### 2.2 Unconstrained "wild" images

In addition to portrait-styled mugshots, algorithms were also evaluated on a "wild" dataset composed of non-cooperative and unconstrained photojournalism and amateur photography imagery. The images are closely cropped from the parent images as shown in Figure 6. A portion of the images are collected by professional photographers and as such are captured, and selected, to not exhibit exposure and focus problems. Some of the photos were downloaded from websites with substantial amateur photographer imagery, which may contain images that do exhibit exposure and focus problems. Resolution varies widely as these images were downloaded from the internet with varying resampling and compression practices. The primary difficulties for face recognition is unconstrained yaw and pitch pose variation, with some images extending to profile view. Additionally faces can be occluded, including by hair and hands.

The images are cropped prior to passing them to the algorithm. The cropping is done per human-annotated rectangular bounding boxes. The algorithm must further localize the face and extract features. In many cases, there were multiple images of the subject provided to the algorithm, and the output was a single template representation of the subject.
$N_{P}=332574$ subjects were searched against two galleries, where the number of enrolled subjects in each gallery were $N_{G 1}=1106777$ and $N_{G 2}=1107778$. Both gallery and search images were composed of unconstrained wild imagery.


Figure 6: Examples of "in the wild" stills. The top row gives the full original images; the second row gives the manually specified face region that is cropped and passed to the algorithms. The source images in this figure are attributed to, from left, Rita Molnr, Eva Rinaldi, and Gage Skidmore under the [cc-by-sa-2.5], [cc-by-sa-2.0], [cc-by-sa-3.0] creative commons licenses respectively.

### 2.3 Enrollment strategies

Many operational applications include collection and enrollment of biometric data from subjects on more than one occasion. This might be done on a regular basis, as might occur in credential (re-)issuance, or irregularly, as might happen in a criminal recidivist situation [4]. The number of images per person will depend on the application area. In civil identity credentialing (e.g. passports, driver's licenses), the images will be acquired approximately uniformly over time (e.g. ten years for a passport). While the distribution of dates for such images of a person might be assumed uniform, a number of factors might undermine this assumption ${ }^{7}$. In criminal applications, the number of images would depend on the number of arrests. The distribution of dates for arrest records for a person (i.e. the recidivism distribution) has been modeled using the exponential distribution but is recognized to be more complicated ${ }^{8}$.

In any case, the 2010 NIST evaluation of face recognition showed that considerable accuracy benefits accrue with retention and use of all historical images [6].

To this end, the FRVT API document provides $K \geq 1$ images of an individual to the enrollment software. The software is tasked with producing a single proprietary undocumented "black-box" template ${ }^{9}$ from the $K$ images. This affords the algorithm an ability to generate a model of the individual, rather than to simply extract features from each image on a sequential basis.

As depicted in Figure 7, the $i$-th individual in the FRVT 2018 dataset has $K_{i}$ images. These are labelled as $x_{k}$ for $k=1 \ldots K_{i}$ in chronological order of capture date. To measure the utility of having multiple enrollment images, this report evaluates three kinds of enrollment:

[^3]| Image |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| Encounter | 1 | . $\cdot$ | $K_{i}-1$ | $K_{i}$ |
| Capture Time | $T_{1}$ | ... | $T_{K_{i}-1}$ | $T_{K_{i}}$ |
| Role RECENT | Not used | Not used | Enrolled | Search |
| Role LIFETIME | Enrolled | Enrolled | Enrolled | Search |

Figure 7: Depiction of the "recent" and "lifetime" enrollment types. Image source: NIST Special Database 32
$\triangleright$ Recent: Only the second most recent image, $x_{K_{i}-1}$ is enrolled. This strategy of enrollment mimics the operational policy of retaining the imagery from the most recent encounter. This might be done operationally to ameliorate the effects of face ageing. Obviously retaining only the most recent image should only be done if the identity of the person is trusted to be correct. For example, in an access control situation retention of the most recent successful authentication image would be hazardous if it could be a false positive.
$\triangleright$ Lifetime-consolidated: All but the most recent image are enrolled, $x_{1} \ldots x_{K_{i}-1}$. This subject-centric strategy might be adopted if quality variations exist where an older image might be more suitable for matching, despite the ageing effect.
$\triangleright$ Lifetime-unconsolidated: Again all but the most recent image are enrolled $x_{1} \ldots x_{K_{i}-1}$ but now separately, with different identifiers, such that the algorithm is not aware that the images are from the same face. This kind of event- or encounter-centric enrollment is very common when operational constraints preclude reliable consolidation of the historical encounters into a single identity. This aspect also prevents the recognition algorithm from a) building a holistic model of identity (as is common in speaker recognition systems) and $b$ ) implementing fusion, for example template-level fusion of feature vectors, or post-search score-level fusion. The result is that searches will typically yield more than one image of a person in the top ranks. This has consequences for appropriate metrics, as detailed in section 3.2.1

NIST first evaluated this kind of enrollment in mid 2018, and the results tables include some comparison of accuracy available from all three enrollment styles.

In all cases, the most recent image, $x_{K_{i}}$, is reserved as the search image. For the 1.6 million subject enrollment partition of the FRVT 2018 data, $1 \leq K_{i} \leq 33$ with $K_{i}=1$ in $80.1 \%$ of the individuals, $K_{i}=2$ in $13.4 \%, K_{i}=3$ in $3.7 \%, K_{i}=4$ in $1.4 \%, K_{i}=5$ in $0.6 \%, K_{i}=6$ in $0.3 \%$, and $K_{i}>6$ is $0.2 \%$ for everyone else. This distribution is substantially dependent on United States recidivism rates.

We did not evaluate the case of retaining only the highest quality image, since automated quality assessment is out of scope for this report. We do not anticipate that such strategies will prove beneficial when the quality assessment apparatus is imperfect and unvalidated.


Figure 8: Enrollment strategies. The figure shows the three kinds of enrollment databases examined in this report. Image source: NIST Special Database 32

|  | ENROLLMENT |  |  |  | SEARCH |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | TYPE SEE | POPULATION |  |  | MATE |  | NON-MATE |  |
|  | SECTION 2.3 | FILTER | N-SUBJECTS | N-IMAGES | N-SUBJECTS | N-IMAGES | N-SUBJECTS | N-IMAGES |
| Mugshot trials from enrollment of single images |  |  |  |  |  |  |  |  |
| 1 | RECENT | NATURAL | 640000 | 640000 | 154549 | 154549 | 331254 | 331254 |
| 2 | RECENT | NATURAL | 1600000 | 1600000 |  |  |  |  |
| 3 | RECENT | NATURAL | 3000000 | 3000000 |  |  |  |  |
| 4 | RECENT | NATURAL | 6000000 | 6000000 |  |  |  |  |
| 5 | RECENT | NATURAL | 12000000 | 12000000 |  |  |  |  |
| Mugshot trials from enrollment of lifetime images |  |  |  |  |  |  |  |  |
| 6 | CONSOL | NATURAL | 640000 | 1247331 |  |  |  |  |
| 7 | CONSOL | NATURAL | 1600000 | 3351206 |  |  |  |  |
| 8 | CONSOL | NATURAL | 3000000 | 6417057 |  |  |  |  |
| 9 | CONSOL | NATURAL | 6000000 | 12976185 |  |  |  |  |
| 10 | CONSOL | NATURAL | 12000000 | 26107917 |  |  |  |  |
| 11 | UN-CONSOL | NATURAL | 640000 | 1247331 |  |  |  |  |
| 12 | UN-CONSOL | NATURAL | 1600000 | 3351206 |  |  |  |  |
| Cross-domain |  |  |  |  |  |  |  |  |
| 13 | MUGSHOTS A | ROW 2 |  |  | 82106 <br> WEBCAM | 82106 <br> WEBCAM | 331254 <br> WEBCAM | $331254$ <br> WEBCAM |
| Cross-view |  |  |  |  |  |  |  |  |
| 14 | MUGSHOTS A | ROW 2 |  |  | $\begin{aligned} & 100000 \\ & \text { PROFILE } \end{aligned}$ | $\begin{aligned} & 100000 \\ & \text { PROFILE } \end{aligned}$ | $\begin{aligned} & 100000 \\ & \text { PROFILE } \end{aligned}$ | $\begin{aligned} & 100000 \\ & \text { PROFILE } \end{aligned}$ |
| Ageing |  |  |  |  |  |  |  |  |
| 17 | OLDEST | NATURAL | 3068801 | 3068801 | 2853221 | 10951064 | 0 | 0 |

Table 5: Enrollment and search sets. Each row summarizes one identification trial. Unless stated otherwise, all entries refer to mugshot images. The term "natural" means that subjects were selected without heed to demographics, i.e. in the distribution native to this dataset. The probe images were collected in a different calendar year to the enrollment image. Missing values in rows 2-12 are the same as in row 1 .

## 3 Performance metrics

This section gives specific definitions for accuracy and timing metrics. Tests of open-set biometric algorithms must quantify frequency of two error conditions:
$\triangleright$ False positives: Type I errors occur when search data from a person who has never been seen before is incorrectly associated with one or more enrollees' data.
$\triangleright$ Misses: Type II errors arise when a search of an enrolled person's biometric does not return the correct identity.
Many practitioners prefer to talk about "hit rates" instead of "miss rates" - the first is simply one minus the other as detailed below. Sections 3.1 and 3.2 define metrics for the Type I and Type II performance variables.

Additionally, because recognition algorithms sometimes fail to produce a template from an image, or fail to execute a one-to-many search, the occurrence of such events must be recorded. Further because algorithms might elect to not produce a template from, for example, a poor quality image, these failure rates must be combined with the recognition error rates to support algorithm comparison. This is addressed in section 3.5.

Finally, section 3.7 discusses measurement of computation duration, and section 3.8 addresses the uncertainty associated with various measurements. Template size measurement is included with the results.

| 2019/09/11 | FNIR(N, R, T) $=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | $\mathrm{T}=$ Threshold |
| :--- | ---: | :--- | :--- | :--- |
| 16:09:13 | FPIR $(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined | $\mathrm{T}=0 \rightarrow$ Investigation |
| $\mathrm{T}>0 \rightarrow$ Identification |  |  |  |  |

### 3.1 Quantifying false positives

It is typical for a search to be conducted into an enrolled population of $N$ identities, and for the algorithm to be configured to return the closest $L$ candidate identities. These candidates are ranked by their score, in descending order, with all scores required to be greater than or equal to zero. A human analyst might examine either all $L$ candidates, or just the top $R \leq L$ identities, or only those with score greater than threshold, $T$. The workload associated with such examination is discussed later, in 3.6.

False alarm performance is quantified in two related ways. These express how many searches produces false positives, and then, how many false positives are produced in a search.

False positive identification rate: The first quantity, FPIR, is the proportion of non-mate searches that produce an adverse outcome:
$\operatorname{FPIR}(N, T)=\frac{\text { Num. non-mate searches where one or more enrolled candidates are returned with score at or above threshold }}{\text { Num. non-mate searches attempted. }}$
Under this definition, FPIR can be computed from the highest non-mate candidate produced in a search - it is not necessary to consider candidates at rank 2 and above. FPIR is the primary measure of Type I errors in this report.

Selectivity: However, note that in any given search, several non-mate may be returned above threshold. In order to quantify such events, a second quantity, selectivity (SEL), is defined as the number of non-mates returned on a candidate list, averaged over all searches.

$$
\begin{equation*}
\mathrm{SEL}(N, T)=\frac{\text { Num. non-mate enrolled candidates returned with score at or above threshold }}{\text { Num. non-mate searches attempted. }} \tag{2}
\end{equation*}
$$

where $0 \leq \operatorname{SEL}(\mathrm{N}, \mathrm{T}) \leq \mathrm{L}$. Both of these metrics are useful operationally. FPIR is useful for targeting how often an adverse false positive outcome can occur, while SEL as a number is related to workload associated with adjudicating candidate lists. The relationship between the two quantities is complicated - it depends on whether an algorithm concentrates the false alarms in the results of a few searches or whether it disburses them across many. This was detailed in FRVT 2014, NISTIR 8009. It has not yet been detailed in FRVT 2018.

### 3.2 Quantifying hits and misses

If $L$ candidates are returned in a search, a shorter candidate list can be prepared by taking the top $R \leq L$ candidates for which the score is above some threshold, $T \geq 0$. This reduction of the candidate list is done because thresholds may be applied, and only short lists might be reviewed (according to policy or labor availability, for example). It is useful then to state accuracy in terms of $R$ and $T$, so we define a "miss rate" with the general name false negative identification rate (FNIR), as follows:

$$
\begin{equation*}
\operatorname{FNIR}(N, R, T)=\frac{\text { Num. mate searches with enrolled mate found outside top R ranks or score below threshold }}{\text { Num. mate searches attempted. }} \tag{3}
\end{equation*}
$$

This formulation is simple for evaluation in that it does not distinguish between causes of misses. Thus a mate that is not reported on a candidate list is treated the same as a miss arising from face finding failure, algorithm intolerance of poor quality, or software crashes. Thus if the algorithm fails to produce a candidate list, either because the search

| 2019/09/11 | FNIR $(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ |
| :--- | ---: | :--- | :--- | :--- |
| 16:09:13 |  |

failed, or because a search template was not made, the result is regarded as a miss, adding to FNIR.
Hit rates, and true positive identification rates: While FNIR states the "miss rate" as how often the correct candidate is either not above threshold or not at good rank, many communities prefer to talk of "hit rates". This is simply the true positive identification rate(TPIR) which is the complement of FNIR giving a positive statement of how often mated searches are successful:

$$
\begin{equation*}
\operatorname{TPIR}(N, R, T)=1-\operatorname{FNIR}(N, R, T) \tag{4}
\end{equation*}
$$

This report does not report true positive "hit" rates, preferring false negative miss rates for two reasons. First, costs rise linearly with error rates. For example, if we double FNIR in an access control system, then we double user inconvenience and delay. If we express that as decrease of TPIR from, say $98.5 \%$ to $97 \%$, then we mentally have to invert the scale to see a doubling in costs. More subtly, readers don't perceive differences in numbers near 100\% well, becoming inured to the "high nineties" effect where numbers close to 100 are perceived indifferently.

Reliability is a corresponding term, typically being identical to TPIR, and often cited in automated (fingerprint) identification system (AFIS) evaluations.

An important special case is the cumulative match characteristic (CMC) which summarizes accuracy of mated-searches only. It ignores similarity scores by relaxing the threshold requirement, and just reports the fraction of mated searches returning the mate at rank R or better.

$$
\begin{equation*}
\operatorname{CMC}(N, R)=1-\operatorname{FNIR}(N, R, 0) \tag{5}
\end{equation*}
$$

We primarily cite the complement of this quantity, $\operatorname{FNIR}(N, R, 0)$, the fraction of mates not in the top R ranks.
The rank one hit rate is the fraction of mated searches yielding the correct candidate at best rank, i.e. CMC(N, 1). While this quantity is the most common summary indicator of an algorithm's efficacy, it is not dependent on similarity scores, so it does not distinguish between strong (high scoring) and weak hits. It also ignores that an adjudicating reviewer is often willing to look at many candidates.

### 3.2.1 False negative rates for unconsolidated galleries

As detailed in section 2.3 a common type of gallery, here referred to as the lifetime unconsolidate type, is populated with all images of an individual without any association between them. That is, the gallery construction algorithm is not provided with any ID labels that would support processing of a person's images jointly. This constrasts with the lifetime consolidate type where an algorithm may explicitly fuse features from multiple images of a person, or select a best image. In such cases, where the number of enrolled images is a random variable, we define two false negative rates as follows.

The first demands that the algorithm place any of the $K_{i}$ mates in the top $R \geq 1$ ranks. The proportion of searches for which this does not occur forms a false negative identification rate:
$\operatorname{FNIR}_{\text {any }}(N, R, T)=1-\frac{\text { Num. mate searches where any enrolled mate is found in the top R ranks and at-or-above threshold }}{\text { Num. mate searches attempted. }}$

The second demands that the algorithm place all $K_{i}$ mates in the top $R \geq K_{i}$ ranks. The proportion of searches for

| 2019/09/11 | $\operatorname{FNIR}(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | T = Threshold | $\mathrm{T}=0 \rightarrow$ Investigation |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 16:09:13 | $\operatorname{FPIR}(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined |  | $\mathrm{T}>0 \rightarrow$ Identification |

which this does not occur forms a false negative identification rate:
$\operatorname{FNIR}_{\text {all }}(N, R, T)=1-\frac{\text { Num. mate searches where all enrolled mates are found in the top } \mathrm{R} \text { ranks and at-or-above threshold }}{\text { Num. mate searches attempted. }}$

Placing all mates in the top ranks is a more difficult task than correctly retrieving any image, so it holds that: FNIR all $\geq$ FNIRany. This is evident in the results presented for November 2018 algorithms in Tables starting at 25.

The information retrieval community might prefer to compute and plot precision and recall; this is a valid approach, but we advance the two metrics above because they relate to our normal definition of consolidated FNIR, and they cover the two extreme use-cases of wanting any hit vs. all hits.

### 3.3 DET interpretation

In biometrics, a false negative occurs when an algorithm fails to match two samples of one person a Type II error. Correspondingly, a false positive occurs when samples from two persons are improperly associated a Type I error.

Matches are declared by a biometric system when the native comparison score from the recognition algorithm meets some threshold. Comparison scores can be either similarity scores, in which case higher values indicate that the samples are more likely to come from the same person, or dissimilarity scores, in which case higher values indicate different people. Similarity scores are traditionally computed by fingerprint and face recognition algorithms, while dissimilarities are used in iris recognition. In some cases, the dissimilarity score is a distance possessing metric properties. In any case, scores can be either mate scores, coming from a comparison of one persons samples, or nonmate scores, coming from comparison of different persons samples.

The words "genuine" or "authentic" are synonyms for mate, and the word "impostor" is used as a synonym for nonmate. The words "mate" and "nonmate" are traditionally used in identification applications (such as law enforcement search, or background checks) while genuine and impostor are used in verification applications (such as access control).

An error tradeoff characteristic represents the tradeoff between Type II and Type I classification errors. For identification this plots false negative vs. false positive identification rates i.e. FNIR vs. FPIR parametrically with T. Such plots are often called detection error tradeoff (DET) characteristics or receiver operating characteristic (ROC). These serve the same function - to show error tradeoff - but differ, for example, in plotting the complement of an error rate (e.g. TPIR = 1 - FNIR) and in transforming the axes, most commonly using logarithms, to show multiple decades of FPIR. More rarely, the function might be the inverse of the Gaussian cumulative distribution function.

The slides of Figures 9 through 15 discuss presentation and interpretation of DETs used in this document for reporting face identification accuracy. Further detail is provided in formal biometrics testing standards, see the various parts of ISO/IEC 19795 Biometrics Testing and Reporting. More terms, including and beyond those to do with accuracy, appear in ISO/IEC 2382-37 Information technology - Vocabulary - Part 37: Harmonized biometric vocabulary.

| 1:N FNIR. |
| :--- |
| Proportion of |
| mate searches |
| not yielding |
| mate above |
| threshold, T. |
|  |
| See ISO/IEC |
| $19795-1$ |

19795-1

## FNIR is a

 synonym for "miss rate"; the complement, 1-FNIR is the "hit rate" or true positive dentification rate, TPIR.

Log-scale is
typical to show both small and large numbers, e.g. from strong and weak
algorithms.

## DET Properties and Interpretation 1 :: Error Rates, Metrics, Comparison of algorithms

| Type I Errors (Incorrect association of people) |  |
| :--- | :--- |
| 1:1 matching | FMR = False Match Rate |
| 1:1 transactional | FAR = False Accept Rate |
| 1:N matching | FPIR = False Positive Identification Rate |
|  |  |
| Type II Errors (Failure to associate samples of a person) |  |
| 1:1 matching | FNMR = False Non-match Rate |
| 1:1 transactional | FRR = False Rejection Rate |
| 1:N matching | FNIR = False Negative Identification Rate |

## Threshold interpretation:

- Face, fingerprint conventionally use similarity scores, so high threshold implies low FPIR.
- Iris conventionally uses dissimilarity scores, so high threshold implies high FPIR The remaining figures apply to face recognition.


Figure 9: DET as the primary performance reporting mechanism.



| 1:N FNIR. |
| :--- |
| Proportion of |
| mate searches |
| not yielding |
| mate above |
| threshold, T. |

See ISO/IEC
19795-1

Figure 11: DET as the primary performance reporting mechanism.

| $(0,1)$ |
| :--- |
| 1:N FNIR. <br> Proportion of <br> mate searches <br> not yielding <br> mate above <br> threshold, T. <br> See ISO/IEC <br> 19795-1 |
| FNIR is a <br> synonym for <br> "miss rate"; the <br> complement, <br> 1-FNIR is the <br> "hit rate" or <br> true positive <br> identification <br> rate, TPIR. |


| T = High | 1. With $\Delta$ Time $=2$ years, capable <br> algorithms will return this mated pair with <br> a high score. It will only contribute to FNIR <br> at very high T . In children, growth is rapid <br> and this will not hold + . |
| :--- | :--- |
| The progressive rise in the DET, i.e. increasing FNIR, occurs when a search of a probe sample does not |  |
| correctly return the enrolled mate. Leading causes of this are: |  |


1:N FNIR.
Proportion of
mate searches
not yielding
mate above
threshold, T .
See ISO/IEC
19795-1



### 3.4 Best practice testing requires execution of searches with and without mates

FRVT embeds $1: \mathrm{N}$ searches of two kinds: Those for which there is an enrolled mate, and those for which there is not. The respective numbers for these types of searches appear in Table 5. However, it is common to conduct only mated searches ${ }^{10}$. The cumulative match characteristic is computed from candidate lists produced in mated searches. Even if the CMC is the only metric of interest, the actual trials executed in a test should nevertheless include searches for which no mate exists. As detailed in Table 5 the FRVT reserved disjoint populations of subjects for executing true non-mate searches.

### 3.5 Failure to extract features

During enrollment some algorithms fail to convert a face image to a template. The proportion of failures is the failure-to-enroll rate, denoted by FTE. Similarly, some search images are not converted to templates. The corresponding proportion is termed failure-to-extract, denoted by FTX.

We do not report FTX because we assume that the same underlying algorithm is used for template generation for enrollment and search.

Failure to extract rates are incorporated into FNIR and FPIR measurements as follows.
$\triangleright$ Enrollment templates: Any failed enrollment is regarded as producing a zero length template. Algorithms are required by the $\mathrm{API}[10]$ to transparently process zero length templates. The effect of template generation failure on search accuracy depends on whether subsequent searches are mated, or non-mated: Mated searches will fail giving elevated FNIR; non-mated searches will not produce false positives so, to first order, FPIR will be reduced by a factor of $1-$ FTE.

Search templates and 1:N search: In cases where the algorithm fails to produce a search template from input imagery, the result is taken to be a candidate list whose entries have no hypothesized identities and zero score. The effect of template generation failure on search accuracy depends on whether searches are mated, or nonmated: Mated searches will fail giving elevated FNIR; Non-mated searches will not produce false positives, so FPIR will be reduced. Thus given a measurement of false negative and positive rates made over only those where failures-to-extract did not occur, those rates - call them $\mathrm{FNIR}^{\dagger}$ and $\mathrm{FPIR}^{\dagger}$ - could be adjusted by an explicit measurement of FTX as follows

$$
\begin{gather*}
\mathrm{FNIR}=\mathrm{FTX}+(1-\mathrm{FTX}) \mathrm{FNIR}^{\dagger}  \tag{8}\\
\mathrm{FPIR}=(1-\mathrm{FTX}) \mathrm{FPIR}^{\dagger} \tag{9}
\end{gather*}
$$

This approach is the correct treatment for positive-identification applications such as access control where cooperative users are enrolled and make attempts at recognition. This approach is not appropriate to negative identification applications, such as visa fraud detection, in which hostile individuals may attempt to evade detection by submitting poor quality samples. In those cases, template generation failures should be investigated as though a false alarm had occurred.

[^4]
### 3.6 Fixed length candidate lists, threshold independent workload

Suppose an automated face identification algorithm returns $L$ candidates, and a human reviewer is retained to examine up to R candidates, where $R \leq L$ might be set by policy, preference or labor availability. For now, assume also that the reviewer is not provided with, or ignores, similarity scores, and thresholds are not applied. Given the algorithm typically places mates at low (good) ranks, the number of candidates a reviewer can be expected to review can be derived as follows. Note that the reviewer will:
$\triangleright$ Always inspect the first ranked image
$\triangleright$ Then inspect those candidates where mate not confirmed at rank 1
$\triangleright$ Then inspect those candidates where mate not confirmed at rank 1 or 2

Frac. reviewed $=1$
Frac. reviewed $=1-\mathrm{CMC}(1)$
Frac. reviewed $=1-\mathrm{CMC}(2)$
etc. Thus if the reviewer will stop after a maximum of $R$ candidates, the expected number of candidate reviews is

$$
\begin{align*}
M(R) & =1+(1-C M C(1))+(1-C M C(2))+\ldots+(1-C M C(R-1))  \tag{10}\\
& =R-\sum_{r=1}^{R-1} C M C(r) \tag{11}
\end{align*}
$$

A recognition algorithm that front-loads the cumulative match characteristic will offer reduced workload for the reviewer. This workload is defined only over the searches for which a mate exists. In the cases where there truly is no mate, the reviewer would review all $R$ candidates. Thus, if the proportion of searches for which a mate does exist is $\beta$, which in the law enforcement context would be the recidivism rate [3], the full expression for workload becomes:

$$
\begin{align*}
M(R) & =\beta\left(R-\sum_{r=1}^{R-1} C M C(r)\right)+(1-\beta) R  \tag{12}\\
& =R-\beta \sum_{r=1}^{R-1} C M C(r) \tag{13}
\end{align*}
$$

### 3.7 Timing measurement

Algorithms were submitted to NIST as implementations of the application programming interface(API) specified by NIST in the Evaluation Plan [10]. The API includes functions for initialization, template generation, finalization, search, gallery insert, and gallery delete. Two template generation functions are required, one for the preparation of an enrollment template, and one for a search template.

In NIST's test harness, all functions were wrapped by calls to the C++ std::chrono::high resolution clock which on the dedicated timing machine counts 1 ns clock ticks. Precision is somewhat worse than that however.

### 3.8 Uncertainty estimation

### 3.8.1 Random error

This study leverages operational datasets for measurement of recognition error rates. This affords several advantages. First, large numbers of searches are conducted (see Table 5) giving precision to the measurements. Moreover, for the two mugshot datasets, these do not involve reuse of individuals so binomial statistics can be expected to apply to recognition error counts. In that case, an observed count of a particular recognition outcome (i.e. a false negative or false positive) in $M$ trials will sustain $95 \%$ confidence that the actual error rate is no larger than some value.

As an example, the minimum number of mugshot searches conducted in this report is $M=154549$, and for an observed FNIR around 0.002, the measurement supports a conclusion that the actual FNIR is no higher than 0.00228 at $99 \%$ confidence level. On the false positive side, we tabulate FNIR at FPIR values as low as 0.001 . Given estimates based on 331254 non-mate trials, the actual FPIR values will be below 0.00115 at $99 \%$ confidence. In conclusion, large scale evaluation, without reuse of subjects, supports tight uncertainty bounds on the measured error rates.

### 3.8.2 Systematic error

The FRVT 2018 dataset includes anomalies discovered as a result of inspecting images involved in recognition failures from the most accurate algorithms. Two kinds of failure occur: False negatives (which, for the purpose here, include failures to make templates) and false positives.

False negative errors: We reviewed 600 false negative pairs for which either or both of the leading two algorithms did not put the correct mate in the top 50 candidates. Given 154549 searches, this number represents $0.39 \%$ of the total, resulting in FNIR $\sim 0.0039$. Of the 600 pairs:
$\triangleright$ A: Poor quality: About 20\% of the pairs included images of very low quality, often greyscale, low resolution, blurred, low contrast, partially cropped, interlaced, or noisy scans of paper images. Additionally, in a few cases, the face is injured or occluded by bandages or heavy cosmetics.
$\triangleright$ B: Ground truth identity label bugs: About $15 \%$ of the pairs are not actually mated. We only assigned this outcome when a pair is clearly not mated.
$\triangleright$ C: Profile views: About $35 \%$ included an image of a profile (side) view of the face, or, more rarely, an image that was rotated 90 degrees in-plane (roll).
$\triangleright$ D: Tattoos: About 30\% included an image of a tattoo that contained a face image. These arise from mis-labelling in the parent dataset metadata.
$\triangleright$ E: Ageing: There is considerable time-lapse between the two captures.
All these estimates are approximate. Of these, the tattoo and mislabled images can never be matched. These constitute an accuracy floor in the sample implying that FNIR cannot be below $0.0018^{11}$. The profile-views, low-quality images, and images with considerable ageing can, in principle, be successfully matched - indeed some algorithms do so - so are not part of the accuracy floor.

[^5]| 2019/09/11 | FNIR $(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | $\mathrm{T}=$ Threshold |
| :--- | ---: | :--- | :--- | :--- |
| 16:09:13 | FPIR $(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined |  |$\quad$| $\mathrm{T}=0 \rightarrow$ Investigation |
| :--- |
| $\mathrm{T}>0 \rightarrow$ Identification |

For the microsoft-4 algorithm the lowest miss rate from (recent entry in Table 16) is $\operatorname{FNIR}(640000,50,0)=0.0018$. This is close to the value estimated from the inspection of misses. It is below the 0.0039 figure because the algorithm does match some profile and poor quality images, that the yitu-2 algorithm does not.

For many tables (e.g. Table 16), the FNIR values obtained for the FRVT-2018 mugshots could be corrected by reducing them by 0.0018 . The best values would then be indistinct from zero. The results in this report were not adjusted to account for this systematic error.

False positive errors: As depicted in Figure 9 many of the DET characteristics in this report exhibit a pronounced turn upward at low false positive rates. The shape can be caused by identity labelling errors in the ground truth of a dataset, specifically persons present in the database under two IDs such that some proportion of non-mate pairs are actually mated. We merged the highest 1000 non-mate pairs produced by three different algorithms which resulted in 1839 unique pairs. This constitutes $0.56 \%$ of all non-mate searches. We assert that it is very difficult for human reviewers to assign the pairs into the following three categories: twins; doppelgangers; or ground-truth errors (instances of the same person under two IDs). Given this difficulty we made no attempt to correct any ground truth except by removing 57 pairs in the following categories:
$\triangleright$ A: Profile views: Thirteen pairs included one or two profile-view images. As described in Figure 102, these can cause false positives.
$\triangleright$ B: Same-session photographs: For twelve pairs, the images were identical or trivially altered (e.g. cropped) versions of the same photo. These were present under a different ID likely due to some clerical or procedural mistake.
$\triangleright$ C: Tattoos of faces: There were fourteen instances of tattoo photographs that contained faces causing false matches.
$\triangleright$ D: T-shirt faces: There were six instances of T-shirt photographs (of Bob Marley and Che Guevara) being detected instead of the face and causing false positives.
$\triangleright$ E: Background faces: There were twelve instances of one subject appearing in the background of two otherwise correct portrait photos.

Note we did not remove any images where there was a chance that the pair was actually a different person.
In any case, the results in this report have not been adjusted for this systematic error.

## 4 Results

This section gives extensive results for algorithms submitted to FRVT 2018. Three page "report cards" for each algorithm are contained in the separate supplement. Performance metrics were described in section 3. The main results are summarized in tabular form with more exhaustive data included as DET, CMC and related graphs in appendices as follows:
$\triangleright$ The three tables 6-8 list algorithms alongside full developer names, acceptance date, size of the provided configuration data, template size and generation time, and search duration data.

- The template generation duration is most important to applications that require fast response. For example, an eGate taking more than two seconds to produce a template might be unacceptable. Note that GPUs may be of utility in expediting this operation for some algorithms, though at additional expense. Two additional factors should be considered ${ }^{1213}$.
- The search duration is the time taken for a search of a search template into a gallery of $N$ enrollment templates. This performance variable, together with the volume of searches, is influential on the amount of hardware needed to sustain an operational deployment. This is measured here with the algorithm running on a single core of a contemporary CPU. Search is most simply implemented as N computations of a distance metric followed by a sort operation to find the closest enrollments. However, considerable optimization of this process is possible, up to and including fast-search algorithms that, by various means, avoid computation of all $N$ distances.
- The template size is the size of the extracted feature vector (or vectors) and any needed header information. Large template sizes may be influential on bus or network bandwidth, storage requirements, and on search duration. While the template itself is an opaque data blob, the feature dimensionality might be estimated by assuming a four-bytes-per-float encoding. There is a wide range of encodings. For the more accurate algorithm, sizes range from 256 bytes to about 2 KB bytes, indicating essentially no consensus on face modeling and template design.
- The template size multiplier column shows how, given $k$ input images, the size of the template grows. Most implementations internally extract features from each image and concatenate them, and implement some score-level fusion logic during search. Other implementations, including many of the most accurate algorithms, produce templates whose size does not grow with $k$. This could be achieved via selection of the best quality image - but this is not optimal in handling ageing where the oldest image could be the best quality. Another mechanism would be feature-level fusion where information is fused from all $k$ inputs. In any case, as a black-box test, the fusion scheme is proprietary and unknown.
- The size of the configuration data is the total size of all files resident in a vendor-provided directory that contains arbitrary read-only files such as parameters, recognition models (e.g caffe). Generally a large value for this quantity may prohibit the use of the algorithm on a resource-constrained device.

[^6]| 2019/09/11 | $\operatorname{FNIR}(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | T = Threshold | $\mathrm{T}=0 \rightarrow$ Investigation |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 16:09:13 | $\operatorname{FPIR}(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined |  | $\mathrm{T}>0 \rightarrow$ Identification |

$\triangleright$ Tables 16-17 report core rank-based accuracy for mugshot images. The population size is limited to $\mathrm{N}=1.6$ million identities because this is the largest gallery size on which all algorithms were executed. Notable observations from these tables are as follows:

- Accuracy gains during 2018: NIST Interagency Report 8238 documented massive gains over those reported in the FRVT 2014 report, NIST Interagency Report 8009.

Further gains are documented in this report. Comparing the most accurate algorithm in June 2018, Microsoft4, with the most accurate in November 2018, NEC-2, the value of FNIR(N, 1, 0) reduced from 0.0031 to 0.0028 with $\mathrm{N}=1.6$ million recent images. For lifetime enrollments, Microsoft-4 remained the most accurate algorithm as the newer variants from Microsoft did not reduce this error rate.
We further note that the revolution is not over: Figure 19 shows that many developers have made great advances in the four months between Phases 1 and 2 of FRVT 2018, Feburary to June. Most developers saw a two-fold reduction in errors, with Neurotechnology seeing a five fold reduction.

- Wide range in accuracy: The rank-1 miss rates vary from $\operatorname{FNIR}(N, 1,0)=0.001$ for nec- 3 up to about 0.5 for the very fast but inaccurate microfocus-x algorithms. Among the developers who are superior to NEC in 2013, the range is from 0.002 to 0.035 for camvi-3. This large accuracy range is consistent with the buyerbeware maxim, and indicates that face recognition software is far from being commoditized.
$\triangleright$ Tables 19-20 report threshold-based error rates, $\operatorname{FNIR}(\mathrm{N}, \mathrm{L}, \mathrm{T})$, for $\mathrm{N}=1.6$ million for mugshot-mugshot accuracy on FRVT 2014, FRVT 2018, and also (in pink) mugshot-webcam accuracy using FRVT 2018 enrollments. Notable observations from these tables are as follows:
- Order of magnitude accuracy gains since 2014: As with rank-based results, the gains in accuracy are substantial, though somewhat reduced. At FPIR $=0.01$, the best improvement over NEC in 2014 is a nine-fold reduction in FNIR using the Microsoft_4 algorithm. At FPIR $=0.001$, the largest gain is a six-fold reduction in FNIR via the Yitu_2 algorithm.
- Broad gains across the industry: About 19 companies realize accuracy better than the NEC benchmark from 2014. This is somewhat lower than the 28 developers who succeeded on the rank- 1 metric. This may be due to the ubiquity of, and emphasis on, the rank-1 metric in many published algorithm development papers.
- Webcam images: Searches of webcam images give $\operatorname{FNIR}(N, T)$ values around 2 to 3 times higher than mugshot searches. Notably the leading developers with mugshots are approximately the same with poorer quality webcams. But some developers e.g. Camvi, Megvii, TongYi, and Neurotechnology do improve their relative rankings on webcams, perhaps indicating their algorithms were tailored to less constrained images.

Tables 10, 12, 13 and show, respectively, high-threshold, rank 1, nd rank 50 FNIR values for all algorithms performing searches into five different gallery sizes, $\mathrm{N}=640000, \mathrm{~N}=1600000, \mathrm{~N}=3000000, \mathrm{~N}=6000000$ and 12000000 . The FPIR $=0.001$ table is included to inform high-volume duplicate detection applications. The Rank-1 table is included as a primary accuracy indicator. The Rank-50 table is included to inform agencies who routinely produce 50 candidates for human-review. The notable results are:

- Slow growth in rank-based miss rates: $\operatorname{FNIR}(\mathrm{N}, \mathrm{R})$ generally grows as a power law, $a N^{b}$. From the straight lines of many graphs of Figure 22 this is clearly a reasonable model for most, but not all, algorithms. The coefficient $a$ can be interpreted as FNIR in a gallery of size 1 . The more important coefficient $b$ indicates
scalability, and often, $b \ll 1$, implies very benign growth in FNIR. The coefficients of the models appear in the Tables 12 and 13.
- Slow growth in threshold-based miss rates: $\operatorname{FNIR}(\mathrm{N}, \mathrm{T})$ also generally grows as a power law, $a N^{b}$ except at the high threshold values corresponding to low FPIR values. This is visible in the plots of Figure 38 which show straight lines except for FPIR $=0.001$, which increase more rapidly with N above 3000000 . Each trace in those figures shows $\operatorname{FNIR}(\mathrm{N}, \mathrm{T})$ at fixed FPIR with both N and T varying. Thus at large N , it is usually necessary to elevate T to maintain fixed FPIR. This causes increased FNIR. Why that would no-longer obey a power-law is not known. However, if we expect large galleries to contain individuals with familial relations to the non-mate search images - in the most extreme case, twins - then suppression of false positives becomes more difficult. This is discussed in the Figures starting at Fig. 9
$\triangleright$ Figure 21 shows false positives from twins against their enrolled siblings, broken out by type of twin: fraternal or identical. The Figure is based on the enrollment of 104 single images on one of a pair of twins, and then the search of 2354 second images. Note that the dataset is heavily skewed towards identical twins which is not representative of the true population. There is also a skew towards same sex fraternal twin pairs compared to different sex fraternal twin pairs again not representative of the true population.

The notable results are:

- For all algorithms tested, the 1087 mated searches (Twin A vs. Twin A) produce scores almost always above typical operational thresholds, with (not shown) matches at rank 1. The images are of good quality, so this is the result expected from the rest of this report.
- For the 1066 identical twin searches (AB), almost all produce the twin at rank 1, with a few producing the mate at further down the candidate lists rank and low score.
- For the 169 fraternal searches (AB) from same sex pairs, most algorithms give a large number of very high scores, implying false positives at all thresholds. However, there there are long tails containing lower scores that are correctly below threshold. In general, scores that are higher in this distribution are all rank 1 whereas the lower scores have much higher ranks.
- (Not shown) Of the 169, there are 24 fraternal searches (AB) involving different sex twins. Here most algorithms correctly report scores well below the lowest threshold, and usually not on the candidate list at all.


Figure 17: [Mugshot Dataset] Speed-accuracy tradeoff. For developers of the more accurate algorithms the plot shows the tradeoff of high-threshold recognition missrates, $\operatorname{FNIR}(N, N, T)$ for $\operatorname{FPIR}(N, T)=0.003$, and template generation time. Developers are coded by color. Template size is encoded by the size of the circle. Some labels are quite distant from the respective point, to avoid superposing text. Without any other influences, the assumption would be that taking time to localize the face, and extract features, would lead to better accuracy. The most notable result, for NEC, is that their slower algorithms are much more accurate than the version that extract features in fewer than 90 milliseconds.


Figure 18: [Mugshot Dataset] Speed-accuracy tradeoff. For developers of the more accurate algorithms the plot shows the tradeoff of rank-one recognition miss-rates, $\operatorname{FNIR}(N, 1,0)$, and template generation time. Developers are coded by color. Template size is encoded by the size of the circle. Some labels are quite distant from the respective point, to avoid superposing text. Without any other influences, the assumption would be that taking time to localize the face, and extract features, would lead to better accuracy. This occurs for NEC with their slower algorithm being much accurate than the version that extract features in fewer than 90 milliseconds.

|  | DEVELOPERFULL NAME |  | $\begin{aligned} & \text { SHORT } \\ & \hline \text { NAME } \end{aligned}$ | $\begin{aligned} & \text { SEQ. } \\ & \hline \text { NUMM. } \end{aligned}$ | $\begin{aligned} & \hline \text { VALIDATION } \\ & \hline \text { DATE } \end{aligned}$ | $\begin{aligned} & \hline \text { CONFIG }^{1} \\ & \hline \text { DATA (MB) } \\ & \hline \end{aligned}$ | template generation |  |  | SEARCH DURATION ${ }^{4}$ MILLISEC |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | SIZE (B) |  |  |  | MULT ${ }^{2}$ | TIME (MS) ${ }^{3}$ | $\mathrm{L}=1$ | L=50 | L=50 | L=50 | L=50 | POWER LAW |
|  |  |  |  |  |  |  |  |  |  |  | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=3 \mathrm{M}$ | $\mathrm{N}=6 \mathrm{M}$ | $\mathrm{N}=12 \mathrm{M}$ | ( $\mu \mathrm{s}$ ) |
| 1 |  | 3Divi | 3divi | 0 | 2018-02-09 | 186 | ${ }^{185} 4096$ | k | ${ }^{90} 426$ | - | ${ }^{10} 553$ | - | - | - |  |
| 2 |  | 3 Divi | 3divi | 1 | 2018-02-15 | 187 | ${ }^{155} 4224$ | k | ${ }^{94} 428$ | - | ${ }^{19} 37$ | - | - | - |  |
| 3 |  | 3Divi | 3divi | 2 | 2018-02-15 | 187 | ${ }^{47} 528$ | k | ${ }^{92} 428$ | - | ${ }^{17} 33$ | - | - | - |  |
| 4 |  | 3Divi | 3divi | 3 | 2018-06-19 | 165 | ${ }^{41512}$ | k | ${ }^{130} 625$ | ${ }^{15} 76$ | ${ }^{23} 76$ | - | - | - |  |
| 5 |  | 3 Divi | 3divi | 4 | 2018-06-19 | 186 | ${ }^{180} 4096$ | k | ${ }^{151} 628$ | ${ }^{75} 604$ | ${ }^{126} 801$ | - | - | - |  |
| 6 |  | 3 Divi | 3divi | 5 | 2018-10-26 | 186 | ${ }^{178} 4096$ | k | ${ }^{138} 653$ | ${ }^{67} 537$ | ${ }^{104} 537$ | ${ }^{51} 1376$ | ${ }^{48} 2612$ | ${ }^{41} 5524$ | ${ }^{71} 0.07 N^{1.1}$ |
| 7 |  | 3Divi | 3divi | 6 | 2018-10-26 | 187 | ${ }^{49} 528$ | k | ${ }^{141653}$ | ${ }^{10} 33$ | ${ }^{15} 33$ | - | - | - |  |
| 8 |  | Alchera | alchera | 0 | 2018-06-30 | 168 | ${ }^{146} 2048$ | k | ${ }^{42} 263$ | ${ }^{120} 3296$ | ${ }^{1955420}$ | - | - | - |  |
| 9 |  | Alchera | alchera | 1 | 2018-06-30 | 46 | ${ }^{124} 2048$ | k | ${ }^{8} 66$ | ${ }^{121} 3516$ | ${ }^{1944489}$ | - | - | - |  |
| 10 |  | Alchera | alchera | 2 | 2018-10-30 | 7 | ${ }^{143} 2048$ | k | ${ }^{16} 115$ | ${ }^{118} 2920$ | ${ }^{179} 2926$ | - | - | - |  |
| 11 |  | Alchera | alchera | 3 | 2018-10-30 | 251 | ${ }^{113} 2048$ | k | ${ }^{117} 548$ | ${ }^{119} 2952$ | ${ }^{181} 2953$ | ${ }^{79} 6540$ | ${ }^{74} 14998$ | ${ }^{70} 35227$ | ${ }^{84} 0.10 N^{1.2}$ |
| 12 |  | Anke Investments | anke | 0 | 2018-10-30 | 779 | ${ }^{165} 2072$ | k | ${ }^{96} 431$ | ${ }^{74} 675$ | ${ }^{123} 748$ | ${ }^{53} 1482$ | ${ }^{50} 2965$ | ${ }^{43} 6142$ | ${ }^{58} 0.21 N^{1.1}$ |
| 13 |  | Anke Investments | anke | 1 | 2018-10-30 | 779 | ${ }^{164} 2072$ | k | ${ }^{97} 433$ | ${ }^{76} 707$ | ${ }^{124} 769$ | - | - | - |  |
| 14 |  | Aware | aware | 0 | 2018-02-16 | 261 | ${ }^{99} 1564$ | k | ${ }^{139} 653$ | - | ${ }^{60} 251$ | - | - | - |  |
| 15 |  | Aware | aware | 1 | 2018-02-16 | 232 | ${ }^{100} 1564$ | k | ${ }^{136} 651$ | - | ${ }^{61} 251$ | - | - | - |  |
| 16 |  | Aware | aware | 2 | 2018-02-16 | 349 | ${ }^{167} 2076$ | k | ${ }^{197} 912$ | - | ${ }^{62} 252$ | - | - | - |  |
| 17 |  | Aware | aware | 3 | 2018-06-22 | 350 | ${ }^{166} 2076$ | k | ${ }^{163} 716$ | ${ }^{114} 2426$ | ${ }^{174} 2508$ | ${ }^{74} 4495$ | - | - | ${ }^{41} 1.09 N^{1.0}$ |
| 18 |  | Aware | aware | 4 | 2018-06-22 | 349 | ${ }^{2} 92$ | k | ${ }^{100} 712$ | ${ }^{89} 1232$ | ${ }^{140} 1187$ | - | - | - |  |
| 19 |  | Aware | aware | 5 | 2018-10-30 | 368 | ${ }^{173} 3100$ | k | ${ }^{182} 827$ | ${ }^{18} 94$ | ${ }^{26} 97$ | ${ }^{13} 202$ | ${ }^{11} 370$ | ${ }^{9} 251$ | ${ }^{11} 4.13 N^{0.7}$ |
| 20 |  | Aware | aware | 6 | 2018-10-30 | 368 | ${ }^{3} 124$ | k | 818 | ${ }^{2 / 157}$ | ${ }^{5162}$ | - | - | - |  |
| 21 |  | Ayonix | ayonix | 0 | 2018-06-21 | 57 | "1036 | k | ${ }^{1} 10$ | ${ }^{47} 283$ | ${ }^{74} 298$ | - | - | - |  |
| 22 |  | Ayonix | ayonix | 1 | 2018-10-29 | 74 | ${ }^{81} 1036$ | k | ${ }^{3} 12$ | ${ }^{4} 277$ | ${ }^{70} 277$ | - | - | - |  |
| 23 |  | Ayonix | ayonix | 2 | 2018-10-30 | 74 | ${ }^{79} 1036$ | 1 | ${ }^{2} 11$ | ${ }^{43} 277$ | ${ }^{69} 274$ | ${ }^{27} 531$ | ${ }^{25} 1079$ | ${ }^{22} 2268$ | ${ }^{50} 0.11 N^{1.0}$ |
| 24 |  | Camvi Technologies | camvitech | 1 | 2018-02-16 | 94 | ${ }^{69} 1024$ | 1 | ${ }^{24} 177$ | - | ${ }^{2} 23$ | - | - | - |  |
| 25 |  | Camvi Technologies | camvitech | 2 | 2018-02-16 | 442 | ${ }^{74} 1024$ |  | ${ }^{1 / 2774}$ | - | ${ }^{11} 20$ | - | - | - |  |
| 26 |  | Camvi Technologies | camvitech | 3 | 2018-06-30 | 233 | ${ }^{7 / 21024}$ | 1 | ${ }^{158} 707$ | 10 | ${ }^{9} 11$ | - | - | - |  |
| 27 |  | Camvi Technologies | camvitech | 4 | 2018-10-30 | 233 | ${ }^{66} 1024$ | 1 | ${ }^{165} 718$ | ${ }^{11} 33$ | ${ }^{14} 32$ | ${ }^{8} 38$ | ${ }^{6} 40$ | ${ }^{4} 48$ | ${ }^{2} 8492.66 N^{0.1}$ |
| 28 |  | Camvi Technologies | camvitech | 5 | 2018-10-30 | 257 | ${ }^{61} 1024$ | 1 | ${ }^{1 / 0769}$ | ${ }^{9} 31$ | ${ }^{13} 30$ | - | - | - |  |
| 29 |  | Thales | cogent | 0 | 2018-06-20 | 533 | ${ }^{46} 525$ | k | ${ }^{118} 551$ | ${ }^{63} 494$ | ${ }^{110} 558$ | ${ }^{42} 1047$ | ${ }^{41} 2060$ | ${ }^{33} 4141$ | ${ }^{21} 0.46 N^{1.0}$ |
| 30 |  | Thales | cogent | 1 | 2018-06-20 | 533 | ${ }^{45} 525$ | k | ${ }^{119} 552$ | ${ }^{64} 498$ | ${ }^{108} 556$ | ${ }^{43} 1048$ | ${ }^{42} 2082$ | ${ }^{35} 4263$ | ${ }^{26} 0.39 N^{1.0}$ |
| 31 |  | Thales | cogent | 2 | 2018-10-30 | 681 | ${ }^{84} 1043$ | k | ${ }^{203} 987$ | ${ }^{108} 2017$ | ${ }^{166} 2144$ | ${ }^{73} 4298$ | ${ }^{69} 8472$ | ${ }^{65} 16429$ | ${ }^{37} 1.08 \mathrm{~N}^{1.0}$ |
| 32 |  | Thales | cogent | 3 | 2018-10-30 | 681 | ${ }^{83} 1043$ | k | ${ }^{202} 960$ | ${ }^{88} 1230$ | ${ }^{1461311}$ | ${ }^{63} 2687$ | ${ }^{60} 5398$ | ${ }^{55} 10184$ | ${ }^{39} 0.62 N^{1.0}$ |
| 33 |  | Cognitec Systems GmbH | cognitec | 0 | 2018-06-21 | 364 | ${ }^{155} 2052$ | k | ${ }^{23} 176$ | ${ }^{100} 1748$ | ${ }^{154} 1780$ | ${ }^{68} 3672$ | ${ }^{64} 7093$ | ${ }^{63} 15224$ | ${ }^{55} 0.57 N^{1.0}$ |
| 34 |  | Cognitec Systems GmbH | cognitec | 1 | 2018-06-21 | 412 | ${ }^{149} 2052$ |  | ${ }^{28} 202$ | ${ }^{103} 1835$ | ${ }^{156} 1805$ | ${ }^{71} 3971$ | ${ }^{67} 7484$ | ${ }^{64} 16249$ | ${ }^{60} 0.49 N^{1.1}$ |
| 35 |  | Cognitec Systems GmbH | cognitec | 2 | 2018-10-30 | 463 | ${ }^{151} 2052$ | k | ${ }^{34} 227$ | ${ }^{99} 1733$ | ${ }^{153} 1763$ | ${ }^{67} 3660$ | ${ }^{66} 7279$ | ${ }^{59} 13895$ | ${ }^{40} 0.83 N^{1.0}$ |
| 36 |  | Cognitec Systems GmbH | cognitec | 3 | 2018-10-30 | 465 | ${ }^{157} 2052$ | k | ${ }^{52} 297$ | ${ }^{98} 1719$ | ${ }^{155} 1791$ | ${ }^{66} 3638$ | ${ }^{65} 7277$ | ${ }^{61} 14904$ | ${ }^{52} 0.66 N^{1.0}$ |
| 37 |  | Dahua Technology Co. Ltd | dahua | 0 | 2018-10-29 | 276 | ${ }^{131} 2048$ | k | ${ }^{7}{ }^{2} 378$ | - | ${ }^{65} 256$ | - | - | - |  |
| 38 |  | Dahua Technology Co. Ltd | dahua | 1 | 2018-10-29 | 276 | ${ }^{115} 2048$ | , | ${ }^{68} 371$ | - | ${ }^{64} 256$ | ${ }^{33} 601$ | ${ }^{31} 1199$ | ${ }^{30} 3001$ | ${ }^{78} 0.02 N^{1.2}$ |
| 39 |  | Dermalog | dermalog | 0 | 2018-02-16 | 0 | ${ }^{5} 128$ | 1 | ${ }^{65} 344$ | - | ${ }^{88} 404$ | - | - | - |  |
| 40 |  | Dermalog | dermalog | 1 | 2018-02-16 | 0 | ${ }^{8} 128$ | 1 | ${ }^{22} 171$ | - | ${ }^{91} 407$ | - | - | - |  |
| 41 |  | Dermalog | dermalog | 2 | 2018-02-16 | 0 | ${ }^{18} 256$ | k | ${ }^{64} 344$ | - | ${ }^{119} 640$ | - | - | - |  |
| 42 |  | Dermalog | dermalog | 3 | 2018-06-21 | 0 | ${ }^{7} 128$ | 1 | ${ }^{31} 211$ | ${ }^{17} 92$ | ${ }^{24} 92$ | - | - | - |  |
| 43 |  | Dermalog | dermalog | 4 | 2018-06-21 | 0 | ${ }^{4} 128$ | 1 | ${ }^{29} 208$ | ${ }^{16} 91$ | ${ }^{25} 93$ | - | - | - |  |
| 44 |  | Dermalog | dermalog | 5 | 2018-10-26 | 0 | ${ }^{6} 128$ | 1 | ${ }^{109} 532$ | ${ }^{2} 0$ | ${ }^{1} 0$ | ${ }^{1} 0$ | ${ }^{1} 0$ | ${ }^{1} 0$ | ${ }^{4} 66.21 N^{0.2}$ |
| 45 |  | Dermalog | dermalog | 6 | 2018-10-26 | 0 | ${ }^{24} 256$ | 1 | ${ }^{105} 514$ | ${ }^{25} 141$ | ${ }^{35} 143$ | ${ }^{18} 267$ | ${ }^{16} 527$ | ${ }^{14} 1285$ | ${ }^{53} 0.05 N^{1.0}$ |
| 46 |  | Ever AI | everai | 0 | 2018-06-21 | 142 | ${ }^{140} 2048$ | 1 | ${ }^{99} 438$ | ${ }^{4} 4$ | ${ }^{5} 3$ | ${ }^{2} 5$ | - | - | ${ }^{9} 42.41 N^{0.3}$ |
| 47 |  | Ever AI | everai | 1 | 2018-06-21 | 200 | ${ }^{111} 2048$ |  | ${ }^{125} 590$ | ${ }^{51} 336$ | ${ }^{81} 356$ | ${ }^{35} 651$ | - | - | ${ }^{74} 0.03 N^{1.1}$ |
| 48 |  | Ever AI | everai | 2 | 2018-10-30 | 224 | ${ }^{152} 2048$ | 1 | ${ }^{71} 377$ | ${ }^{46} 278$ | ${ }^{2} 283$ | - | - | - |  |
| 49 |  | Ever AI | everai | 3 | 2018-10-30 | 438 | ${ }^{112} 2048$ | 1 | ${ }^{166} 735$ | ${ }^{45} 278$ | ${ }^{71281}$ | ${ }^{30} 572$ | ${ }^{29} 1146$ | ${ }^{23} 2278$ | ${ }^{48} 0.12 N^{1.0}$ |
| 50 |  | Eyedea Recognition | eyedea | 0 | 2018-02-16 | 644 | ${ }^{194} 4152$ | k | ${ }^{89} 424$ | - | ${ }^{120} 640$ | - | - | - |  |
| 51 |  | Eyedea Recognition | eyedea | 1 | 2018-02-16 | 287 | ${ }^{82} 1036$ | k | ${ }^{56} 311$ | - | ${ }^{76} 307$ | - | - | - |  |
| 52 |  | Eyedea Recognition | eyedea | 2 | 2018-02-16 | 287 | ${ }^{78} 1036$ | , | ${ }^{5} 429$ | - | 305 | - | - | - |  |
| Notes |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  | Configuration size does not capture static data present in libraries. Libraries are not counted because most implementations include common ancillary libraries for image processing (e.g. openCV) or numerical computation (e.g. blas). |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  | This multiplier expresses the increase in template size when $k$ images are passed to the template generation function. |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  | All durations are measured on Intel $®$ Xeon $®$ CPU E5-2630 $\mathrm{v} 4 @ 2.20 \mathrm{GHz}$ processors. Estimates are made by wrapping the API function call in calls to std::chrono::high_resolution_clock which on the machine in (3) counts 1ns clock ticks. Precision is somewhat worse than that however. |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | 4 | Search durations are measured as in the prior note. The power-law model in the final column mostly fits the empirical results in Figure 111. However in certain cases the model is not correct and should not be used numerically. |  |  |  |  |  |  |  |  |  |  |  |  |  |

Table 6: Summary of algorithms and properties included in this report. The blue superscripts give ranking for the quantity in that column. Missing search durations, denoted by "-", are absent because those runs were not executed, usually because we did not run on the larger galleries. Caution: The power-law model is sometimes an incorrect model. It is included here only to show broad sublinear behavior, which is flagged in green. The models should not be used for prediction.

|  | Developer | SHORT | SEQ. | validation | CONFIG ${ }^{1}$ | template generation |  |  | SEARCH DURATION ${ }^{4}$ MILLISEC |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | FULL NAME | NAME | NUM. | DATE | DATA (MB) | SIZE (B) | MULT ${ }^{2}$ | TIME (MS) ${ }^{3}$ | L=1 | L=50 | L=50 | L=50 | L=50 | POWER LAW |
|  |  |  |  |  |  |  |  |  | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=3 \mathrm{M}$ | $\mathrm{N}=6 \mathrm{M}$ | $\mathrm{N}=12 \mathrm{M}$ | ( $\mu \mathrm{s}$ ) |
| 53 | Eyedea Recognition | eyedea | 3 | 2018-06-18 | 284 | ${ }^{80} 1036$ | k | 385 | ${ }^{48} 309$ | ${ }^{73} 311$ | - | - | - |  |
| 54 | Glory Ltd | glory |  | 2018-06-30 | 0 | ${ }^{33} 418$ | k | ${ }^{18} 160$ | ${ }^{70} 575$ | ${ }^{112} 575$ | - | - | - |  |
| 55 | Glory Ltd | glory | 1 | 2018-06-30 | 0 | ${ }^{105} 1726$ | k | ${ }^{81} 405$ | ${ }^{104} 1864$ | ${ }^{159} 1978$ | - | - | - |  |
| 56 | Gorilla Technology | gorilla | 0 | 2018-02-01 | 95 | ${ }^{202} 8300$ | k | ${ }^{91} 427$ | - | ${ }^{200} 10426$ | - | - | - |  |
| 57 | Gorilla Technology | gorilla | 1 | 2018-06-19 | 91 | ${ }^{170} 2156$ | k | ${ }^{21} 169$ | ${ }^{128} 5254$ | ${ }^{190} 5156$ | - | - | - |  |
| 58 | Gorilla Technology | gorilla | 2 | 2018-10-29 | 91 | ${ }^{87} 1132$ | k | ${ }^{62} 341$ | ${ }^{26} 145$ | ${ }^{37} 146$ | ${ }^{19} 293$ | ${ }^{17} 612$ | ${ }^{17} 1509$ | ${ }^{66} 0.02 N^{1.1}$ |
| 59 | Gorilla Technology | gorilla | 3 | 2018-10-26 | 94 | ${ }^{169} 2156$ | k | ${ }^{124} 563$ | ${ }^{105} 1934$ | ${ }^{161} 2047$ | - | - | - |  |
| 60 | loginface Corp | hbinno | 0 | 2018-02-01 | 88 | ${ }^{44} 520$ | - | ${ }^{43} 265$ | - | ${ }^{5} 419$ | - | - | - |  |
| 61 | Hikvision Research Institute | hikvision | 0 | 2018-02-12 | 378 | ${ }^{105} 1808$ | 1 | ${ }^{194} 875$ | - | ${ }^{1 / 72360}$ | - | - | - |  |
| 62 | Hikvision Research Institute | hikvision | 1 | 2018-02-12 | 378 | ${ }^{107} 1808$ | 1 | ${ }^{178} 820$ | - | ${ }^{172} 2403$ | - | - | - |  |
| 63 | Hikvision Research Institute | hikvision | 2 | 2018-02-12 | 378 | ${ }^{106} 1808$ | 1 | ${ }^{176} 820$ | - | ${ }^{173} 2408$ | - | - | - |  |
| 64 | Hikvision Research Institute | hikvision | 3 | 2018-06-30 | 408 | ${ }^{91} 1408$ | 1 | ${ }^{133633}$ | ${ }^{84} 904$ | ${ }^{138} 1108$ | ${ }^{60} 2377$ | ${ }^{53} 3785$ | ${ }^{45} 5770$ | ${ }^{20} 0.91 N^{1.0}$ |
| 65 | Hikvision Research Institute | hikvision | 4 | 2018-06-30 | 334 | ${ }^{88} 1152$ | 1 | ${ }^{104} 510$ | ${ }^{79} 784$ | ${ }^{134} 1024$ | ${ }^{58} 2094$ | ${ }^{52} 3254$ | ${ }^{44} 7117$ | ${ }^{19} 0.86 N^{1.0}$ |
| 66 | Hikvision Research Institute | hikvision | 5 | 2018-10-29 | 593 | ${ }^{90} 1408$ | - | ${ }^{129} 619$ | ${ }^{83} 883$ | ${ }^{132} 895$ | ${ }^{55} 1908$ | ${ }^{543792}$ | ${ }^{52} 9387$ | ${ }^{\text {/20 }} 0.10 N^{1.1}$ |
| 67 | Hikvision Research Institute | hikvision | 6 | 2018-10-29 | 593 | ${ }^{89} 1408$ | 1 | ${ }^{126} 610$ | ${ }^{82871}$ | ${ }^{131} 877$ | - | - | - |  |
| 68 | Idemia | idemia | 0 | 2018-02-16 | 371 | ${ }^{32} 364$ | 1 | ${ }^{86} 416$ | - | ${ }^{28} 133$ | ${ }^{14} 249$ | ${ }^{12} 502$ | - | ${ }^{3} 0.08 N^{1.0}$ |
| 69 | Idemia | idemia | 1 | 2018-02-16 | 371 | ${ }^{30} 364$ | 1 | 417 | - | ${ }^{5138}$ | - | - | - |  |
| 70 | Idemia | idemia | 2 | 2018-02-16 | 371 | ${ }^{31} 364$ | 1 | ${ }^{88} 47$ | - | ${ }^{34} 138$ | - | - | - |  |
| 71 | Idemia | idemia | 3 | 2018-06-21 | 472 | ${ }^{48} 528$ | 1 | ${ }^{149} 689$ | ${ }^{50} 318$ | ${ }^{82} 361$ | ${ }^{34} 631$ | ${ }^{28} 1104$ | ${ }^{24} 2332$ | ${ }^{12} 5.03 N^{0.8}$ |
| 72 | Idemia | idemia | 4 | 2018-06-21 | 472 | ${ }^{50} 528$ | 1 | ${ }^{147} 669$ | ${ }^{29} 168$ | ${ }^{53} 211$ | ${ }^{25} 475$ | ${ }^{23} 995$ | ${ }^{21} 2225$ | ${ }^{73} 0.02 N^{1.1}$ |
| 73 | Idemia | idemia |  | 2018-10-29 | 417 | ${ }^{28} 352$ | 1 | ${ }^{70} 374$ | ${ }^{20} 137$ | ${ }^{32} 138$ | ${ }^{23} 437$ | ${ }^{19} 724$ | ${ }^{19} 1630$ | ${ }^{82} 0.01 N^{1.2}$ |
| 74 | Idemia | idemia | 6 | 2018-10-29 | 417 | ${ }^{29} 352$ | 1 | ${ }^{69} 373$ | ${ }^{21} 137$ | ${ }^{31} 138$ | ${ }^{24} 442$ | ${ }^{22} 827$ | ${ }^{20} 1646$ | ${ }^{83} 0.01 N^{1.2}$ |
| 75 | Imagus Technology Pty Ltd | imagus | 0 | 2018-02-14 | 35 | 512 | k | ${ }^{43}$ | - | ${ }^{48} 202$ | - | - | - |  |
| 76 | Imagus Technology Pty Ltd | imagus | 2 | 2018-06-21 | 35 | ${ }^{34} 512$ | k | ${ }^{9} 76$ | ${ }^{37} 200$ | ${ }^{52} 208$ | - | - | - |  |
| 77 | Imagus Technology Pty Ltd | imagus | 3 | 2018-06-21 | 46 | ${ }^{39} 512$ | k | ${ }^{7} 57$ | ${ }^{38} 201$ | ${ }^{50} 206$ | - | - | - |  |
| 78 | Incode Technologies | incode | 0 | 2018-06-29 | 23 | ${ }^{151024}$ | k | ${ }^{2 / 190}$ | ${ }^{95} 1293$ | ${ }^{185} 3510$ | - | - | - |  |
| 79 | Incode Technologies | incode | 1 | 2018-06-29 | 151 | ${ }^{1442048}$ | k | ${ }^{151} 690$ | ${ }^{94} 1542$ | ${ }^{188} 4497$ | - | - | - |  |
| 80 | Incode Technologies | incode | 2 | 2018-10-29 | 71 | ${ }^{120} 0048$ | 1 | ${ }^{49} 291$ | ${ }^{59} 411$ | ${ }^{89} 404$ | - | - | - |  |
| 81 | Incode Technologies | incode | 3 | 2018-10-29 | 133 | ${ }^{139} 2048$ | 1 | ${ }^{156} 704$ | ${ }^{58} 408$ | ${ }^{94} 412$ | ${ }^{38} 846$ | ${ }^{35} 1606$ | ${ }^{36} 4482$ | ${ }^{69} 0.05 N^{1.1}$ |
| 82 | Innovatrics | innovatrics | 0 | 2018-02-16 | 0 | ${ }^{55} 530$ | k | ${ }^{100} 455$ | - | ${ }^{118} 625$ | - | - | - |  |
| 83 | Innovatrics | innovatrics | 1 | 2018-02-16 | 0 | ${ }^{51530}$ | k | ${ }^{58} 316$ | - | ${ }^{117} 625$ | - | - | - |  |
| 84 | Innovatrics | innovatrics | 2 | 2018-06-21 | 0 | ${ }^{52} 530$ | k | ${ }^{40} 255$ | ${ }^{3} 1$ | ${ }^{3} 2$ | - | - | - |  |
| 85 | Innovatrics | innovatrics | 3 | 2018-06-21 | 0 | ${ }^{54} 530$ | k | ${ }^{41} 255$ | ${ }^{109} 2020$ | ${ }^{157} 1882$ | - | - | - |  |
| 86 | Innovatrics | innovatrics | 4 | 2018-10-30 | 0 | ${ }^{85} 1076$ | k | ${ }^{83} 406$ | ${ }^{6} 8$ | ${ }^{8} 8$ | ${ }^{4} 11$ | ${ }^{3} 9$ | ${ }^{2} 13$ | $668.38 N^{0.2}$ |
| 87 | Alivia / Innovation Sys. | isystems | 0 | 2018-02-14 | 262 | ${ }^{134} 2048$ | 1 | ${ }^{3} 222$ | - | ${ }^{85} 393$ | - | - | - |  |
| 88 | Alivia / Innovation Sys. | isystems | 1 | 2018-02-14 | 263 | ${ }^{63} 1024$ | 1 | ${ }^{32} 222$ | - | ${ }^{55} 240$ | - | - | - |  |
| 89 | Alivia / Innovation Sys. | isystems | 2 | 2018-06-25 | 268 | ${ }^{126} 2048$ | 1 | ${ }^{59} 316$ | ${ }^{55} 385$ | ${ }^{99} 484$ | ${ }^{50} 1275$ | ${ }^{39} 1770$ | ${ }^{31} 3063$ | ${ }^{16} 0.68 N^{0.9}$ |
| 90 | Alivia / Innovation Sys. | isystems | 3 | 2018-10-30 | 350 | ${ }^{142} 2048$ | 1 | ${ }^{189} 856$ | ${ }^{54} 384$ | ${ }^{84} 387$ | ${ }^{41976}$ | ${ }^{40} 1817$ | ${ }^{51} 9319$ | ${ }^{86} 0.00 N^{1.3}$ |
| 91 | Lookman Electroplast Industries | lookman | 3 | 2018-10-28 | 203 | ${ }^{26} 292$ | 1 | ${ }^{63} 342$ | ${ }^{77} 739$ | ${ }^{122745}$ | ${ }^{52} 1394$ | ${ }^{49} 2817$ | ${ }^{46} 8286$ | ${ }^{64} 0.13 N^{1.1}$ |
| 92 | Lookman Electroplast Industries | lookman | 4 | 2018-10-28 | 184 | ${ }^{55} 548$ | 1 | ${ }^{60} 325$ | ${ }^{85} 981$ | ${ }^{133} 998$ | - | - | - |  |
| 93 | Megvii | megvii | 0 | 2018-02-15 | 1327 | ${ }^{138} 2048$ | 1 | ${ }^{174} 794$ | - | ${ }^{73} 284$ | ${ }^{26} 530$ | ${ }^{24} 1060$ | - | ${ }^{30} 0.18 N^{1.0}$ |
| 94 | Megvii | megvii | 1 | 2018-10-28 | 1703 | ${ }^{184} 4096$ | 1 | ${ }^{137} 652$ | ${ }^{68} 551$ | ${ }^{111} 560$ | ${ }^{49} 1219$ | ${ }^{45} 2316$ | ${ }^{42} 5956$ | ${ }^{68} 0.08 N^{1.1}$ |
| 95 | Megvii | megvii | 2 | 2018-10-28 | 1735 | ${ }^{182} 4096$ | 1 | ${ }^{142} 656$ | ${ }^{69} 552$ | ${ }^{109} 557$ | - | - | - |  |
| 96 | Microfocus | microfocus | 0 | 2018-02-12 | 101 | ${ }^{21} 256$ | k | ${ }^{100} 525$ | - | ${ }^{42} 184$ | - | - | - |  |
| 97 | MicroFocus | microfocus | 1 | 2018-02-16 | 101 | ${ }^{15} 256$ |  | ${ }^{100} 527$ | - | ${ }^{20} 39$ | - | - | - |  |
| 98 | Microfocus | microfocus | 2 | 2018-02-16 | 101 | ${ }^{22} 256$ | k | ${ }^{100} 529$ | - | ${ }^{4} 2$ | - | - | - |  |
| 99 | Microfocus | microfocus | 3 | 2018-06-22 | 101 | ${ }^{14} 256$ | k | ${ }^{46} 269$ | ${ }^{33} 185$ | ${ }^{45} 188$ | - | - | - |  |
| 100 | Microfocus | microfocus | 4 | 2018-06-22 | 102 | ${ }^{20} 256$ | k | ${ }^{47} 270$ | ${ }^{34} 186$ | ${ }^{46} 189$ | - | - | - |  |
| 101 | Microfocus | microfocus | 5 | 2018-10-29 | 94 | ${ }^{25} 256$ | k | ${ }^{45} 266$ | ${ }^{\frac{31}{11} 182}$ | ${ }^{44} 186$ | ${ }^{20} 353$ | ${ }^{18} 706$ | ${ }^{15} 1422$ | ${ }^{34} 0.11 N^{1.0}$ |
| 102 | Microfocus | microfocus | 6 | 2018-10-29 | 94 | ${ }^{19} 256$ | k | ${ }^{41265}$ | ${ }^{32} 182$ | ${ }^{43} 186$ | - | - | - |  |
| 103 | Microsoft | microsoft | 0 | 2018-01-30 | 126 | ${ }^{43} 512$ | 1 | ${ }^{48} 283$ | - | ${ }^{114} 593$ | ${ }^{47} 1193$ | ${ }^{46} 2395$ | ${ }^{38} 4936$ | ${ }^{51} 0.22 N^{1.0}$ |
| 104 | Microsoft | microsoft | 1 | 2018-02-12 | 165 | ${ }^{68} 1024$ | 1 | ${ }^{66} 349$ | - | ${ }^{170} 869$ | - | - | - |  |

1 Notes Configuration size does not capture static data present in libraries. Libraries are not counted because most implementations include common ancillary libraries for image processing (e.g. openCV) or numerical computation (e.g. blas).
ease in template size when $k$ images are passed to the template generation function.

4 Search durations are measured as in the prior note. The power-law m m that howeve.
not be used numerically.
Table 7: Summary of algorithms and properties included in this report. The blue superscripts give ranking for the quantity in that column. Missing search durations, denoted by "-", are absent because those runs were not executed, usually because we did not run on the larger galleries. Caution: The power-law model is sometimes an incorrect model. It is included here only to show broad sublinear behavior, which is flagged in green. The models should not be used for prediction.

|  | DEVELOPER | SHORT | SEQ. | VALIDATION | $\mathrm{CONFIG}^{1}$ | TEMPLATE GENERATION |  |  | SEARCH DURATION ${ }^{4}$ MILLISEC |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | FULL NAME | NAME | NUM. | DATE | DATA (MB) | SIZE (B) | MULT ${ }^{2}$ | TIME (MS) ${ }^{3}$ | $\mathrm{L}=1$ | L=50 | L=50 | L=50 | L=50 | POWER LAW |
|  |  |  |  |  |  |  |  |  | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=3 \mathrm{M}$ | $\mathrm{N}=6 \mathrm{M}$ | $\mathrm{N}=12 \mathrm{M}$ | ( $\mu \mathrm{s}$ ) |
| 105 | Microsoft | microsoft | 2 | 2018-02-12 | 228 | ${ }^{73} 1024$ | 1 | ${ }^{120} 555$ | - | ${ }^{129} 869$ | - | - | - |  |
| 106 | Microsoft | microsoft | 3 | 2018-06-20 | 230 | ${ }^{65} 1024$ | 1 | ${ }^{80} 404$ | ${ }^{96} 1638$ | ${ }^{148} 1603$ | ${ }^{65} 3260$ | ${ }^{63} 6730$ | ${ }^{58} 13833$ | ${ }^{56} 0.51 N^{1.1}$ |
| 107 | Microsoft | microsoft | 4 | 2018-06-20 | 437 | ${ }^{127} 2048$ | 1 | ${ }^{171773}$ | ${ }^{117} 2662$ | ${ }^{177} 2691$ | ${ }^{75} 5260$ | ${ }^{71} 11070$ | ${ }^{67} 22748$ | ${ }^{57} 0.83 N^{1.1}$ |
| 108 | Microsoft | microsoft | 5 | 2018-10-29 | 381 | ${ }^{70} 1024$ | 1 | ${ }^{148} 673$ | ${ }^{95} 1604$ | ${ }^{150} 1671$ | ${ }^{64} 3073$ | ${ }^{61} 6296$ | ${ }^{57} 13147$ | ${ }^{38} 0.79 N^{1.0}$ |
| 109 | Microsoft | microsoft | 6 | 2018-10-29 | 478 | ${ }^{62} 1024$ | 1 | ${ }^{152} 695$ | ${ }^{97} 1640$ | ${ }^{149} 1617$ | ${ }^{69} 3707$ | ${ }^{62} 6394$ | ${ }^{56} 12879$ | ${ }^{47} 0.68 N^{1.0}$ |
| 110 | NEC | nec | 0 | 2018-06-21 | 131 | ${ }^{1 / 2} 2592$ | k | ${ }^{10} 82$ | ${ }^{49} 317$ | ${ }^{96} 426$ | ${ }^{37} 738$ | ${ }^{33} 1315$ | ${ }^{27} 2737$ | ${ }^{14} 0.73 N^{0.9}$ |
| 111 | NEC | nec | 1 | 2018-06-29 | 131 | ${ }^{171} 2592$ | k | ${ }^{11} 88$ | ${ }^{36} 193$ | ${ }^{51} 208$ | ${ }^{22} 388$ | ${ }^{20} 750$ | ${ }^{18} 1577$ | ${ }^{18} 0.21 N^{1.0}$ |
| 112 | NEC | nec | 2 | 2018-10-30 | 705 | ${ }^{101} 1616$ | k | ${ }^{140} 653$ | ${ }^{57} 405$ | ${ }^{33} 409$ | ${ }^{44} 1072$ | ${ }^{37} 1755$ | ${ }^{34} 4255$ | ${ }^{70} 0.06 N^{1.1}$ |
| 113 | NEC | nec | 3 | 2018-10-30 | 774 | ${ }^{102} 1712$ | k | ${ }^{150} 690$ | ${ }^{5} 7$ | ${ }^{7} 7$ | ${ }^{5} 14$ | ${ }^{5} 40$ | ${ }^{6} 82$ | ${ }^{80} 0.00 N^{1.2}$ |
| 114 | Neurotechnology | neurotech | 0 | 2018-02-16 | 331 | ${ }^{19} 5214$ | k | ${ }^{154} 702$ | - | ${ }^{182} 3040$ | - | - | - |  |
| 115 | Neurotechnology | neurotech | 1 | 2018-02-16 | 331 | ${ }^{105} 5214$ | k | ${ }^{145} 661$ | - | ${ }^{184} 3054$ | - | - | - |  |
| 116 | Neurotechnology | neurotech | 2 | 2018-02-16 | 331 | ${ }^{199} 5214$ | k | ${ }^{144} 658$ | - | ${ }^{183} 3051$ | - | - | - |  |
| 117 | Neurotechnology | neurotech | 3 | 2018-06-27 | 265 | ${ }^{116} 2048$ | k | ${ }^{116} 547$ | ${ }^{87} 1084$ | ${ }^{135} 1059$ | ${ }^{59} 2111$ | ${ }^{57} 4779$ | ${ }^{49} 8793$ | ${ }^{31} 0.73 N^{1.0}$ |
| 118 | Neurotechnology | neurotech | 4 | 2018-06-27 | 265 | ${ }^{145} 2048$ | k | ${ }^{115} 543$ | ${ }^{86} 1060$ | ${ }^{136} 1061$ | ${ }^{57} 2091$ | ${ }^{56} 4263$ | ${ }^{47} 8736$ | ${ }^{17} 1.22 N^{1.0}$ |
| 119 | Neurotechnology | neurotech | 5 | 2018-10-30 | 266 | ${ }^{17} 256$ | k | ${ }^{84} 412$ | ${ }^{80} 835$ | ${ }^{127} 839$ | ${ }^{54} 1690$ | ${ }^{51} 3219$ | ${ }^{50} 8955$ | ${ }^{62} 0.19 N^{1.1}$ |
| 120 | Neurotechnology | neurotech | 6 | 2018-10-30 | 564 | ${ }^{16} 256$ | k | ${ }^{169} 746$ | ${ }^{81} 839$ | ${ }^{128} 842$ | - | - | - |  |
| 121 | Newland Computer Co. Ltd | newland | 2 | 2018-10-30 | 96 | ${ }^{110} 2048$ | - | ${ }^{191} 868$ | ${ }^{134} 8653$ | ${ }^{199} 8765$ | ${ }^{86} 17713$ | ${ }^{81} 38963$ | - | ${ }^{67} 1.32 N^{1.1}$ |
| 122 | Noblis | noblis | 1 | 2018-10-30 | 114 | ${ }^{128} 2048$ | 1 | ${ }^{30} 211$ | ${ }^{91} 1273$ | ${ }^{143} 1272$ | - | - | - |  |
| 123 | Noblis | noblis | 2 | 2018-10-30 | 153 | ${ }^{200} 6144$ | 1 | ${ }^{110} 535$ | ${ }^{116} 2513$ | ${ }^{175} 2522$ | ${ }^{76} 5649$ | ${ }^{72} 12432$ | ${ }^{73} 44262$ | ${ }^{85} 0.04 N^{1.3}$ |
| 124 | N -Tech Lab | ntech | 0 | 2018-02-16 | 2124 | ${ }^{196} 4442$ | k | ${ }^{166} 730$ | - | ${ }^{83} 382$ | ${ }^{36} 673$ | ${ }^{34} 1344$ | - | ${ }^{22} 0.27 N^{1.0}$ |
| 125 | N-Tech Lab | ntech | 1 | 2018-02-16 | 851 | ${ }^{104} 1736$ | k | ${ }^{82} 405$ | - | ${ }^{38} 161$ | - | - | - |  |
| 126 | N -Tech Lab | ntech | 3 | 2018-06-21 | 3664 | ${ }^{174} 3484$ | k | ${ }^{184} 831$ | ${ }^{53} 384$ | ${ }^{80} 326$ | ${ }^{31} 596$ | ${ }^{30} 1192$ | ${ }^{25} 2411$ | ${ }^{24} 0.24 N^{1.0}$ |
| 127 | N -Tech Lab | ntech | 4 | 2018-06-21 | 3766 | ${ }^{175} 3484$ | k | ${ }^{198} 929$ | ${ }^{52} 378$ | ${ }^{79} 312$ | ${ }^{32} 597$ | ${ }^{32} 1204$ | ${ }^{26} 2416$ | ${ }^{29} 0.21 N^{1.0}$ |
| 128 | N -Tech Lab | ntech | 5 | 2018-10-30 | 1685 | ${ }^{108} 1940$ | k | ${ }^{164} 717$ | ${ }^{42} 243$ | ${ }^{57} 246$ | ${ }^{28} 538$ | ${ }^{26} 1100$ | ${ }^{28} 2867$ | ${ }^{75} 0.02 N^{1.1}$ |
| 129 | N -Tech Lab | ntech | 6 | 2018-10-30 | 1686 | ${ }^{109} 1940$ | k | ${ }^{187} 841$ | ${ }^{41} 243$ | ${ }^{56} 246$ | ${ }^{29} 546$ | ${ }^{27} 1104$ | ${ }^{29} 2873$ | ${ }^{77} 0.02 N^{1.1}$ |
| 130 | Quantasoft | quantasoft | 1 | 2018-10-30 | 276 | ${ }^{17} 2048$ | k | ${ }^{76} 396$ | ${ }^{13515422}$ | ${ }^{20114858}$ | ${ }^{51} 14717$ | - | ${ }^{6618323}$ |  |
| 131 | Rank One Computing | rankone | 0 | 2018-02-07 | 0 | ${ }^{12} 228$ | k | ${ }^{6} 50$ | - | ${ }^{22} 75$ | ${ }^{11} 142$ | ${ }^{10} 220$ | ${ }^{10} 502$ | ${ }^{15} 0.12 N^{0.9}$ |
| 132 | Rank One Computing | rankone | 1 | 2018-02-15 | 0 | ${ }^{2 / 324}$ | k | ${ }^{17} 136$ | - | ${ }^{41} 169$ | - | - | - |  |
| 133 | Rank One Computing | rankone | 2 | 2018-06-19 | 0 | ${ }^{10} 133$ | k | ${ }^{14} 113$ | ${ }^{22} 138$ | ${ }^{29} 137$ | ${ }^{16} 258$ | ${ }^{14} 517$ | ${ }^{12} 1029$ | ${ }^{25} 0.10 N^{1.0}$ |
| 134 | Rank One Computing | rankone | 3 | 2018-06-19 | 0 | ${ }^{11} 133$ | k | ${ }^{15} 114$ | ${ }^{23} 138$ | ${ }^{30} 137$ | ${ }^{15} 258$ | ${ }^{13} 515$ | ${ }^{11} 1027$ | ${ }^{28} 0.09 N^{1.0}$ |
| 135 | Rank One Computing | rankone | 4 | 2018-10-09 | 0 | ${ }^{1} 85$ | k | ${ }^{4} 36$ | ${ }^{19} 101$ | ${ }^{27} 101$ | ${ }^{12} 190$ | - | - | ${ }^{27} 0.07 N^{1.0}$ |
| 136 | Rank One Computing | rankone | 5 | 2018-10-24 | 0 | ${ }^{9} 133$ | k | ${ }^{12} 94$ | ${ }^{24} 140$ | ${ }^{36} 144$ | ${ }^{17} 266$ | ${ }^{15} 525$ | ${ }^{13} 1049$ | ${ }^{23} 0.11 N^{1.0}$ |
| 137 | RealNetworks | realnetworks | 0 | 2018-06-21 | 96 | ${ }^{5} 4100$ | 1 | ${ }^{38} 244$ | ${ }^{123} 4257$ | ${ }^{188} 2740$ | - | - | - |  |
| 138 | RealNetworks | realnetworks | 1 | 2018-06-21 | 105 | ${ }^{189} 4104$ | k | ${ }^{3 / 243}$ | ${ }^{122} 3568$ | ${ }^{164} 2107$ | - | - | - |  |
| 139 | RealNetworks | realnetworks | 2 | 2018-10-30 | 105 | ${ }^{187} 4104$ | k | ${ }^{39} 245$ | ${ }^{107} 2006$ | ${ }^{160} 2046$ | ${ }^{72} 4190$ | ${ }^{70} 8633$ | ${ }^{62} 15020$ | ${ }^{36} 1.08 N^{1.0}$ |
| 140 | KanKan Ai | remarkai | 0 | 2018-10-30 | 187 | ${ }^{129} 2048$ | k | ${ }^{127615}$ | ${ }^{1315685}$ | ${ }^{195} 5723$ | - | - | - |  |
| 141 | KanKan Ai | remarkai | 1 | 2018-10-30 | 187 | ${ }^{114} 2048$ | k | ${ }^{98} 434$ | ${ }^{130} 5680$ | ${ }^{1965761}$ | ${ }^{84} 12475$ | ${ }^{80} 28726$ | ${ }^{76} 59618$ | ${ }^{81} 0.37 N^{1.2}$ |
| 142 | Sensetime Group Ltd | sensetime | 0 | 2018-10-30 | 525 | ${ }^{186} 4104$ | k | ${ }^{102} 715$ | ${ }^{65} 498$ | ${ }^{100} 501$ | ${ }^{48} 1212$ | ${ }^{43} 2281$ | ${ }^{40} 5032$ | ${ }^{65} 0.09 N^{1.1}$ |
| 143 | Sensetime Group Ltd | sensetime | 1 | 2018-10-30 | 525 | ${ }^{188} 4104$ | k | ${ }^{143} 656$ | ${ }^{66} 516$ | ${ }^{101502}$ | ${ }^{45} 1146$ | ${ }^{44} 2301$ | ${ }^{37} 4765$ | ${ }^{63} 0.09 N^{1.1}$ |
| 144 | Shaman Software | shaman | 0 | 2018-02-12 | 0 | ${ }^{181} 4096$ | k | ${ }^{113} 538$ | - | ${ }^{102} 523$ | - | - | - |  |
| 145 | Shaman Software | shaman | 1 | 2018-02-12 | 0 | ${ }^{179} 4096$ | k | ${ }^{121} 557$ | - | ${ }^{103} 524$ | - | - | - |  |
| 146 | Shaman Software | shaman | 2 | 2018-02-12 | 0 | ${ }^{201} 8192$ | k | ${ }^{122} 557$ | - | ${ }^{121} 688$ | - | - | - |  |
| 147 | Shaman Software | shaman | 3 | 2018-06-30 | 0 | ${ }^{125} 2048$ | k | ${ }^{155} 704$ | ${ }^{5} 692$ | ${ }^{7} 310$ | - | - | - |  |
| 148 | Shaman Software | shaman | 4 | 2018-06-30 | 0 | ${ }^{136} 2048$ | k | ${ }^{135} 642$ | ${ }^{61} 434$ | ${ }^{66} 267$ | - | - | - |  |
| 149 | Shaman Software | shaman | 6 | 2018-10-26 | 0 | ${ }^{133} 2048$ | k | ${ }^{155} 706$ | ${ }^{72} 594$ | ${ }^{115} 603$ | - | - | - |  |
| 150 | Shaman Software | shaman | 7 | 2018-10-26 | 0 | ${ }^{123} 2048$ | k | ${ }^{159} 709$ | ${ }^{71593}$ | ${ }^{116} 605$ | ${ }^{46} 1169$ | ${ }^{47} 2411$ | ${ }^{39} 5007$ | ${ }^{49} 0.25 N^{1.0}$ |
| 151 | Shenzhen Inst. Adv. Tech. CAS | SIAT | 0 | 2018-02-14 | 306 | ${ }^{86} 1096$ | k | ${ }^{67} 358$ | $-$ | ${ }^{147} 1343$ | $-$ | - | - |  |
| 152 | Shenzhen Inst. Adv. Tech. CAS | SIAT | 1 | 2018-06-30 | 521 | ${ }^{147} 2052$ | 1 | ${ }^{1888} 842$ | ${ }^{125} 4512$ | ${ }^{186} 4402$ | ${ }^{81} 9103$ | ${ }^{76} 18391$ | ${ }^{71} 38745$ | ${ }^{44} 2.06 N^{1.0}$ |
| 153 | Shenzhen Inst. Adv. Tech. CAS | SIAT | 2 | 2018-02-30 | 521 | ${ }^{153} 2052$ | 1 | ${ }^{195} 506$ | ${ }^{1265101}$ | ${ }^{189} 4884$ | ${ }^{82} 9556$ | ${ }^{77} 18834$ | ${ }^{72} 39717$ | ${ }^{45} 2.08 N^{1.0}$ |
| 154 | Smilart | smilart | 0 | 2018-02-15 | 105 | ${ }^{64} 1024$ | k | ${ }^{20} 168$ | - | ${ }^{1441285}$ | - | - | - |  |
| 155 | Smilart | smilart | 1 | 2018-02-15 | 120 | ${ }^{71} 1024$ | k | ${ }^{146} 662$ | - | ${ }^{139} 1135$ | - | - | - |  |
| 156 | Smilart | smilart | 2 | 2018-02-15 | 109 | ${ }^{61} 1024$ | k | ${ }^{123} 560$ | - | ${ }^{145} 1302$ | - | - | - |  |


|  | Notes |
| :--- | :--- |


| Nonfiguration size does not capture static data present in libraries. Libraries are not counted because most implementations include common ancillary libraries for image processing (e.g. openCV) or |  |
| :---: | :--- |
| 1 | $\begin{array}{l}\text { Con } \\ \text { numerical computation (eg blas) }\end{array}$ | 2 numerical computation (e.g. blas).

 machine in (3) counts 1ns clock ticks. Precision is somewhat worse than that however
${ }^{4} \begin{aligned} & \text { Search durations are measured as in the prior note. The power-law model in the final column mostly fits the empirical results in Figure 111. However in certain cases the model is not correct and should } \\ & \text { not be used numerically. }\end{aligned}$
Table 8: Summary of algorithms and properties included in this report. The blue superscripts give ranking for the quantity in that column. Missing search durations, denoted by "-", are absent because those runs were not executed, usually because we did not run on the larger galleries. Caution: The power-law model is sometimes an incorrect model. It is included here only to show broad sublinear behavior, which is flagged in green. The models should not be used for prediction.

|  | DEveLoper | SHORT | SEQ. | validation | $\mathrm{CONFIG}^{1}$ | template generation |  |  | SEARCH DURATION ${ }^{4}$ MILLISEC |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | FULL NAME | NAME | NUM. | DATE | DATA (MB) | SIZE (B) | MULT ${ }^{2}$ | TIME (MS) ${ }^{3}$ | L=1 | L=50 | L=50 | L=50 | L=50 | POWER LAW |
|  |  |  |  |  |  |  |  |  | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=3 \mathrm{M}$ | $\mathrm{N}=6 \mathrm{M}$ | $\mathrm{N}=12 \mathrm{M}$ | ( $\mu \mathrm{s}$ ) |
| 157 | Smilart | smilart | 4 | 2018-10-30 | 65 | ${ }^{36} 512$ | k | ${ }^{19} 167$ | ${ }^{13615879}$ | ${ }^{202} 15382$ | - | - | - |  |
| 158 | Smilart | smilart | 5 | 2018-10-30 | 562 | ${ }^{130} 2048$ | k | ${ }^{101} 464$ | - | - | - | - | - |  |
| 159 | Synesis | synesis | 0 | 2018-02-15 | 332 | ${ }^{5} 512$ | k | ${ }^{6} 237$ | - | ${ }^{40} 162$ | - | - | - |  |
| 160 | Synesis | synesis | 3 | 2018-10-30 | 237 | ${ }^{177} 4096$ | k | ${ }^{13} 103$ | ${ }^{78} 784$ | ${ }^{125} 796$ | ${ }^{56} 1928$ | ${ }^{55} 3861$ | ${ }^{48} 8748$ | ${ }^{76} 0.07 N^{1.1}$ |
| 161 | Tevian | tevian | 0 | 2018-02-16 | 666 | ${ }^{122} 2048$ | 1 | ${ }^{75} 394$ | - | ${ }^{90} 405$ | - | - | - |  |
| 162 | Tevian | tevian | 1 | 2018-02-16 | 666 | ${ }^{\text {13/2048 }}$ | 1 | ${ }^{5} 398$ | - | ${ }^{87} 403$ | - | - | - |  |
| 163 | Tevian | tevian | 2 | 2018-02-16 | 666 | ${ }^{135} 2048$ | 1 | ${ }^{7} 397$ | - | ${ }^{86} 402$ | - | - | - |  |
| 164 | Tevian | tevian | 3 | 2018-06-20 | 707 | ${ }^{118} 2048$ | 1 | ${ }^{54} 300$ | ${ }^{62} 473$ | ${ }^{106} 539$ | - | - | - |  |
| 165 | Tevian | tevian | 4 | 2018-06-20 | 707 | ${ }^{141} 2048$ | 1 | ${ }^{53} 299$ | ${ }^{60} 434$ | ${ }^{105} 537$ | - | - | - |  |
| 166 | Tevian | tevian | 5 | 2018-10-30 | 773 | ${ }^{1212} 2048$ | 1 | ${ }^{85} 416$ | ${ }^{56} 405$ | ${ }^{92} 407$ | ${ }^{39} 852$ | ${ }^{36} 1753$ | ${ }^{32} 3373$ | ${ }^{54} 0.14 N^{1.0}$ |
| 167 | TigerIT Americas LLC | tiger | 0 | 2018-06-29 | 333 | ${ }^{152} 2052$ | k | ${ }^{3} 428$ | ${ }^{102} 1822$ | ${ }^{180} 2942$ | - | - | - |  |
| 168 | TigerIT Americas LLC | tiger | 1 | 2018-06-27 | 333 | ${ }^{148} 2052$ | k | ${ }^{78} 398$ | ${ }^{1} 0$ | ${ }^{2} 1$ | - | - | - |  |
| 169 | TigerIT Americas LLC | tiger | 2 | 2018-10-29 | 416 | ${ }^{156} 2052$ | k | ${ }^{103} 464$ | ${ }^{101} 1814$ | ${ }^{158} 1919$ | ${ }^{70} 3829$ | ${ }^{68} 7519$ | ${ }^{60} 14805$ | ${ }^{43} 0.83 N^{1.0}$ |
| 170 | TigerIT Americas LLC | tiger | 3 | 2018-10-30 | 416 | ${ }^{154} 2052$ | k | ${ }^{102} 464$ | ${ }^{35} 191$ | ${ }^{47} 189$ | - | - | - |  |
| 171 | TongYi Transportation Technology | tongyi | 0 | 2018-06-29 | 1701 | ${ }^{3} 2070$ | k | ${ }^{26} 190$ | ${ }^{115} 2256$ | ${ }^{169} 2272$ | - | - | - |  |
| 172 | TongYi Transportation Technology | tongyi | 1 | 2018-06-29 | 1701 | ${ }^{161} 2070$ | 1 | ${ }^{25} 189$ | ${ }^{112} 2238$ | ${ }^{168} 2257$ | - | - | - |  |
| 173 | Toshiba | toshiba | 0 | 2018-10-30 | 961 | ${ }^{98} 1548$ | k | ${ }^{200} 930$ | ${ }^{133} 6147$ | ${ }^{197} 6230$ | ${ }^{83} 12209$ | ${ }^{79} 25330$ | ${ }^{75} 49398$ | ${ }^{79} 0.36 N^{1.2}$ |
| 174 | Toshiba | toshiba | 1 | 2018-10-30 | 961 | ${ }^{159} 2060$ | k | ${ }^{201031}$ | ${ }^{132} 6001$ | ${ }^{198} 6349$ | - | - | - |  |
| 175 | Visidon | visidon | 0 | 2018-06-20 | 208 | ${ }^{76} 1028$ | k | ${ }^{61} 337$ | ${ }^{106} 2006$ | ${ }^{176} 2566$ | - | - | - |  |
| 176 | Visidon | visidon | 1 | 2018-10-30 | 166 | ${ }^{150} 2052$ | k | ${ }^{153} 695$ | ${ }^{124} 4357$ | ${ }^{187} 4458$ | ${ }^{80} 8429$ | ${ }^{75} 17210$ | ${ }^{69} 34185$ | ${ }^{35} 2.40 N^{1.0}$ |
| 177 | Vigilant Solutions | vigilant | 0 | 2018-02-08 | 335 | ${ }^{51544}$ | k | ${ }^{180} 823$ | - | ${ }^{162} 2058$ | - | - | - |  |
| 178 | Vigilant Solutions | vigilant | 1 | 2018-02-14 | 249 | ${ }^{158} 2056$ | k | ${ }^{108} 739$ | - | ${ }^{103} 2075$ | - | - | - |  |
| 179 | Vigilant Solutions | vigilant | 2 | 2018-02-14 | 335 | ${ }^{9} 1544$ | k | ${ }^{177} 820$ | ${ }^{5}$ | ${ }^{165} 2121$ | - | - | - |  |
| 180 | Vigilant Solutions | vigilant | 3 | 2018-06-21 | 335 | ${ }^{94} 1544$ | k | ${ }^{185} 832$ | ${ }^{115} 2453$ | ${ }^{170} 2307$ | - | - | - |  |
| 181 | Vigilant Solutions | vigilant | 4 | 2018-06-21 | 337 | ${ }^{73} 1544$ | k | ${ }^{183} 830$ | ${ }^{110} 2050$ | ${ }^{167} 2251$ | - | - | - |  |
| 182 | Vigilant Solutions | vigilant | 5 | 2018-10-30 | 335 | ${ }^{6} 1544$ |  | ${ }^{1 / 3} 778$ | - | ${ }^{152} 1720$ | - | - | - |  |
| 183 | Vigilant Solutions | vigilant | 6 | 2018-10-30 | 337 | ${ }^{92} 1544$ |  | ${ }^{186} 834$ | - | ${ }^{151} 1713$ | - | - | - |  |
| 184 | VisionLabs | visionlabs | 3 | 2018-02-16 | 624 | ${ }^{15} 256$ | 1 | ${ }^{35} 228$ | - | ${ }^{6} 5$ | ${ }^{3} 5$ | ${ }^{2} 6$ | - | ${ }^{6} 417.37 N^{0.2}$ |
| 185 | VisionLabs | visionlabs | 4 | 2018-06-22 | 299 | ${ }^{23} 256$ | 1 | ${ }^{57} 315$ | ${ }^{8} 19$ | ${ }^{10} 17$ | ${ }^{6} 20$ | ${ }^{4} 26$ | ${ }^{3} 29$ | ${ }^{3} 2663.29 N^{0.1}$ |
| 186 | VisionLabs | visionlabs | 5 | 2018-06-22 | 305 | ${ }^{35} 512$ | 1 | ${ }^{55} 300$ | ${ }^{13} 54$ | ${ }^{16} 33$ | ${ }^{7} 37$ | ${ }^{8} 56$ | ${ }^{7} 88$ | ${ }^{10} 166.84 N^{0.4}$ |
| 187 | VisionLabs | visionlabs | 6 | 2018-10-30 | 360 | ${ }^{40} 512$ | 1 | ${ }^{50} 292$ | ${ }^{12} 36$ | ${ }^{18} 36$ | ${ }^{9} 39$ | ${ }^{7} 44$ | ${ }^{5} 53$ | ${ }^{5} 3211.93 N^{0.2}$ |
| 188 | VisionLabs | visionlabs | 7 | 2018-10-30 | 360 | ${ }^{42} 512$ | 1 | ${ }^{51} 293$ | ${ }^{14} 63$ | ${ }^{21} 63$ | ${ }^{10} 72$ | ${ }^{9} 80$ | ${ }^{8} 115$ | ${ }^{8} 2076.32 N^{0.2}$ |
| 189 | Vocord | vocord | 0 | 2018-02-16 | 872 | ${ }^{56} 608$ | k | ${ }^{1115} 536$ | - | ${ }^{67} 268$ | - | - | - |  |
| 190 | Vocord | vocord | 1 | 2018-02-16 | 872 | ${ }^{5 / 608}$ | k | ${ }^{112} 536$ | - | ${ }^{68} 268$ | - | - | - |  |
| 191 | Vocord | vocord | 2 | 2018-02-16 | 924 | ${ }^{119} 2048$ | k | ${ }^{134} 635$ | - | ${ }^{59} 248$ | - | - | - |  |
| 192 | Vocord | vocord | 3 | 2018-06-30 | 627 | ${ }^{59} 896$ | k | ${ }^{161} 714$ | ${ }^{39} 215$ | ${ }^{58} 247$ | - | - | - |  |
| 193 | Vocord | vocord | 4 | 2018-06-30 | 627 | ${ }^{60} 896$ | k | ${ }^{114} 538$ | ${ }^{40} 216$ | ${ }^{63} 253$ | - | - | - |  |
| 194 | Vocord | vocord | 5 | 2018-10-30 | 1035 | ${ }^{58} 768$ | k | ${ }^{179} 822$ | ${ }^{28} 158$ | ${ }^{49} 204$ | ${ }^{21} 383$ | ${ }^{21} 767$ | ${ }^{16} 1466$ | ${ }^{32} 0.12 N^{1.0}$ |
| 195 | Vocord | vocord | 6 | 2018-10-30 | 1035 | ${ }^{205} 10240$ | , | ${ }^{181} 825$ | ${ }^{30} 170$ | ${ }^{54} 216$ | - | - | - |  |
| 196 | Zhuhai Yisheng Electronics Tech. | yisheng | 0 | 2018-02-14 | 473 | ${ }^{168} 2108$ | k | ${ }^{128} 615$ | - | ${ }^{113} 587$ | - | - | - |  |
| 197 | Zhuhai Yisheng Electronics Tech. | yisheng | 1 | 2018-06-19 | 474 | ${ }^{176} 3704$ | , | ${ }^{74} 387$ | ${ }^{111} 2228$ | ${ }^{135} 1108$ | - | - | - |  |
| 198 | Shanghai Yitu Technology | yitu | 0 | 2018-02-12 | 1774 | ${ }^{1919} 4136$ | 1 | ${ }^{132} 633$ | - | ${ }^{98} 464$ | ${ }^{40} 868$ | ${ }^{38} 1769$ | - | ${ }^{59} 0.12 N^{1.1}$ |
| 199 | Shanghai Yitu Technology | yitu | 1 | 2018-02-12 | 1944 | ${ }^{190} 4136$ | 1 | ${ }^{199} 930$ | - | ${ }^{9} 463$ | - | - | - |  |
| 200 | Shanghai Yitu Technology | yitu | 2 | 2018-06-21 | 2077 | ${ }^{193} 4138$ | 1 | ${ }^{192} 870$ | ${ }^{129} 5516$ | ${ }^{192} 5417$ | ${ }^{77} 6101$ | ${ }^{73} 13264$ | ${ }^{68} 33047$ | ${ }^{13} 9.25 N^{0.9}$ |
| 201 | Shanghai Yitu Technology | yitu | 3 | 2018-06-21 | 2077 | ${ }^{1924} 4138$ | 1 | ${ }^{193} 871$ | ${ }^{127} 5248$ | ${ }^{1919} 5242$ | ${ }^{78} 6286$ | ${ }^{78} 19829$ | ${ }^{74} 45621$ | ${ }^{61} 1.08 N^{1.1}$ |
| 202 | Shanghai Yitu Technology | yitu | 4 | 2018-10-30 | 2119 | ${ }^{162} 2070$ | 1 | ${ }^{196} 910$ | ${ }^{92} 1288$ | ${ }^{142} 1203$ | ${ }^{61} 2440$ | ${ }^{59} 5241$ | ${ }^{54} 9671$ | ${ }^{46} 0.52 N^{1.0}$ |
| 203 | Shanghai Yitu Technology | yitu | 5 | 2018-10-30 | 2043 | ${ }^{160} 2070$ | 1 | ${ }^{190} 861$ | ${ }^{90} 1235$ | ${ }^{1411197}$ | ${ }^{62} 2508$ | ${ }^{58} 5003$ | ${ }^{53} 9601$ | ${ }^{42} 0.55 N^{1.0}$ |


| Notes |  |
| :---: | :---: |
| 1 | Configuration size does not capture static data present in libraries. Libraries are not counted because most implementations include common ancillary libraries for image processing (e.g. openCV) or numerical computation (e.g. blas). |
| 2 | This multiplier expresses the increase in template size when $k$ images are passed to the template generation function. |
| 3 | All durations are measured on Intel ®)Xeon(®CPU E5-2630 v4 @ 2.20 GHz processors. Estimates are made by wrapping the API function call in calls to std::chrono::high_resolution_clock which on the machine in (3) counts 1ns clock ticks. Precision is somewhat worse than that however. |
| 4 | Search durations are measured as in the prior note. The power-law model in the final column mostly fits the empirical results in Figure 111. However in certain cases the model is not correct and should not be used numerically. |

Table 9: Summary of algorithms and properties included in this report. The blue superscripts give ranking for the quantity in that column. Missing search durations, denoted by "-", are absent because those runs were not executed, usually because we did not run on the larger galleries. Caution: The power-law model is sometimes an incorrect model. It is included here only to show broad sublinear behavior, which is flagged in green. The models should not be used for prediction.

| $\begin{gathered} \hline \text { MISSES BELOW THRESHOLD, } \mathrm{T} \\ \text { FNIR }(\mathrm{N}, \mathrm{~T}>0, \mathrm{R}>\mathrm{L}) \end{gathered}$ |  | ENROL LIFETIME |  |  |  |  | ENROL MOST RECENT |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | DATASET: FRVT 2018 |  |  |  |  | DATASET: FRVT 2018 |  |  |  |  |
| \# | ALGORITHM | $\mathrm{N}=0.64 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=3.0 \mathrm{M}$ | $\mathrm{N}=6.0 \mathrm{M}$ | $\mathrm{N}=12.0 \mathrm{M}$ | $\mathrm{N}=0.64 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=3.0 \mathrm{M}$ | $\mathrm{N}=6.0 \mathrm{M}$ | $\mathrm{N}=12.0 \mathrm{M}$ |
| 1 | 3DIVI-3 | ${ }^{138} 0.3000$ | ${ }^{124} 0.3499$ | ${ }^{62} 0.3859$ | ${ }^{59} 0.4344$ |  | ${ }^{146} 0.3550$ | ${ }^{145} 0.4023$ |  |  |  |
| 2 | 3DIVI-5 | ${ }^{5} 0.1045$ | ${ }^{94} 0.1339$ |  |  |  | ${ }^{101} 0.1382$ | ${ }^{101} 0.1691$ | ${ }^{12} 0.1938$ | ${ }^{8} 0.2392$ | 0.3087 |
| 3 | ALCHERA-0 | ${ }^{85} 0.0852$ | ${ }^{86} 0.1105$ | ${ }^{50} 0.1361$ | ${ }^{48} 0.1913$ |  | ${ }^{5} 0.1128$ | ${ }^{75} 0.1405$ |  |  |  |
| 4 | ALCHERA-3 | ${ }^{92} 0.1018$ | ${ }^{92} 0.1296$ |  |  |  | ${ }^{96} 0.1205$ | ${ }^{98} 0.1590$ | ${ }^{70} 0.1891$ | ${ }^{69} 0.2467$ | ${ }^{72} 0.3628$ |
| 5 | ANKE-0 | ${ }^{79} 0.0768$ | ${ }^{7 /} 0.0989$ |  |  |  | ${ }^{84} 0.0968$ | ${ }^{83} 0.1199$ | ${ }^{66} 0.1432$ | ${ }^{63} 0.1811$ | ${ }^{60} 0.2624$ |
| 6 | AWARE-3 | ${ }^{84} 0.0846$ | ${ }^{78} 0.0991$ | ${ }^{47} 0.1148$ | ${ }^{43} 0.1459$ |  | ${ }^{94} 0.1122$ | ${ }^{93} 0.1306$ | ${ }^{67} 0.1471$ | ${ }^{62} 0.1793$ | ${ }^{53} 0.2395$ |
| 7 | AWARE-5 | ${ }^{131} 0.2628$ | ${ }^{118} 0.2984$ |  |  |  | ${ }^{144} 0.3459$ | ${ }^{139} 0.3729$ | ${ }^{80} 0.4094$ | ${ }^{77} 0.4615$ | ${ }^{63} 0.2637$ |
| 8 | AYONIX-0 | ${ }^{171} 0.8262$ | ${ }^{139} 0.8490$ | ${ }^{67} 0.8640$ | ${ }^{62} 0.8809$ |  | ${ }^{182} 0.7795$ | ${ }^{180} 0.8114$ |  |  |  |
| 9 | AYONIX-2 | ${ }^{168} 0.7602$ | ${ }^{157} 0.8038$ |  |  |  | ${ }^{185} 0.7867$ | ${ }^{182} 0.8246$ | ${ }^{\text {55 }} 0.8511$ | ${ }^{81} 0.8708$ | ${ }^{9} 0.8946$ |
| 10 | CAMVI-3 | ${ }^{8} 0.0281$ | ${ }^{48} 0.0509$ | ${ }^{35} 0.0680$ | ${ }^{47} 0.1871$ |  | ${ }^{41} 0.0413$ | ${ }^{56} 0.0736$ |  |  |  |
| 11 | CAMVI-4 | ${ }^{29} 0.0257$ | ${ }^{47} 0.0505$ |  |  |  | ${ }^{38} 0.0393$ | ${ }^{57} 0.0741$ | ${ }^{51} 0.1008$ | ${ }^{70} 0.2532$ | ${ }^{64} 0.2731$ |
| 12 | COGENT-0 | ${ }^{51} 0.0387$ | ${ }^{45} 0.0434$ | ${ }^{29} 0.0523$ | ${ }^{26} 0.0784$ | ${ }^{13} 0.1559$ | ${ }^{52} 0.0455$ | ${ }^{45} 0.0557$ | ${ }^{40} 0.0734$ | ${ }^{43} 0.1194$ | ${ }^{40} 0.2029$ |
| 13 | COGENT-1 | ${ }^{68} 0.0598$ | ${ }^{49} 0.0513$ |  |  |  | ${ }^{51} 0.0455$ | ${ }^{44} 0.0557$ | ${ }^{41} 0.0734$ | ${ }^{42} 0.1194$ | ${ }^{39} 0.2029$ |
| 14 | COGENT-2 | ${ }^{19} 0.0220$ | ${ }^{18} 0.0299$ | ${ }^{15} 0.0390$ | ${ }^{25} 0.0703$ | ${ }^{16} 0.1595$ | ${ }^{24} 0.0356$ | ${ }^{50} 0.0475$ | ${ }^{51} 0.0655$ | ${ }^{41} 0.1185$ | ${ }^{6} 0.2241$ |
| 15 | COGENT-3 | ${ }^{30} 0.0258$ | ${ }^{27} 0.0341$ | ${ }^{24} 0.0450$ | ${ }^{29} 0.0842$ | ${ }^{25} 0.1864$ | ${ }^{27} 0.0361$ | ${ }^{36} 0.0515$ | ${ }^{42} 0.0771$ | ${ }^{50} 0.1374$ | ${ }^{56} 0.2488$ |
| 16 | COGNITEC-0 | ${ }^{91} 0.0989$ | ${ }^{90} 0.1256$ |  |  |  | ${ }^{103} 0.1400$ | ${ }^{99} 0.1628$ | ${ }^{71} 0.1892$ | ${ }^{66} 0.2205$ | ${ }^{66} 0.2859$ |
| 17 | COGNITEC-1 | ${ }^{6 /} 0.0597$ | ${ }^{68} 0.0777$ | ${ }^{41} 0.0946$ | ${ }^{40} 0.1315$ | ${ }^{8} 0.2552$ | ${ }^{77} 0.0832$ | 0.1045 | ${ }^{59} 0.1244$ | ${ }^{55} 0.1561$ | ${ }^{51} 0.2338$ |
| 18 | COGNITEC-2 | ${ }^{41} 0.0296$ | ${ }^{39} 0.0401$ | ${ }^{28} 0.0523$ | ${ }^{31} 0.0852$ | ${ }^{34} 0.2298$ | ${ }^{46} 0.0433$ | ${ }^{46} 0.0560$ | ${ }^{35} 0.0695$ | ${ }^{33} 0.0980$ | ${ }^{36} 0.1967$ |
| 19 | COGNITEC-3 | ${ }^{39} 0.0288$ | ${ }^{38} 0.0397$ | ${ }^{27} 0.0505$ | ${ }^{28} 0.0837$ | ${ }^{32} 0.2140$ | ${ }^{44} 0.0427$ | ${ }^{43} 0.0555$ | ${ }^{32} 0.0679$ | ${ }^{31} 0.0938$ | ${ }^{29} 0.1840$ |
| 20 | DAHUA-1 | ${ }^{54} 0.0410$ | ${ }^{50} 0.0521$ |  |  |  | ${ }^{60} 0.0596$ | ${ }^{59} 0.0755$ | ${ }^{47} 0.0905$ | ${ }^{40} 0.1179$ | ${ }^{33} 0.1910$ |
| 21 | DERMALOG-4 | ${ }^{142} 0.3405$ | ${ }^{128} 0.3892$ | ${ }^{64} 0.4181$ | ${ }^{60} 0.4533$ |  | ${ }^{154} 0.4380$ | ${ }^{153} 0.4813$ |  |  |  |
| 22 | DERMALOG-5 | ${ }^{65} 0.0490$ | ${ }^{62} 0.0649$ |  |  |  | ${ }^{74} 0.0726$ | ${ }^{71} 0.0909$ | ${ }^{5} 0.1172$ | ${ }^{58} 0.1618$ | ${ }^{9} 0.2516$ |
| 23 | DERMALOG-6 | ${ }^{36} 0.0276$ | ${ }^{37} 0.0383$ |  |  |  | ${ }^{42} 0.0420$ | ${ }^{41} 0.0542$ | ${ }^{34} 0.0687$ | ${ }^{37} 0.1004$ | ${ }^{28} 0.1812$ |
| 24 | EVERAI-0 | ${ }^{57} 0.0460$ | ${ }^{65} 0.0676$ |  |  |  | ${ }^{68} 0.0681$ | ${ }^{73} 0.0921$ | ${ }^{57} 0.1223$ |  |  |
| 25 | EVERAI-1 | ${ }^{28} 0.0255$ | ${ }^{34} 0.0360$ |  |  |  | ${ }^{33} 0.0383$ | ${ }^{37} 0.0518$ | ${ }^{33} 0.0686$ |  |  |
| 26 | EVERAI-3 | ${ }^{15} 0.0191$ | ${ }^{15} 0.0256$ | ${ }^{11} 0.0338$ | ${ }^{8} 0.0389$ |  | ${ }^{17} 0.0282$ | ${ }^{17} 0.0377$ | ${ }^{18} 0.0473$ | ${ }^{18} 0.0683$ | ${ }^{25} 0.1653$ |
| 27 | EYEDEA-3 | ${ }^{137} 0.2911$ | ${ }^{122} 0.3283$ | ${ }^{61} 0.3673$ | ${ }^{58} 0.4154$ |  | ${ }^{145} 0.3498$ | ${ }^{142} 0.3893$ |  |  |  |
| 28 | GLORY-1 | ${ }^{123} 0.2160$ | ${ }^{110} 0.2447$ | ${ }^{56} 0.2618$ | ${ }^{53} 0.2884$ |  | ${ }^{136} 0.2790$ | ${ }^{133} 0.3067$ |  |  |  |
| 29 | GORILLA-2 | ${ }^{100} 0.1088$ | ${ }^{9} 0.1379$ |  |  |  | ${ }^{108} 0.1561$ | ${ }^{108} 0.1902$ | ${ }^{44} 0.2210$ | 0.2625 | 10.3426 |
| 30 | HIK-2 | 0.1104 | ${ }^{8} 0.1363$ | ${ }^{51} 0.1610$ | ${ }^{49} 0.2061$ | ${ }^{41} 0.3067$ | 0.0985 | ${ }^{88} 0.1212$ |  |  |  |
| 31 | НІК-3 | ${ }^{86} 0.0885$ | ${ }^{85} 0.1097$ |  |  |  | ${ }^{78} 0.0853$ | ${ }^{78} 0.1054$ | ${ }^{58} 0.1228$ | ${ }^{54} 0.1552$ | ${ }^{57} 0.2500$ |
| 32 | HIK-4 | ${ }^{85} 0.0839$ | ${ }^{85} 0.1031$ | ${ }^{48} 0.1225$ | ${ }^{46} 0.1518$ | ${ }^{9} 0.2618$ | ${ }^{76} 0.0821$ | ${ }^{74} 0.1013$ | ${ }^{56} 0.1173$ | ${ }^{55} 0.1498$ | ${ }^{58} 0.2503$ |
| 33 | HIK-5 | ${ }^{18} 0.0218$ | ${ }^{22} 0.0308$ | ${ }^{18} 0.0397$ | ${ }^{22} 0.0661$ |  | ${ }^{23} 0.0339$ | ${ }^{27} 0.0467$ | ${ }^{26} 0.0593$ | ${ }^{32} 0.0967$ | ${ }^{44} 0.2164$ |
| 34 | IDEMIA-0 | ${ }^{0} 0.0645$ | ${ }^{69} 0.0802$ | ${ }^{42} 0.0986$ | 0.1237 | ${ }^{26} 0.1872$ | ${ }^{81} 0.0920$ | ${ }^{81} 0.1135$ | ${ }^{62} 0.1332$ | 0.1628 | ${ }^{45} 0.2208$ |
| 35 | IDEMIA-1 | ${ }^{43} 0.0304$ | ${ }^{36} 0.0377$ | ${ }^{25} 0.0465$ | ${ }^{18} 0.0623$ | ${ }^{14} 0.1578$ | ${ }^{47} 0.0444$ | ${ }^{40} 0.0540$ | ${ }^{29} 0.0647$ | ${ }^{26} 0.0856$ | ${ }^{22} 0.1618$ |
| 36 | IDEMIA-2 | ${ }^{56} 0.0453$ | ${ }^{54} 0.0564$ | ${ }^{33} 0.0668$ | ${ }^{33} 0.0896$ | ${ }^{20} 0.1706$ | ${ }^{49} 0.0449$ | ${ }^{42} 0.0543$ |  |  |  |
| 37 | IDEMIA-3 | ${ }^{23} 0.0238$ | ${ }^{21} 0.0308$ |  |  |  | ${ }^{31} 0.0373$ | ${ }^{31} 0.0497$ | ${ }^{48} 0.0927$ | ${ }^{73} 0.2887$ | ${ }^{74} 0.4442$ |
| 38 | IDEMIA-4 | ${ }^{20} 0.0223$ | ${ }^{16} 0.0276$ | ${ }^{10} 0.0338$ | ${ }^{11} 0.0478$ | ${ }^{11} 0.1556$ | ${ }^{19} 0.0326$ | ${ }^{19} 0.0399$ | ${ }^{17} 0.0472$ | ${ }^{17} 0.0644$ | ${ }^{26} 0.1659$ |
| 39 | IDEMIA-5 | ${ }^{33} 0.0261$ | ${ }^{24} 0.0319$ | ${ }^{17} 0.0395$ | ${ }^{15} 0.0588$ | ${ }^{22} 0.1764$ | ${ }^{34} 0.0385$ | ${ }^{26} 0.0465$ | ${ }^{25} 0.0562$ | ${ }^{25} 0.0788$ | ${ }^{35} 0.1951$ |
| 40 | IDEMIA-6 | ${ }^{26} 0.0253$ | ${ }^{23} 0.0316$ | ${ }^{14} 0.0383$ | ${ }^{14} 0.0581$ | ${ }^{29} 0.2046$ | ${ }^{32} 0.0377$ | ${ }^{24} 0.0458$ | ${ }^{23} 0.0550$ | ${ }^{22} 0.0760$ | ${ }^{47} 0.2242$ |
| 41 | IMAGUS-2 | ${ }^{164} 0.6616$ | ${ }^{155} 0.7143$ | ${ }^{66} 0.7503$ | ${ }^{61} 0.7867$ |  | ${ }^{17 /} 0.7092$ | ${ }^{1 / 6} 0.7510$ |  |  |  |
| 42 | INCODE-1 | ${ }^{107} 0.1400$ | ${ }^{104} 0.1796$ | ${ }^{54} 0.2159$ | ${ }^{52} 0.2741$ |  | ${ }^{114} 0.1763$ | ${ }^{114} 0.2143$ |  |  |  |
| 43 | INCODE-3 | ${ }^{89} 0.0949$ | ${ }^{89} 0.1227$ |  |  |  | ${ }^{100} 0.1349$ | ${ }^{103} 0.1703$ | ${ }^{73} 0.1986$ | ${ }^{67} 0.2378$ | ${ }^{68} 0.3157$ |
| 44 | INNOVATRICS-4 | ${ }^{82} 0.0837$ | ${ }^{74} 0.0928$ |  |  |  | ${ }^{93} 0.1106$ | ${ }^{94} 0.1340$ | ${ }^{65} 0.1418$ | ${ }^{52} 0.1418$ | ${ }^{11} 0.1418$ |
| 45 | ISYSTEMS-0 | ${ }^{61} 0.0485$ | ${ }^{61} 0.0633$ | ${ }^{39} 0.0795$ | ${ }^{37} 0.1057$ | ${ }^{30} 0.2072$ | ${ }^{70} 0.0707$ | ${ }^{72} 0.0912$ |  |  |  |
| 46 | ISYSTEMS-1 | ${ }^{59} 0.0480$ | ${ }^{60} 0.0627$ | ${ }^{38} 0.0784$ | ${ }^{36} 0.1054$ | ${ }^{31} 0.2081$ | ${ }^{69} 0.0702$ | ${ }^{69} 0.0903$ |  |  |  |
| 47 | ISYSTEMS-2 | ${ }^{52} 0.0394$ | ${ }^{53} 0.0545$ | ${ }^{34} 0.0679$ |  |  | ${ }^{62} 0.0612$ | ${ }^{62} 0.0814$ | ${ }^{50} 0.1006$ | ${ }^{51} 0.1405$ | ${ }^{52} 0.2374$ |
| 48 | ISYSTEMS-3 | ${ }^{42} 0.0301$ | ${ }^{41} 0.0402$ | ${ }^{31} 0.0557$ | ${ }^{32} 0.0881$ | ${ }^{28} 0.1992$ | ${ }^{53} 0.0464$ | ${ }^{52} 0.0620$ | ${ }^{45} 0.0840$ | ${ }^{46} 0.1324$ | ${ }^{54} 0.2417$ |
| 49 | LOOKMAN-3 | ${ }^{46} 0.0335$ | ${ }^{43} 0.0425$ |  |  |  | 0.0372 | ${ }^{25} 0.0463$ | ${ }^{20} 0.0541$ | ${ }^{21} 0.0758$ | ${ }^{24} 0.1650$ |
| 50 | MEGVII-0 | ${ }^{81} 0.0822$ | ${ }^{82} 0.1023$ | ${ }^{49} 0.1228$ | ${ }^{44} 0.1489$ | ${ }^{5} 0.2348$ | ${ }^{0} 0.0895$ | ${ }^{\text {80 }} 0.1086$ | ${ }^{11} 0.1287$ | ${ }^{57} 0.1606$ | ${ }^{49} 0.2288$ |
| 51 | MEGVII-1 |  |  |  |  |  | ${ }^{58} 0.0586$ | ${ }^{58} 0.0746$ | ${ }^{46} 0.0896$ | ${ }^{47} 0.1338$ | ${ }^{65} 0.2761$ |
| 52 | MICROFOCUS-3 | ${ }^{179} 0.9002$ | ${ }^{145} 0.9213$ | ${ }^{69} 0.9342$ |  |  | ${ }^{188} 0.9119$ | ${ }^{187} 0.9310$ |  |  |  |
| 53 | MICROFOCUS-5 | ${ }^{182} 0.9679$ | ${ }^{145} 0.9835$ |  |  |  | ${ }^{195} 0.9733$ | ${ }^{184} 0.8361$ | ${ }^{86} 0.8563$ | ${ }^{82} 0.8760$ | ${ }^{80} 0.8958$ |
| 54 | MICROSOFT-0 | ${ }^{16} 0.0208$ | ${ }^{17} 0.0292$ | ${ }^{12} 0.0361$ | ${ }^{12} 0.0536$ | ${ }^{10} 0.1502$ | ${ }^{20} 0.0329$ | ${ }^{21} 0.0443$ | ${ }^{21} 0.0544$ | ${ }^{23} 0.0767$ | ${ }^{27} 0.1733$ |
| 55 | MICROSOFT-1 | ${ }^{\text {1/ }} 0.0214$ | ${ }^{19} 0.0299$ | ${ }^{15} 0.0373$ | ${ }^{15} 0.0542$ | ${ }^{15} 0.1585$ | ${ }^{22} 0.0339$ | ${ }^{25} 0.0449$ |  |  |  |
| 56 | MICROSOFT-2 | ${ }^{25} 0.0252$ | ${ }^{29} 0.0345$ | ${ }^{19} 0.0425$ | ${ }^{16} 0.0600$ | ${ }^{12} 0.1558$ | ${ }^{35} 0.0387$ | ${ }^{34} 0.0503$ |  |  |  |
| 57 | MICROSOFT-3 | ${ }^{14} 0.0133$ | ${ }^{14} 0.0193$ |  |  |  | ${ }^{16} 0.0223$ | ${ }^{16} 0.0304$ | ${ }^{16} 0.0384$ | ${ }^{15} 0.0570$ | ${ }^{20} 0.1603$ |
| 58 | MICROSOFT-4 | ${ }^{10} 0.0128$ | ${ }^{11} 0.0179$ | ${ }^{8} 0.0241$ | ${ }^{9} 0.0405$ | ${ }^{17} 0.1628$ | ${ }^{13} 0.0209$ | ${ }^{13} 0.0288$ | ${ }^{15} 0.0360$ | ${ }^{13} 0.0550$ | ${ }^{18} 0.1576$ |
| 59 | MICROSOFT-5 | ${ }^{9} 0.0119$ | ${ }^{9} 0.0171$ | 0.0218 | 0.0387 | ${ }^{18} 0.1654$ | ${ }^{12} 0.0201$ | ${ }^{12} 0.0279$ | ${ }^{12} 0.0347$ | ${ }^{12} 0.0545$ | ${ }^{15} 0.1549$ |
| 60 | MICROSOFT-6 | ${ }^{5} 0.0058$ | ${ }^{5} 0.0080$ | ${ }^{5} 0.0110$ | ${ }^{6} 0.0284$ | ${ }^{19} 0.1664$ | ${ }^{5} 0.0109$ | ${ }^{5} 0.0141$ | ${ }^{5} 0.0183$ | ${ }^{5} 0.0343$ | ${ }^{13} 0.1544$ |
| 61 | NEC-0 | ${ }^{60} 0.0483$ | ${ }^{57} 0.0604$ | ${ }^{37} 0.0726$ | ${ }^{35} 0.0989$ | ${ }^{36} 0.2378$ | ${ }^{64} 0.0662$ | ${ }^{63} 0.0815$ | ${ }^{49} 0.0961$ | ${ }^{44} 0.1199$ | ${ }^{37} 0.1994$ |
| 62 | NEC-1 | ${ }^{73} 0.0711$ | ${ }^{75} 0.0899$ |  |  |  | ${ }^{79} 0.0889$ | ${ }^{79} 0.1081$ | ${ }^{60} 0.1276$ | ${ }^{56} 0.1565$ | ${ }^{50} 0.2311$ |
| 63 | NEC-2 | ${ }^{2} 0.0018$ | ${ }^{2} 0.0024$ | ${ }^{2} 0.0038$ | ${ }^{4} 0.0211$ | ${ }^{2} 0.0991$ | ${ }^{1} 0.0040$ | ${ }^{2} 0.0047$ | ${ }^{2} 0.0057$ | ${ }^{2} 0.0190$ | ${ }^{2} 0.0723$ |
| 64 | NEC-3 | ${ }^{1} 0.0018$ | ${ }^{1} 0.0021$ | ${ }^{1} 0.0026$ | ${ }^{1} 0.0113$ | ${ }^{1} 0.0788$ | ${ }^{2} 0.0040$ | ${ }^{1} 0.0044$ | ${ }^{1} 0.0049$ | ${ }^{1} 0.0095$ | ${ }^{1} 0.0580$ |
| 65 | NEUROTECHNOLOGY-3 | ${ }^{159} 0.5809$ | ${ }^{154} 0.6390$ |  |  |  | ${ }^{172} 0.5959$ | ${ }^{1 / 2} 0.6649$ | ${ }^{84} 0.7217$ | ${ }^{50} 0.7852$ | ${ }^{18} 0.8336$ |
| 66 | NEUROTECHNOLOGY-4 | ${ }^{55} 0.0427$ | ${ }^{55} 0.0575$ | ${ }^{36} 0.0711$ | ${ }^{34} 0.0954$ | ${ }^{24} 0.1845$ | ${ }^{55} 0.0493$ | ${ }^{54} 0.0656$ | ${ }^{44} 0.0810$ | ${ }^{38} 0.1167$ | ${ }^{42} 0.2138$ |
| 67 | NEUROTECHNOLOGY-5 | ${ }^{50} 0.0384$ | ${ }^{51} 0.0527$ | ${ }^{30} 0.0546$ | ${ }^{27} 0.0811$ | ${ }^{7} 0.1366$ | ${ }^{43} 0.0422$ | ${ }^{48} 0.0564$ | ${ }^{37} 0.0705$ | ${ }^{36} 0.0988$ | ${ }^{38} 0.2014$ |
| 68 | NEWLAND-2 |  |  |  |  |  | ${ }^{150} 0.4015$ | ${ }^{150} 0.4405$ | ${ }^{81} 0.4719$ | ${ }^{78} 0.5133$ |  |
| 69 | NOBLIS-2 | ${ }^{185} 0.9943$ | ${ }^{147} 0.9959$ |  |  |  | ${ }^{199} 0.9963$ | ${ }^{196} 0.9974$ | ${ }^{88} 0.9980$ | ${ }^{83} 0.9986$ |  |
| 70 | NTECHLAB-0 | ${ }^{65} 0.0518$ | ${ }^{63} 0.0666$ | ${ }^{40} 0.0850$ | ${ }^{38} 0.1158$ |  | ${ }^{67} 0.0677$ | ${ }^{64} 0.0830$ | ${ }^{52} 0.1029$ | ${ }^{45} 0.1306$ | ${ }^{34} 0.1948$ |
| 71 | NTECHLAB-1 | ${ }^{69} 0.0634$ | ${ }^{70} 0.0818$ | ${ }^{43} 0.1006$ | ${ }^{42} 0.1337$ | ${ }^{33} 0.2162$ | ${ }^{75} 0.0803$ | ${ }^{76} 0.1021$ |  |  |  |
| 72 | NTECHLAB-3 | ${ }^{45} 0.0329$ | ${ }^{44} 0.0434$ |  |  |  | ${ }^{48} 0.0445$ | ${ }^{47} 0.0561$ | ${ }^{36} 0.0699$ | ${ }^{30} 0.0933$ | ${ }^{21} 0.1609$ |

Table 10: Identification-mode: Effect of N on FNIR at high threshold. Values are threshold-based miss rates i.e. FNIR at FPIR $=0.001$ for five enrollment population sizes, $N$. The left six columns apply for enrollment of a variable number of images per subject. The right six columns apply for enrollment of one image. Missing entries usually apply because another algorithm from the same developer was run instead. Some developers are missing because less accurate algorithms were not run on galleries with $N \geq 3000000$. Throughout blue superscripts indicate the rank of the algorithm for that column.

| $2019 / 09 / 11$ | FNIR $(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects |
| :--- | ---: | :--- | :--- |
| $16: 09: 13$ | $\operatorname{FPIR}(\mathrm{~N}, \mathrm{~T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined |$\quad \mathrm{T}=$ Threshold $\quad \mathrm{T}=0 \rightarrow$ Investigation


| MISSES BELOW THRESHOLD, T |  | ENROL LIFETIME |  |  |  |  | ENROL MOST RECENT |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | IR( $\mathrm{N}, \mathrm{T}>0, \mathrm{R}>\mathrm{L}$ ) | DATASET: FRVT 2018 |  |  |  |  | DATASET: FRVT 2018 |  |  |  |  |
| \# | ALGORITHM | $\mathrm{N}=0.64 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=3.0 \mathrm{M}$ | $\mathrm{N}=6.0 \mathrm{M}$ | $\mathrm{N}=12.0 \mathrm{M}$ | $\mathrm{N}=0.64 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=3.0 \mathrm{M}$ | $\mathrm{N}=6.0 \mathrm{M}$ | $\mathrm{N}=12.0 \mathrm{M}$ |
| 73 | NTECHLAB-4 | ${ }^{27} 0.0253$ | ${ }^{26} 0.0337$ | ${ }^{20} 0.0433$ | ${ }^{24} 0.0692$ | ${ }^{23} 0.1845$ | ${ }^{21} 0.0337$ | ${ }^{20} 0.0431$ | ${ }^{22} 0.0545$ | ${ }^{20} 0.0749$ | ${ }^{12} 0.1528$ |
| 74 | NTECHLAB-5 | ${ }^{35} 0.0268$ | ${ }^{31} 0.0347$ |  |  |  | ${ }^{26} 0.0358$ | ${ }^{22} 0.0448$ | ${ }^{24} 0.0561$ | ${ }^{24} 0.0785$ | ${ }^{17} 0.1572$ |
| 75 | NTECHLAB-6 | ${ }^{21} 0.0227$ | ${ }^{20} 0.0301$ | ${ }^{16} 0.0395$ | ${ }^{21} 0.0654$ | ${ }^{27} 0.1897$ | ${ }^{18} 0.0311$ | ${ }^{18} 0.0391$ | ${ }^{19} 0.0496$ | ${ }^{19} 0.0696$ | ${ }^{14} 0.1548$ |
| 76 | QUANTASOFT-1 | ${ }^{184} 0.9915$ | ${ }^{146} 0.9915$ |  |  |  | ${ }^{1 / 5} 0.6399$ | ${ }^{1 / 0} 0.6399$ | ${ }^{85} 0.6399$ |  | ${ }^{76} 0.6399$ |
| 77 | RANKONE-0 | ${ }^{108} 0.1485$ | ${ }^{103} 0.1788$ | ${ }^{55} 0.2210$ | ${ }^{54} 0.3260$ | ${ }^{43} 0.4758$ | ${ }^{116} 0.1899$ | ${ }^{115} 0.2192$ | ${ }^{78} 0.2635$ | ${ }^{74} 0.2992$ | ${ }^{73} 0.4301$ |
| 78 | RANKONE-1 | ${ }^{102} 0.1211$ | ${ }^{101} 0.1549$ | ${ }^{53} 0.1804$ | ${ }^{51} 0.2371$ | ${ }^{42} 0.3530$ | ${ }^{107} 0.1542$ | ${ }^{100} 0.1683$ |  |  |  |
| 79 | RANKONE-2 | ${ }^{7 \prime} 0.0744$ | ${ }^{6 / 0} 0.0943$ |  |  |  | ${ }^{12} 0.0998$ | ${ }^{55} 0.1200$ | ${ }^{64} 0.1382$ | ${ }^{61} 0.1744$ | ${ }^{62} 0.2636$ |
| 80 | RANKONE-3 | ${ }^{76} 0.0744$ | ${ }^{75} 0.0943$ | ${ }^{46} 0.1120$ | ${ }^{45} 0.1490$ | ${ }^{40} 0.2946$ | ${ }^{91} 0.0998$ | ${ }^{84} 0.1200$ | ${ }^{63} 0.1382$ | ${ }^{60} 0.1744$ | ${ }^{61} 0.2636$ |
| 81 | RANKONE-4 | ${ }^{105} 0.1265$ | ${ }^{100} 0.1545$ |  |  |  | ${ }^{109} 0.1631$ | ${ }^{109} 0.1951$ | ${ }^{75} 0.2211$ |  |  |
| 82 | RANKONE-5 | ${ }^{48} 0.0347$ | ${ }^{46} 0.0447$ | ${ }^{32} 0.0571$ | ${ }^{30} 0.0847$ | ${ }^{37} 0.2549$ | ${ }^{56} 0.0499$ | ${ }^{50} 0.0617$ | ${ }^{38} 0.0728$ | ${ }^{35} 0.0984$ | ${ }^{41} 0.2031$ |
| 83 | REALNETWORKS-0 | ${ }^{119} 0.2098$ | ${ }^{112} 0.2476$ | ${ }^{58} 0.2837$ |  |  | ${ }^{120} 0.2003$ | ${ }^{119} 0.2362$ |  |  |  |
| 84 | REALNETWORKS-2 | ${ }^{110} 0.1688$ | ${ }^{106} 0.2049$ |  |  |  | ${ }^{118} 0.1974$ | ${ }^{117} 0.2341$ | ${ }^{79} 0.2691$ | ${ }^{75} 0.3186$ | ${ }^{69} 0.3261$ |
| 85 | REMARKAI-2 | ${ }^{74} 0.0731$ | ${ }^{79} 0.0991$ |  |  |  | ${ }^{85} 0.0971$ | ${ }^{91} 0.1264$ | ${ }^{68} 0.1495$ | ${ }^{65} 0.1928$ |  |
| 86 | SENSETIME-0 | ${ }^{8} 0.0118$ | ${ }^{8} 0.0165$ |  |  |  | ${ }^{10} 0.0184$ | ${ }^{9} 0.0234$ | 0.0296 | 0.0427 | ${ }^{8} 0.1287$ |
| 87 | SENSETIME-1 | ${ }^{11} 0.0129$ | ${ }^{10} 0.0175$ |  |  |  | ${ }^{11} 0.0186$ | ${ }^{11} 0.0245$ | ${ }^{11} 0.0304$ | ${ }^{11} 0.0448$ | ${ }^{9} 0.1344$ |
| 88 | SHAMAN-3 | ${ }^{145} 0.3506$ | ${ }^{129} 0.3921$ | ${ }^{65} 0.4295$ |  |  | ${ }^{151} 0.4179$ | ${ }^{151} 0.4527$ |  |  |  |
| 89 | SHAMAN-7 | ${ }^{88} 0.0924$ | ${ }^{88} 0.1112$ |  |  |  | ${ }^{88} 0.1236$ | ${ }^{97} 0.1436$ | ${ }^{69} 0.1610$ | ${ }^{64} 0.1901$ | ${ }^{55} 0.2480$ |
| 90 | SIAT-1 | ${ }^{132} 0.2695$ | ${ }^{116} 0.2727$ | ${ }^{57} 0.2758$ |  |  | 0.0160 | ${ }^{6} 0.0201$ | 0.0260 | ${ }^{6} 0.0380$ | ${ }^{3} 0.1069$ |
| 91 | SIAT-2 | ${ }^{125} 0.2198$ | ${ }^{108} 0.2239$ |  |  |  | ${ }^{9} 0.0179$ | ${ }^{10} 0.0242$ | ${ }^{10} 0.0301$ | ${ }^{10} 0.0434$ | ${ }^{10} 0.1377$ |
| 92 | SMILART-4 | ${ }^{172} 0.8381$ | ${ }^{144} 0.9569$ |  |  |  | ${ }^{192} 0.9260$ | ${ }^{191} 0.9683$ | ${ }^{87} 0.9913$ |  |  |
| 93 | SYNESIS-3 | ${ }^{154} 0.4748$ | ${ }^{132} 0.5296$ |  |  |  | ${ }^{164} 0.5353$ | ${ }^{164} 0.5832$ | ${ }^{82} 0.6123$ | ${ }^{79} 0.6489$ | ${ }^{77} 0.6838$ |
| 94 | TEVIAN-4 | ${ }^{72} 0.0685$ | ${ }^{72} 0.0878$ | ${ }^{45} 0.1032$ |  |  | ${ }^{83} 0.0952$ | ${ }^{86} 0.1201$ |  |  |  |
| 95 | TEVIAN-5 | ${ }^{66} 0.0518$ | ${ }^{64} 0.0667$ |  |  |  | ${ }^{12} 0.0717$ | ${ }^{68} 0.0898$ | ${ }^{54} 0.1094$ | ${ }^{48} 0.1338$ | ${ }^{30} 0.1873$ |
| 96 | TIGER-0 | ${ }^{136} 0.2859$ | ${ }^{123} 0.3361$ | ${ }^{60} 0.3659$ | ${ }^{57} 0.4139$ |  | ${ }^{143} 0.3452$ | ${ }^{143} 0.3921$ |  |  |  |
| 97 | TIGER-2 | ${ }^{64} 0.0511$ | ${ }^{66} 0.0698$ |  |  |  | ${ }^{66} 0.0671$ | ${ }^{66} 0.0888$ | ${ }^{53} 0.1065$ | ${ }^{49} 0.1361$ | ${ }^{48} 0.2284$ |
| 98 | TONGYITRANS-1 | ${ }^{71} 0.0658$ | ${ }^{71} 0.0835$ | ${ }^{44} 0.1017$ | ${ }^{41} 0.1328$ |  | ${ }^{7} 0.0545$ | ${ }^{55} 0.0693$ |  |  |  |
| 99 | TOSHIBA-0 | ${ }^{49} 0.0374$ | ${ }^{52} 0.0529$ |  |  |  | ${ }^{54} 0.0488$ | ${ }^{53} 0.0648$ | ${ }^{45} 0.0809$ | ${ }^{39} 0.1170$ | ${ }^{45} 0.2140$ |
| 100 | VD-0 | ${ }^{176} 0.8686$ | ${ }^{142} 0.9048$ | ${ }^{68} 0.9242$ | ${ }^{63} 0.9381$ |  | ${ }^{186} 0.8892$ | ${ }^{186} 0.9171$ |  |  |  |
| 101 | VD-1 | ${ }^{106} 0.1312$ | ${ }^{102} 0.1654$ |  |  |  | ${ }^{110} 0.1664$ | ${ }^{115} 0.2036$ | ${ }^{71} 0.2372$ | ${ }^{72} 0.2759$ | ${ }^{70} 0.3314$ |
| 102 | VIGILANTSOLUTIONS-3 | ${ }^{139} 0.3061$ | ${ }^{125} 0.3568$ | ${ }^{63} 0.3861$ | ${ }^{55} 0.3861$ |  | ${ }^{149} 0.3648$ | ${ }^{147} 0.4097$ |  |  |  |
| 103 | VISIONLABS-3 | ${ }^{31} 0.0260$ | ${ }^{30} 0.0347$ | ${ }^{23} 0.0444$ | ${ }^{23} 0.0678$ |  | ${ }^{39} 0.0394$ | ${ }^{35} 0.0506$ | ${ }^{28} 0.0629$ | ${ }^{29} 0.0902$ |  |
| 104 | VISIONLABS-4 | ${ }^{40} 0.0294$ | ${ }^{40} 0.0402$ |  |  |  | ${ }^{50} 0.0452$ | ${ }^{49} 0.0604$ | ${ }^{39} 0.0733$ | ${ }^{34} 0.0982$ | ${ }^{31} 0.1893$ |
| 105 | VISIONLABS-5 | ${ }^{24} 0.0250$ | ${ }^{32} 0.0353$ | ${ }^{22} 0.0441$ | ${ }^{19} 0.0628$ | ${ }^{21} 0.1727$ | ${ }^{40} 0.0396$ | ${ }^{39} 0.0531$ | ${ }^{30} 0.0654$ | ${ }^{28} 0.0878$ | ${ }^{32} 0.1894$ |
| 106 | VISIONLABS-6 | ${ }^{15} 0.0131$ | ${ }^{15} 0.0185$ |  |  |  | ${ }^{15} 0.0211$ | ${ }^{15} 0.0289$ | ${ }^{14} 0.0359$ | ${ }^{16} 0.0571$ | ${ }^{16} 0.1572$ |
| 107 | VISIONLABS-7 | ${ }^{12} 0.0131$ | ${ }^{12} 0.0185$ | ${ }^{9} 0.0242$ | ${ }^{10} 0.0412$ | ${ }^{9} 0.1495$ | ${ }^{14} 0.0211$ | ${ }^{14} 0.0289$ | ${ }^{13} 0.0359$ | ${ }^{14} 0.0569$ | ${ }^{19} 0.1576$ |
| 108 | VOCORD-3 | ${ }^{90} 0.0969$ | ${ }^{91} 0.1295$ | ${ }^{52} 0.1627$ | ${ }^{50} 0.2361$ |  | ${ }^{88} 0.0973$ | ${ }^{90} 0.1258$ |  |  |  |
| 109 | VOCORD-5 | ${ }^{75} 0.0735$ | ${ }^{84} 0.1076$ |  |  |  | ${ }^{\text {T9 }} 0.1261$ | ${ }^{102} 0.1697$ | ${ }^{76} 0.2327$ | ${ }^{76} 0.3286$ | ${ }^{75} 0.4628$ |
| 110 | YISHENG-1 | ${ }^{130} 0.2539$ | ${ }^{119} 0.3002$ | ${ }^{59} 0.3366$ | ${ }^{56} 0.3892$ |  | ${ }^{138} 0.3026$ | ${ }^{136} 0.3483$ |  |  |  |
| 111 | YITU-0 | ${ }^{77} 0.0279$ | ${ }^{35} 0.0358$ | ${ }^{26} 0.0468$ | ${ }^{20} 0.0636$ | ${ }^{8} 0.1389$ | ${ }^{36} 0.0388$ | ${ }^{35} 0.0502$ | ${ }^{2 /} 0.0622$ | ${ }^{2 /} 0.0862$ | ${ }^{25} 0.1621$ |
| 112 | YITU-1 | ${ }^{32} 0.0261$ | ${ }^{28} 0.0341$ | ${ }^{21} 0.0434$ | ${ }^{17} 0.0611$ | ${ }^{6} 0.1361$ | ${ }^{29} 0.0366$ | ${ }^{29} 0.0472$ |  |  |  |
| 113 | YITU-2 | ${ }^{6} 0.0096$ | ${ }^{6} 0.0133$ | ${ }^{6} 0.0174$ | ${ }^{5} 0.0274$ | ${ }^{5} 0.1180$ | ${ }^{6} 0.0156$ | ${ }^{7} 0.0204$ | ${ }^{6} 0.0258$ | ${ }^{7} 0.0382$ | ${ }^{6} 0.1241$ |
| 114 | YITU-3 | ${ }^{7} 0.0103$ | ${ }^{7} 0.0139$ |  |  |  | ${ }^{8} 0.0165$ | ${ }^{8} 0.0213$ | ${ }^{8} 0.0266$ | ${ }^{8} 0.0389$ | ${ }^{7} 0.1248$ |
| 115 | YITU-4 | ${ }^{3} 0.0052$ | ${ }^{3} 0.0074$ | ${ }^{3} 0.0097$ | ${ }^{2} 0.0187$ | ${ }^{4} 0.1153$ | ${ }^{3} 0.0093$ | ${ }^{3} 0.0123$ | ${ }^{3} 0.0159$ | ${ }^{3} 0.0273$ | ${ }^{4} 0.1107$ |
| 116 | YITU-5 | ${ }^{4} 0.0057$ | ${ }^{4} 0.0076$ | ${ }^{4} 0.0100$ | ${ }^{3} 0.0188$ | ${ }^{3} 0.1111$ | ${ }^{4} 0.0101$ | ${ }^{4} 0.0128$ | ${ }^{4} 0.0163$ | ${ }^{4} 0.0294$ | ${ }^{5} 0.1118$ |

Table 11: Identification-mode: Effect of $\mathbf{N}$ on FNIR at high threshold. Values are threshold-based miss rates i.e. FNIR at FPIR $=0.001$ for five enrollment population sizes, $N$. The left six columns apply for enrollment of a variable number of images per subject. The right six columns apply for enrollment of one image. Missing entries usually apply because another algorithm from the same developer was run instead. Some developers are missing because less accurate algorithms were not run on galleries with $N \geq 3000000$. Throughout blue superscripts indicate the rank of the algorithm for that column.

| $2019 / 09 / 11$ | FNIR $(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | $\mathrm{T}=$ Threshold |
| :--- | ---: | :--- | :--- | :--- |
| 16:09:13 | FPIR $(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined | $\mathrm{T}=0 \rightarrow$ Investigation |
| $\mathrm{T}>0 \rightarrow$ Identification |  |  |  |  |


| MISSES NOT AT RANK 1 $\operatorname{FNIR}(\mathrm{N}, \mathrm{T}=0, \mathrm{R}=1$ ) |  | ENROL Lifetime |  |  |  |  |  | ENROL MOST RECENT |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | DATA | ET: FRVT 20 |  |  |  |  | DAT | T: FRVT 20 |  |  |
| \# | ALGORITHM | $\mathrm{N}=0.64 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=3.0 \mathrm{M}$ | $\mathrm{N}=6.0 \mathrm{M}$ | $\mathrm{N}=12.0 \mathrm{M}$ | $a N^{\text {b }}$ | $\mathrm{N}=0.64 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=3.0 \mathrm{M}$ | $\mathrm{N}=6.0 \mathrm{M}$ | $\mathrm{N}=12.0 \mathrm{M}$ | $a N^{b}$ |
| 1 | 3DIVI-3 | ${ }^{140} 0.0494$ | ${ }^{123} 0.0645$ | ${ }^{61} 0.0759$ | ${ }^{57} 0.0898$ |  | ${ }^{89} 0.0014 \mathrm{~N}^{0.267 \%} 6{ }^{64}$ | ${ }^{152} 0.0680$ | ${ }^{152} 0.0857$ |  |  |  | ${ }^{88} 0.0023 \mathrm{~N}^{0.222298}$ |
| 2 | 3DIVI-5 | ${ }^{85} 0.0100$ | ${ }^{86} 0.0133$ |  |  |  | ${ }^{45} 0.0002 \mathrm{~N}^{0.31089}$ | ${ }^{99} 0.0163$ | ${ }^{97} 0.0202$ | ${ }^{66} 0.0236$ | ${ }^{64} 0.0279$ | ${ }^{62} 0.0327$ | ${ }^{53} 0.0007 \mathrm{~N}^{0.23989}$ |
| 3 | ALCHERA-0 | ${ }^{91} 0.0106$ | ${ }^{82} 0.0121$ | ${ }^{47} 0.0135$ | ${ }^{45} 0.0170$ |  | ${ }^{76} 0.0006 \mathrm{~N}^{0.20774}$ | ${ }^{100} 0.0167$ | ${ }^{92} 0.0186$ |  |  |  | ${ }^{55} 0.0035 \mathrm{~N}^{0.1172} 25$ |
| 4 | ALCHERA-3 | ${ }^{95} 0.0119$ | ${ }^{91} 0.0159$ |  |  |  | ${ }^{50} 0.0002 \mathrm{~N}^{0.31290}$ | ${ }^{66} 0.0101$ | ${ }^{72} 0.0127$ | ${ }^{56} 0.0146$ | ${ }^{56} 0.0171$ | ${ }^{55} 0.0204$ | ${ }^{31} 0.0004 \mathrm{~N}^{0.23685}$ |
| 5 | ANKE-0 | ${ }^{1} 0.0077$ | ${ }^{2} 0.0100$ |  |  |  | ${ }^{16} 0.0002 \mathrm{~N}^{0.2877}$ | ${ }^{87} 0.0128$ | ${ }^{86} 0.0158$ | ${ }^{60} 0.0181$ | ${ }^{61} 0.0214$ | ${ }^{9} 0.0251$ | ${ }^{46} 0.0006 \mathrm{~N}^{0.23181}$ |
| 6 | AWARE-3 | ${ }^{108} 0.0165$ | ${ }^{1010} 0.0209$ | ${ }^{52} 0.0247$ | ${ }^{50} 0.0297$ |  | ${ }^{0} 0.0005 \mathrm{~N}^{0.26363}$ | ${ }^{119} 0.0264$ | ${ }^{116} 0.0332$ | ${ }^{5} 0.0387$ | ${ }^{73} 0.0456$ | ${ }^{73} 0.0532$ | ${ }^{69} 0.0011 \mathrm{~N}^{0.239} 90$ |
| 7 | AWARE-5 | ${ }^{100} 0.0163$ | ${ }^{100} 0.0208$ |  |  |  | ${ }^{68} 0.0004 \mathrm{~N}^{0.2 / 067}$ | ${ }^{121} 0.0271$ | ${ }^{\text {[17/0 }} 0.0337$ | ${ }^{6} 0.0392$ | ${ }^{4} 0.0460$ | ${ }^{66} 0.0338$ | ${ }^{105} 0.0070 \mathrm{~N}^{0.109}{ }^{\text {P1/ }}$ |
| 8 | AYONIX-0 | ${ }^{179} 0.4198$ | ${ }^{144} 0.4649$ | ${ }^{68} 0.4969$ | ${ }^{63} 0.5318$ |  | ${ }^{108} 8.1021 \mathrm{~N}^{0.106613}$ | ${ }^{193} 0.4095$ | ${ }^{191} 0.4519$ |  |  |  | ${ }^{113} 0.0973 \mathrm{~N}^{0.108}{ }^{20}$ |
| 9 | AYONIX-2 | ${ }^{1 / 2} 0.2192$ | ${ }^{153} 0.2606$ |  |  |  | ${ }^{105} 0.0176 \mathrm{~N}^{0.189}{ }^{180}$ | ${ }^{88} 0.2954$ | ${ }^{186} 0.3432$ | ${ }^{80} 0.3753$ | ${ }^{82} 0.4116$ | ${ }^{9} 0.4480$ | ${ }^{111} 0.0449 \mathrm{~N}^{0.142} 30$ |
| 10 | CAMVI-3 | ${ }^{8} 0.0144$ | ${ }^{112} 0.0368$ | 0.0528 | ${ }^{60} 0.1791$ |  | ${ }^{3} 0.0000 \mathrm{~N}^{1.076} 110$ | ${ }^{114} 0.0224$ | ${ }^{140} 0.0544$ |  |  |  | ${ }^{2} 0.0000 \mathrm{~N}^{0.9699715}$ |
| 11 | CAMVI-4 | ${ }^{75} 0.0082$ | ${ }^{110} 0.0326$ |  |  |  | ${ }^{1} 0.0000 \mathrm{~N}^{1.500111}$ | ${ }^{91} 0.0145$ | ${ }^{137} 0.0490$ | ${ }^{81} 0.0741$ | ${ }^{81} 0.2382$ | ${ }^{78} 0.2386$ | ${ }^{1} 0.0000 \mathrm{~N}^{1.007} 116$ |
| 12 | Cogent-0 | ${ }^{8} 0.0103$ | ${ }^{70.0106}$ | ${ }^{41} 0.0109$ | ${ }^{36} 0.0114$ | ${ }^{11} 0.0122$ | ${ }^{94} 0.0047 \mathrm{~N}^{00.0576}$ | ${ }^{86} 0.0127$ | ${ }^{74} 0.0131$ | ${ }^{52} 0.0136$ | ${ }^{46} 0.0141$ | ${ }^{44} 0.0151$ | ${ }^{010.0058} \mathrm{~N}^{0.00585}$ |
| 13 | Cogent-1 | ${ }^{87} 0.0103$ | ${ }^{76} 0.0106$ |  |  |  | ${ }^{98} 0.0074 \mathrm{~N}^{0.02554}$ | ${ }^{85} 0.0127$ | ${ }^{73} 0.0131$ | ${ }^{510.0136}$ | ${ }^{45} 0.0141$ | ${ }^{43} 0.0151$ | ${ }^{100} 0.0058 \mathrm{~N}^{0.05884}$ |
| 14 | Cogent-2 | ${ }^{20} 0.0022$ | ${ }^{20} 0.0027$ | ${ }^{14} 0.0032$ | ${ }^{12} 0.0037$ | ${ }^{11} 0.0043$ | ${ }^{30} 0.0001 \mathrm{~N}^{0.23251}$ | ${ }^{27} 0.0054$ | ${ }^{26} 0.0062$ | ${ }^{22} 0.0067$ | ${ }^{20} 0.0075$ | ${ }^{19} 0.0085$ | ${ }^{55} 0.0007 \mathrm{~N}^{0.150} 35$ |
| 15 | COGENT-3 | ${ }^{31} 0.0032$ | ${ }^{29} 0.0037$ | ${ }^{17} 0.0042$ | ${ }^{16} 0.0048$ | ${ }^{15} 0.0056$ | ${ }^{1711} 13.4494 \mathrm{~N}^{-0.4671}$ | ${ }^{29} 0.0057$ | ${ }^{27} 0.0064$ | ${ }^{24} 0.0069$ | ${ }^{22} 0.0077$ | ${ }^{20} 0.0087$ | ${ }^{60} 0.0008 \mathrm{~N}^{0.1443^{31}}$ |
| 16 | COGNITEC-0 | ${ }^{99} 0.0146$ | ${ }^{96} 0.0189$ |  |  |  | ${ }^{29} 0.0001 \mathrm{~N}^{0.3761} 106$ | ${ }^{112} 0.0221$ | ${ }^{112} 0.0278$ | ${ }^{72} 0.0323$ | ${ }^{1} 0.0378$ | ${ }^{69} 0.0443$ | ${ }^{66} 0.0010 \mathrm{~N}^{0.23385}$ |
| 17 | COGNITEC-1 | ${ }^{53} 0.0069$ | ${ }^{60} 0.0089$ | ${ }^{50} 0.0106$ | ${ }^{38} 0.0128$ | ${ }^{35} 0.0154$ | ${ }^{48} 0.0002 \mathrm{~N}^{0.2 / 57}$ | ${ }^{8} 0.0116$ | ${ }^{85} 0.0143$ | ${ }^{61} 0.0165$ | ${ }^{9} 0.0192$ | ${ }^{5 / 0.0225}$ | ${ }^{45} 0.0006 \mathrm{~N}^{0,22679}$ |
| 18 | COGNITEC-2 | ${ }^{35} 0.0035$ | ${ }^{34} 0.0044$ | ${ }^{24} 0.0052$ | ${ }^{23} 0.0061$ | ${ }^{22} 0.0075$ | ${ }^{38} 0.00001 \mathrm{~N}^{0.25757}$ | ${ }^{46} 0.0074$ | ${ }^{42} 0.0083$ | ${ }^{34} 0.0093$ | ${ }^{32} 0.0105$ | ${ }^{31} 0.02121$ | ${ }^{50} 0.0008 \mathrm{~N}^{0.16644}$ |
| 19 | COGNITEC-3 | ${ }^{42} 0.0040$ | ${ }^{39} 0.0048$ | ${ }^{26} 0.0055$ | ${ }^{25} 0.0064$ | ${ }^{23} 0.0078$ | ${ }^{61} 0.0003 \mathrm{~N}^{0.190} 31$ | ${ }^{49} 0.0078$ | ${ }^{45} 0.0088$ | ${ }^{37} 0.0098$ | ${ }^{35} 0.0111$ | ${ }^{35} 0.0126$ | ${ }^{62} 0.0009 \mathrm{~N}^{0.16441}$ |
| 20 | DAHUA-1 | ${ }^{0} 0.0040$ | ${ }^{40} 0.0049$ |  |  |  | ${ }^{44} 0.0002 \mathrm{~N}^{0.242 ~}{ }^{30}$ | ${ }^{45} 0.0074$ | ${ }^{4 /} 0.0089$ | ${ }^{8} 0.0102$ | ${ }^{8} 0.0115$ | 0.0135 | ${ }^{8} 0.0005 \mathrm{~N}^{0.2075}{ }^{0.20}$ |
| 21 | DERMALOG-4 | ${ }^{143} 0.0759$ | ${ }^{126} 0.0961$ | ${ }^{64} 0.1105$ | ${ }^{59} 0.1260$ |  | ${ }^{3} 0.0037 \mathrm{~N}^{0.2774}$ | ${ }^{157} 0.1040$ | ${ }^{157} 0.1274$ |  |  |  | ${ }^{9} 0.0054 \mathrm{~N}^{0.22176}$ |
| 22 | DERMALOG-5 | ${ }^{4} 0.0081$ | ${ }^{9} 0.0113$ |  |  |  | ${ }^{24} 0.0001 \mathrm{~N}^{0.3535} 104$ | ${ }^{\text {0 }} 0.0135$ | 0.0171 | ${ }^{64} 0.0223$ | ${ }^{9} 0.0312$ | ${ }^{1} 0.0470$ | ${ }^{50} 0.0004 \mathrm{~N}^{0.2007100}$ |
| 23 | DERMALOG-6 | ${ }^{55} 0.0055$ | ${ }^{48} 0.0060$ |  |  |  | ${ }^{9} 0.0015 \mathrm{~N}^{0.09559}$ | ${ }^{65} 0.0095$ | ${ }^{56} 0.0102$ | ${ }^{42} 0.0107$ | ${ }^{37} 0.0115$ | ${ }^{33} 0.0125$ | ${ }^{9} 0.0027 \mathrm{~N}^{0.092 ~ 14}$ |
| 24 | EVERAI-0 | ${ }^{61} 0.0065$ | ${ }^{93} 0.0166$ |  |  |  | ${ }^{2} 0.0000 \mathrm{~N}^{1.029} 109$ | ${ }^{69} 0.0102$ | ${ }^{99} 0.0209$ | ${ }^{74} 0.0348$ |  |  | ${ }^{3} 0.0000 \mathrm{~N}^{0.795} 114$ |
| 25 | EVERAI-1 | ${ }^{21} 0.0022$ | ${ }^{21} 0.0027$ |  |  |  | ${ }^{37} 0.0001 \mathrm{~N}^{0.222 ~ 48}$ | ${ }^{20} 0.0047$ | ${ }^{20} 0.0056$ | ${ }^{20} 0.0061$ |  |  | ${ }^{42} 0.0005 \mathrm{~N}^{0.1064}{ }^{42}$ |
| 26 | EVERAI-3 | ${ }^{16} 0.0020$ | ${ }^{16} 0.0023$ | ${ }^{11} 0.0026$ | ${ }^{110.0028}$ |  | ${ }^{69} 0.0004 \mathrm{~N}^{0.113}{ }^{1 / 4}$ | ${ }^{14} 0.0041$ | ${ }^{15} 0.0047$ | ${ }^{18} 0.0052$ | 70.0059 | ${ }^{16} 0.0066$ | ${ }^{36} 0.0005 \mathrm{~N}^{0.160} 39$ |
| 27 | EYEDEA-3 | ${ }^{139} 0.0480$ | ${ }^{122} 0.0613$ | ${ }^{50} 0.0717$ | ${ }^{56} 0.0831$ |  | ${ }^{11} 0.0018 \mathrm{~N}^{0.24656}$ | ${ }^{150} 0.0663$ | ${ }^{151} 0.0824$ |  |  |  | ${ }^{3} 0.0028 \mathrm{~N}^{0.23887}$ |
| 28 | GLORY-1 | ${ }^{149} 0.0818$ | ${ }^{1250.0932}$ | ${ }^{62} 0.1007$ | ${ }^{58} 0.1091$ |  | ${ }^{102} 0.0147 \mathrm{~N}^{0.129}{ }^{129}$ | ${ }^{162} 0.1154$ | ${ }^{159} 0.1291$ |  |  |  | ${ }^{109} 0.0223 \mathrm{~N}^{001232^{26}}$ |
| 29 | GORILLA-2 | ${ }^{86} 0.0102$ | ${ }^{87} 0.0137$ |  |  |  | ${ }^{41} 0.0001 \mathrm{~N}^{0.35198}$ | ${ }^{101} 0.0170$ | ${ }^{100} 0.0220$ | ${ }^{0} 0.0261$ | ${ }^{8} 0.0311$ | ${ }^{67} 0.0375$ | ${ }^{35} 0.0005 \mathrm{~N}^{0.269106}$ |
| 30 | HIK-2 | ${ }^{104} 0.0155$ | ${ }^{94} 0.0185$ | ${ }^{50} 0.0208$ | ${ }^{48} 0.0240$ | ${ }^{42} 0.0272$ | ${ }^{86} 0.0012 \mathrm{~N}^{0.193}{ }^{\text {3/ }}$ | ${ }^{92} 0.0147$ | ${ }^{\text {90 }} 0.0172$ |  |  |  | ${ }^{78} 0.0015 \mathrm{~N}^{0.17347}$ |
| 31 | HIK-3 | 0.0085 | ${ }^{8} 0.0107$ |  |  |  | ${ }^{6} 0.0003 \mathrm{~N}^{0.2505}$ | 0.0115 | ${ }^{82} 0.0141$ | ${ }^{\text {0 }} 0.0164$ | ${ }^{0} 0.0194$ | ${ }^{80} 0.0228$ | ${ }^{9} 0.0005 \mathrm{~N}^{0.235}{ }^{\text {0, }}$ |
| 32 | HIK-4 | ${ }^{76} 0.0083$ | ${ }^{50} 0.0104$ | ${ }^{44} 0.0121$ | ${ }^{41} 0.0146$ | ${ }^{36} 0.0177$ | ${ }^{4} 0.0003 \mathrm{~N}^{0.26062}$ | ${ }^{50} 0.0112$ | ${ }^{80} 0.0138$ | ${ }^{59} 0.0159$ | ${ }^{88} 0.0188$ | ${ }^{56} 0.0220$ | ${ }^{41} 0.0005 \mathrm{~N}^{0.230881}$ |
| 33 | HIK-5 | ${ }^{26} 0.0026$ | ${ }^{25} 0.0034$ | ${ }^{16} 0.0040$ | ${ }^{17} 0.0049$ |  | ${ }^{51} 0.0002 \mathrm{~N}^{01.19939}$ | ${ }^{30} 0.0057$ | ${ }^{29} 0.0067$ | ${ }^{26} 0.0075$ | ${ }^{26} 0.0087$ | ${ }^{26} 0.0103$ | ${ }^{28} 0.0004 \mathrm{~N}^{0.20260}$ |
| 34 | IDEMIA-0 | ${ }^{48} 0.0048$ | ${ }^{52} 0.0063$ | ${ }^{1} 0.0076$ | ${ }^{29} 0.0095$ | ${ }^{2 /} 0.0116$ | ${ }^{2 /} 0.0001 \mathrm{~N}^{0.054784}$ | ${ }^{61} 0.0093$ | ${ }^{61} 0.0113$ | ${ }^{49} 0.0131$ | ${ }^{49} 0.0153$ | ${ }^{49} 0.0182$ | ${ }^{32} 0.0004 \mathrm{~N}^{0.227 / 80}$ |
| 35 | IDEMIA-1 | ${ }^{51} 0.0049$ | ${ }^{53} 0.0065$ | ${ }^{33} 0.0080$ | ${ }^{31} 0.0100$ | ${ }^{33} 0.0124$ | ${ }^{22} 0.0001 \mathrm{~N}^{0.35097}$ | ${ }^{64} 0.0096$ | ${ }^{65} 0.0116$ | ${ }^{50} 0.0135$ | ${ }^{53} 0.0162$ | ${ }^{53} 0.0194$ | ${ }^{27} 0.0004 \mathrm{~N}^{0.24394}$ |
| 36 | IDEMIA-2 | ${ }^{70} 0.0075$ | ${ }^{70} 0.0099$ | ${ }^{43} 0.0119$ | ${ }^{43} 0.0149$ | ${ }^{39} 0.0183$ | ${ }^{30} 0.0001 \mathrm{~N}^{0.304886}$ | ${ }^{72} 0.0105$ | ${ }^{71} 0.0126$ |  |  |  | ${ }^{58} 0.0008 \mathrm{~N}^{0.194} 5{ }^{55}$ |
| 37 | IDEMIA-3 | ${ }^{44} 0.0041$ | ${ }^{45} 0.0054$ |  |  |  | ${ }^{26} 0.0001 \mathrm{~N}^{0.2948} 82$ | ${ }^{50} 0.0080$ | ${ }^{54} 0.0095$ | ${ }^{43} 0.0110$ | ${ }^{43} 0.0127$ | ${ }^{41} 0.0148$ | ${ }^{34} 0.0005 \mathrm{~N}^{0.212} 70$ |
| 38 | IDEMIA-4 | ${ }^{45} 0.0042$ | ${ }^{45} 0.0052$ | ${ }^{2 /} 0.0061$ | ${ }^{20} 0.0074$ | ${ }^{25} 0.0088$ | ${ }^{40} 0.0001 \mathrm{~N}^{0.257 / 60}$ | ${ }^{1} 0.0080$ | ${ }^{\text {J0 }} 0.0092$ | ${ }^{41} 0.0106$ | ${ }^{41} 0.0124$ | ${ }^{40} 0.0143$ | ${ }^{45} 0.0005 \mathrm{~N}^{0.202}$ 61 |
| 39 | IDEMIA-5 | ${ }^{47} 0.0047$ | ${ }^{50} 0.0062$ | ${ }^{29} 0.0073$ | ${ }^{28} 0.0089$ | ${ }^{26} 0.0107$ | ${ }^{36} 0.0001 \mathrm{~N}^{0.28072}$ | ${ }^{59} 0.0090$ | ${ }^{59} 0.0107$ | ${ }^{47} 0.0123$ | ${ }^{47} 0.0144$ | ${ }^{47} 0.0169$ | ${ }^{37} 0.0005 \mathrm{~N}^{0.21774}$ |
| 40 | IDEMIA-6 | ${ }^{56} 0.0055$ | ${ }^{57} 0.0071$ | ${ }^{34} 0.0083$ | ${ }^{32} 0.0100$ | ${ }^{30} 0.0119$ | ${ }^{45} 0.0001 \mathrm{~N}^{0.27 \% ~ 66}$ | ${ }^{68} 0.0102$ | ${ }^{69} 0.0122$ | ${ }^{55} 0.0139$ | ${ }^{52} 0.0161$ | ${ }^{52} 0.0187$ | ${ }^{49} 0.0006 \mathrm{~N}^{0.2199} 69$ |
| 41 | IMAGUS-2 | ${ }^{162} 0.1470$ | ${ }^{1350} 0.1833$ | ${ }^{65} 0.2086$ | ${ }^{61} 0.2379$ |  | ${ }^{99} 0.0083 \mathrm{~N}^{0.21545}$ | ${ }^{176} 0.1838$ | ${ }^{177} 0.2223$ |  |  |  | ${ }^{106} 0.0115 \mathrm{~N}^{0.2088} 67$ |
| 42 | INCODE-1 | ${ }^{83} 0.0098$ | ${ }^{84} 0.0131$ | ${ }^{54} 0.0286$ | ${ }^{53} 0.0466$ |  | ${ }^{4} 0.0000 \mathrm{~N}^{0.729}{ }^{108}$ | ${ }^{55} 0.0151$ | ${ }^{\text {93 }} 0.0190$ |  |  |  | ${ }^{44} 0.0005 \mathrm{~N}^{0.2050} 9$ |
| 43 | InCODE-3 | ${ }^{62} 0.0067$ | ${ }^{65} 0.0088$ |  |  |  | ${ }^{50.0001} \mathrm{~N}^{0.050888}$ | ${ }^{80} 0.0121$ | ${ }^{85} 0.0153$ | ${ }^{62} 0.0178$ | ${ }^{52} 0.0215$ | ${ }^{60} 0.0258$ | ${ }^{29} 0.0004 \mathrm{~N}^{0.257 ~ 979}$ |
| 44 | InNOVATRICS-4 | ${ }^{65} 0.0070$ | ${ }^{61} 0.0081$ |  |  |  | ${ }^{81} 0.0008 \mathrm{~N}^{0.162 ~}{ }^{11}$ | ${ }^{79} 0.0120$ | ${ }^{84} 0.0149$ | ${ }^{58} 0.0158$ | ${ }^{50} 0.0158$ | ${ }^{45} 0.0158$ | ${ }^{96} 0.0040 \mathrm{~N}^{00.088812}$ |
| 45 | ISYSTEMS-0 | ${ }^{68} 0.0074$ | ${ }^{64} 0.0085$ | ${ }^{88} 0.0095$ | ${ }^{50} 0.0105$ | ${ }^{29} 0.0118$ | ${ }^{82} 0.0009 \mathrm{~N}^{0.160}{ }^{0.10}$ | ${ }^{82} 0.0122$ | 0.0136 |  |  |  | ${ }^{0} 0.0025 \mathrm{~N}^{0.119}{ }^{\text {20 }}$ |
| 46 | ISYSTEMS-1 | ${ }^{69} 0.0074$ | ${ }^{63} 0.0085$ | ${ }^{37} 0.0094$ | ${ }^{34} 0.0105$ | ${ }^{28} 0.0118$ | ${ }^{83} 0.0009 \mathrm{~N}^{0.158819}$ | ${ }^{81} 0.0122$ | ${ }^{76} 0.0136$ |  |  |  | ${ }^{91} 0.0025 \mathrm{~N}^{0.118824}$ |
| 47 | ISYSTEMS-2 | ${ }^{39} 0.0039$ | ${ }^{33} 0.0046$ | ${ }^{23} 0.0052$ |  |  | ${ }^{66} 0.0004 \mathrm{~N}^{0.175}{ }^{26}$ | ${ }^{48} 0.0076$ | ${ }^{44} 0.0088$ | ${ }^{36} 0.0096$ | ${ }^{34} 0.0108$ | ${ }^{32} 0.0121$ | ${ }^{64} 0.0009 \mathrm{~N}^{0.1563^{35}}$ |
| 48 | ISYSTEMS-3 | ${ }^{33} 0.0035$ | ${ }^{32} 0.0040$ | ${ }^{20} 0.0044$ | ${ }^{18} 0.0050$ | ${ }^{16} 0.0057$ | ${ }^{65} 0.0004 \mathrm{~N}^{0.16623}$ | ${ }^{40} 0.0069$ | ${ }^{37} 0.0075$ | ${ }^{30} 0.0081$ | ${ }^{27} 0.0090$ | ${ }^{25} 0.0100$ | ${ }^{75} 0.0012 \mathrm{~N}^{0.12928}$ |
| 49 | LOOKMAN-3 | ${ }^{78} 0.0086$ | ${ }^{67} 0.0089$ |  |  |  | $0.0049 \mathrm{~N}^{0.0425}$ | ${ }^{74} 0.0109$ | ${ }^{62} 0.0114$ | ${ }^{45} 0.0117$ | ${ }^{40} 0.0123$ | ${ }^{36} 0.0131$ | $0.0049 \mathrm{~N}^{0.0599}$ |
| 50 | MEGVII-0 | ${ }^{60} 0.0072$ | ${ }^{71} 0.0099$ | ${ }^{45} 0.0123$ | ${ }^{410.0150}$ | ${ }^{88} 0.0182$ | ${ }^{2} 0.0001 \mathrm{~N}^{0.317 / 94}$ | ${ }^{4 /} 0.0075$ | ${ }^{51} 0.0094$ | ${ }^{40} 0.0111$ | ${ }^{44} 0.0134$ | ${ }^{46} 0.0162$ | ${ }^{14} 0.0002 \mathrm{~N}^{0.269}$ [105 |
| 51 | MEGVII-1 |  |  |  |  |  |  | ${ }^{83} 0.0124$ | ${ }^{78} 0.0137$ | ${ }^{7} 0.0148$ | ${ }^{54} 0.0163$ | ${ }^{50} 0.0182$ | ${ }^{87} 0.0021 \mathrm{~N}^{0.131}{ }^{29}$ |
| 52 | MICROFOCUS-3 | ${ }^{181} 0.4791$ | ${ }^{146} 0.5389$ | ${ }^{69} 0.5771$ |  |  | ${ }^{107} 0.0951 \mathrm{~N}^{0.12115}$ | ${ }^{195} 0.5417$ | ${ }^{194} 0.5953$ |  |  |  | ${ }^{114} 0.1370 \mathrm{~N}^{0.103} 19$ |
| 53 | MICROFOCUS-5 | ${ }^{176} 0.3155$ | ${ }^{141} 0.3701$ |  |  |  | ${ }^{104} 0.0307 \mathrm{~N}^{0.17425}$ | ${ }^{190} 0.3716$ | ${ }^{189} 0.4257$ | ${ }^{87} 0.4624$ | ${ }^{85} 0.5013$ | ${ }^{80} 0.5404$ | ${ }^{112} 0.0684 \mathrm{~N}^{0.12727}$ |
| 54 | MICROSOFT-0 | ${ }^{18} 0.0021$ | ${ }^{19} 0.0026$ | ${ }^{13} 0.0031$ | ${ }^{14} 0.0040$ | ${ }^{13} 0.0048$ | ${ }^{16} 0.0000 \mathrm{~N}^{0.28073}$ | ${ }^{23} 0.0051$ | ${ }^{23} 0.0058$ | ${ }^{21} 0.0066$ | ${ }^{21} 0.0077$ | ${ }^{22} 0.0090$ | ${ }^{25} 0.0003 \mathrm{~N}^{0.199} 57$ |
| 55 | MICROSOFT-1 | ${ }^{17} 0.0020$ | ${ }^{18} 0.0026$ | ${ }^{12} 0.0031$ | ${ }^{15} 0.0038$ | ${ }^{12} 0.0047$ | ${ }^{14} 0.0000 \mathrm{~N}^{0.28678}$ | ${ }^{21} 0.0049$ | ${ }^{21} 0.0056$ |  |  |  | ${ }^{4 /} 0.0006 \mathrm{~N}^{0.1087}{ }^{38}$ |
| 56 | MICROSOFT-2 | ${ }^{22} 0.0023$ | ${ }^{23} 0.0029$ | ${ }^{15} 0.0035$ | ${ }^{15} 0.0042$ | ${ }^{14} 0.0051$ | ${ }^{19} 0.0001 \mathrm{~N}^{0.272}{ }^{69}$ | ${ }^{26} 0.0052$ | ${ }^{25} 0.0061$ |  |  |  | ${ }^{40} 0.0005 \mathrm{~N}^{0.174448}$ |
| 57 | MICROSOFT-3 | ${ }^{2} 0.0009$ | ${ }^{4} 0.0011$ |  |  |  | ${ }^{12} 0.0000 \mathrm{~N}^{0.25558}$ | ${ }^{3} 0.0028$ | ${ }^{4} 0.0032$ | ${ }^{5} 0.0035$ | ${ }^{5} 0.0039$ | ${ }^{4} 0.0045$ | ${ }^{23} 0.0003 \mathrm{~N}^{0.16643}$ |
| 58 | MICROSOFT-4 | 0.0008 | ${ }^{1} 0.0010$ | ${ }^{3} 0.0013$ | ${ }^{4} 0.0015$ | ${ }^{4} 0.0019$ | ${ }^{9} 0.0000 \mathrm{~N}^{0.28576}$ | ${ }^{2} 0.0027$ | ${ }^{2} 0.0031$ | ${ }^{3} 0.0034$ | ${ }^{3} 0.0038$ | ${ }^{3} 0.0045$ | ${ }^{17} 0.0003 \mathrm{~N}^{0.17449}$ |
| 59 | MICROSOFT-5 | ${ }^{4} 0.0010$ | ${ }^{5} 0.0013$ | '0.0015 | ${ }^{6} 0.0019$ | 0.0025 | ${ }^{8} 0.0000 \mathrm{~N}^{10.004} 85$ | ${ }^{4} 0.0028$ | 0.0033 | 0.0037 | ${ }^{8} 0.0044$ | ${ }^{8} 0.0052$ | ${ }^{8} 0.0002 \mathrm{~N}^{0.215 / 2}$ |
| 60 | microsoft-6 | ${ }^{5} 0.0010$ | 0.0014 | 0.0016 | ${ }^{8} 0.0020$ | 0.0026 | ${ }^{6} 0.0000 \mathrm{~N}^{0.317} 95$ | ${ }^{5} 0.0029$ | ${ }^{8} 0.0033$ | ${ }^{8} 0.0039$ | ${ }^{10} 0.0045$ | ${ }^{0} 00053$ | ${ }^{12} 0.0002 \mathrm{~N}^{0.20666}$ |
| 61 | NEC-0 | ${ }^{52} 0.0097$ | ${ }^{83} 0.0127$ | ${ }^{48} 0.0154$ | ${ }^{46} 0.0185$ | ${ }^{40} 0.0223$ | ${ }^{3} 0.0002 \mathrm{~N}^{0.28475}$ | ${ }^{6} 0.0157$ | ${ }^{94} 0.0196$ | ${ }^{65} 0.0229$ | ${ }^{65} 0.0270$ | ${ }^{61} 0.0320$ | ${ }^{48} 0.0006 \mathrm{~N}^{0.24393}$ |
| 62 | NEC-1 | 0.0136 | ${ }^{92} 0.0164$ |  |  |  | ${ }^{80} 0.0009 \mathrm{~N}^{0.2022 ~ 42}$ | ${ }^{\text {08 }} 0.0206$ | ${ }^{106} 0.0235$ | ${ }^{69} 0.0259$ | ${ }^{6 / 0.0292}$ | ${ }^{65} 0.0329$ | ${ }^{89} 0.0024 \mathrm{~N}^{0.100 ~ 40}$ |
| 63 | NEC-2 | ${ }^{6} 0.0010$ | ${ }^{3} 0.0011$ | ${ }^{1} 0.0012$ | ${ }^{1} 0.0012$ | ${ }^{1} 0.0014$ | ${ }^{57} 0.0003 \mathrm{~N}^{0.096] 11}$ | ${ }^{1} 0.0026$ | ${ }^{1} 0.0028$ | ${ }^{1} 0.0029$ | ${ }^{1} 0.0030$ | ${ }^{1} 0.0031$ | ${ }^{74} 0.0012 \mathrm{~N}^{0.059}{ }^{0} 7$ |
| 64 | NEC-3 | 0.0012 | ${ }^{6} 0.0013$ | ${ }^{4} 0.0014$ | ${ }^{3} 0.0014$ | ${ }^{2} 0.0016$ | ${ }^{73} 0.0005 \mathrm{~N}^{0.0617}$ | ${ }^{8} 0.0030$ | ${ }^{3} 0.0031$ | ${ }^{2} 0.0032$ | ${ }^{2} 0.0034$ | ${ }^{2} 0.0035$ | ${ }^{81} 0.0016 \mathrm{~N}^{0.0482}$ |
| 65 | NEUROTECHNOLOGY-3 | ${ }^{106} 0.0161$ | ${ }^{98} 0.0199$ |  |  |  | ${ }^{9} 0.0007 \mathrm{~N}^{0.2345}$ | ${ }^{107} 0.0204$ | ${ }^{109} 0.0250$ | ${ }^{710.0288}$ | ${ }^{70} 0.0331$ | ${ }^{68} 0.0386$ | ${ }^{70} 0.0011 \mathrm{~N}^{0.2167 / 3}$ |
| 66 | NEUROTECHNOLOGY-4 | ${ }^{52} 0.0049$ | ${ }^{47} 0.0058$ | ${ }^{28} 0.0065$ | ${ }^{27} 0.0075$ | ${ }^{24} 0.0087$ | ${ }^{63} 0.0004 \mathrm{~N}^{0.195} 36$ | ${ }^{43} 0.0072$ | ${ }^{40} 0.0082$ | ${ }^{33} 0.0090$ | ${ }^{31} 0.0100$ | ${ }^{30} 0.0114$ | ${ }^{63} 0.0009 \mathrm{~N}^{0.156 ~ 34}$ |
| 67 | NEUROTECHNOLOGY-5 | ${ }^{34} 0.0035$ | ${ }^{33} 0.0042$ | ${ }^{19} 0.0043$ | ${ }^{20} 0.0053$ | ${ }^{17} 0.0061$ | ${ }^{59} 0.0003 \mathrm{~N}^{0.184428}$ | ${ }^{34} 0.0061$ | ${ }^{31} 0.0068$ | ${ }^{25} 0.0074$ | ${ }^{24} 0.0082$ | ${ }^{23} 0.0094$ | ${ }^{61} 0.0008 \mathrm{~N}^{0.149}{ }^{32}$ |
| 68 | NEWLAND-2 |  |  |  |  |  |  | ${ }^{151} 0.0671$ | ${ }^{150} 0.0811$ | ${ }^{82} 0.0913$ | ${ }^{78} 0.1038$ |  | ${ }^{98} 0.0050 \mathrm{~N}^{0.195} 56$ |
| 69 | NOBLIS-2 | ${ }^{57} 0.1261$ | ${ }^{132} 0.1565$ |  |  |  | ${ }^{96} 0.0054 \mathrm{~N}^{0.23653}$ | ${ }^{167} 0.1509$ | ${ }^{169} 0.1816$ | ${ }^{84} 0.2040$ | ${ }^{80} 0.2377$ |  | ${ }^{105} 0.0102 \mathrm{~N}^{0.20159}$ |
| 70 | NTECHLAB-0 | 0.0056 | ${ }^{9} 0.0077$ | ${ }^{36} 0.0094$ | ${ }^{37} 0.0114$ | ${ }^{34} 0.0139$ | ${ }^{25} 0.0001 \mathrm{~N}^{0.33239}$ | ${ }^{60} 0.0092$ | ${ }^{63} 0.0115$ | ${ }^{54} 0.0137$ | ${ }^{5} 0.0164$ | ${ }^{54} 0.0196$ | ${ }^{19} 0.0003 \mathrm{~N}^{0.261101}$ |
| 71 | NTECHLAB-1 | ${ }^{66} 0.0070$ | ${ }^{69} 0.0097$ | ${ }^{42} 0.0119$ | ${ }^{40} 0.0146$ | ${ }^{37} 0.0179$ | ${ }^{31} 0.0001 \mathrm{~N}^{0.3179} 9$ | ${ }^{73} 0.0108$ | ${ }^{81} 0.0139$ |  |  |  | ${ }^{18} 0.0003 \mathrm{~N}^{0.278}{ }^{1088}$ |
| 72 | NTECHLAB-3 | ${ }^{3 / 0.0037}$ | ${ }^{42} 0.0051$ |  |  |  | ${ }^{15} 0.0000 \mathrm{~N}^{10.351 ~} 105$ | ${ }^{38} 0.0065$ | ${ }^{41} 0.0082$ | ${ }^{35} 0.0096$ | ${ }^{36} 0.0115$ | ${ }^{38} 0.0135$ | ${ }^{15} 0.0002 \mathrm{~N}^{0.215197}$ |

Table 12: Investigation-mode: Effect of $\mathbf{N}$ on FNIR at rank 1 For five enrollment population sizes, $N$, with $T=0$ and FPIR = 1. The left five columns apply for consolidated enrollment of a variable number of lifetime images from each subject. The right five columns apply for enrollment of one recent image. Missing entries usually apply because another algorithm from the same developer was run instead. Some developers are missing because less accurate algorithms were not run on galleries with $N>$ 1600000 . Throughout blue superscripts indicate the rank of the algorithm for that column, and yellow highlighting indicates the most accurate value. Caution: The Power-low models are mostly intended to draw attention to the kind of behavior, not as a model to be used for prediction.

| 2019/09/11 | FNIR(N, $\mathrm{R}, \mathrm{T})=$ |  |  |
| :--- | ---: | :--- | :--- | :--- | :--- |
| 16:09:13 | FPIR $(\mathrm{N}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects |
| False pos. identification rate | $\mathrm{R}=$ Num. candidates examined |  |  |$\quad \mathrm{T}=$ Threshold $\quad$| $\mathrm{T}=0 \rightarrow$ Investigation |
| :--- |
| $\mathrm{T}>0 \rightarrow$ Identification |


| MISSES NOT AT RANK 1 |  | ENROL LIFETIME |  |  |  |  |  | ENROL MOST RECENT |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\operatorname{IR}(\mathrm{N}, \mathrm{T}=0, \mathrm{R}=1$ ) | DATASET: FRVT 2018 |  |  |  |  |  | DATASET: FRVT 2018 |  |  |  |  |  |
| \# | ALGORITHM | $\mathrm{N}=0.64 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=3.0 \mathrm{M}$ | $\mathrm{N}=6.0 \mathrm{M}$ | $\mathrm{N}=12.0 \mathrm{M}$ | $a N^{b}$ | $\mathrm{N}=0.64 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=3.0 \mathrm{M}$ | $\mathrm{N}=6.0 \mathrm{M}$ | $\mathrm{N}=12.0 \mathrm{M}$ | $a N^{b}$ |
| 73 | NTECHLAB-4 | ${ }^{30} 0.0030$ | ${ }^{31} 0.0040$ | ${ }^{21} 0.0049$ | ${ }^{22} 0.0060$ | ${ }^{21} 0.0075$ | ${ }^{15} 0.0000 \mathrm{~N}^{0.315}{ }^{\text {95 }}$ | ${ }^{28} 0.0056$ | ${ }^{35} 0.0068$ | ${ }^{29} 0.0078$ | ${ }^{28} 0.0092$ | ${ }^{28} 0.0107$ | ${ }^{20} 0.0003 \mathrm{~N}^{0.22075}$ |
| 74 | NTECHLAB-5 | ${ }^{29} 0.0028$ | ${ }^{30} 0.0039$ |  |  |  | ${ }^{10} 0.0000 \mathrm{~N}^{0.3655}{ }^{105}$ | ${ }^{24} 0.0051$ | ${ }^{28} 0.0064$ | ${ }^{28} 0.0076$ | ${ }^{30} 0.0092$ | ${ }^{29} 0.0112$ | $0.0001 \mathrm{~N}^{0.266104}$ |
| 75 | NTECHLAB-6 | ${ }^{24} 0.0024$ | ${ }^{26} 0.0034$ | ${ }^{18} 0.0042$ | ${ }^{19} 0.0052$ | ${ }^{18} 0.0066$ | ${ }^{11} 0.0000 \mathrm{~N}^{0.346102}$ | ${ }^{19} 0.0047$ | ${ }^{24} 0.0059$ | ${ }^{23} 0.0069$ | ${ }^{23} 0.0081$ | ${ }^{24} 0.0098$ | ${ }^{10} 0.0002 \mathrm{~N}^{0.250} 95$ |
| 76 | QUANTASOFT-1 | ${ }^{188} 0.9857$ | ${ }^{149} 0.9857$ |  |  |  | - | ${ }^{182} 0.2198$ | ${ }^{1 / 6} 0.2198$ | ${ }^{5} 0.2198$ |  | ${ }^{66} 0.2198$ | ${ }^{115} 0.2198 \mathrm{~N}^{0.0001}$ |
| 77 | RANKONE-0 | ${ }^{122} 0.0255$ | ${ }^{108} 0.0319$ | ${ }^{55} 0.0366$ | ${ }^{52} 0.0425$ | ${ }^{44} 0.0486$ | ${ }^{88} 0.0014 \mathrm{~N}^{0.22047}$ | ${ }^{137} 0.0375$ | ${ }^{3} 0.0455$ | ${ }^{80} 0.0514$ | ${ }^{76} 0.0564$ | ${ }^{75} 0.0654$ | ${ }^{94} 0.0032 \mathrm{~N}^{0.186} 51$ |
| 78 | RANKONE-1 | ${ }^{102} 0.0152$ | ${ }^{97} 0.0194$ | ${ }^{51} 0.0224$ | ${ }^{49} 0.0260$ | ${ }^{43} 0.0302$ | ${ }^{77} 0.0007 \mathrm{~N}^{0.232} 50$ | ${ }^{15} 0.0226$ | ${ }^{108} 0.0247$ |  |  |  | ${ }^{102} 0.0062 \mathrm{~N}^{0.09716}$ |
| 79 | RANKONE-2 | ${ }^{94} 0.0117$ | ${ }^{89} 0.0149$ |  |  |  | ${ }^{62} 0.0003 \mathrm{~N}^{0.268865}$ | ${ }^{106} 0.0181$ | ${ }^{102} 0.0221$ | ${ }^{68} 0.0250$ | ${ }^{66} 0.0288$ | ${ }^{65} 0.0330$ | ${ }^{72} 0.0012 \mathrm{~N}^{0.20465}$ |
| 80 | RANKONE-3 | ${ }^{93} 0.0117$ | ${ }^{88} 0.0149$ | ${ }^{49} 0.0172$ | ${ }^{47} 0.0200$ | ${ }^{41} 0.0236$ | ${ }^{71} 0.0005 \mathrm{~N}^{0.237}{ }^{54}$ | ${ }^{105} 0.0181$ | ${ }^{101} 0.0221$ | ${ }^{67} 0.0250$ | ${ }^{65} 0.0288$ | ${ }^{64} 0.0330$ | ${ }^{71} 0.0012 \mathrm{~N}^{0.20464}$ |
| 81 | RANKONE-4 | ${ }^{119} 0.0246$ | ${ }^{107} 0.0318$ |  |  |  | ${ }^{74} 0.0006 \mathrm{~N}^{0.28274}$ | ${ }^{132} 0.0351$ | ${ }^{132} 0.0441$ | ${ }^{79} 0.0508$ |  |  | ${ }^{77} 0.0014 \mathrm{~N}^{0.239} 91$ |
| 82 | RANKONE-5 | ${ }^{59} 0.0058$ | ${ }^{58} 0.0072$ | ${ }^{35} 0.0086$ | ${ }^{33} 0.0103$ | ${ }^{32} 0.0122$ | ${ }^{49} 0.0002 \mathrm{~N}^{0.258}{ }^{1} 1$ | ${ }^{67} 0.0102$ | ${ }^{68} 0.0120$ | ${ }^{53} 0.0136$ | ${ }^{51} 0.0158$ | ${ }^{51} 0.0182$ | ${ }^{54} 0.0007 \mathrm{~N}^{0.2015} 58$ |
| 83 | REALNETWORKS-0 | ${ }^{131} 0.0337$ | ${ }^{115} 0.0443$ | ${ }^{56} 0.0527$ |  |  | ${ }^{78} 0.0007 \mathrm{~N}^{0.290}{ }^{80}$ | ${ }^{127} 0.0330$ | ${ }^{131} 0.0426$ |  |  |  | ${ }^{56} 0.0008 \mathrm{~N}^{0.280} 110$ |
| 84 | REALNETWORKS-2 | ${ }^{117} 0.0240$ | ${ }^{109} 0.0320$ |  |  |  | ${ }^{64} 0.0004 \mathrm{~N}^{0.31391}$ | ${ }^{125} 0.0323$ | ${ }^{125} 0.0418$ | ${ }^{78} 0.0494$ | ${ }^{77} 0.0587$ | ${ }^{74} 0.0604$ | ${ }^{82} 0.0017 \mathrm{~N}^{0.223} 77$ |
| 85 | REMARKAI-2 | ${ }^{46} 0.0047$ | ${ }^{51} 0.0062$ |  |  |  | ${ }^{23} 0.0001 \mathrm{~N}^{0.314} 92$ | ${ }^{56} 0.0085$ | ${ }^{58} 0.0105$ | ${ }^{46} 0.0122$ | ${ }^{48} 0.0145$ |  | ${ }^{26} 0.0004 \mathrm{~N}^{0.2378}{ }^{86}$ |
| 86 | SENSETIME-0 | ${ }^{15} 0.0016$ | ${ }^{15} 0.0018$ |  |  |  | - | ${ }^{17} 0.0046$ | ${ }^{16} 0.0048$ | ${ }^{1 /} 0.0050$ | ${ }^{14} 0.0053$ | ${ }^{15} 0.0057$ | ${ }^{84} 0.0018 \mathrm{~N}^{0.0719}$ |
| 87 | SENSETIME-1 | ${ }^{12} 0.0016$ | ${ }^{11} 0.0018$ |  |  |  | - | ${ }^{16} 0.0046$ | ${ }^{17} 0.0048$ | ${ }^{15} 0.0050$ | ${ }^{15} 0.0053$ | ${ }^{14} 0.0062$ | ${ }^{76} 0.0012 \mathrm{~N}^{0.095}{ }^{15}$ |
| 88 | SHAMAN-3 | ${ }^{148} 0.0808$ | ${ }^{127} 0.0969$ | ${ }^{63} 0.1091$ |  |  | ${ }^{97} 0.0060 \mathrm{~N}^{0.195}{ }^{37}$ | ${ }^{159} 0.1074$ | ${ }^{155} 0.1266$ |  |  |  | ${ }^{104} 0.0097 \mathrm{~N}^{0.180} 50$ |
| 89 | SHAMAN-7 | ${ }^{125} 0.0290$ | ${ }^{105} 0.0310$ |  |  |  | ${ }^{101} 0.0106 \mathrm{~N}^{0.0758}$ | ${ }^{159} 0.0397$ | ${ }^{128} 0.0422$ | ${ }^{71} 0.0442$ | ${ }^{75} 0.0468$ | ${ }^{72} 0.0499$ | ${ }^{107} 0.0139 \mathrm{~N}^{0.078}{ }^{10}$ |
| 90 | SIAT-1 | ${ }^{174} 0.2638$ | ${ }^{138} 0.2639$ | ${ }^{66} 0.2640$ |  |  | $0.2618 \mathrm{~N}^{0.0013}$ | ${ }^{11} 0.0037$ | ${ }^{10} 0.0039$ | ${ }^{10} 0.0041$ | 0.0044 | ${ }^{6} 0.0049$ | ${ }^{65} 0.0010 \mathrm{~N}^{0.0988}{ }^{17}$ |
| 91 | SIAT-2 | ${ }^{171} 0.2127$ | ${ }^{136} 0.2128$ |  |  |  | ${ }^{109} 0.2115 \mathrm{~N}^{0.0002} 2$ | ${ }^{12} 0.0037$ | ${ }^{11} 0.0040$ | ${ }^{11} 0.0042$ | ${ }^{11} 0.0045$ | ${ }^{5} 0.0049$ | ${ }^{67} 0.0011 \mathrm{~N}^{0.092}{ }^{13}$ |
| 92 | SMILART-4 | ${ }^{186} 0.8189$ | ${ }^{147} 0.9531$ |  |  |  | ${ }^{06} 0.0894 \mathrm{~N}^{0.166}{ }^{22}$ | ${ }^{199} 0.9176$ | ${ }^{198} 0.9649$ | ${ }^{88} 0.9908$ |  |  | ${ }^{116} 0.4706 \mathrm{~N}^{0.050} 3$ |
| 93 | SYNESIS-3 | ${ }^{154} 0.1133$ | ${ }^{131} 0.1350$ |  |  |  | ${ }^{100} 0.0088 \mathrm{~N}^{0.191} 32$ | ${ }^{166} 0.1478$ | ${ }^{167} 0.1721$ | ${ }^{83} 0.1897$ | ${ }^{79} 0.2108$ | ${ }^{77} 0.2338$ | ${ }^{108} 0.0184 \mathrm{~N}^{0.156{ }^{36}}$ |
| 94 | TEVIAN-4 | ${ }^{58} 0.0058$ | ${ }^{60} 0.0080$ | ${ }^{39} 0.0097$ |  |  | ${ }^{18} 0.0001 \mathrm{~N}^{0.3411101}$ | ${ }^{71} 0.0105$ | ${ }^{75} 0.0134$ |  |  |  | ${ }^{24} 0.0003 \mathrm{~N}^{0.2641} 102$ |
| 95 | TEVIAN-5 | ${ }^{45} 0.0040$ | ${ }^{44} 0.0053$ |  |  |  | ${ }^{21} 0.0001 \mathrm{~N}^{0.307 \%}{ }^{87}$ | ${ }^{44} 0.0074$ | ${ }^{48} 0.0092$ | 0.0104 | ${ }^{42} 0.0125$ | ${ }^{42} 0.0151$ | ${ }^{22} 0.0003 \mathrm{~N}^{0.24092}$ |
| 96 | TIGER-0 | ${ }^{134} 0.0364$ | ${ }^{117} 0.0480$ | ${ }^{58} 0.0565$ | ${ }^{55} 0.0678$ |  | ${ }^{84} 0.0009 \mathrm{~N}^{0.27871}$ | ${ }^{143} 0.0494$ | ${ }^{144} 0.0638$ |  |  |  | $0.0012 \mathrm{~N}^{0.279} 109$ |
| 97 | TIGER-2 | ${ }^{32} 0.0034$ | ${ }^{35} 0.0044$ |  |  |  | ${ }^{20} 0.0001 \mathrm{~N}^{0.295} 83$ | ${ }^{35} 0.0063$ | ${ }^{39} 0.0075$ | ${ }^{32} 0.0088$ | ${ }^{33} 0.0107$ | ${ }^{34} 0.0126$ | ${ }^{16} 0.0003 \mathrm{~N}^{0.239} 88$ |
| 98 | TONGYITRANS-1 | ${ }^{81} 0.0096$ | ${ }^{80} 0.0114$ | ${ }^{46} 0.0127$ | ${ }^{42} 0.0148$ |  | ${ }^{80} 0.0007 \mathrm{~N}^{0.193}{ }^{33}$ | ${ }^{52} 0.0080$ | ${ }^{52} 0.0095$ |  |  |  | ${ }^{0} 0.0006 \mathrm{~N}^{0.189} 54$ |
| 99 | TOSHIBA-0 | ${ }^{25} 0.0026$ | ${ }^{24} 0.0033$ |  |  |  | ${ }^{17} 0.0001 \mathrm{~N}^{0.28577}$ | ${ }^{32} 0.0058$ | ${ }^{32} 0.0068$ | ${ }^{27} 0.0076$ | ${ }^{25} 0.0085$ | ${ }^{48} 0.0178$ | ${ }^{5} 0.0001 \mathrm{~N}^{0.337} 112$ |
| 100 | VD-0 | ${ }^{178} 0.3583$ | ${ }^{143} 0.4303$ | ${ }^{67} 0.4776$ | ${ }^{62} 0.5281$ |  | ${ }^{105} 0.0355 \mathrm{~N}^{0.1742^{24}}$ | ${ }^{192} 0.4073$ | ${ }^{192} 0.4751$ |  |  |  | ${ }^{110} 0.0431 \mathrm{~N}^{0.16845}$ |
| 101 | VD-1 | ${ }^{173} 0.0184$ | ${ }^{102} 0.0221$ |  |  |  | ${ }^{7} 0.0012 \mathrm{~N}^{0.201741}$ | ${ }^{118} 0.0256$ | ${ }^{15} 0.0302$ | ${ }^{73} 0.0341$ | ${ }^{72} 0.0389$ | ${ }^{0} 0.0443$ | ${ }^{5} 0.0021 \mathrm{~N}^{0.188{ }^{53}}$ |
| 102 | VIGILANTSOLUTIONS-3 | ${ }^{136} 0.0410$ | ${ }^{121} 0.0549$ | ${ }^{59} 0.0654$ | ${ }^{54} 0.0654$ |  | ${ }^{92} 0.0023 \mathrm{~N}^{0.21946}$ | ${ }^{148} 0.0561$ | ${ }^{148} 0.0719$ |  |  |  | $0.0015 \mathrm{~N}^{0.271107}$ |
| 103 | VISIONLABS-3 | ${ }^{36} 0.0037$ | ${ }^{41} 0.0050$ | ${ }^{30} 0.0076$ | ${ }^{39} 0.0130$ |  | ${ }^{5} 0.0000 \mathrm{~N}^{0.563107}$ | ${ }^{42} 0.0070$ | ${ }^{46} 0.0089$ | ${ }^{48} 0.0124$ | ${ }^{57} 0.0185$ |  | ${ }^{4} 0.0000 \mathrm{~N}^{0.434} 113$ |
| 104 | VISIONLABS-4 | ${ }^{14} 0.0016$ | ${ }^{14} 0.0020$ |  |  |  | ${ }^{34} 0.0001 \mathrm{~N}^{0.2033} 43$ | ${ }^{13} 0.0037$ | ${ }^{13} 0.0044$ | ${ }^{14} 0.0049$ | ${ }^{19} 0.0062$ | ${ }^{21} 0.0088$ | ${ }^{6} 0.0001 \mathrm{~N}^{0.282} 111$ |
| 105 | VISIONLABS-5 | ${ }^{11} 0.0015$ | ${ }^{12} 0.0018$ | ${ }^{9} 0.0020$ | ${ }^{10} 0.0028$ | ${ }^{10} 0.0040$ | ${ }^{7} 0.0000 \mathrm{~N}^{0.332}{ }^{100}$ | ${ }^{9} 0.0035$ | ${ }^{12} 0.0041$ | ${ }^{12} 0.0046$ | ${ }^{16} 0.0054$ | ${ }^{17} 0.0068$ | ${ }^{11} 0.0002 \mathrm{~N}^{0.223 ~ 78}$ |
| 106 | VISIONLABS-6 | ${ }^{10} 0.0013$ | 0.0015 |  |  |  | ${ }^{52} 0.0002 \mathrm{~N}^{0.142} 18$ | 0.0030 | 0.0033 | ${ }^{6} 0.0037$ | 0.0044 | ${ }^{12} 0.0057$ | $0.0002 \mathrm{~N}^{0.21471}$ |
| 107 | VISIONLABS-7 | ${ }^{8} 0.0013$ | ${ }^{8} 0.0014$ | ${ }^{6} 0.0016$ | ${ }^{5} 0.0018$ | ${ }^{5} 0.0022$ | ${ }^{33} 0.0001 \mathrm{~N}^{0.18327}$ | ${ }^{6} 0.0030$ | ${ }^{6} 0.0033$ | ${ }^{4} 0.0035$ | ${ }^{4} 0.0039$ | 0.0050 | ${ }^{21} 0.0003 \mathrm{~N}^{0.169}{ }^{46}$ |
| 108 | VOCORD-3 | ${ }^{54} 0.0053$ | ${ }^{55} 0.0067$ | ${ }^{32} 0.0080$ | ${ }^{30} 0.0096$ |  | ${ }^{42} 0.0001 \mathrm{~N}^{0.27168}$ | ${ }^{41} 0.0070$ | ${ }^{43} 0.0085$ |  |  |  | ${ }^{33} 0.0005 \mathrm{~N}^{0.20463}$ |
| 109 | VOCORD-5 | ${ }^{49} 0.0048$ | ${ }^{46} 0.0057$ |  |  |  | ${ }^{67} 0.0004 \mathrm{~N}^{0.187}{ }^{29}$ | ${ }^{54} 0.0081$ | ${ }^{49} 0.0092$ | ${ }^{40} 0.0104$ | ${ }^{39} 0.0120$ | ${ }^{39} 0.0140$ | ${ }^{51} 0.0006 \mathrm{~N}^{0.1885} 5$ |
| 110 | YISHENG-1 | ${ }^{103} 0.0155$ | ${ }^{9} 0.0208$ | ${ }^{53} 0.0248$ | ${ }^{51} 0.0298$ |  | ${ }^{60} 0.0003 \mathrm{~N}^{0.294} 81$ | ${ }^{116} 0.0227$ | ${ }^{114} 0.0290$ |  |  |  | ${ }^{52} 0.0006 \mathrm{~N}^{0.266105}$ |
| 111 | YITU-0 | ${ }^{41} 0.0040$ | ${ }^{38} 0.0047$ | ${ }^{25} 0.0053$ | ${ }^{24} 0.0061$ | ${ }^{20} 0.0071$ | ${ }^{55} 0.0003 \mathrm{~N}^{0.2004}{ }^{40}$ | ${ }^{39} 0.0066$ | ${ }^{36} 0.0074$ | ${ }^{31} 0.0082$ | ${ }^{29} 0.0092$ | ${ }^{27} 0.0103$ | ${ }^{59} 0.0008 \mathrm{~N}^{0.156 ~ 37}$ |
| 112 | YITU-1 | ${ }^{38} 0.0039$ | ${ }^{36} 0.0046$ | ${ }^{22} 0.0051$ | ${ }^{21} 0.0059$ | ${ }^{19} 0.0069$ | ${ }^{58} 0.0003 \mathrm{~N}^{0.19435}$ | ${ }^{37} 0.0065$ | ${ }^{35} 0.0072$ |  |  |  | ${ }^{80} 0.0015 \mathrm{~N}^{0.110}{ }^{22}$ |
| 113 | YITU-2 | ${ }^{9} 0.0013$ | ${ }^{10} 0.0015$ | ${ }^{8} 0.0017$ | ${ }^{7} 0.0019$ | ${ }^{6} 0.0023$ | ${ }^{28} 0.0001 \mathrm{~N}^{0.196}{ }^{38}$ | ${ }^{15} 0.0041$ | ${ }^{14} 0.0044$ | ${ }^{13} 0.0047$ | ${ }^{12} 0.0050$ | ${ }^{11} 0.0055$ | ${ }^{68} 0.0011 \mathrm{~N}^{0.099} 18$ |
| 114 | YITU-3 | ${ }^{19} 0.0021$ | ${ }^{17} 0.0023$ |  |  |  | ${ }^{75} 0.0006 \mathrm{~N}^{0.0098}{ }^{12}$ | ${ }^{25} 0.0052$ | ${ }^{19} 0.0054$ | ${ }^{19} 0.0057$ | ${ }^{18} 0.0061$ | ${ }^{15} 0.0065$ | ${ }^{85} 0.0017 \mathrm{~N}^{0.081711}$ |
| 115 | YITU-4 | ${ }^{3} 0.0010$ | ${ }^{2} 0.0011$ | ${ }^{2} 0.0012$ | ${ }^{2} 0.0014$ | ${ }^{3} 0.0019$ | ${ }^{47} 0.0002 \mathrm{~N}^{0.13017}$ | ${ }^{10} 0.0036$ | ${ }^{9} 0.0037$ | ${ }^{9} 0.0040$ | ${ }^{6} 0.0042$ | ${ }^{18} 0.0072$ | ${ }^{13} 0.0002 \mathrm{~N}^{0.20868}$ |
| 116 | YITU-5 | ${ }^{15} 0.0019$ | ${ }^{15} 0.0020$ | ${ }^{10} 0.0021$ | ${ }^{9} 0.0023$ | ${ }^{8} 0.0025$ | ${ }^{72} 0.0005 \mathrm{~N}^{0.09610}$ | ${ }^{18} 0.0047$ | ${ }^{18} 0.0048$ | ${ }^{16} 0.0050$ | ${ }^{13} 0.0052$ | ${ }^{10} 0.0055$ | ${ }^{86} 0.0021 \mathrm{~N}^{0.0586}$ |

Table 13: Investigation-mode: Effect of N on FNIR at rank 1 For five enrollment population sizes, $N$, with $T=0$ and FPIR = 1. The left five columns apply for consolidated enrollment of a variable number of lifetime images from each subject. The right five columns apply for enrollment of one recent image. Missing entries usually apply because another algorithm from the same developer was run instead. Some developers are missing because less accurate algorithms were not run on galleries with $N>$ 1600 000. Throughout blue superscripts indicate the rank of the algorithm for that column, and yellow highlighting indicates the most accurate value. Caution: The Power-low models are mostly intended to draw attention to the kind of behavior, not as a model to be used for prediction.

| 2019/09/11 | $\operatorname{FNIR}(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | $\mathrm{T}=$ Threshold | $\mathrm{T}=0 \rightarrow$ Investigation |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 16:09:13 | $\operatorname{FPIR}(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined |  | $\mathrm{T}>0 \rightarrow$ Identification |


| $\begin{gathered} \hline \text { MISSES NOT AT RANK } 50 \\ \hline \text { FNIR(N, } \mathrm{T}=0, \mathrm{R}=50) \\ \hline \end{gathered}$ |  | ENROL LIFEtime |  |  |  |  |  | ENROL MOST RECENT |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | DATA | E: FRVT 20 |  |  |  |  | DATA | T: FRVT 20 |  |  |
| \# | ALGORITHM | $\mathrm{N}=0.64 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=3.0 \mathrm{M}$ | $\mathrm{N}=6.0 \mathrm{M}$ | $\mathrm{N}=12.0 \mathrm{M}$ | $a N^{b}$ | $\mathrm{N}=0.64 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=3.0 \mathrm{M}$ | $\mathrm{N}=6.0 \mathrm{M}$ | $\mathrm{N}=12.0 \mathrm{M}$ | $a N^{b}$ |
| 1 | 3DIVI-3 | ${ }^{127} 0.0103$ | ${ }^{116} 0.0151$ | ${ }^{59} 0.0192$ | ${ }^{55} 0.0241$ |  | ${ }^{30} 0.0001 \mathrm{~N}^{0.3822} 100$ | ${ }^{156} 0.0159$ | ${ }^{1{ }^{138} 0.0217}$ |  |  |  | ${ }^{11} 0.0002 \mathrm{~N}^{0.343}{ }^{106}$ |
| 2 | 3DIVI-5 | ${ }^{79} 0.0030$ | ${ }^{70} 0.0037$ |  |  |  | ${ }^{52} 0.0001 \mathrm{~N}^{0.237}{ }^{0 / 7}$ | ${ }^{11} 0.0065$ | ${ }^{92} 0.0074$ | ${ }^{62} 0.0083$ | ${ }^{62} 0.0094$ | ${ }^{60} 0.0107$ | ${ }^{53} 0.0007 \mathrm{~N}^{0.169} 71$ |
| 3 | ALCHERA-0 | ${ }^{115} 0.0073$ | ${ }^{99} 0.0076$ | ${ }^{52} 0.0079$ | ${ }^{49} 0.0101$ |  | ${ }^{90} 0.0012 \mathrm{~N}^{0.133} 38$ | ${ }^{128} 0.0125$ | ${ }^{121} 0.0129$ |  |  |  | ${ }^{109} 0.0079 \mathrm{~N}^{0.034} 1{ }^{13}$ |
| 4 | ALCHERA-3 | ${ }^{78} 0.0030$ | ${ }^{80} 0.0040$ |  |  |  | ${ }^{22} 0.0000 \mathrm{~N}^{0.309984}$ | ${ }^{57} 0.0047$ | ${ }^{64} 0.0052$ | ${ }^{16} 0.0056$ | ${ }^{49} 0.0063$ | ${ }^{45} 0.0070$ | ${ }^{62} 0.0008 \mathrm{~N}^{0.1366}{ }^{60}$ |
| 5 | ANKE-0 | ${ }^{65} 0.0024$ | ${ }^{10} 0.0030$ |  |  |  | ${ }^{48} 0.0001 \mathrm{~N}^{0.23465}$ | ${ }^{3} 0.0057$ | ${ }^{51} 0.0065$ | 0.0072 | 0.0081 | ${ }^{4} 0.0092$ | ${ }^{49} 0.0006 \mathrm{~N}^{0016468}$ |
| 6 | AWARE-3 | ${ }^{93} 0.0039$ | ${ }^{89} 0.0050$ | ${ }^{48} 0.0061$ | ${ }^{47} 0.0077$ |  | ${ }^{38} 0.0001 \mathrm{~N}^{0.299981}$ | ${ }^{106} 0.0081$ | ${ }^{113} 0.0101$ | ${ }^{71} 0.0118$ | ${ }^{69} 0.0139$ | ${ }^{1} 0.0170$ | ${ }^{27} 0.0003 \mathrm{~N}^{0.248} 9$ |
| 7 | AWARE-5 | ${ }^{94} 0.0041$ | ${ }^{\text {T20 }} 0.0053$ |  |  |  | ${ }^{10} 0.0001 \mathrm{~N}^{0.263 / 6}$ | ${ }^{172} 0.0088$ | ${ }^{170} 0.0108$ | 0.0127 | ${ }^{2} 0.0154$ | 0.0115 | ${ }^{9} 0.0017 \mathrm{~N}^{0.12885}$ |
| 8 | AYONIX-0 | ${ }^{177} 0.1723$ | ${ }^{143} 0.2142$ | ${ }^{67} 0.2467$ | ${ }^{65} 0.2850$ |  | ${ }^{105} 0.0085 \mathrm{~N}^{0.22562}$ | ${ }^{193} 0.1967$ | ${ }^{192} 0.2402$ |  |  |  | ${ }^{111} 0.0107 \mathrm{~N}^{0.21887}$ |
| 9 | AYONIX-2 | ${ }^{108} 0.0646$ | ${ }^{155} 0.0873$ |  |  |  | ${ }^{5} 0.0008 \mathrm{~N}^{0.359} 90$ | ${ }^{186} 0.0974$ | ${ }^{186} 0.1298$ | ${ }^{86} 0.1547$ | ${ }^{1} 0.1850$ | ${ }^{8} 0.2171$ | ${ }^{1} 0.0026 \mathrm{~N}^{0.27 / 39}$ |
| 10 | Camvi-3 | ${ }^{154} 0.0142$ | ${ }^{126} 0.0367$ | ${ }^{63} 0.0527$ | ${ }^{61} 0.1789$ |  | ${ }^{4} 0.0000 \mathrm{~N}^{1.080}{ }^{10808}$ | ${ }^{144} 0.0221$ | ${ }^{100} 0.0541$ |  |  |  | ${ }^{3} 0.0000 \mathrm{~N}^{0.980} 715$ |
| 11 | CAMVI-4 | ${ }^{122} 0.0078$ | ${ }^{124} 0.0323$ |  |  |  | ${ }^{1} 0.0000 \mathrm{~N}^{1.545} \mathrm{ml}$ | ${ }^{133} 0.0137$ | ${ }^{157} 0.0485$ | ${ }^{83} 0.0736$ | ${ }^{52} 0.2380$ | ${ }^{9} 0.2383$ | ${ }^{1} 0.0000 \mathrm{~N}^{1.024+116}$ |
| 12 | COGENT-0 | ${ }^{55} 0.0021$ | ${ }^{53} 0.0024$ | ${ }^{11} 0.0027$ | ${ }^{31} 0.0031$ | ${ }^{3} 0.0045$ | ${ }^{33} 0.0001 \mathrm{~N}^{0.253 / 3}$ | ${ }^{9} 0.0047$ | ${ }^{54} 0.0050$ | ${ }^{41} 0.0054$ | ${ }^{18} 0.0062$ | ${ }^{63} 0.0122$ | ${ }_{0}^{0.0001} \mathrm{~N}^{0.2888102}$ |
| 13 | Cogent-1 | ${ }^{54} 0.0021$ | ${ }^{52} 0.0024$ |  |  |  | ${ }^{60} 0.0002 \mathrm{~N}^{0.189}{ }^{54}$ | ${ }^{58} 0.0047$ | ${ }^{53} 0.0050$ | ${ }^{40} 0.0054$ | ${ }^{47} 0.0062$ | ${ }^{62} 0.0122$ | ${ }^{9} 0.0001 \mathrm{~N}^{0.2888101}$ |
| 14 | COGENT-2 | ${ }^{24} 0.0011$ | ${ }^{27} 0.0013$ | ${ }^{17} 0.0014$ | ${ }^{17} 0.0016$ | ${ }^{14} 0.0017$ | ${ }^{65} 0.0002 \mathrm{~N}^{0.137} 40$ | ${ }^{30} 0.0038$ | ${ }^{36} 0.0041$ | ${ }^{29} 0.0042$ | ${ }^{28} 0.0044$ | ${ }^{23} 0.0047$ | ${ }^{75} 0.0016 \mathrm{~N}^{0066632}$ |
| 15 | COGENT-3 | ${ }^{35} 0.0014$ | ${ }^{31} 0.0016$ | ${ }^{19} 0.0018$ | ${ }^{19} 0.0020$ | ${ }^{17} 0.0023$ | ${ }^{11} 35.4798 \mathrm{~N}^{-0.5781}$ | ${ }^{88} 0.0040$ | ${ }^{40} 0.0042$ | ${ }^{2} 0.0044$ | ${ }^{30} 0.0046$ | ${ }^{26} 0.0048$ | ${ }^{7} 0.0017 \mathrm{~N}^{0.06550}$ |
| 16 | COGNITEC-0 | ${ }^{92} 0.0039$ | ${ }^{87} 0.0050$ |  |  |  | ${ }^{6} 0.0000 \mathrm{~N}^{0.599}$ 106 | ${ }^{100} 0.0076$ | ${ }^{103} 0.0092$ | ${ }^{69} 0.0104$ | ${ }^{68} 0.0123$ | ${ }^{68} 0.0148$ | ${ }^{39} 0.0004 \mathrm{~N}^{0.21886}$ |
| 17 | COGNITEC-1 | ${ }^{66} 0.0024$ | ${ }^{60} 0.0028$ | ${ }^{39} 0.0032$ | ${ }^{3 /} 0.0037$ | ${ }^{32} 0.0044$ | ${ }^{10} 0.0002 \mathrm{~N}^{0.2005}$ | ${ }^{81} 0.0056$ | ${ }^{76} 00060$ | ${ }^{57} 0.0066$ | ${ }^{50} 0.0072$ | ${ }^{10} 0.0081$ | ${ }^{65} 0.0010 \mathrm{~N}^{0.12855}$ |
| 18 | COGNITEC-2 | ${ }^{49} 0.0020$ | ${ }^{43} 0.0021$ | ${ }^{24} 0.0023$ | ${ }^{22} 0.0025$ | ${ }^{19} 0.0027$ | ${ }^{74} 0.0004 \mathrm{~N}^{0.173}{ }^{\text {013 }}$ | ${ }^{68} 0.0049$ | ${ }^{63} 0.0052$ | ${ }^{42} 0.0054$ | ${ }^{38} 0.0056$ | ${ }^{35} 0.0060$ | ${ }^{86} 0.0021 \mathrm{~N}^{0.06338}$ |
| 19 | COGNITEC-3 | ${ }^{61} 0.0023$ | ${ }^{54} 0.0025$ | ${ }^{29} 0.0026$ | ${ }^{26} 0.0028$ | ${ }^{22} 0.0031$ | ${ }^{81} 0.0007 \mathrm{~N}^{0.086625}$ | ${ }^{55} 0.0053$ | ${ }^{71} 0.0056$ | ${ }^{49} 0.0057$ | ${ }^{42} 0.0060$ | ${ }^{10} 0.0063$ | ${ }^{90} 0.0025 \mathrm{~N}^{0.057 ~}{ }^{\text {24 }}$ |
| 20 | DAHUA-1 | ${ }^{3} 0.0021$ | ${ }^{18} 0.0022$ |  |  |  | $0.0005 \mathrm{~N}^{0.0099}$ | ${ }^{6} 0.0046$ | 0.0049 | 0.0051 | ${ }^{\circ} 0.0054$ | ${ }^{2} 0.0058$ | $0^{5} 0.0015 \mathrm{~N}^{0.0055 ~} 4$ |
| 21 | DERMALOG-4 | ${ }^{139} 0.0186$ | ${ }^{121} 0.0272$ | ${ }^{61} 0.0340$ | ${ }^{58} 0.0427$ |  | ${ }^{5} 0.0001 \mathrm{~N}^{0.37298}$ | ${ }^{149} 0.0262$ | ${ }^{155} 0.0365$ |  |  |  | ${ }^{14} 0.0002 \mathrm{~N}^{0.3653} 108$ |
| 22 | DERMALOG-5 | ${ }^{112} 0.0066$ | ${ }^{100} 0.0092$ |  |  |  | ${ }^{24} 0.0001 \mathrm{~N}^{0.362 ~ \% ~}$ | ${ }^{125} 0.0113$ | ${ }^{1250.0142}$ | ${ }^{88} 0.0192$ | ${ }^{6} 0.0275$ | ${ }^{5} 0.0427$ | ${ }^{3 /} 0.0004 \mathrm{~N}^{0.488}$ |
| 23 | DERMALOG-6 | ${ }^{100} 0.0046$ | ${ }^{84} 0.0047$ |  |  |  | ${ }^{100} 0.0035 \mathrm{~N}^{0.0207}$ | ${ }^{105} 0.0080$ | ${ }^{94} 0.0081$ | ${ }^{63} 0.0083$ | ${ }^{60} 0.0085$ | ${ }^{52} 0.0087$ | ${ }^{1066} 0.0053 \mathrm{~N}^{0.0350} 10$ |
| 24 | EVERAI-0 | ${ }^{104} 0.0050$ | ${ }^{15} 0.0150$ |  |  |  | ${ }^{3} 0.0000 \mathrm{~N}^{1.1855109}$ | ${ }^{1010} 0.0077$ | ${ }^{135} 0.0182$ | ${ }^{79} 0.0317$ |  |  | ${ }^{2} 0.0000 \mathrm{~N}^{0.919 ~ 114}$ |
| 25 | EVERAI-1 | ${ }^{30} 0.0013$ | ${ }^{29} 0.0014$ |  |  |  | ${ }^{90} 0.0004 \mathrm{~N}^{0.0960 ~}{ }^{\text {29 }}$ | ${ }^{25} 0.0031$ | ${ }^{28} 0.0033$ | ${ }^{21} 0.0034$ |  |  | $0.0012 \mathrm{~N}^{0.0070}{ }^{35}$ |
| 26 | EVERAI-3 | ${ }^{28} 0.0012$ | ${ }^{28} 0.0013$ | ${ }^{16} 0.0014$ | ${ }^{14} 0.0014$ |  | ${ }^{9} 0.0006 \mathrm{~N}^{0.057}{ }^{\text {I6 }}$ | ${ }^{21} 0.0029$ | ${ }^{16} 0.0030$ | ${ }^{14} 0.0032$ | ${ }^{15} 0.0034$ | ${ }^{12} 0.0035$ | ${ }^{69} 0.0012 \mathrm{~N}^{0.065531}$ |
| 27 | EYEDEA-3 | ${ }^{150} 0.0113$ | ${ }^{177} 0.0160$ | ${ }^{60} 0.0209$ | ${ }^{56} 0.0252$ |  | ${ }^{44} 0.0001 \mathrm{~N}^{0.3649 \%}$ | ${ }^{140} 0.0175$ | ${ }^{1{ }^{139} 0.0236}$ |  |  |  | ${ }^{19} 0.0002 \mathrm{~N}^{0.326104}$ |
| 28 | GLORY-1 | ${ }^{758} 0.0415$ | ${ }^{129} 0.0490$ | ${ }^{64} 0.0539$ | ${ }^{59} 0.0600$ |  | ${ }^{102} 0.0047 \mathrm{~N}^{0.164} 45$ | ${ }^{173} 0.0604$ | ${ }^{1711} 0.0698$ |  |  |  | ${ }^{108} 0.0073 \mathrm{~N}^{00158}{ }^{\text {0 }}$ 65 |
| 29 | GORILLA-2 | ${ }^{59} 0.0023$ | ${ }^{00} 0.0029$ |  |  |  | ${ }^{20} 0.0000 \mathrm{~N}^{0.28978}$ | ${ }^{00} 0.0050$ | 0.0061 | ${ }^{58} 0.0070$ | ${ }^{88} 0.0084$ | ${ }^{56} 0.0102$ | ${ }^{15} 0.0002 \mathrm{~N}^{0.23892}$ |
| 30 | HIK-2 | ${ }^{125} 0.0084$ | ${ }^{106} 0.0090$ | ${ }^{53} 0.0097$ | ${ }^{50} 0.0106$ | ${ }^{13} 0.0118$ | ${ }^{56} 0.0018 \mathrm{~N}^{0.115}{ }^{34}$ | ${ }^{1110.0087}$ | ${ }^{104} 0.0093$ |  |  |  | ${ }^{88} 0.0035 \mathrm{~N}^{0.06683}$ |
| 31 | HIK-3 | ${ }^{58} 0.0023$ | ${ }^{64} 0.0028$ |  |  |  | ${ }^{47} 0.0001 \mathrm{~N}^{0.20064}$ | ${ }^{48} 0.0044$ | ${ }^{7} 0.0051$ | ${ }^{\text {T }} 0.0058$ | ${ }^{1} 0.0066$ | ${ }^{4 / 0.0076}$ | ${ }^{34} 0.0003 \mathrm{~N}^{0.189} 78$ |
| 32 | HIK-4 | ${ }^{64} 0.0023$ | ${ }^{68} 0.0028$ | ${ }^{40} 0.0033$ | ${ }^{38} 0.0039$ | ${ }^{35} 0.0048$ | ${ }^{45} 0.0001 \mathrm{~N}^{0.24669}$ | ${ }^{53} 0.0045$ | ${ }^{58} 0.0051$ | ${ }^{51} 0.0058$ | ${ }^{50} 0.0065$ | ${ }^{46} 0.0076$ | ${ }^{38} 0.0004 \mathrm{~N}^{0.17573}$ |
| 33 | HIK-5 | ${ }^{13} 0.0009$ | ${ }^{17} 0.0011$ | ${ }^{13} 0.0012$ | ${ }^{15} 0.0014$ |  | ${ }^{56} 0.0001 \mathrm{~N}^{0.140} 42$ | ${ }^{23} 0.0029$ | ${ }^{25} 0.0033$ | ${ }^{22} 0.0035$ | ${ }^{20} 0.0038$ | ${ }^{17} 0.0042$ | ${ }^{45} 0.0006 \mathrm{~N}^{0.122} 52$ |
| 34 | IDEMIA-0 | ${ }^{50} 00016$ | ${ }^{42} 0.0019$ | ${ }^{25} 0.0023$ | ${ }^{24} 0.0026$ | ${ }^{25} 0.0031$ | ${ }^{41} 0.0001 \mathrm{~N}^{0.22665}$ | 0.0045 | 0.0051 | ${ }^{44} 0.0055$ | ${ }^{4 \pi} 0.0060$ | ${ }^{44} 0.0067$ | ${ }^{61} 0.0008 \mathrm{~N}^{0.154} 5$ |
| 35 | IDEMIA-1 | ${ }^{45} 0.0019$ | ${ }^{51} 0.0024$ | ${ }^{38} 0.0029$ | ${ }^{36} 0.0036$ | ${ }^{34} 0.0046$ | ${ }^{17} 0.0000 \mathrm{~N}^{0.3077}{ }^{83}$ | ${ }^{67} 0.0049$ | ${ }^{74} 0.0058$ | ${ }^{56} 0.0065$ | ${ }^{56} 0.0076$ | ${ }^{53} 0.0089$ | ${ }^{33} 0.0003 \mathrm{~N}^{0.201} 83$ |
| 36 | IDEMIA-2 | ${ }^{82} 0.0031$ | ${ }^{79} 0.0040$ | ${ }^{43} 0.0048$ | ${ }^{43} 0.0058$ | ${ }^{40} 0.0074$ | ${ }^{31} 0.0001 \mathrm{~N}^{0.20079}$ | ${ }^{90} 0.0061$ | ${ }^{87} 0.0069$ |  |  |  | ${ }^{66} 0.0010 \mathrm{~N}^{0.13559}$ |
| 37 | IDEMIA-3 | ${ }^{46} 0.0019$ | ${ }^{46} 0.0022$ |  |  |  | ${ }^{64} 0.0002 \mathrm{~N}^{0.175}{ }^{\text {d }}$ +8 | ${ }^{64} 0.0049$ | ${ }^{65} 0.0053$ | ${ }^{47} 0.0057$ | ${ }^{46} 0.0062$ | ${ }^{43} 0.0067$ | ${ }^{67} 0.0011 \mathrm{~N}^{0.109} 49$ |
| 38 | IDEMIA-4 | 0.0015 | ${ }^{36} 0.0017$ | ${ }^{21} 0.0020$ | ${ }^{21} 0.0023$ | ${ }^{20} 0.0028$ | ${ }^{45} 0.0001 \mathrm{~N}^{0.207756}$ | ${ }^{40} 0.0043$ | ${ }^{40} 0.0046$ | ${ }^{30} 0.0051$ | \% 0.0055 | ${ }^{50} 0.0062$ | ${ }^{65} 0.0008 \mathrm{~N}^{0.12151}$ |
| 39 | IDEMIA-5 | ${ }^{44} 0.0018$ | ${ }^{49} 0.0023$ | ${ }^{28} 0.0026$ | ${ }^{34} 0.0033$ | ${ }^{31} 0.0042$ | ${ }^{18} 0.0000 \mathrm{~N}^{0.28977}$ | ${ }^{61} 0.0048$ | ${ }^{70} 0.0056$ | ${ }^{54} 0.0062$ | ${ }^{54} 0.0070$ | ${ }^{50} 0.0080$ | ${ }^{40} 0.0005 \mathrm{~N}^{0.175} 72$ |
| 40 | IDEMIA-6 | ${ }^{50} 0.0022$ | ${ }^{65} 0.0028$ | ${ }^{41} 0.0034$ | ${ }^{39} 0.0043$ | ${ }^{36} 0.0055$ | ${ }^{37} 0.0001 \mathrm{~N}^{0.25874}$ | ${ }^{76} 0.0054$ | ${ }^{78} 0.0062$ | ${ }^{60} 0.0072$ | ${ }^{59} 0.0084$ | ${ }^{57} 0.0102$ | ${ }^{25} 0.0003 \mathrm{~N}^{0.220 ~ 88}$ |
| 41 | IMAGUS-2 | ${ }^{155} 0.0348$ | ${ }^{130} 0.0510$ | ${ }^{65} 0.0641$ | ${ }^{60} 0.0804$ |  | ${ }^{67} 0.0002 \mathrm{~N}^{0.375} 99$ | ${ }^{166} 0.0468$ | ${ }^{166} 0.0657$ |  |  |  | ${ }^{32} 0.0003 \mathrm{~N}^{0.371} 109$ |
| 42 | INCODE-1 | ${ }^{71} 0.0026$ | ${ }^{74} 0.0033$ | ${ }^{58} 0.0167$ | ${ }^{7} 0.0323$ |  | ${ }^{2} 0.0000 \mathrm{~N}^{1.177}$ 110 | ${ }^{78} 0.0055$ | ${ }^{79} 0.0063$ |  |  |  | ${ }^{5} 0.0007 \mathrm{~N}^{0.15364}$ |
| 43 | INCODE-3 | ${ }^{42} 0.0017$ | ${ }^{44} 0.0021$ |  |  |  | ${ }^{28} 0.0001 \mathrm{~N}^{0.251 / 0}$ | ${ }^{0} 0.0044$ | ${ }^{61} 0.0052$ | ${ }^{88} 0.0057$ | ${ }^{2} 0.0067$ | ${ }^{19} 0.0078$ | ${ }^{1} 0.0003 \mathrm{~N}^{0.194} 8{ }^{82}$ |
| 44 | INNOVATRICS-4 | ${ }^{50} 0.0020$ | ${ }^{47} 0.0022$ |  |  |  | ${ }^{3} 0.0004 \mathrm{~N}^{0.118}{ }^{35}$ | ${ }^{2} 0.0052$ | ${ }^{73} 0.0058$ | ${ }^{30} 0.0061$ | ${ }^{15} 0.0061$ | ${ }^{88} 0.0061$ | ${ }^{92} 0.0026 \mathrm{~N}^{0.054}{ }^{22}$ |
| 45 | ISYSTEMS-0 | ${ }^{1010} 0.0048$ | ${ }^{88} 0.0050$ | ${ }^{45} 0.0053$ | ${ }^{42} 0.0056$ | ${ }^{39} 0.0060$ | ${ }^{44} 0.0017 \mathrm{~N}^{0.07621}$ | ${ }^{108} 0.0086$ | ${ }^{102} 0.0089$ |  |  |  | ${ }^{103} 0.0048 \mathrm{~N}^{0.044} 15$ |
| 46 | ISYSTEMS-1 | ${ }^{102} 0.0048$ | ${ }^{90} 0.0050$ | ${ }^{44} 0.0053$ | ${ }^{41} 0.0056$ | ${ }^{38} 0.0060$ | ${ }^{95} 0.0017 \mathrm{~N}^{0.0755}$ | ${ }^{109} 0.0086$ | ${ }^{1010} 0.0089$ |  |  |  | ${ }^{104} 0.0049 \mathrm{~N}^{0.041} 14$ |
| 47 | ISYSTEMS-2 | ${ }^{74} 0.0026$ | ${ }^{62} 0.0027$ | ${ }^{35} 0.0029$ |  |  | ${ }^{89} 0.0012 \mathrm{~N}^{0.06117}$ | ${ }^{7} 0.0054$ | ${ }^{72} 0.0056$ | ${ }^{52} 0.0058$ | ${ }^{15} 0.0060$ | ${ }^{41} 0.0063$ | ${ }^{93} 0.0027 \mathrm{~N}^{0.0051}{ }^{\text {20 }}$ |
| 48 | ISYSTEMS-3 | ${ }^{69} 0.0025$ | ${ }^{60} 0.0026$ | ${ }^{\text {J0 }} 0.0027$ | ${ }^{25} 0.0028$ | ${ }^{21} 0.0030$ | ${ }^{1} 0.0012 \mathrm{~N}^{0.055}{ }^{\text {¹3 }}$ | 0.0052 | ${ }^{60} 0.0054$ | ${ }^{45} 0.0055$ | ${ }^{9} 0.0057$ | ${ }^{55} 0.0059$ | ${ }^{94} 0.0028 \mathrm{~N}^{0.047618}$ |
| 49 | LOOKMAN-3 | ${ }^{118} 0.0075$ | ${ }^{1000} 0.0077$ |  |  |  | ${ }^{103} 0.0060 \mathrm{~N}^{0.017}{ }^{0.1}$ | ${ }^{119} 0.0099$ | ${ }^{112} 0.0100$ | ${ }^{68} 0.0101$ | ${ }^{64} 0.0102$ | ${ }^{8} 0.0104$ | ${ }^{110} 0.0079 \mathrm{~N}^{0.0163}$ |
| 50 | MEGVII-0 | ${ }^{27} 0.0012$ | ${ }^{40} 0.0019$ | ${ }^{26} 0.0025$ | ${ }^{33} 0.0032$ | ${ }^{30} 0.0041$ | $0.0000 \mathrm{~N}^{0.422103}$ | ${ }^{12} 0.0026$ | ${ }^{20} 0.0031$ | ${ }^{20} 0.0034$ | ${ }^{25} 0.0039$ | ${ }^{24} 0.0048$ | ${ }^{12} 0.0002 \mathrm{~N}^{0.2044} 84$ |
| 51 | MEGVII-1 |  |  |  |  |  |  | ${ }^{116} 0.0091$ | ${ }^{106} 0.0094$ | ${ }^{64} 0.0097$ | ${ }^{63} 0.0101$ | ${ }^{59} 0.0106$ | ${ }^{102} 0.0044 \mathrm{~N}^{0.05331}$ |
| 52 | MICROFOCUS-3 | ${ }^{5} 0.2047$ | ${ }^{45} 0.2625$ | ${ }^{50.3017}$ |  |  | ${ }^{104} 0.0070 \mathrm{~N}^{0.252}$ /1 | ${ }^{5} 0.2518$ | ${ }^{194} 0.3113$ |  |  |  | ${ }^{112} 0.0114 \mathrm{~N}^{0.232915}$ |
| 53 | MICROFOCUS-5 | ${ }^{174} 0.1040$ | ${ }^{140} 0.1422$ |  |  |  | ${ }^{86} 0.0011 \mathrm{~N}^{0.34193}$ | ${ }^{190} 0.1322$ | ${ }^{190} 0.1744$ | ${ }^{87} 0.2066$ | ${ }^{83} 0.2445$ | ${ }^{80} 0.2829$ | ${ }^{101} 0.0042 \mathrm{~N}^{0.2600} 96$ |
| 54 | MICROSOFT-0 | ${ }^{8} 0.0008$ | ${ }^{120} 0.0010$ | ${ }^{11} 0.0011$ | ${ }^{41} 0.0012$ | ${ }^{10} 0.0014$ | ${ }^{42} 0.0001 \mathrm{~N}^{0.174}{ }^{4 / 7}$ | ${ }^{18} 0.0028$ | ${ }^{0} 0.0031$ | ${ }^{150.0032}$ | 0.0035 | ${ }^{4} 0.0037$ | ${ }^{80} 0.0007 \mathrm{~N}^{0.1010145}$ |
| 55 | MICROSOFT-1 | ${ }^{9} 00008$ | ${ }^{10} 0.0009$ | ${ }^{10} 0.0011$ | ${ }^{10} 0.0012$ | ${ }^{11} 0.0014$ | ${ }^{39} 0.0001 \mathrm{~N}^{0.177}{ }^{50}$ | ${ }^{15} 0.0028$ | ${ }^{15} 0.0030$ |  |  |  | ${ }^{60} 0.0007 \mathrm{~N}^{0.098843}$ |
| 56 | MICROSOFT-2 | ${ }^{11} 0.0008$ | ${ }^{11} 0.0010$ | ${ }^{9} 0.0011$ | ${ }^{12} 0.0012$ | ${ }^{12} 0.0014$ | ${ }^{36} 0.0001 \mathrm{~N}^{0.1865} 5$ | ${ }^{20} 0.0029$ | ${ }^{21} 0.0032$ |  |  |  | ${ }^{59} 0.0007 \mathrm{~N}^{0.10146}$ |
| 57 | MICROSOFT-3 | ${ }^{2} 0.0004$ | ${ }^{4} 0.0004$ |  |  |  | ${ }^{23} 0.0001 \mathrm{~N}^{0.153 ~ 43}$ | ${ }^{4} 0.0018$ | ${ }^{4} 0.0019$ | ${ }^{4} 0.0021$ | ${ }^{4} 0.0022$ | ${ }^{3} 0.0023$ | ${ }^{52} 0.0006 \mathrm{~N}^{0.078}{ }^{38}$ |
| 58 | MICROSOFT-4 | 0.0004 | ${ }^{1} 0.0004$ | ${ }^{1} 0.0005$ | ${ }^{1} 0.0005$ | ${ }^{1} 0.0006$ | ${ }^{27} 0.0001 \mathrm{~N}^{0.140} 41$ | ${ }^{3} 0.0018$ | ${ }^{3} 0.0019$ | ${ }^{3} 0.0020$ | ${ }^{2} 0.0021$ | ${ }^{2} 0.0022$ | ${ }^{54} 0.0007 \mathrm{~N}^{0.0707}{ }^{\text {0. }} 36$ |
| 59 | MICROSOFT-5 | ${ }^{3} 0.0004$ | ${ }^{3} 0.0004$ | ${ }^{3} 0.0005$ | ${ }^{2} 0.0005$ | ${ }^{2} 0.0006$ | ${ }^{34} 0.0001 \mathrm{~N}^{0.134}{ }^{0.39}$ | ${ }^{2} 0.0018$ | 0.0018 | ${ }^{1} 0.0019$ | 0.0020 | ${ }^{1} 0.0021$ | ${ }^{56} 0.0007 \mathrm{~N}^{0.067} 33$ |
| 60 | MICROSOFT-6 | ${ }^{4} 0.0004$ | ${ }^{2} 0.0004$ | ${ }^{2} 0.0005$ | ${ }^{3} 0.0006$ | ${ }^{3} 0.0006$ | ${ }^{54} 0.0001 \mathrm{~N}^{0.0855 ~}{ }^{23}$ | 0.0018 | ${ }^{2} 0.0019$ | ${ }^{2} 0.0019$ | ${ }^{3} 0.0021$ | ${ }^{4} 0.0023$ | ${ }^{41} 0.0005 \mathrm{~N}^{0.091 ~}{ }^{0.1}$ |
| 61 | NEC-0 | ${ }^{50} 0.0023$ | ${ }^{5} 0.0030$ | ${ }^{42} 0.0038$ | ${ }^{10} 0.0047$ | 0.0059 | ${ }^{15} 0.0000 \mathrm{~N}^{0.354887}$ | 0.0055 | ${ }^{80} 0.0064$ | ${ }^{61} 0.0074$ | ${ }^{61} 0.0085$ | ${ }^{5} 0.0100$ | ${ }^{50} 0.0003 \mathrm{~N}^{0.2055}$ |
| 62 | NEC-1 | ${ }^{119} 0.0076$ | ${ }^{102} 0.0080$ |  |  |  | ${ }^{101} 0.0038 \mathrm{~N}^{0.05712}$ | ${ }^{131} 0.0135$ | ${ }^{122} 0.0138$ | ${ }^{74} 0.0142$ | ${ }^{\text {\% }} 0.0147$ | ${ }^{69} 0.0154$ | ${ }^{107} 0.0073 \mathrm{~N}^{0.04616}$ |
| 63 | NEC-2 | ${ }^{10} 0.0008$ | ${ }^{8} 0.0008$ | ${ }^{6} 0.0009$ | ${ }^{5} 0.0009$ | ${ }^{4} 0.0009$ | ${ }^{75} 0.0004 \mathrm{~N}^{0.0466+11}$ | ${ }^{7} 0.0022$ | ${ }^{5} 0.0023$ | ${ }^{5} 0.0023$ | ${ }^{5} 0.0024$ | ${ }^{5} 0.0025$ | ${ }^{72} 0.0014 \mathrm{~N}^{0.034} 12$ |
| 64 | NEC-3 | ${ }^{22} 0.0011$ | ${ }^{20} 0.0011$ | ${ }^{12} 0.0011$ | 0.0011 | ${ }^{8} 0.0011$ | ${ }^{82} 0.0008 \mathrm{~N}^{0.02269}$ | ${ }^{13} 0.0026$ | ${ }^{12} 0.0027$ | ${ }^{10} 0.0028$ | ${ }^{7} 0.0028$ | ${ }^{6} 0.0029$ | ${ }^{81} 0.0019 \mathrm{~N}^{0.02265}$ |
| 65 | NEUROTECHNOLOGY-3 | ${ }^{90} 0.0038$ | ${ }^{91} 0.0051$ |  |  |  | ${ }^{21} 0.0000 \mathrm{~N}^{0.32689}$ | ${ }^{3} 0.0068$ | ${ }^{97} 0.0083$ | ${ }^{65} 0.0097$ | ${ }^{67} 0.0116$ | ${ }^{67} 0.0137$ | ${ }^{23} 0.0003 \mathrm{~N}^{0.24393}$ |
| 66 | NEUROTECHNOLOGY-4 | ${ }^{51} 0.0020$ | ${ }^{50} 0.0024$ | ${ }^{32} 0.0027$ | ${ }^{30} 0.0031$ | ${ }^{27} 0.0035$ | ${ }^{59} 0.0002 \mathrm{~N}^{0.189}{ }^{\text {53 }}$ | ${ }^{60} 0.0048$ | ${ }^{56} 0.0051$ | ${ }^{39} 0.0054$ | ${ }^{40} 0.0057$ | ${ }^{30} 0.0060$ | ${ }^{76} 0.0016 \mathrm{~N}^{00.081 ~ 39}$ |
| 67 | NEUROTECHNOLOGY-5 | ${ }^{40} 0.0017$ | ${ }^{39} 0.0018$ | ${ }^{20} 0.0019$ | ${ }^{20} 0.0021$ | ${ }^{16} 0.0023$ | ${ }^{72} 0.0004 \mathrm{~N}^{0.105} 32$ | ${ }^{51} 0.0045$ | ${ }^{48} 0.0047$ | ${ }^{35} 0.0048$ | ${ }^{32} 0.0050$ | ${ }^{29} 0.0053$ | ${ }^{85} 0.0021 \mathrm{~N}^{0.055 ~}{ }^{23}$ |
| 68 | NEWLAND-2 |  |  |  |  |  |  | ${ }^{146} 0.0235$ | ${ }^{144} 0.0288$ | ${ }^{50} 0.0332$ | ${ }^{88} 0.0391$ |  | ${ }^{68} 0.0011 \mathrm{~N}^{0.22790}$ |
| 69 | NOBLIS-2 | ${ }^{156} 0.0366$ | ${ }^{\text {T51 }} 0.0520$ |  |  |  | ${ }^{60} 0.0002 \mathrm{~N}^{0.3885101}$ | ${ }^{162} 0.0403$ | ${ }^{162} 0.0560$ | ${ }^{82} 0.0682$ | 0.0940 |  | ${ }^{24} 0.0003 \mathrm{~N}^{10.3 / 2 ~} \mathrm{TrO}$ |
| 70 | NTECHLAB-0 | ${ }^{1} 0.0013$ | ${ }^{32} 0.0016$ | ${ }^{22} 0.0021$ | ${ }^{23} 0.0026$ | ${ }^{24} 0.0032$ | ${ }^{12} 0.0000 \mathrm{~N}^{0.30085}$ | ${ }^{29} 0.0033$ | ${ }^{31} 0.0039$ | ${ }^{50} 0.0043$ | ${ }^{34} 0.0051$ | ${ }^{11} 0.0058$ | ${ }^{22} 0.0002 \mathrm{~N}^{0.193881}$ |
| 71 | NTECHLAB-1 | ${ }^{32} 0.0013$ | ${ }^{38} 0.0018$ | ${ }^{25} 0.0022$ | ${ }^{2 /} 0.0029$ | ${ }^{28} 0.0038$ | ${ }^{9} 0.0000 \mathrm{~N}^{0.366 ~ Y / ~}$ | ${ }^{31} 0.0034$ | ${ }^{30} 0.0040$ |  |  |  | ${ }^{30} 0.0003 \mathrm{~N}^{0.1 / 7 / 74}$ |
| 72 | NTECHLAB-3 | ${ }^{21} 0.0010$ | ${ }^{23} 0.0012$ |  |  |  | ${ }^{25} 0.0001 \mathrm{~N}^{0.219}{ }^{59}$ | ${ }^{19} 0.0028$ | ${ }^{23} 0.0032$ | ${ }^{23} 0.0035$ | ${ }^{22} 0.0039$ | ${ }^{20} 0.0044$ | ${ }^{36} 0.0004 \mathrm{~N}^{0.149} 63$ |

Table 14: Investigation-mode: Effect of $\mathbf{N}$ on FNIR at rank 50 For five enrollment population sizes, $N$, with $T=0$ and FPIR = 1. The left five columns apply for consolidated enrollment of a variable number of lifetime images from each subject. The right five columns apply for enrollment of one recent image. Missing entries usually apply because another algorithm from the same developer was run instead. Some developers are missing because less accurate algorithms were not run on galleries with $N>$ 1600000. Throughout blue superscripts indicate the rank of the algorithm for that column, and yellow highlighting indicates the most accurate value. Caution: The Power-low models are mostly intended to draw attention to the kind of behavior, not as a model to be used for prediction.

| 2019/09/11 | FNIR(N, $\mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects |
| :--- | ---: | :--- | :--- | :--- | :--- |
| 16:09:13 | FPIR $(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined |$\quad \mathrm{T}=$ Threshold $\quad$| $\mathrm{T}=0 \rightarrow$ Investigation |
| :--- |
| $\mathrm{T}>0 \rightarrow$ Identification |


| MISSES NOT AT RANK 50 |  | ENROL LIFETIME |  |  |  |  |  | ENROL MOST RECENT |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\mathrm{R}(\mathrm{N}, \mathrm{T}=0, \mathrm{R}=50)$ | DATASET: FRVT 2018 |  |  |  |  |  | DATASET: FRVT 2018 |  |  |  |  |  |
| \# | ALGORITHM | $\mathrm{N}=0.64 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=3.0 \mathrm{M}$ | $\mathrm{N}=6.0 \mathrm{M}$ | $\mathrm{N}=12.0 \mathrm{M}$ | $a N^{b}$ | $\mathrm{N}=0.64 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=3.0 \mathrm{M}$ | $\mathrm{N}=6.0 \mathrm{M}$ | $\mathrm{N}=12.0 \mathrm{M}$ | $a N^{b}$ |
| 73 | NTECHLAB-4 | ${ }^{15} 0.0009$ | ${ }^{15} 0.0010$ | ${ }^{14} 0.0012$ | ${ }^{15} 0.0014$ | ${ }^{15} 0.0016$ | ${ }^{26} 0.0001 \mathrm{~N}^{0.2018}{ }^{58}$ | ${ }^{14} 0.0027$ | ${ }^{15} 0.0030$ | ${ }^{16} 0.0032$ | ${ }^{16} 0.0035$ | ${ }^{15} 0.0039$ | ${ }^{45} 0.0005 \mathrm{~N}^{0.120050}$ |
| 74 | NTECHLAB-5 | ${ }^{6} 0.0007$ | ${ }^{7} 0.0008$ |  |  |  | ${ }^{14} 0.0000 \mathrm{~N}^{0.23766}$ | ${ }^{6} 0.0021$ | ${ }^{8} 0.0025$ | ${ }^{8} 0.0027$ | ${ }^{9} 0.0031$ | ${ }^{11} 0.0035$ | ${ }^{20} 0.0002 \mathrm{~N}^{0.16869}$ |
| 75 | NTECHLAB-6 | ${ }^{5} 0.0006$ | ${ }^{5} 0.0008$ | ${ }^{5} 0.0008$ | ${ }^{7} 0.0010$ | ${ }^{9} 0.0012$ | ${ }^{13} 0.0000 \mathrm{~N}^{0.24468}$ | ${ }^{5} 0.0021$ | ${ }^{6} 0.0023$ | ${ }^{7} 0.0026$ | ${ }^{8} 0.0028$ | ${ }^{7} 0.0032$ | ${ }^{26} 0.0003 \mathrm{~N}^{0.147} 62$ |
| 76 | QUANTASOFT-1 | ${ }^{188} 0.9843$ | ${ }^{149} 0.9843$ |  |  |  | - | ${ }^{8} 0.1140$ | ${ }^{84} 0.1140$ | ${ }^{5} 0.1140$ |  | 0.1140 | ${ }^{115} 0.1140 \mathrm{~N}^{0.00001}$ |
| 77 | RANKONE-0 | ${ }^{116} 0.0074$ | ${ }^{109} 0.0100$ | ${ }^{55} 0.0120$ | ${ }^{53} 0.0146$ | ${ }^{44} 0.0176$ | ${ }^{57} 0.0001 \mathrm{~N}^{0.297}$ 80 | ${ }^{130} 0.0127$ | ${ }^{129} 0.0159$ | ${ }^{77} 0.0185$ | ${ }^{75} 0.0206$ | ${ }^{73} 0.0252$ | ${ }^{48} 0.0006 \mathrm{~N}^{0.22689}$ |
| 78 | RANKONE-1 | ${ }^{96} 0.0042$ | ${ }^{94} 0.0055$ | ${ }^{51} 0.0067$ | ${ }^{48} 0.0082$ | ${ }^{42} 0.0100$ | ${ }^{40} 0.0001 \mathrm{~N}^{0.300}{ }^{82}$ | ${ }^{104} 0.0078$ | ${ }^{98} 0.0086$ |  |  |  | ${ }^{83} 0.0020 \mathrm{~N}^{0.103}{ }^{48}$ |
| 79 | RANKONE-2 | ${ }^{89} 0.0037$ | ${ }^{85} 0.0047$ |  |  |  | ${ }^{53} 0.0001 \mathrm{~N}^{0.25372}$ | ${ }^{98} 0.0075$ | ${ }^{100} 0.0087$ | ${ }^{67} 0.0098$ | ${ }^{66} 0.0111$ | ${ }^{66} 0.0128$ | ${ }^{51} 0.0006 \mathrm{~N}^{0.18477}$ |
| 80 | RANKONE-3 | ${ }^{88} 0.0037$ | ${ }^{83} 0.0047$ | ${ }^{46} 0.0055$ | ${ }^{44} 0.0067$ | ${ }^{41} 0.0079$ | ${ }^{50} 0.0001 \mathrm{~N}^{0.258} 75$ | ${ }^{97} 0.0075$ | ${ }^{99} 0.0087$ | ${ }^{66} 0.0098$ | ${ }^{65} 0.0111$ | ${ }^{65} 0.0128$ | ${ }^{50} 0.0006 \mathrm{~N}^{0.18476}$ |
| 81 | RANKONE-4 | ${ }^{107} 0.0058$ | ${ }^{101} 0.0079$ |  |  |  | ${ }^{35} 0.0001 \mathrm{~N}^{0.33591}$ | ${ }^{118} 0.0099$ | ${ }^{120} 0.0128$ | ${ }^{75} 0.0153$ |  |  | ${ }^{18} 0.0002 \mathrm{~N}^{0.2841} 100$ |
| 82 | RANKONE-5 | ${ }^{56} 0.0021$ | ${ }^{55} 0.0025$ | ${ }^{36} 0.0029$ | ${ }^{35} 0.0034$ | ${ }^{29} 0.0040$ | ${ }^{49} 0.0001 \mathrm{~N}^{0.22060}$ | ${ }^{74} 0.0053$ | ${ }^{75} 0.0058$ | ${ }^{55} 0.0063$ | ${ }^{53} 0.0069$ | ${ }^{48} 0.0077$ | ${ }^{64} 0.0009 \mathrm{~N}^{0.129} 56$ |
| 83 | REALNETWORKS-0 | ${ }^{108} 0.0059$ | ${ }^{104} 0.0083$ | ${ }^{54} 0.0108$ |  |  | ${ }^{16} 0.0000 \mathrm{~N}^{0.393} 102$ | ${ }^{103} 0.0077$ | ${ }^{110} 0.0098$ |  |  |  | ${ }^{17} 0.0002 \mathrm{~N}^{0.267} 98$ |
| 84 | REALNETWORKS-2 | ${ }^{95} 0.0042$ | ${ }^{96} 0.0061$ |  |  |  | ${ }^{10} 0.0000 \mathrm{~N}^{0.423}{ }^{104}$ | ${ }^{99} 0.0075$ | ${ }^{108} 0.0098$ | ${ }^{72} 0.0119$ | ${ }^{71} 0.0149$ | ${ }^{70} 0.0155$ | ${ }^{21} 0.0002 \mathrm{~N}^{0.26297}$ |
| 85 | REMARKAI-2 | ${ }^{34} 0.0013$ | ${ }^{33} 0.0016$ |  |  |  | ${ }^{32} 0.0001 \mathrm{~N}^{0.22461}$ | ${ }^{36} 0.0038$ | ${ }^{39} 0.0042$ | ${ }^{33} 0.0046$ | ${ }^{33} 0.0050$ |  | ${ }^{57} 0.0007 \mathrm{~N}^{0.125 ~ 53}$ |
| 86 | SENSETIME-0 | ${ }^{29} 0.0012$ | ${ }^{26} 0.0013$ |  |  |  | - | ${ }^{41} 0.0041$ | ${ }^{38} 0.0041$ | ${ }^{28} 0.0042$ | ${ }^{25} 0.0043$ | ${ }^{19} 0.0044$ | ${ }^{9} 0.0028 \mathrm{~N}^{0.0264}$ |
| 87 | SENSETIME-1 | ${ }^{26} 0.0011$ | ${ }^{22} 0.0012$ |  |  |  | - | ${ }^{40} 0.0040$ | ${ }^{37} 0.0041$ | ${ }^{27} 0.0041$ | ${ }^{24} 0.0042$ | ${ }^{25} 0.0048$ | ${ }^{80} 0.0018 \mathrm{~N}^{0.05725}$ |
| 88 | SHAMAN-3 | ${ }^{152} 0.0344$ | ${ }^{127} 0.0404$ | ${ }^{62} 0.0452$ |  |  | ${ }^{99} 0.0032 \mathrm{~N}^{0.17779}$ | ${ }^{167} 0.0468$ | ${ }^{161} 0.0544$ |  |  |  | ${ }^{105} 0.0053 \mathrm{~N}^{0.16367}$ |
| 89 | SHAMAN-7 | ${ }^{147} 0.0243$ | ${ }^{120} 0.0248$ |  |  |  | ${ }^{107} 0.0183 \mathrm{~N}^{0.00218}$ | ${ }^{160} 0.0334$ | ${ }^{149} 0.0339$ | ${ }^{81} 0.0344$ | ${ }^{7 /} 0.0352$ | ${ }^{74} 0.0362$ | ${ }^{115} 0.0230 \mathrm{~N}^{0.0287}$ |
| 90 | SIAT-1 | ${ }^{183} 0.2635$ | ${ }^{146} 0.2635$ | ${ }^{68} 0.2636$ |  |  | ${ }^{110} 0.2626 \mathrm{~N}^{0.00002}$ | ${ }^{22} 0.0029$ | ${ }^{14} 0.0030$ | ${ }^{13} 0.0031$ | ${ }^{11} 0.0032$ | ${ }^{9} 0.0033$ | ${ }^{74} 0.0016 \mathrm{~N}^{0.046 ~ 17}$ |
| 91 | SIAT-2 | ${ }^{181} 0.2124$ | ${ }^{142} 0.2124$ |  |  |  | ${ }^{109} 0.2116 \mathrm{~N}^{0.000} 3$ | ${ }^{26} 0.0031$ | ${ }^{22} 0.0032$ | ${ }^{17} 0.0032$ | ${ }^{13} 0.0033$ | ${ }^{10} 0.0034$ | ${ }^{84} 0.0020 \mathrm{~N}^{0.032} 11$ |
| 92 | SMILART-4 | ${ }^{186} 0.8160$ | ${ }^{148} 0.9522$ |  |  |  | ${ }^{108} 0.0859 \mathrm{~N}^{0.168} 46$ | ${ }^{200} 0.9159$ | ${ }^{199} 0.9638$ | ${ }^{88} 0.9906$ |  |  | ${ }^{116} 0.4632 \mathrm{~N}^{0.051 ~ 19}$ |
| 93 | SYNESIS-3 | ${ }^{165} 0.0582$ | ${ }^{132} 0.0632$ |  |  |  | ${ }^{106} 0.0174 \mathrm{~N}^{0.090} 28$ | ${ }^{179} 0.0851$ | ${ }^{175} 0.0891$ | ${ }^{84} 0.0942$ | ${ }^{80} 0.1020$ | ${ }^{76} 0.1126$ | ${ }^{114} 0.0231 \mathrm{~N}^{0.09642}$ |
| 94 | TEVIAN-4 | ${ }^{47} 0.0019$ | ${ }^{45} 0.0022$ | ${ }^{27} 0.0025$ |  |  | ${ }^{58} 0.0002 \mathrm{~N}^{0.18551}$ | ${ }^{42} 0.0041$ | ${ }^{44} 0.0046$ |  |  |  | ${ }^{47} 0.0006 \mathrm{~N}^{0.14361}$ |
| 95 | TEVIAN-5 | ${ }^{36} 0.0014$ | ${ }^{34} 0.0017$ |  |  |  | ${ }^{62} 0.0002 \mathrm{~N}^{0.16044}$ | ${ }^{30} 0.0034$ | ${ }^{30} 0.0037$ | ${ }^{25} 0.0041$ | ${ }^{2 \%} 0.0044$ | ${ }^{28} 0.0050$ | ${ }^{44} 0.0006 \mathrm{~N}^{0.134} 57$ |
| 96 | TIGER-0 | ${ }^{109} 0.0061$ | ${ }^{108} 0.0097$ | ${ }^{56} 0.0125$ | ${ }^{54} 0.0164$ |  | ${ }^{11} 0.0000 \mathrm{~N}^{0.444{ }^{105}}$ | ${ }^{177} 0.0098$ | ${ }^{123} 0.0139$ |  |  |  | $0.0001 \mathrm{~N}^{0.3844112}$ |
| 97 | TIGER-2 | ${ }^{18} 0.0010$ | ${ }^{21} 0.0012$ |  |  |  | ${ }^{29} 0.0001 \mathrm{~N}^{0.2085}{ }^{57}$ | ${ }^{17} 0.0028$ | ${ }^{18} 0.0030$ | ${ }^{19} 0.0034$ | ${ }^{19} 0.0038$ | ${ }^{22} 0.0045$ | ${ }^{29} 0.0003 \mathrm{~N}^{0.161 ~} 66$ |
| 98 | TONGYITRANS-1 | ${ }^{106} 0.0057$ | ${ }^{95} 0.0060$ | ${ }^{49} 0.0062$ | ${ }^{45} 0.0067$ |  | ${ }^{97} 0.0020 \mathrm{~N}^{0.076}{ }^{22}$ | ${ }^{65} 0.0049$ | ${ }^{59} 0.0052$ |  |  |  | ${ }^{88} 0.0022 \mathrm{~N}^{0.06127}$ |
| 99 | TOSHIBA-0 | ${ }^{23} 0.0011$ | ${ }^{24} 0.0012$ |  |  |  | ${ }^{65} 0.0002 \mathrm{~N}^{0.126 ~}{ }^{37}$ | ${ }^{34} 0.0037$ | ${ }^{33} 0.0039$ | ${ }^{26} 0.0041$ | ${ }^{26} 0.0043$ | ${ }^{64} 0.0127$ | ${ }^{5} 0.0000 \mathrm{~N}^{0.350107}$ |
| 100 | VD-0 | ${ }^{173} 0.1006$ | ${ }^{139} 0.1421$ | ${ }^{66} 0.1752$ | ${ }^{62} 0.2147$ |  | ${ }^{87} 0.0011 \mathrm{~N}^{0.34092}$ | ${ }^{189} 0.1248$ | ${ }^{189} 0.1699$ |  |  |  | ${ }^{71} 0.0014 \mathrm{~N}^{0.336105}$ |
| 101 | VD-1 | ${ }^{126} 0.0098$ | ${ }^{110} 0.0105$ |  |  |  | ${ }^{58} 0.0031 \mathrm{~N}^{0.0885 ~}{ }^{24}$ | ${ }^{154} 0.0145$ | ${ }^{128} 0.0155$ | ${ }^{76} 0.0166$ | ${ }^{74} 0.0179$ | ${ }^{12} 0.0196$ | $0.0036 \mathrm{~N}^{0.105}{ }^{47}$ |
| 102 | VIGILANTSOLUTIONS-3 | ${ }^{114} 0.0072$ | ${ }^{111} 0.0110$ | ${ }^{57} 0.0143$ | ${ }^{52} 0.0143$ |  | ${ }^{46} 0.0001 \mathrm{~N}^{0.322} 86$ | ${ }^{127} 0.0118$ | ${ }^{131} 0.0166$ |  |  |  | ${ }^{8} 0.0001 \mathrm{~N}^{0.373} 111$ |
| 103 | VISIONLABS-3 | ${ }^{80} 0.0030$ | ${ }^{82} 0.0042$ | ${ }^{50} 0.0066$ | ${ }^{51} 0.0119$ |  | ${ }^{5} 0.0000 \mathrm{~N}^{0.612} 107$ | ${ }^{87} 0.0057$ | ${ }^{90} 0.0073$ | ${ }^{70} 0.0106$ | ${ }^{73} 0.0166$ |  | ${ }^{4} 0.0000 \mathrm{~N}^{0.481} 113$ |
| 104 | VISIONLABS-4 | ${ }^{20} 0.0010$ | ${ }^{19} 0.0011$ |  |  |  | ${ }^{68} 0.0002 \mathrm{~N}^{0.10331}$ | ${ }^{11} 0.0025$ | ${ }^{11} 0.0027$ | ${ }^{12} 0.0030$ | ${ }^{21} 0.0039$ | ${ }^{34} 0.0059$ | ${ }^{6} 0.0000 \mathrm{~N}^{0.290103}$ |
| 105 | VISIONLABS-5 | ${ }^{17} 0.0009$ | ${ }^{14} 0.0010$ | ${ }^{15} 0.0012$ | ${ }^{16} 0.0016$ | ${ }^{18} 0.0026$ | ${ }^{8} 0.0000 \mathrm{~N}^{0.34194}$ | ${ }^{10} 0.0025$ | ${ }^{10} 0.0026$ | ${ }^{11} 0.0029$ | ${ }^{14} 0.0033$ | ${ }^{18} 0.0044$ | ${ }^{13} 0.0002 \mathrm{~N}^{0.192} 80$ |
| 106 | VISIONLABS-6 | ${ }^{19} 0.0010$ | ${ }^{16} 0.0010$ |  |  |  | ${ }^{17} 0.0005 \mathrm{~N}^{0.0666}{ }^{15}$ | 0.0023 | 0.0025 | 0.0027 | ${ }^{10} 0.0031$ | ${ }^{16} 0.0040$ | ${ }^{16} 0.0002 \mathrm{~N}^{0.177 / 75}$ |
| 107 | VISIONLABS-7 | ${ }^{16} 0.0009$ | ${ }^{13} 0.0010$ | ${ }^{8} 0.0010$ | ${ }^{8} 0.0011$ | 0.0011 | ${ }^{70} 0.0004 \mathrm{~N}^{0.07019}$ | ${ }^{8} 0.0023$ | 0.0024 | ${ }^{6} 0.0025$ | ${ }^{6} 0.0025$ | ${ }^{8} 0.0032$ | ${ }^{46} 0.0006 \mathrm{~N}^{0.09884}$ |
| 108 | VOCORD-3 | ${ }^{63} 0.0023$ | ${ }^{56} 0.0025$ | ${ }^{33} 0.0028$ | ${ }^{28} 0.0031$ |  | ${ }^{76} 0.0004 \mathrm{~N}^{0.1233^{36}}$ | ${ }^{39} 0.0040$ | ${ }^{41} 0.0042$ |  |  |  | ${ }^{78} 0.0017 \mathrm{~N}^{0.06329}$ |
| 109 | VOCORD-5 | ${ }^{75} 0.0027$ | ${ }^{69} 0.0029$ |  |  |  | ${ }^{92} 0.0013 \mathrm{~N}^{0.056614}$ | ${ }^{71} 0.0051$ | ${ }^{68} 0.0054$ | ${ }^{45} 0.0056$ | ${ }^{41} 0.0060$ | ${ }^{42} 0.0064$ | ${ }^{82} 0.0019 \mathrm{~N}^{0.074} 37$ |
| 110 | YISHENG-1 | ${ }^{84} 0.0035$ | ${ }^{86} 0.0047$ | ${ }^{47} 0.0058$ | ${ }^{46} 0.0072$ |  | ${ }^{19} 0.0000 \mathrm{~N}^{0.32588}$ | ${ }^{95} 0.0069$ | ${ }^{95} 0.0082$ |  |  |  | ${ }^{42} 0.0005 \mathrm{~N}^{0.1917}{ }^{\text {c }}$ |
| 111 | YITU-0 | ${ }^{7 / 2} 0.0026$ | ${ }^{63} 0.0027$ | ${ }^{37} 0.0029$ | ${ }^{32} 0.0031$ | ${ }^{26} 0.0034$ | ${ }^{84} 0.0008 \mathrm{~N}^{0.090026}$ | ${ }^{63} 0.0048$ | ${ }^{52} 0.0049$ | ${ }^{38} 0.0052$ | ${ }^{35} 0.0054$ | ${ }^{30} 0.0057$ | ${ }^{87} 0.0021 \mathrm{~N}^{0.060}{ }^{06}$ |
| 112 | YITU-1 | ${ }^{70} 0.0026$ | ${ }^{61} 0.0027$ | ${ }^{34} 0.0029$ | ${ }^{29} 0.0031$ | ${ }^{25} 0.0034$ | ${ }^{83} 0.0008 \mathrm{~N}^{00.090} 27$ | ${ }^{62} 0.0048$ | ${ }^{51} 0.0049$ |  |  |  | ${ }^{97} 0.0033 \mathrm{~N}^{0.0299}$ |
| 113 | YITU-2 | ${ }^{12} 0.0008$ | ${ }^{9} 0.0009$ | ${ }^{7} 0.0009$ | ${ }^{6} 0.0010$ | ${ }^{5} 0.0010$ | ${ }^{71} 0.0004 \mathrm{~N}^{0.0633} 18$ | ${ }^{32} 0.0034$ | ${ }^{29} 0.0035$ | ${ }^{24} 0.0036$ | ${ }^{18} 0.0036$ | ${ }^{13} 0.0037$ | ${ }^{89} 0.0024 \mathrm{~N}^{0.027}{ }^{6}$ |
| 114 | YITU-3 | ${ }^{45} 0.0018$ | ${ }^{37} 0.0018$ |  |  |  | ${ }^{88} 0.0011 \mathrm{~N}^{0.056610}$ | ${ }^{54} 0.0045$ | ${ }^{46} 0.0047$ | ${ }^{34} 0.0047$ | ${ }^{31} 0.0048$ | ${ }^{2 /} 0.0049$ | ${ }^{56} 0.0031 \mathrm{~N}^{0.0299}{ }^{8}$ |
| 115 | YITU-4 | ${ }^{7} 0.0008$ | ${ }^{6} 0.0008$ | ${ }^{4} 0.0008$ | ${ }^{4} 0.0008$ | ${ }^{6} 0.0011$ | ${ }^{80} 0.0006 \mathrm{~N}^{0.015 ~ 4}$ | ${ }^{28} 0.0032$ | ${ }^{24} 0.0033$ | ${ }^{18} 0.0033$ | ${ }^{12} 0.0033$ | ${ }^{36} 0.0060$ | ${ }^{28} 0.0003 \mathrm{~N}^{0.16870}$ |
| 116 | YITU-5 | ${ }^{41} 0.0017$ | ${ }^{35} 0.0017$ | ${ }^{18} 0.0017$ | ${ }^{18} 0.0017$ | ${ }^{15} 0.0018$ | ${ }^{93} 0.0014 \mathrm{~N}^{0.015 ~ 5}$ | ${ }^{47} 0.0044$ | ${ }^{42} 0.0044$ | ${ }^{31} 0.0044$ | ${ }^{29} 0.0044$ | ${ }^{21} 0.0045$ | ${ }^{100} 0.0039 \mathrm{~N}^{0.00882}$ |

Table 15: Investigation-mode: Effect of N on FNIR at rank 50 For five enrollment population sizes, $N$, with $T=0$ and FPIR = 1. The left five columns apply for consolidated enrollment of a variable number of lifetime images from each subject. The right five columns apply for enrollment of one recent image. Missing entries usually apply because another algorithm from the same developer was run instead. Some developers are missing because less accurate algorithms were not run on galleries with $N>$ 1600000. Throughout blue superscripts indicate the rank of the algorithm for that column, and yellow highlighting indicates the most accurate value. Caution: The Power-low models are mostly intended to draw attention to the kind of behavior, not as a model to be used for prediction.

| MISSES OUTSIDE RANK R |  | $\begin{aligned} & \hline \text { RESOURCE USAGE } \\ & \hline \text { TEMPLATE } \\ & \hline \end{aligned}$ |  | ENROLL LIFETIME CONSOLIDATED $=1.6 \mathrm{M}$ |  |  |  | ENROL MOST RECENT, $\mathrm{N}=1.6 \mathrm{M}$ |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | IR(N, T=0, R) |  |  |  |  |  | FRVT 201 | UGSHOTS |  |  |  |
| \# | ALGORITHM | BYTES | MSEC | $\mathrm{R}=1$ | $\mathrm{R}=10$ | $\mathrm{R}=50$ | WORK-10 | $\mathrm{R}=1$ | R=10 | $\mathrm{R}=50$ | WORK-10 |
| 1 | 3DIVI-0 | ${ }^{184} 4096$ | ${ }^{90} 426$ |  |  |  | ${ }^{199} 10.000$ | ${ }^{118} 0.0344$ | ${ }^{118} 0.0344$ | ${ }^{118} 0.0344$ | ${ }^{117} 1.190$ |
| 2 | 3DIVI-1 | ${ }^{195} 4224$ | ${ }^{94} 428$ |  |  |  | ${ }^{188} 10.000$ | ${ }^{119} 0.0375$ | ${ }^{119} 0.0375$ | ${ }^{119} 0.0375$ | ${ }^{123} 1.233$ |
| 3 | 3DIVI-2 | ${ }^{49} 528$ | ${ }^{92} 428$ |  |  |  | ${ }^{179} 10.000$ | ${ }^{124} 0.0404$ | ${ }^{124} 0.0404$ | ${ }^{124} 0.0404$ | ${ }^{127} 1.259$ |
| 4 | 3DIVI-3 | ${ }^{42} 512$ | ${ }^{130} 625$ | ${ }^{123} 0.0645$ | ${ }^{123} 0.0645$ | ${ }^{123} 0.0645$ | ${ }^{121} 1.345$ | ${ }^{152} 0.0857$ | ${ }^{152} 0.0857$ | ${ }^{152} 0.0857$ | ${ }^{148} 1.469$ |
| 5 | 3DIVI-4 | ${ }^{177} 4096$ | ${ }^{131} 628$ | ${ }^{85} 0.0133$ | ${ }^{85} 0.0133$ | ${ }^{85} 0.0133$ | ${ }^{82} 1.069$ | ${ }^{96} 0.0201$ | ${ }^{96} 0.0201$ | ${ }^{96} 0.0201$ | ${ }^{94} 1.115$ |
| 6 | 3DIVI-5 | ${ }^{182} 4096$ | ${ }^{139} 653$ | ${ }^{86} 0.0133$ | ${ }^{86} 0.0133$ | ${ }^{86} 0.0133$ | ${ }^{81} 1.069$ | ${ }^{97} 0.0202$ | ${ }^{97} 0.0202$ | ${ }^{97} 0.0202$ | ${ }^{95} 1.116$ |
| 7 | 3DIVI-6 | ${ }^{48} 528$ | ${ }^{138} 653$ | ${ }^{5} 0.0186$ | ${ }^{5} 0.0186$ | 0.0186 | ${ }^{\text {T01 }} 1.127$ | ${ }^{110} 0.0265$ | ${ }^{110} 0.0265$ | ${ }^{110} 0.0265$ | ${ }^{115} 1.186$ |
| 8 | ALCHERA-0 | ${ }^{111} 2048$ | ${ }^{42} 263$ | ${ }^{82} 0.0121$ | ${ }^{82} 0.0121$ | ${ }^{82} 0.0121$ | ${ }^{87} 1.085$ | ${ }^{92} 0.0186$ | ${ }^{92} 0.0186$ | ${ }^{92} 0.0186$ | ${ }^{104} 1.138$ |
| 9 | ALCHERA-1 | ${ }^{120} 2048$ | ${ }^{8} 66$ | ${ }^{148} 0.9824$ | ${ }^{148} 0.9824$ | ${ }^{148} 0.9824$ | ${ }^{148} 9.748$ | ${ }^{199} 0.9869$ | ${ }^{199} 0.9869$ | ${ }^{199} 0.9869$ | ${ }^{199} 9.812$ |
| 10 | ALCHERA-2 | ${ }^{131} 2048$ | ${ }^{16} 115$ | ${ }^{124} 0.0914$ | ${ }^{124} 0.0914$ | ${ }^{124} 0.0914$ | ${ }^{125} 1.552$ | ${ }^{153} 0.0973$ | ${ }^{153} 0.0973$ | ${ }^{153} 0.0973$ | ${ }^{152} 1.567$ |
| 11 | ALCHERA-3 | ${ }^{128} 2048$ | ${ }^{117} 548$ | ${ }^{91} 0.0159$ | ${ }^{91} 0.0159$ | ${ }^{91} 0.0159$ | ${ }^{88} 1.086$ | ${ }^{72} 0.0127$ | ${ }^{72} 0.0127$ | ${ }^{72} 0.0127$ | ${ }^{66} 1.074$ |
| 12 | ANKE-0 | 2072 | ${ }^{6} 431$ | ${ }^{2} 0.0100$ | ${ }^{12} 0.0100$ | ${ }^{72} 0.0100$ | ${ }^{68} 1.055$ | ${ }^{86} 0.0158$ | ${ }^{86} 0.0158$ | ${ }^{6} 0.0158$ | 1.095 |
| 13 | ANKE-1 | ${ }^{164} 2072$ | ${ }^{7} 433$ | ${ }^{73} 0.0101$ | ${ }^{73} 0.0101$ | ${ }^{73} 0.0101$ | ${ }^{69} 1.055$ | ${ }^{87} 0.0158$ | ${ }^{87} 0.0158$ | ${ }^{87} 0.0158$ | ${ }^{82} 1.096$ |
| 14 | AWARE-0 | ${ }^{100} 1564$ | ${ }^{140} 653$ |  |  |  | ${ }^{192} 10.000$ | ${ }^{145} 0.0639$ | ${ }^{145} 0.0639$ | ${ }^{145} 0.0639$ | ${ }^{147} 1.439$ |
| 15 | AWARE-1 | 1564 | ${ }^{136} 651$ |  |  |  | ${ }^{185} 10.000$ | ${ }^{141} 0.0587$ | ${ }^{141} 0.0587$ | ${ }^{141} 0.0587$ | ${ }^{145} 1.382$ |
| 16 | AWARE-2 | ${ }^{167} 2076$ | ${ }^{197} 912$ |  |  |  | ${ }^{184} 10.000$ | ${ }^{142} 0.0600$ | ${ }^{142} 0.0600$ | ${ }^{142} 0.0600$ | ${ }^{145} 1.416$ |
| 17 | AWARE-3 | ${ }^{166} 2076$ | ${ }^{163} 716$ | ${ }^{101} 0.0209$ | ${ }^{101} 0.0209$ | ${ }^{101} 0.0209$ | ${ }^{99} 1.110$ | ${ }^{116} 0.0332$ | ${ }^{116} 0.0332$ | ${ }^{116} 0.0332$ | ${ }^{116} 1.186$ |
| 18 | AWARE-4 | ${ }^{2} 92$ | ${ }^{160} 712$ | ${ }^{119} 0.0529$ | ${ }^{119} 0.0529$ | ${ }^{119} 0.0529$ | ${ }^{115} 1.275$ | ${ }^{147} 0.0704$ | ${ }^{147} 0.0704$ | ${ }^{147} 0.0704$ | ${ }^{141} 1.378$ |
| 19 | AWARE-5 | ${ }^{1 / 7} 3100$ | ${ }^{182} 827$ | ${ }^{100} 0.0208$ | ${ }^{100} 0.0208$ | ${ }^{100} 0.0208$ | ${ }^{\text {78 }} 1.110$ | ${ }^{117} 0.0337$ | ${ }^{115} 0.0337$ | ${ }^{117} 0.0337$ | ${ }^{118} 1.191$ |
| 20 | AWARE-6 | ${ }^{3} 124$ | ${ }^{175} 818$ | ${ }^{120} 0.0538$ | ${ }^{120} 0.0538$ | ${ }^{120} 0.0538$ | ${ }^{117} 1.286$ | ${ }^{149} 0.0722$ | ${ }^{149} 0.0722$ | ${ }^{149} 0.0722$ | ${ }^{144} 1.394$ |
| 21 | AYONIX-0 | ${ }^{81} 1036$ | ${ }^{1} 10$ | ${ }^{144} 0.4649$ | ${ }^{144} 0.4649$ | ${ }^{144} 0.4649$ | ${ }^{144} 4.268$ | ${ }^{191} 0.4519$ | ${ }^{191} 0.4519$ | ${ }^{191} 0.4519$ | ${ }^{192} 4.304$ |
| 22 | AYONIX-1 | ${ }^{82} 1036$ | ${ }^{3} 12$ | ${ }^{140} 0.3364$ | ${ }^{40} 0.3364$ | ${ }^{140} 0.3364$ | ${ }^{139} 3.073$ | ${ }^{187} 0.3432$ | ${ }^{87} 0.3432$ | ${ }^{187} 0.3432$ | ${ }^{186} 3.244$ |
| 23 | AYONIX-2 | ${ }^{77} 1036$ | ${ }^{2} 11$ | ${ }^{137} 0.2606$ | ${ }^{137} 0.2606$ | ${ }^{137} 0.2606$ | ${ }^{136} 2.620$ | ${ }^{186} 0.3432$ | ${ }^{186} 0.3432$ | ${ }^{186} 0.3432$ | ${ }^{187} 3.244$ |
| 24 | CAMVI-1 | ${ }^{62} 1024$ | ${ }^{24} 177$ |  |  |  | ${ }^{6} 10.000$ | ${ }^{179} 0.2267$ | 0.2267 | ${ }^{179} 0.2267$ | ${ }^{1 / 6} 2.419$ |
| 25 | CAMVI-2 | ${ }^{71} 1024$ | ${ }^{172} 774$ |  |  |  | ${ }^{173} 10.000$ | ${ }^{160} 0.1292$ | ${ }^{160} 0.1292$ | ${ }^{160} 0.1292$ | ${ }^{159} 1.781$ |
| 26 | CAMVI-3 | ${ }^{73} 1024$ | ${ }^{158} 707$ | ${ }^{112} 0.0368$ | ${ }^{112} 0.0368$ | ${ }^{112} 0.0368$ | ${ }^{119} 1.330$ | ${ }^{140} 0.0544$ | ${ }^{140} 0.0544$ | ${ }^{140} 0.0544$ | ${ }^{150} 1.488$ |
| 27 | CAMVI-4 | ${ }^{69} 1024$ | ${ }^{165} 718$ | ${ }^{110} 0.0326$ | ${ }^{110} 0.0326$ | ${ }^{110} 0.0326$ | ${ }^{118} 1.291$ | ${ }^{137} 0.0490$ | ${ }^{137} 0.0490$ | ${ }^{137} 0.0490$ | ${ }^{146} 1.438$ |
| 28 | CAMVI-5 | ${ }^{66} 1024$ | ${ }^{170} 769$ | ${ }^{116} 0.0458$ | ${ }^{116} 0.0458$ | ${ }^{116} 0.0458$ | ${ }^{123} 1.410$ | ${ }^{146} 0.0673$ | ${ }^{146} 0.0673$ | ${ }^{146} 0.0673$ | ${ }^{153} 1.602$ |
| 29 | COGENT-0 | ${ }^{46} 525$ | ${ }^{118} 551$ | 0.0106 | ${ }^{7 / 0.0106}$ | 0.0106 | ${ }^{76} 1.062$ | ${ }^{74} 0.0131$ | ${ }^{74} 0.0131$ | ${ }^{74} 0.0131$ | 1.111 |
| 30 | COGENT-1 | ${ }^{45} 525$ | ${ }^{119} 552$ | ${ }^{76} 0.0106$ | ${ }^{76} 0.0106$ | ${ }^{76} 0.0106$ | ${ }^{77} 1.062$ | ${ }^{73} 0.0131$ | ${ }^{73} 0.0131$ | ${ }^{73} 0.0131$ | ${ }^{90} 1.111$ |
| 31 | COGENT-2 | ${ }^{84} 1043$ | ${ }^{205} 987$ | ${ }^{20} 0.0027$ | ${ }^{20} 0.0027$ | ${ }^{20} 0.0027$ | ${ }^{22} 1.017$ | ${ }^{26} 0.0062$ | ${ }^{26} 0.0062$ | ${ }^{26} 0.0062$ | ${ }^{30} 1.045$ |
| 32 | COGENT-3 | ${ }^{85} 1043$ | ${ }^{202} 960$ | ${ }^{29} 0.0037$ | ${ }^{29} 0.0037$ | ${ }^{29} 0.0037$ | ${ }^{32} 1.024$ | ${ }^{2 /} 0.0064$ | ${ }^{2 /} 0.0064$ | ${ }^{2 /} 0.0064$ | ${ }^{35} 1.047$ |
| 33 | COGNITEC-0 | ${ }^{154} 2052$ | ${ }^{23} 176$ | ${ }^{96} 0.0189$ | ${ }^{96} 0.0189$ | ${ }^{96} 0.0189$ | ${ }^{93} 1.103$ | ${ }^{112} 0.0278$ | ${ }^{112} 0.0278$ | ${ }^{112} 0.0278$ | ${ }^{171} 1.160$ |
| 34 | COGNITEC-1 | ${ }^{150} 2052$ | ${ }^{28} 202$ | ${ }^{66} 0.0089$ | ${ }^{66} 0.0089$ | ${ }^{66} 0.0089$ | ${ }^{64} 1.048$ | ${ }^{83} 0.0143$ | ${ }^{83} 0.0143$ | ${ }^{83} 0.0143$ | ${ }^{76} 1.086$ |
| 35 | COGNITEC-2 | ${ }^{15} 2052$ | ${ }^{34} 227$ | ${ }^{34} 0.0044$ | ${ }^{34} 0.0044$ | ${ }^{34} 0.0044$ | ${ }^{35} 1.027$ | ${ }^{42} 0.0083$ | ${ }^{42} 0.0083$ | ${ }^{42} 0.0083$ | ${ }^{46} 1.059$ |
| 36 | COGNITEC-3 | ${ }^{148} 2052$ | ${ }^{52} 297$ | ${ }^{39} 0.0048$ | ${ }^{39} 0.0048$ | ${ }^{39} 0.0048$ | ${ }^{40} 1.031$ | ${ }^{45} 0.0088$ | ${ }^{45} 0.0088$ | ${ }^{45} 0.0088$ | ${ }^{52} 1.062$ |
| 37 | DAHUA-0 | ${ }^{144} 2048$ | ${ }^{72} 378$ | ${ }^{56} 0.0070$ | ${ }^{56} 0.0070$ | ${ }^{56} 0.0070$ | ${ }^{62} 1.047$ | ${ }^{64} 0.0115$ | ${ }^{64} 0.0115$ | ${ }^{64} 0.0115$ | ${ }^{3} 1.082$ |
| 38 | DAHUA-1 | ${ }^{134} 2048$ | ${ }^{68} 371$ | ${ }^{40} 0.0049$ | ${ }^{40} 0.0049$ | ${ }^{40} 0.0049$ | ${ }^{39} 1.030$ | ${ }^{47} 0.0089$ | ${ }^{47} 0.0089$ | ${ }^{47} 0.0089$ | ${ }^{45} 1.058$ |
| 39 | DERMALOG-0 | ${ }^{5} 128$ | ${ }^{64} 344$ |  |  |  | ${ }^{170} 10.000$ | ${ }^{161} 0.1309$ | ${ }^{61} 0.1309$ | ${ }^{161} 0.1309$ | ${ }^{58} 1.778$ |
| 40 | DERMALOG-1 | 128 | ${ }^{22} 171$ |  |  |  | ${ }^{200} 10.000$ | ${ }^{165} 0.1563$ | ${ }^{165} 0.1563$ | ${ }^{165} 0.1563$ | ${ }^{165} 1.945$ |
| 41 | DERMALOG-2 | ${ }^{23} 256$ | ${ }^{65} 344$ |  |  |  | ${ }^{175} 10.000$ | ${ }^{162} 0.1377$ | ${ }^{162} 0.1377$ | ${ }^{162} 0.1377$ | ${ }^{161} 1.817$ |
| 42 | DERMALOG-3 | ${ }^{8} 128$ | ${ }^{31} 211$ | ${ }^{128} 0.0970$ | ${ }^{128} 0.0970$ | ${ }^{128} 0.0970$ | ${ }^{127} 1.566$ | ${ }^{158} 0.1281$ | ${ }^{158} 0.1281$ | ${ }^{158} 0.1281$ | ${ }^{157} 1.752$ |
| 43 | DERMALOG-4 | ${ }^{4} 128$ | ${ }^{29} 208$ | ${ }^{126} 0.0961$ | ${ }^{126} 0.0961$ | ${ }^{126} 0.0961$ | ${ }^{126} 1.561$ | ${ }^{157} 0.1274$ | ${ }^{157} 0.1274$ | ${ }^{157} 0.1274$ | ${ }^{156} 1.748$ |
| 44 | DERMALOG-5 | ${ }^{6} 128$ | ${ }^{109} 532$ | ${ }^{79} 0.0113$ | ${ }^{79} 0.0113$ | ${ }^{79} 0.0113$ | ${ }^{\text {91 }} 1.089$ | ${ }^{89} 0.0171$ | ${ }^{59} 0.0171$ | ${ }^{50} 0.0171$ | ${ }^{105} 1.137$ |
| 45 | DERMALOG-6 | ${ }^{15} 256$ | ${ }^{105} 514$ | ${ }^{48} 0.0060$ | ${ }^{48} 0.0060$ | ${ }^{48} 0.0060$ | ${ }^{63} 1.047$ | ${ }^{56} 0.0102$ | ${ }^{56} 0.0102$ | ${ }^{56} 0.0102$ | ${ }^{72} 1.081$ |
| 46 | EVERAI-0 | ${ }^{124} 2048$ | 438 | ${ }^{35} 0.0166$ | ${ }^{75} 0.0166$ | ${ }^{5} 0.0166$ | ${ }^{105} 1.141$ | 0.0209 | 0.0209 | 0.0209 | ${ }^{172} 1.174$ |
| 47 | EVERAI-1 | ${ }^{115} 2048$ | ${ }^{125} 590$ | ${ }^{21} 0.0027$ | ${ }^{21} 0.0027$ | ${ }^{21} 0.0027$ | ${ }^{21} 1.017$ | ${ }^{20} 0.0056$ | ${ }^{20} 0.0056$ | ${ }^{20} 0.0056$ | ${ }^{19} 1.038$ |
| 48 | EVERAI-2 | ${ }^{139} 2048$ | ${ }^{71} 377$ | ${ }^{22} 0.0029$ | ${ }^{22} 0.0029$ | ${ }^{22} 0.0029$ | ${ }^{26} 1.018$ | ${ }^{22} 0.0058$ | ${ }^{22} 0.0058$ | ${ }^{22} 0.0058$ | ${ }^{21} 1.039$ |
| 49 | EVERAI-3 | ${ }^{110} 2048$ | ${ }^{167} 735$ | ${ }^{16} 0.0023$ | ${ }^{16} 0.0023$ | ${ }^{16} 0.0023$ | ${ }^{17} 1.015$ | ${ }^{15} 0.0047$ | ${ }^{15} 0.0047$ | ${ }^{15} 0.0047$ | ${ }^{14} 1.034$ |
| 50 | EYEDEA-0 | ${ }^{194} 4152$ | ${ }^{89} 424$ |  |  |  | ${ }^{154} 10.000$ | ${ }^{184} 0.3000$ | ${ }^{184} 0.3000$ | ${ }^{184} 0.3000$ | ${ }^{184} 2.864$ |
| 51 | EYEDEA-1 | ${ }^{80} 1036$ | ${ }^{56} 311$ |  |  |  | ${ }^{185} 10.000$ | ${ }^{172} 0.1981$ | ${ }^{172} 0.1981$ | ${ }^{172} 0.1981$ | ${ }^{171} 2.226$ |
| 52 | EYEDEA-2 | ${ }^{78} 1036$ | ${ }^{95} 429$ |  |  |  | ${ }^{162} 10.000$ | ${ }^{173} 0.2000$ | ${ }^{173} 0.2000$ | ${ }^{173} 0.2000$ | ${ }^{172} 2.246$ |
| 53 | EYEDEA-3 | ${ }^{9} 1036$ | ${ }^{75} 385$ | ${ }^{122} 0.0613$ | ${ }^{122} 0.0613$ | ${ }^{122} 0.0613$ | ${ }^{120} 1.343$ | ${ }^{151} 0.0824$ | ${ }^{151} 0.0824$ | ${ }^{151} 0.0824$ | ${ }^{149} 1.470$ |
| 54 | GLORY-0 | ${ }^{33} 418$ | ${ }^{18} 160$ | ${ }^{130} 0.1335$ | ${ }^{130} 0.1335$ | ${ }^{130} 0.1335$ | ${ }^{131} 1.965$ | ${ }^{168} 0.1803$ | ${ }^{168} 0.1803$ | ${ }^{168} 0.1803$ | ${ }^{173} 2.318$ |
| 55 | GLORY-1 | ${ }^{103} 1726$ | ${ }^{81} 405$ | ${ }^{125} 0.0932$ | ${ }^{125} 0.0932$ | ${ }^{125} 0.0932$ | ${ }^{129} 1.656$ | ${ }^{159} 0.1291$ | ${ }^{159} 0.1291$ | ${ }^{159} 0.1291$ | ${ }^{162} 1.925$ |
| 56 | GORILLA-0 | ${ }^{2012} 8300$ | ${ }^{91} 427$ |  |  |  | ${ }^{172} 10.000$ |  |  |  | ${ }^{200} 10.000$ |
| 57 | GORILLA-1 | ${ }^{170} 2156$ | ${ }^{21} 169$ | ${ }^{114} 0.0414$ | ${ }^{114} 0.0414$ | ${ }^{114} 0.0414$ | ${ }^{110} 1.211$ | ${ }^{143} 0.0627$ | ${ }^{143} 0.0627$ | ${ }^{143} 0.0627$ | ${ }^{136} 1.331$ |
| 58 | GORILLA-2 | ${ }^{8 / 1132}$ | ${ }^{62} 341$ | ${ }^{8 /} 0.0137$ | ${ }^{87} 0.0137$ | ${ }^{87} 0.0137$ | ${ }^{80} 1.067$ | ${ }^{100} 0.0220$ | ${ }^{100} 0.0220$ | ${ }^{100} 0.0220$ | ${ }^{66} 1.116$ |
| 59 | GORILLA-3 | ${ }^{169} 2156$ | ${ }^{124} 563$ | ${ }^{103} 0.0245$ | ${ }^{103} 0.0245$ | ${ }^{103} 0.0245$ | ${ }^{97} 1.110$ | ${ }^{121} 0.0384$ | ${ }^{121} 0.0384$ | ${ }^{121} 0.0384$ | ${ }^{114} 1.178$ |
| 60 | HBINNO-0 | ${ }^{44} 520$ | ${ }^{43} 265$ |  |  |  | ${ }^{164} 10.000$ | ${ }^{183} 0.2746$ | ${ }^{183} 0.2746$ | ${ }^{183} 0.2746$ | ${ }^{183} 2.743$ |
| 61 | HIK-0 | ${ }^{105} 1808$ | ${ }^{194} 875$ |  |  |  | ${ }^{151} 10.000$ | ${ }^{107} 0.0236$ | ${ }^{107} 0.0236$ | ${ }^{107} 0.0236$ | ${ }^{113} 1.176$ |
| 62 | HIK-1 | ${ }^{107} 1808$ | ${ }^{178} 820$ |  |  |  | ${ }^{196} 10.000$ | ${ }^{91} 0.0173$ | ${ }^{91} 0.0173$ | ${ }^{91} 0.0173$ | ${ }^{97} 1.116$ |
| 63 | HIK-2 | ${ }^{106} 1808$ | ${ }^{176} 820$ | ${ }^{94} 0.0185$ | ${ }^{94} 0.0185$ | ${ }^{94} 0.0185$ | ${ }^{100} 1.119$ | ${ }^{90} 0.0172$ | ${ }^{90} 0.0172$ | ${ }^{90} 0.0172$ | ${ }^{93} 1.115$ |
| 64 | HIK-3 | ${ }^{90} 1408$ | ${ }^{132} 633$ | ${ }^{78} 0.0107$ | ${ }^{78} 0.0107$ | ${ }^{78} 0.0107$ | ${ }^{72} 1.057$ | ${ }^{82} 0.0141$ | ${ }^{82} 0.0141$ | ${ }^{82} 0.0141$ | ${ }^{74} 1.082$ |
| 65 | HIK-4 | ${ }^{88} 1152$ | ${ }^{104} 510$ | ${ }^{75} 0.0104$ | ${ }^{75} 0.0104$ | ${ }^{75} 0.0104$ | ${ }^{70} 1.055$ | ${ }^{80} 0.0138$ | ${ }^{80} 0.0138$ | ${ }^{80} 0.0138$ | ${ }^{70} 1.081$ |
| 66 | НІК-5 | ${ }^{89} 1408$ | ${ }^{129} 619$ | ${ }^{25} 0.0034$ | ${ }^{25} 0.0034$ | ${ }^{25} 0.0034$ | ${ }^{25} 1.018$ | ${ }^{29} 0.0067$ | ${ }^{29} 0.0067$ | ${ }^{29} 0.0067$ | ${ }^{27} 1.043$ |
| 67 | HIK-6 | ${ }^{91} 1408$ | ${ }^{126} 610$ | ${ }^{27} 0.0034$ | ${ }^{27} 0.0034$ | ${ }^{27} 0.0034$ | ${ }^{24} 1.018$ | ${ }^{30} 0.0067$ | ${ }^{30} 0.0067$ | ${ }^{30} 0.0067$ | ${ }^{28} 1.043$ |
| 68 | IDEMIA-0 | ${ }^{31} 364$ | ${ }^{85} 416$ | ${ }^{52} 0.0063$ | ${ }^{52} 0.0063$ | ${ }^{52} 0.0063$ | ${ }^{47} 1.034$ | ${ }^{61} 0.0113$ | ${ }^{61} 0.0113$ | ${ }^{61} 0.0113$ | ${ }^{61} 1.070$ |
| 69 | IDEMIA-1 | ${ }^{32} 364$ | ${ }^{88} 417$ | ${ }^{53} 0.0065$ | ${ }^{53} 0.0065$ | ${ }^{53} 0.0065$ | ${ }^{49} 1.035$ | ${ }^{65} 0.0116$ | ${ }^{65} 0.0116$ | ${ }^{65} 0.0116$ | ${ }^{63} 1.072$ |
| 70 | IDEMIA-2 | ${ }^{30} 364$ | ${ }^{87} 417$ | ${ }^{70} 0.0099$ | ${ }^{70} 0.0099$ | ${ }^{70} 0.0099$ | ${ }^{71} 1.056$ | ${ }^{71} 0.0126$ | ${ }^{71} 0.0126$ | ${ }^{71} 0.0126$ | ${ }^{1} 1.081$ |
| 71 | IDEMIA-3 | ${ }^{50} 528$ | ${ }^{149} 689$ | ${ }^{45} 0.0054$ | ${ }^{45} 0.0054$ | ${ }^{45} 0.0054$ | ${ }^{45} 1.033$ | ${ }^{54} 0.0095$ | ${ }^{54} 0.0095$ | ${ }^{54} 0.0095$ | ${ }^{57} 1.066$ |
| 72 | IDEMIA-4 | ${ }^{47} 528$ | ${ }^{147} 669$ | ${ }^{43} 0.0052$ | ${ }^{43} 0.0052$ | ${ }^{43} 0.0052$ | ${ }^{38} 1.029$ | ${ }^{50} 0.0092$ | ${ }^{50} 0.0092$ | ${ }^{50} 0.0092$ | ${ }^{49} 1.061$ |

Table 16: Rank-based accuracy for the FRVT 2018 mugshot sets. In columns 3 and 4 are template size and template generation duration. Thereafter values are rank-based FNIR with $T=0$ and $F P I R=1$. This is appropriate to investigational uses but not those with higher volumes where candidates from all searches would need review. Columns 5-9 show FRVT 2018 accuracy for various ranks for galleries unenrolled with all lifetime images. Column 10 is a workload statistic, a small value shows an algorithm frontloads mates into the first 10 candidates. The last four columns gives analogous results for enrollment only of the most recent image - see Figure 8. Throughout, blue superscripts indicate the rank of the algorithm for that column, and the best value is highlighted in yellow.

| $2019 / 09 / 11$ | FNIR(N, R, T) $=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | $\mathrm{T}=$ Threshold |
| :--- | ---: | :--- | :--- | :--- |
| 16:09:13 | FPIR $(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined | $\mathrm{T}=0 \rightarrow$ Investigation |
|  |  | $\mathrm{T}>0 \rightarrow$ Identification |  |  |


| MISSES OUTSIDE RANK R |  | $\begin{gathered} \hline \text { RESOURCE USAGE } \\ \hline \text { TEMPLATE } \\ \hline \end{gathered}$ |  | ENROLL LIFETIME CONSOLIDATED $=1.6 \mathrm{M}$ |  |  |  | ENROL MOST RECENT, $\mathrm{N}=1.6 \mathrm{M}$ |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | FNIR(N, T=0, R) |  |  | FRVT 2018 MUGSHOTS |  |  |  |  |  |  |  |
| \# | ALGORITHM | BYTES | MSEC | R=1 | $\mathrm{R}=10$ | R=50 | WORK-10 | $\mathrm{R}=1$ | $\mathrm{R}=10$ | R=50 | WORK-10 |
| 73 | IDEMIA-5 | ${ }^{29} 352$ | ${ }^{70} 374$ | ${ }^{50} 0.0062$ | ${ }^{50} 0.0062$ | ${ }^{50} 0.0062$ | ${ }^{46} 1.034$ | ${ }^{59} 0.0107$ | ${ }^{59} 0.0107$ | ${ }^{59} 0.0107$ | ${ }^{58} 1.068$ |
| 74 | IDEMIA-6 | ${ }^{28} 352$ | ${ }^{69} 373$ | ${ }^{57} 0.0071$ | ${ }^{57} 0.0071$ | ${ }^{57} 0.0071$ | ${ }^{55} 1.039$ | ${ }^{69} 0.0122$ | ${ }^{69} 0.0122$ | ${ }^{69} 0.0122$ | ${ }^{67} 1.075$ |
| 75 | IMAGUS-0 | ${ }^{45} 512$ | 43 |  |  |  | ${ }^{18} 10.000$ | ${ }^{55} 0.3054$ | 0.3054 | 0.3054 | 2.977 |
| 76 | IMAGUS-2 | ${ }^{37} 512$ | ${ }^{9} 76$ | ${ }^{133} 0.1833$ | ${ }^{133} 0.1833$ | ${ }^{133} 0.1833$ | ${ }^{133} 2.070$ | ${ }^{177} 0.2223$ | ${ }^{177} 0.2223$ | ${ }^{177} 0.2223$ | ${ }^{174} 2.329$ |
| 77 | IMAGUS-3 | ${ }^{41} 512$ | ${ }^{7} 57$ | ${ }^{139} 0.3008$ | ${ }^{139} 0.3008$ | ${ }^{139} 0.3008$ | ${ }^{138} 2.951$ | ${ }^{188} 0.3576$ | ${ }^{188} 0.3576$ | ${ }^{188} 0.3576$ | ${ }^{188} 3.380$ |
| 78 | INCODE-0 | ${ }^{67} 1024$ | ${ }^{26} 190$ | ${ }^{113} 0.0376$ | ${ }^{113} 0.0376$ | ${ }^{113} 0.0376$ | ${ }^{109} 1.201$ | ${ }^{139} 0.0515$ | ${ }^{139} 0.0515$ | ${ }^{139} 0.0515$ | ${ }^{132} 1.285$ |
| 79 | INCODE-1 | ${ }^{127} 2048$ | ${ }^{151} 690$ | ${ }^{84} 0.0131$ | ${ }^{84} 0.0131$ | ${ }^{84} 0.0131$ | ${ }^{78} 1.066$ | ${ }^{93} 0.0190$ | ${ }^{93} 0.0190$ | ${ }^{93} 0.0190$ | ${ }^{88} 1.106$ |
| 80 | INCODE-2 | ${ }^{122} 2048$ | ${ }^{49} 291$ | ${ }^{81} 0.0120$ | ${ }^{81} 0.0120$ | ${ }^{81} 0.0120$ | ${ }^{75} 1.060$ | ${ }^{98} 0.0203$ | ${ }^{98} 0.0203$ | ${ }^{98} 0.0203$ | ${ }^{92} 1.113$ |
| 81 | INCODE-3 | ${ }^{117} 2048$ | ${ }^{155} 704$ | ${ }^{65} 0.0088$ | ${ }^{65} 0.0088$ | ${ }^{65} 0.0088$ | ${ }^{60} 1.044$ | ${ }^{85} 0.0153$ | ${ }^{85} 0.0153$ | ${ }^{85} 0.0153$ | ${ }^{75} 1.086$ |
| 82 | INNOVATRICS-0 | ${ }^{54} 530$ | ${ }^{100} 455$ |  |  |  | ${ }^{6} 10.000$ | ${ }^{127} 0.0421$ | ${ }^{127} 0.0421$ | ${ }^{127} 0.0421$ | ${ }^{125} 1.234$ |
| 83 | INNOVATRICS-1 | ${ }^{52} 530$ | ${ }^{58} 316$ |  |  |  | ${ }^{182} 10.000$ | ${ }^{126} 0.0421$ | ${ }^{126} 0.0421$ | ${ }^{126} 0.0421$ | ${ }^{124} 1.234$ |
| 84 | InNOVATRICS-2 | ${ }^{55} 530$ | ${ }^{41} 255$ | ${ }^{118} 0.0499$ | ${ }^{118} 0.0499$ | ${ }^{118} 0.0499$ | ${ }^{122} 1.354$ | ${ }^{136} 0.0475$ | ${ }^{136} 0.0475$ | ${ }^{136} 0.0475$ | ${ }^{140} 1.343$ |
| 85 | INNOVATRICS-3 | ${ }^{51} 530$ | ${ }^{40} 255$ | ${ }^{104} 0.0301$ | ${ }^{104} 0.0301$ | ${ }^{104} 0.0301$ | ${ }^{104} 1.147$ | ${ }^{113} 0.0287$ | ${ }^{113} 0.0287$ | ${ }^{113} 0.0287$ | ${ }^{108} 1.151$ |
| 86 | INNOVATRICS-4 | ${ }^{85} 1076$ | ${ }^{83} 406$ | ${ }^{61} 0.0081$ | ${ }^{61} 0.0081$ | ${ }^{61} 0.0081$ | ${ }^{59} 1.042$ | ${ }^{84} 0.0149$ | ${ }^{84} 0.0149$ | ${ }^{84} 0.0149$ | ${ }^{77} 1.087$ |
| 87 | ISYSTEMS-0 | ${ }^{141} 2048$ | ${ }^{35} 222$ | ${ }^{64} 0.0085$ | ${ }^{64} 0.0085$ | ${ }^{64} 0.0085$ | ${ }^{74} 1.059$ | 0.0136 | ${ }^{7} 0.0136$ | 0.0136 | ${ }^{85} 1.098$ |
| 88 | ISYSTEMS-1 | ${ }^{64} 1024$ | ${ }^{32} 222$ | ${ }^{63} 0.0085$ | ${ }^{63} 0.0085$ | ${ }^{63} 0.0085$ | ${ }^{73} 1.058$ | ${ }^{76} 0.0136$ | ${ }^{76} 0.0136$ | ${ }^{76} 0.0136$ | ${ }^{84} 1.098$ |
| 89 | ISYSTEMS-2 | ${ }^{137} 2048$ | ${ }^{59} 316$ | ${ }^{37} 0.0046$ | ${ }^{37} 0.0046$ | ${ }^{37} 0.0046$ | ${ }^{44} 1.032$ | ${ }^{44} 0.0088$ | ${ }^{44} 0.0088$ | ${ }^{44} 0.0088$ | ${ }^{53} 1.062$ |
| 90 | ISYSTEMS-3 | ${ }^{113} 2048$ | ${ }^{189} 856$ | ${ }^{32} 0.0040$ | ${ }^{32} 0.0040$ | ${ }^{32} 0.0040$ | ${ }^{37} 1.029$ | ${ }^{37} 0.0075$ | ${ }^{37} 0.0075$ | ${ }^{37} 0.0075$ | ${ }^{43} 1.057$ |
| 91 | LOOKMAN-3 | ${ }^{26} 292$ | ${ }^{63} 342$ | ${ }^{67} 0.0089$ | ${ }^{67} 0.0089$ | ${ }^{67} 0.0089$ | ${ }^{85} 1.074$ | ${ }^{62} 0.0114$ | ${ }^{62} 0.0114$ | ${ }^{62} 0.0114$ | ${ }^{9} 1.095$ |
| 92 | LOOKMAN-4 | ${ }^{55} 548$ | ${ }^{60} 325$ | ${ }^{68} 0.0091$ | ${ }^{68} 0.0091$ | ${ }^{68} 0.0091$ | ${ }^{86} 1.074$ | ${ }^{66} 0.0117$ | ${ }^{66} 0.0117$ | ${ }^{66} 0.0117$ | ${ }^{81} 1.096$ |
| 93 | MEGVII-0 | ${ }^{8} 2048$ | ${ }^{174} 794$ | ${ }^{71} 0.0099$ | ${ }^{71} 0.0099$ | ${ }^{71} 0.0099$ | ${ }^{56} 1.048$ | ${ }^{51} 0.0094$ | ${ }^{51} 0.0094$ | ${ }^{10} 0.0094$ | 1.052 |
| 94 | MEGVII-1 | ${ }^{178} 4096$ | ${ }^{137} 652$ |  |  |  | ${ }^{159} 10.000$ | ${ }^{78} 0.0137$ | ${ }^{78} 0.0137$ | ${ }^{78} 0.0137$ | ${ }^{86} 1.102$ |
| 95 | MEGVII-2 | ${ }^{180} 4096$ | ${ }^{143} 656$ |  |  |  | ${ }^{176} 10.000$ | ${ }^{79} 0.0137$ | ${ }^{79} 0.0137$ | ${ }^{79} 0.0137$ | ${ }^{87} 1.102$ |
| 96 | MICROFOCUS-0 | ${ }^{18} 256$ | ${ }^{106} 525$ |  |  |  | ${ }^{168} 10.000$ | ${ }^{195} 0.5972$ | ${ }^{195} 0.5972$ | ${ }^{195} 0.5972$ | ${ }^{195} 5.397$ |
| 97 | MICROFOCUS-1 | ${ }^{24} 256$ | ${ }^{107} 527$ |  |  |  | ${ }^{180} 10.000$ | ${ }^{196} 0.5972$ | ${ }^{196} 0.5972$ | ${ }^{196} 0.5972$ | ${ }^{196} 5.398$ |
| 98 | MICROFOCUS-2 | ${ }^{22} 256$ | ${ }^{108} 529$ |  |  |  | ${ }^{174} 10.000$ | ${ }^{197} 0.6272$ | ${ }^{197} 0.6272$ | ${ }^{197} 0.6272$ | ${ }^{197} 5.839$ |
| 99 | MICROFOCUS-3 | ${ }^{21} 256$ | ${ }^{46} 269$ | ${ }^{146} 0.5389$ | ${ }^{146} 0.5389$ | ${ }^{146} 0.5389$ | ${ }^{146} 4.849$ | ${ }^{194} 0.5953$ | ${ }^{194} 0.5953$ | ${ }^{194} 0.5953$ | ${ }^{194} 5.373$ |
| 100 | MICROFOCUS-4 | ${ }^{20} 256$ | ${ }^{47} 270$ | ${ }^{145} 0.5191$ | ${ }^{145} 0.5191$ | ${ }^{145} 0.5191$ | ${ }^{145} 4.688$ | ${ }^{193} 0.5775$ | ${ }^{193} 0.5775$ | ${ }^{193} 0.5775$ | ${ }^{193} 5.212$ |
| 101 | MICROFOCUS-5 | ${ }^{16} 256$ | ${ }^{45} 266$ | ${ }^{141} 0.3701$ | ${ }^{141} 0.3701$ | ${ }^{141} 0.3701$ | ${ }^{141} 3.437$ | ${ }^{189} 0.4257$ | ${ }^{189} 0.4257$ | ${ }^{189} 0.4257$ | ${ }^{189} 3.877$ |
| 102 | MICROFOCUS-6 | ${ }^{17} 256$ | ${ }^{44} 265$ | ${ }^{142} 0.3732$ | ${ }^{142} 0.3732$ | ${ }^{142} 0.3732$ | ${ }^{142} 3.453$ | ${ }^{190} 0.4283$ | ${ }^{190} 0.4283$ | ${ }^{190} 0.4283$ | ${ }^{190} 3.897$ |
| 103 | MICROSOFT-0 | ${ }^{36} 512$ | ${ }^{48} 283$ | ${ }^{19} 0.0026$ | ${ }^{19} 0.0026$ | ${ }^{19} 0.0026$ | ${ }^{16} 1.015$ | ${ }^{23} 0.0058$ | ${ }^{23} 0.0058$ | ${ }^{23} 0.0058$ | ${ }^{20} 1.038$ |
| 104 | MICROSOFT-1 | ${ }^{74} 1024$ | ${ }^{66} 349$ | ${ }^{18} 0.0026$ | ${ }^{18} 0.0026$ | ${ }^{18} 0.0026$ | ${ }^{15} 1.015$ | ${ }^{21} 0.0056$ | ${ }^{21} 0.0056$ | ${ }^{21} 0.0056$ | ${ }^{18} 1.038$ |
| 105 | MICROSOFT-2 | ${ }^{63} 1024$ | ${ }^{120} 555$ | ${ }^{23} 0.0029$ | ${ }^{23} 0.0029$ | ${ }^{23} 0.0029$ | ${ }^{20} 1.016$ | ${ }^{25} 0.0061$ | ${ }^{25} 0.0061$ | ${ }^{25} 0.0061$ | ${ }^{25} 1.041$ |
| 106 | MICROSOFT-3 | ${ }^{72} 1024$ | ${ }^{80} 404$ | ${ }^{4} 0.0011$ | ${ }^{4} 0.0011$ | ${ }^{4} 0.0011$ | ${ }^{2} 1.007$ | ${ }^{4} 0.0032$ | ${ }^{4} 0.0032$ | ${ }^{4} 0.0032$ | ${ }^{3} 1.022$ |
| 107 | MICROSOFT-4 | ${ }^{123} 2048$ | ${ }^{171} 773$ | ${ }^{1} 0.0010$ | ${ }^{1} 0.0010$ | ${ }^{1} 0.0010$ | ${ }^{1} 1.006$ | ${ }^{2} 0.0031$ | ${ }^{2} 0.0031$ | ${ }^{2} 0.0031$ | ${ }^{2} 1.022$ |
| 108 | MICROSOFT-5 | ${ }^{70} 1024$ | ${ }^{148} 673$ | ${ }^{5} 0.0013$ | ${ }^{5} 0.0013$ | ${ }^{5} 0.0013$ | ${ }^{3} 1.007$ | ${ }^{5} 0.0033$ | ${ }^{5} 0.0033$ | ${ }^{5} 0.0033$ | ${ }^{1} 1.021$ |
| 109 | MICROSOFT-6 | ${ }^{68} 1024$ | ${ }^{152} 695$ | ${ }^{7} 0.0014$ | 0.0014 | ${ }^{7} 0.0014$ | ${ }^{4} 1.007$ | ${ }^{8} 0.0033$ | ${ }^{8} 0.0033$ | ${ }^{8} 0.0033$ | ${ }^{4} 1.023$ |
| 110 | NEC-0 | ${ }^{172} 2592$ | ${ }^{10} 82$ | ${ }^{83} 0.0127$ | ${ }^{83} 0.0127$ | ${ }^{83} 0.0127$ | ${ }^{79} 1.066$ | ${ }^{94} 0.0196$ | ${ }^{94} 0.0196$ | ${ }^{94} 0.0196$ | ${ }^{89} 1.110$ |
| 111 | NEC-1 | ${ }^{171} 2592$ | ${ }^{11} 88$ | ${ }^{92} 0.0164$ | ${ }^{92} 0.0164$ | ${ }^{92} 0.0164$ | ${ }^{92} 1.101$ | ${ }^{106} 0.0235$ | ${ }^{106} 0.0235$ | ${ }^{106} 0.0235$ | ${ }^{110} 1.158$ |
| 112 | NEC-2 | ${ }^{101} 1616$ | ${ }^{141} 653$ | ${ }^{3} 0.0011$ | ${ }^{3} 0.0011$ | ${ }^{3} 0.0011$ | ${ }^{6} 1.009$ | ${ }^{1} 0.0028$ | ${ }^{1} 0.0028$ | ${ }^{1} 0.0028$ | ${ }^{5} 1.023$ |
| 113 | NEC-3 | ${ }^{102} 1712$ | ${ }^{150} 690$ | ${ }^{6} 0.0013$ | ${ }^{6} 0.0013$ | ${ }^{6} 0.0013$ | 1.011 | ${ }^{3} 0.0031$ | ${ }^{3} 0.0031$ | ${ }^{3} 0.0031$ | ${ }^{8} 1.026$ |
| 114 | NEUROTECHNOLOGY-0 | ${ }^{197} 5214$ | ${ }^{154} 702$ |  |  |  | ${ }^{[771} 10.000$ | ${ }^{138} 0.0497$ | ${ }^{138} 0.0497$ | ${ }^{138} 0.0497$ | ${ }^{131} 1.278$ |
| 115 | NEUROTECHNOLOGY-1 | ${ }^{199} 5214$ | ${ }^{145} 661$ |  |  |  | ${ }^{195} 10.000$ | ${ }^{135} 0.0467$ | ${ }^{135} 0.0467$ | ${ }^{135} 0.0467$ | ${ }^{128} 1.250$ |
| 116 | NEUROTECHNOLOGY-2 | ${ }^{198} 5214$ | ${ }^{144} 658$ |  |  |  | ${ }^{195} 10.000$ | ${ }^{154} 0.0465$ | ${ }^{154} 0.0465$ | ${ }^{154} 0.0465$ | ${ }^{12 / 1.249}$ |
| 117 | NEUROTECHNOLOGY-3 | ${ }^{136} 2048$ | ${ }^{116} 547$ | ${ }^{98} 0.0199$ | ${ }^{98} 0.0199$ | ${ }^{98} 0.0199$ | ${ }^{95} 1.108$ | ${ }^{109} 0.0250$ | ${ }^{109} 0.0250$ | ${ }^{109} 0.0250$ | ${ }^{106} 1.148$ |
| 118 | NEUROTECHNOLOGY-4 | ${ }^{142} 2048$ | ${ }^{115} 543$ | ${ }^{47} 0.0058$ | ${ }^{47} 0.0058$ | ${ }^{47} 0.0058$ | ${ }^{52} 1.037$ | ${ }^{40} 0.0082$ | ${ }^{40} 0.0082$ | ${ }^{40} 0.0082$ | ${ }^{44} 1.058$ |
| 119 | NEUROTECHNOLOGY-5 | ${ }^{19} 256$ | ${ }^{84} 412$ | ${ }^{33} 0.0042$ | ${ }^{33} 0.0042$ | ${ }^{33} 0.0042$ | ${ }^{34} 1.026$ | ${ }^{31} 0.0068$ | ${ }^{31} 0.0068$ | ${ }^{31} 0.0068$ | ${ }^{37} 1.050$ |
| 120 | NEUROTECHNOLOGY-6 | ${ }^{\text {I5 }} 256$ | ${ }^{169} 746$ | ${ }^{\text {r0 }} 0.0153$ | ${ }^{\text {Y0 }} 0.0153$ | ${ }^{\text {90 }} 0.0153$ | ${ }^{85} 1.070$ | ${ }^{50} 0.0201$ | ${ }^{\text {¢0 }} 0.0201$ | ${ }^{5} 0.0201$ | ${ }^{85} 1.102$ |
| 121 | NEWLAND-2 | ${ }^{116} 2048$ | ${ }^{191} 868$ |  |  |  | ${ }^{157} 10.000$ | ${ }^{150} 0.0811$ | ${ }^{150} 0.0811$ | ${ }^{150} 0.0811$ | ${ }^{151} 1.491$ |
| 122 | NOBLIS-1 | ${ }^{140} 2048$ | ${ }^{30} 211$ | ${ }^{135} 0.2049$ | ${ }^{135} 0.2049$ | ${ }^{135} 0.2049$ | ${ }^{135} 2.390$ | ${ }^{181} 0.2512$ | ${ }^{181} 0.2512$ | ${ }^{181} 0.2512$ | ${ }^{181} 2.698$ |
| 123 | NOBLIS-2 | ${ }^{200} 6144$ | ${ }^{110} 535$ | ${ }^{132} 0.1565$ | ${ }^{132} 0.1565$ | ${ }^{132} 0.1565$ | ${ }^{132} 1.967$ | ${ }^{169} 0.1816$ | ${ }^{169} 0.1816$ | ${ }^{169} 0.1816$ | ${ }^{166} 2.098$ |
| 124 | NTECHLAB-0 | ${ }^{196} 4442$ | ${ }^{166} 730$ | ${ }^{59} 0.0077$ | ${ }^{59} 0.0077$ | ${ }^{59} 0.0077$ | ${ }^{53} 1.038$ | ${ }^{63} 0.0115$ | ${ }^{63} 0.0115$ | ${ }^{63} 0.0115$ | ${ }^{55} 1.064$ |
| 125 | NTECHLAB-1 | ${ }^{104} 1736$ | ${ }^{82} 405$ | ${ }^{69} 0.0097$ | ${ }^{69} 0.0097$ | ${ }^{69} 0.0097$ | ${ }^{61} 1.046$ | ${ }^{81} 0.0139$ | ${ }^{81} 0.0139$ | ${ }^{81} 0.0139$ | ${ }^{65} 1.074$ |
| 126 | NTECHLAB-3 | ${ }^{174} 3484$ | ${ }^{184} 831$ | ${ }^{42} 0.0051$ | ${ }^{42} 0.0051$ | ${ }^{42} 0.0051$ | ${ }^{33} 1.024$ | ${ }^{41} 0.0082$ | ${ }^{41} 0.0082$ | ${ }^{41} 0.0082$ | ${ }^{34} 1.047$ |
| 127 | NTECHLAB-4 | ${ }^{175} 3484$ | ${ }^{198} 929$ | ${ }^{31} 0.0040$ | ${ }^{31} 0.0040$ | ${ }^{31} 0.0040$ | ${ }^{29} 1.019$ | ${ }^{33} 0.0068$ | ${ }^{33} 0.0068$ | ${ }^{33} 0.0068$ | ${ }^{24} 1.041$ |
| 128 | NTECHLAB-5 | ${ }^{108} 1940$ | ${ }^{164} 717$ | ${ }^{30} 0.0039$ | ${ }^{30} 0.0039$ | ${ }^{30} 0.0039$ | ${ }^{27} 1.018$ | ${ }^{28} 0.0064$ | ${ }^{28} 0.0064$ | ${ }^{28} 0.0064$ | ${ }^{17} 1.037$ |
| 129 | NTECHLAB-6 | ${ }^{109} 1940$ | ${ }^{187} 841$ | ${ }^{26} 0.0034$ | ${ }^{26} 0.0034$ | ${ }^{26} 0.0034$ | ${ }^{18} 1.015$ | ${ }^{24} 0.0059$ | ${ }^{24} 0.0059$ | ${ }^{24} 0.0059$ | ${ }^{15} 1.034$ |
| 130 | QUANTASOFT-1 | ${ }^{125} 2048$ | ${ }^{76} 396$ | ${ }^{149} 0.9857$ | ${ }^{149} 0.9857$ | ${ }^{149} 0.9857$ | ${ }^{149} 9.866$ | ${ }^{176} 0.2198$ | ${ }^{1 / 6} 0.2198$ | ${ }^{1 / 6} 0.2198$ | 2.559 |
| 131 | RANKONE-0 | ${ }^{12} 228$ | ${ }^{6} 50$ | ${ }^{108} 0.0319$ | ${ }^{108} 0.0319$ | ${ }^{108} 0.0319$ | ${ }^{108} 1.188$ | ${ }^{133} 0.0455$ | ${ }^{133} 0.0455$ | ${ }^{133} 0.0455$ | ${ }^{130} 1.275$ |
| 132 | RANKONE-1 | ${ }^{27} 324$ | ${ }^{17} 136$ | ${ }^{97} 0.0194$ | ${ }^{97} 0.0194$ | ${ }^{97} 0.0194$ | ${ }^{96} 1.109$ | ${ }^{108} 0.0247$ | ${ }^{108} 0.0247$ | ${ }^{108} 0.0247$ | ${ }^{105} 1.145$ |
| 133 | RANKONE-2 | ${ }^{11} 133$ | ${ }^{14} 113$ | ${ }^{89} 0.0149$ | ${ }^{89} 0.0149$ | ${ }^{89} 0.0149$ | ${ }^{90} 1.086$ | ${ }^{102} 0.0221$ | ${ }^{102} 0.0221$ | ${ }^{102} 0.0221$ | ${ }^{102} 1.135$ |
| 134 | RANKONE-3 | ${ }^{9} 133$ | ${ }^{15} 114$ | ${ }^{88} 0.0149$ | ${ }^{88} 0.0149$ | ${ }^{88} 0.0149$ | ${ }^{89} 1.086$ | ${ }^{101} 0.0221$ | ${ }^{101} 0.0221$ | ${ }^{101} 0.0221$ | ${ }^{101} 1.135$ |
| 135 | RANKONE-4 | ${ }^{1} 85$ | ${ }^{4} 36$ | ${ }^{107} 0.0318$ | ${ }^{107} 0.0318$ | ${ }^{107} 0.0318$ | ${ }^{107} 1.171$ | ${ }^{132} 0.0441$ | ${ }^{132} 0.0441$ | ${ }^{132} 0.0441$ | ${ }^{126} 1.249$ |
| 136 | RANKONE-5 | ${ }^{10} 133$ | ${ }^{12} 94$ | ${ }^{58} 0.0072$ | ${ }^{58} 0.0072$ | ${ }^{58} 0.0072$ | ${ }^{58} 1.042$ | ${ }^{68} 0.0120$ | ${ }^{68} 0.0120$ | ${ }^{68} 0.0120$ | ${ }^{69} 1.078$ |
| 137 | REALNETWORKS-0 | ${ }^{185} 4100$ | ${ }^{38} 244$ | ${ }^{115} 0.0443$ | ${ }^{115} 0.0443$ | ${ }^{115} 0.0443$ | ${ }^{111} 1.222$ | ${ }^{131} 0.0426$ | ${ }^{131} 0.0426$ | ${ }^{131} 0.0426$ | ${ }^{122} 1.222$ |
| 138 | REALNETWORKS-1 | ${ }^{186} 4104$ | ${ }^{37} 243$ | ${ }^{111} 0.0329$ | ${ }^{111} 0.0329$ | ${ }^{111} 0.0329$ | ${ }^{106} 1.163$ | ${ }^{130} 0.0426$ | ${ }^{130} 0.0426$ | ${ }^{130} 0.0426$ | ${ }^{121} 1.222$ |
| 139 | REALNETWORKS-2 | ${ }^{189} 4104$ | ${ }^{39} 245$ | ${ }^{109} 0.0320$ | ${ }^{109} 0.0320$ | ${ }^{109} 0.0320$ | ${ }^{105} 1.159$ | ${ }^{125} 0.0418$ | ${ }^{125} 0.0418$ | ${ }^{125} 0.0418$ | ${ }^{120} 1.217$ |
| 140 | REMARKAI-0 | ${ }^{155} 2048$ | ${ }^{128} 615$ | ${ }^{54} 0.0065$ | ${ }^{54} 0.0065$ | ${ }^{54} 0.0065$ | ${ }^{48} 1.034$ | ${ }^{60} 0.0109$ | ${ }^{60} 0.0109$ | ${ }^{60} 0.0109$ | ${ }^{56} 1.065$ |
| 141 | REMARKAI-2 | ${ }^{143} 2048$ | ${ }^{98} 434$ | ${ }^{51} 0.0062$ | ${ }^{51} 0.0062$ | ${ }^{51} 0.0062$ | ${ }^{42} 1.031$ | ${ }^{58} 0.0105$ | ${ }^{58} 0.0105$ | ${ }^{58} 0.0105$ | ${ }^{48} 1.061$ |
| 142 | SENSETIME-0 | ${ }^{188} 4104$ | ${ }^{162} 715$ | ${ }^{13} 0.0018$ | ${ }^{13} 0.0018$ | ${ }^{15} 0.0018$ | ${ }^{14} 1.014$ | ${ }^{16} 0.0048$ | ${ }^{16} 0.0048$ | ${ }^{16} 0.0048$ | ${ }^{23} 1.040$ |
| 143 | SENSETIME-1 | ${ }^{187} 4104$ | ${ }^{142} 656$ | ${ }^{11} 0.0018$ | ${ }^{11} 0.0018$ | ${ }^{11} 0.0018$ | ${ }^{13} 1.013$ | ${ }^{17} 0.0048$ | ${ }^{17} 0.0048$ | ${ }^{17} 0.0048$ | ${ }^{22} 1.040$ |
| 144 | SHAMAN-0 | ${ }^{179} 4096$ | ${ }^{113} 538$ |  |  |  | ${ }^{161} 10.000$ | ${ }^{165} 0.1707$ | ${ }^{165} 0.1707$ | ${ }^{165} 0.1707$ | ${ }^{165} 2.092$ |

Table 17: Rank-based accuracy for the FRVT 2018 mugshot sets. In columns 3 and 4 are template size and template generation duration. Thereafter values are rank-based FNIR with $T=0$ and FPIR $=1$. This is appropriate to investigational uses but not those with higher volumes where candidates from all searches would need review. Columns 5-9 show FRVT 2018 accuracy for various ranks for galleries unenrolled with all lifetime images. Column 10 is a workload statistic, a small value shows an algorithm frontloads mates into the first 10 candidates. The last four columns gives analogous results for enrollment only of the most recent image - see Figure 8. Throughout, blue superscripts indicate the rank of the algorithm for that column, and the best value is highlighted in yellow.

| $2019 / 09 / 11$ | FNIR $(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | $\mathrm{T}=$ Threshold |
| :--- | ---: | :--- | :--- | :--- |
| 16:09:13 | FPIR $(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined | $\mathrm{T}=0 \rightarrow$ Investigation |
| $\mathrm{T}>0 \rightarrow$ Identification |  |  |  |  |


| MISSES OUTSIDE RANK R |  | RESOURCE USAGE |  | ENROLL LIFETIME CONSOLIDATED $=1.6 \mathrm{M}$ |  |  |  | ENROL MOST RECENT, $\mathrm{N}=1.6 \mathrm{M}$ |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | FNIR(N, T=0, R) | TEM |  | FRVT 2018 MUGSHOTS |  |  |  |  |  |  |  |
| \# | ALGORITHM | BYTES | MSEC | $\mathrm{R}=1$ | $\mathrm{R}=10$ | $\mathrm{R}=50$ | WORK-10 | $\mathrm{R}=1$ | $\mathrm{R}=10$ | $\mathrm{R}=50$ | WORK-10 |
| 145 | SHAMAN-1 | ${ }^{183} 4096$ | ${ }^{121} 557$ |  |  |  | ${ }^{190} 10.000$ | ${ }^{166} 0.1718$ | ${ }^{166} 0.1718$ | ${ }^{166} 0.1718$ | ${ }^{164} 2.078$ |
| 146 | SHAMAN-2 | ${ }^{201} 8192$ | ${ }^{122} 557$ |  |  |  | ${ }^{194} 10.000$ | ${ }^{182} 0.2620$ | ${ }^{182} 0.2620$ | ${ }^{182} 0.2620$ | ${ }^{182} 2.710$ |
| 147 | SHAMAN-3 | ${ }^{121} 2048$ | ${ }^{156} 704$ | ${ }^{127} 0.0969$ | ${ }^{127} 0.0969$ | ${ }^{127} 0.0969$ | ${ }^{128} 1.613$ | ${ }^{155} 0.1266$ | ${ }^{155} 0.1266$ | ${ }^{155} 0.1266$ | ${ }^{160} 1.811$ |
| 148 | SHAMAN-4 | ${ }^{130} 2048$ | ${ }^{135} 642$ | ${ }^{134} 0.1867$ | ${ }^{134} 0.1867$ | ${ }^{134} 0.1867$ | ${ }^{134} 2.163$ | ${ }^{178} 0.2242$ | ${ }^{178} 0.2242$ | ${ }^{178} 0.2242$ | ${ }^{177} 2.431$ |
| 149 | SHAMAN-6 | ${ }^{145} 2048$ | ${ }^{157} 706$ | ${ }^{106} 0.0312$ | ${ }^{106} 0.0312$ | ${ }^{106} 0.0312$ | ${ }^{114} 1.249$ | ${ }^{129} 0.0424$ | ${ }^{129} 0.0424$ | ${ }^{129} 0.0424$ | ${ }^{139} 1.339$ |
| 150 | SHAMAN-7 | ${ }^{114} 2048$ | ${ }^{159} 709$ | ${ }^{105} 0.0310$ | ${ }^{105} 0.0310$ | ${ }^{105} 0.0310$ | ${ }^{115} 1.248$ | ${ }^{128} 0.0422$ | ${ }^{128} 0.0422$ | ${ }^{128} 0.0422$ | ${ }^{138} 1.337$ |
| 151 | SIAT-0 | ${ }^{86} 1096$ | ${ }^{67} 358$ |  |  |  | ${ }^{197} 10.000$ | ${ }^{55} 0.0101$ | ${ }^{55} 0.0101$ | ${ }^{55} 0.0101$ | ${ }^{47} 1.059$ |
| 152 | SIAT-1 | ${ }^{149} 2052$ | ${ }^{188} 842$ | ${ }^{138} 0.2639$ | ${ }^{138} 0.2639$ | ${ }^{138} 0.2639$ | ${ }^{140} 3.373$ | ${ }^{10} 0.0039$ | ${ }^{10} 0.0039$ | ${ }^{10} 0.0039$ | ${ }^{11} 1.031$ |
| 153 | SIAT-2 | ${ }^{153} 2052$ | ${ }^{195} 906$ | ${ }^{136} 0.2128$ | ${ }^{136} 0.2128$ | ${ }^{136} 0.2128$ | ${ }^{137} 2.913$ | ${ }^{11} 0.0040$ | ${ }^{11} 0.0040$ | ${ }^{11} 0.0040$ | ${ }^{13} 1.032$ |
| 154 | SMILART-0 | ${ }^{75} 1024$ | ${ }^{20} 168$ |  |  |  | ${ }^{191} 10.000$ | ${ }^{170} 0.1931$ | ${ }^{170} 0.1931$ | ${ }^{170} 0.1931$ | ${ }^{169} 2.204$ |
| 155 | SMILART-1 | ${ }^{65} 1024$ | ${ }^{146} 662$ |  |  |  | ${ }^{165} 10.000$ | ${ }^{1 / 5} 0.2188$ | ${ }^{1 / 5} 0.2188$ | ${ }^{1 / 5} 0.2188$ | ${ }^{178} 2.435$ |
| 156 | SMILART-2 | ${ }^{61} 1024$ | ${ }^{123} 560$ |  |  |  | ${ }^{155} 10.000$ | ${ }^{171} 0.1946$ | ${ }^{171} 0.1946$ | ${ }^{171} 0.1946$ | ${ }^{168} 2.196$ |
| 157 | SMILART-4 | ${ }^{38} 512$ | ${ }^{19} 167$ | ${ }^{147} 0.9531$ | ${ }^{147} 0.9531$ | ${ }^{147} 0.9531$ | ${ }^{147} 9.573$ | ${ }^{198} 0.9649$ | ${ }^{198} 0.9649$ | ${ }^{198} 0.9649$ | ${ }^{198} 9.679$ |
| 158 | SMILART-5 | ${ }^{126} 2048$ | ${ }^{103} 464$ |  |  |  | ${ }^{178} 10.000$ |  |  |  | ${ }^{201} 10.000$ |
| 159 | SYNESIS-0 | 512 | ${ }^{36} 237$ |  |  |  | ${ }^{152} 10.000$ | ${ }^{164} 0.1621$ | ${ }^{164} 0.1621$ | ${ }^{164} 0.1621$ | ${ }^{175} 2.380$ |
| 160 | SYNESIS-3 | ${ }^{181} 4096$ | ${ }^{13} 103$ | ${ }^{131} 0.1350$ | ${ }^{131} 0.1350$ | ${ }^{131} 0.1350$ | ${ }^{130} 1.868$ | ${ }^{167} 0.1721$ | ${ }^{167} 0.1721$ | ${ }^{167} 0.1721$ | ${ }^{167} 2.140$ |
| 161 | TEVIAN-0 | ${ }^{112} 2048$ | ${ }^{75} 394$ |  |  |  | ${ }^{153} 10.000$ | ${ }^{104} 0.0225$ | ${ }^{104} 0.0225$ | ${ }^{104} 0.0225$ | ${ }^{19} 1.122$ |
| 162 | TEVIAN-1 | ${ }^{146} 2048$ | ${ }^{79} 398$ |  |  |  | ${ }^{203} 10.000$ | ${ }^{105} 0.0225$ | ${ }^{105} 0.0225$ | ${ }^{105} 0.0225$ | ${ }^{100} 1.122$ |
| 163 | TEVIAN-2 | ${ }^{119} 2048$ | ${ }^{77} 397$ |  |  |  | ${ }^{167} 10.000$ | ${ }^{103} 0.0224$ | ${ }^{103} 0.0224$ | ${ }^{103} 0.0224$ | ${ }^{98} 1.121$ |
| 164 | TEVIAN-3 | ${ }^{129} 2048$ | ${ }^{5} 300$ | ${ }^{74} 0.0102$ | ${ }^{74} 0.0102$ | ${ }^{74} 0.0102$ | ${ }^{6 / 1.052}$ | ${ }^{88} 0.0169$ | ${ }^{88} 0.0169$ | ${ }^{88} 0.0169$ | ${ }^{78} 1.093$ |
| 165 | TEVIAN-4 | ${ }^{138} 2048$ | ${ }^{53} 299$ | ${ }^{60} 0.0080$ | ${ }^{60} 0.0080$ | ${ }^{60} 0.0080$ | ${ }^{56} 1.041$ | ${ }^{75} 0.0134$ | ${ }^{75} 0.0134$ | ${ }^{75} 0.0134$ | ${ }^{68} 1.076$ |
| 166 | TEVIAN-5 | ${ }^{132} 2048$ | ${ }^{86} 416$ | ${ }^{44} 0.0053$ | ${ }^{44} 0.0053$ | ${ }^{44} 0.0053$ | ${ }^{36} 1.028$ | ${ }^{48} 0.0092$ | ${ }^{48} 0.0092$ | ${ }^{48} 0.0092$ | ${ }^{41} 1.054$ |
| 167 | TIGER-0 | ${ }^{155} 2052$ | ${ }^{3} 428$ | ${ }^{117} 0.0480$ | ${ }^{117} 0.0480$ | ${ }^{11 /} 0.0480$ | ${ }^{112} 1.247$ | ${ }^{144} 0.0638$ | ${ }^{144} 0.0638$ | ${ }^{144} 0.0638$ | ${ }^{137} 1.334$ |
| 168 | TIGER-1 | ${ }^{156} 2052$ | ${ }^{78} 398$ |  |  |  | ${ }^{189} 10.000$ |  |  |  | ${ }^{202} 10.000$ |
| 169 | TIGER-2 | ${ }^{152} 2052$ | ${ }^{102} 464$ | ${ }^{35} 0.0044$ | ${ }^{35} 0.0044$ | ${ }^{35} 0.0044$ | ${ }^{31} 1.023$ | ${ }^{39} 0.0075$ | ${ }^{39} 0.0075$ | ${ }^{39} 0.0075$ | ${ }^{32} 1.046$ |
| 170 | TIGER-3 | ${ }^{147} 2052$ | ${ }^{101} 464$ |  |  |  | ${ }^{158} 10.000$ | ${ }^{38} 0.0075$ | ${ }^{38} 0.0075$ | ${ }^{38} 0.0075$ | ${ }^{33} 1.046$ |
| 171 | TONGYITRANS-0 | ${ }^{162} 2070$ | ${ }^{27} 190$ | ${ }^{49} 0.0060$ | ${ }^{49} 0.0060$ | ${ }^{49} 0.0060$ | ${ }^{50} 1.036$ | ${ }^{53} 0.0095$ | ${ }^{53} 0.0095$ | ${ }^{53} 0.0095$ | ${ }^{50} 1.062$ |
| 172 | TONGYITRANS-1 | ${ }^{160} 2070$ | ${ }^{25} 189$ | ${ }^{80} 0.0114$ | ${ }^{80} 0.0114$ | ${ }^{80} 0.0114$ | ${ }^{84} 1.073$ | ${ }^{52} 0.0095$ | ${ }^{52} 0.0095$ | ${ }^{52} 0.0095$ | ${ }^{51} 1.062$ |
| 173 | TOSHIBA-0 | ${ }^{\text {d8 }} 1548$ | ${ }^{199} 930$ | ${ }^{24} 0.0033$ | ${ }^{24} 0.0033$ | ${ }^{24} 0.0033$ | ${ }^{28} 1.018$ | ${ }^{32} 0.0068$ | ${ }^{32} 0.0068$ | ${ }^{32} 0.0068$ | ${ }^{31} 1.046$ |
| 174 | TOSHIBA-1 | ${ }^{159} 2060$ | ${ }^{201} 931$ | ${ }^{28} 0.0035$ | ${ }^{28} 0.0035$ | ${ }^{28} 0.0035$ | ${ }^{30} 1.019$ | ${ }^{34} 0.0071$ | ${ }^{34} 0.0071$ | ${ }^{34} 0.0071$ | ${ }^{36} 1.047$ |
| 175 | VD-0 | ${ }^{76} 1028$ | ${ }^{61} 337$ | ${ }^{143} 0.4303$ | ${ }^{143} 0.4303$ | ${ }^{143} 0.4303$ | ${ }^{143} 3.703$ | ${ }^{192} 0.4751$ | ${ }^{192} 0.4751$ | ${ }^{192} 0.4751$ | ${ }^{191} 4.074$ |
| 176 | VD-1 | ${ }^{151} 2052$ | ${ }^{153} 695$ | ${ }^{102} 0.0221$ | ${ }^{102} 0.0221$ | ${ }^{102} 0.0221$ | ${ }^{102} 1.140$ | ${ }^{115} 0.0302$ | ${ }^{115} 0.0302$ | ${ }^{115} 0.0302$ | ${ }^{119} 1.197$ |
| 177 | VIGILANTSOLUTIONS-0 | ${ }^{95} 1544$ | ${ }^{180} 823$ |  |  |  | ${ }^{166} 10.000$ | ${ }^{154} 0.1254$ | ${ }^{154} 0.1254$ | ${ }^{154} 0.1254$ | ${ }^{154} 1.712$ |
| 178 | VIGILANTSOLUTIONS-1 | ${ }^{158} 2056$ | ${ }^{168} 739$ |  |  |  | ${ }^{202} 10.000$ | ${ }^{174} 0.2038$ | ${ }^{174} 0.2038$ | ${ }^{174} 0.2038$ | ${ }^{170} 2.210$ |
| 179 | VIGILANTSOLUTIONS-2 | ${ }^{95} 1544$ | ${ }^{177} 820$ |  |  |  | ${ }^{177} 10.000$ | ${ }^{180} 0.2387$ | ${ }^{180} 0.2387$ | ${ }^{180} 0.2387$ | ${ }^{179} 2.555$ |
| 180 | VIGILANTSOLUTIONS-3 | ${ }^{97} 1544$ | ${ }^{185} 832$ | ${ }^{121} 0.0549$ | ${ }^{121} 0.0549$ | ${ }^{121} 0.0549$ | ${ }^{116} 1.280$ | ${ }^{148} 0.0719$ | ${ }^{148} 0.0719$ | ${ }^{148} 0.0719$ | ${ }^{142} 1.378$ |
| 181 | VIGILANTSOLUTIONS-4 | ${ }^{\text {Y2 }} 1544$ | ${ }^{185} 830$ | ${ }^{129} 0.0993$ | ${ }^{129} 0.0993$ | ${ }^{129} 0.0993$ | ${ }^{124} 1.549$ | ${ }^{156} 0.1272$ | ${ }^{156} 0.1272$ | ${ }^{156} 0.1272$ | ${ }^{155} 1.721$ |
| 182 | VIGILANTSOLUTIONS-5 | ${ }^{94} 1544$ | ${ }^{173} 778$ |  |  |  | ${ }^{169} 10.000$ | ${ }^{67} 0.0118$ | ${ }^{67} 0.0118$ | ${ }^{67} 0.0118$ | ${ }^{60} 1.069$ |
| 183 | VIGILANTSOLUTIONS-6 | ${ }^{96} 1544$ | ${ }^{186} 834$ |  |  |  | ${ }^{181} 10.000$ | ${ }^{70} 0.0125$ | ${ }^{70} 0.0125$ | ${ }^{70} 0.0125$ | ${ }^{64} 1.072$ |
| 184 | VISIONLABS-3 | ${ }^{14} 256$ | ${ }^{55} 228$ | ${ }^{41} 0.0050$ | ${ }^{41} 0.0050$ | ${ }^{41} 0.0050$ | ${ }^{5 / 1.041}$ | ${ }^{46} 0.0089$ | ${ }^{46} 0.0089$ | ${ }^{46} 0.0089$ | ${ }^{62} 1.072$ |
| 185 | VISIONLABS-4 | ${ }^{25} 256$ | ${ }^{57} 315$ | ${ }^{14} 0.0020$ | ${ }^{14} 0.0020$ | ${ }^{14} 0.0020$ | ${ }^{12} 1.013$ | ${ }^{13} 0.0044$ | ${ }^{13} 0.0044$ | ${ }^{13} 0.0044$ | ${ }^{10} 1.031$ |
| 186 | VISIONLABS-5 | ${ }^{34} 512$ | ${ }^{54} 300$ | ${ }^{12} 0.0018$ | ${ }^{12} 0.0018$ | ${ }^{12} 0.0018$ | ${ }^{11} 1.012$ | ${ }^{12} 0.0041$ | ${ }^{12} 0.0041$ | ${ }^{12} 0.0041$ | ${ }^{9} 1.029$ |
| 187 | VISIONLABS-6 | ${ }^{40} 512$ | ${ }^{50} 292$ | ${ }^{9} 0.0015$ | ${ }^{9} 0.0015$ | ${ }^{9} 0.0015$ | ${ }^{10} 1.011$ | ${ }^{7} 0.0033$ | ${ }^{7} 0.0033$ | ${ }^{7} 0.0033$ | 1.025 |
| 188 | VISIONLABS-7 | 512 | ${ }^{51} 293$ | ${ }^{8} 0.0014$ | ${ }^{8} 0.0014$ | ${ }^{8} 0.0014$ | ${ }^{8} 1.010$ | ${ }^{6} 0.0033$ | ${ }^{6} 0.0033$ | ${ }^{6} 0.0033$ | ${ }^{5} 1.025$ |
| 189 | VOCORD-0 | 608 | ${ }^{112} 536$ |  |  |  | ${ }^{160} 10.000$ | ${ }^{123} 0.0403$ | ${ }^{123} 0.0403$ | ${ }^{125} 0.0403$ | ${ }^{35} 1.301$ |
| 190 | VOCORD-1 | ${ }^{56} 608$ | ${ }^{111} 536$ |  |  |  | ${ }^{150} 10.000$ | ${ }^{122} 0.0402$ | ${ }^{122} 0.0402$ | ${ }^{122} 0.0402$ | ${ }^{134} 1.299$ |
| 191 | VOCORD-2 | ${ }^{153} 2048$ | ${ }^{154} 635$ |  |  |  | ${ }^{187} 10.000$ | ${ }^{120} 0.0382$ | ${ }^{120} 0.0382$ | ${ }^{120} 0.0382$ | ${ }^{135} 1.290$ |
| 192 | VOCORD-3 | ${ }^{60} 896$ | ${ }^{161} 714$ | ${ }^{55} 0.0067$ | ${ }^{55} 0.0067$ | ${ }^{55} 0.0067$ | ${ }^{54} 1.038$ | ${ }^{43} 0.0085$ | ${ }^{43} 0.0085$ | ${ }^{43} 0.0085$ | ${ }^{42} 1.054$ |
| 193 | VOCORD-4 | ${ }^{59} 896$ | ${ }^{114} 538$ | ${ }^{62} 0.0084$ | ${ }^{62} 0.0084$ | ${ }^{62} 0.0084$ | ${ }^{66} 1.051$ | ${ }^{57} 0.0102$ | ${ }^{57} 0.0102$ | ${ }^{57} 0.0102$ | ${ }^{59} 1.068$ |
| 194 | VOCORD-5 | ${ }^{58} 768$ | ${ }^{179} 822$ | ${ }^{46} 0.0057$ | ${ }^{46} 0.0057$ | ${ }^{46} 0.0057$ | ${ }^{51} 1.036$ | ${ }^{49} 0.0092$ | ${ }^{49} 0.0092$ | ${ }^{49} 0.0092$ | ${ }^{54} 1.063$ |
| 195 | VOCORD-6 | ${ }^{205} 10240$ | ${ }^{181} 825$ |  |  |  | ${ }^{201} 10.000$ | ${ }^{205} 1.0000$ | ${ }^{203} 1.0000$ | ${ }^{205} 1.0000$ | ${ }^{205} 10.000$ |
| 196 | YISHENG-0 | ${ }^{168} 2108$ | ${ }^{127} 615$ |  |  |  | ${ }^{163} 10.000$ | ${ }^{111} 0.0268$ | ${ }^{111} 0.0268$ | ${ }^{111} 0.0268$ | ${ }^{107} 1.149$ |
| 197 | YISHENG-1 | ${ }^{1 / 6} 3704$ | ${ }^{74} 387$ | ${ }^{99} 0.0208$ | ${ }^{99} 0.0208$ | ${ }^{99} 0.0208$ | ${ }^{94} 1.105$ | ${ }^{114} 0.0290$ | ${ }^{114} 0.0290$ | ${ }^{114} 0.0290$ | ${ }^{109} 1.156$ |
| 198 | YITU-0 | ${ }^{191} 4136$ | ${ }^{133} 633$ | ${ }^{38} 0.0047$ | ${ }^{38} 0.0047$ | ${ }^{38} 0.0047$ | ${ }^{43} 1.031$ | ${ }^{36} 0.0074$ | ${ }^{36} 0.0074$ | ${ }^{36} 0.0074$ | ${ }^{40} 1.053$ |
| 199 | YITU-1 | ${ }^{190} 4136$ | ${ }^{200} 930$ | ${ }^{36} 0.0046$ | ${ }^{36} 0.0046$ | ${ }^{36} 0.0046$ | ${ }^{41} 1.031$ | ${ }^{35} 0.0072$ | ${ }^{35} 0.0072$ | ${ }^{35} 0.0072$ | ${ }^{38} 1.052$ |
| 200 | YITU-2 | ${ }^{195} 4138$ | ${ }^{192} 870$ | ${ }^{10} 0.0015$ | ${ }^{10} 0.0015$ | ${ }^{10} 0.0015$ | 1.010 | ${ }^{14} 0.0044$ | ${ }^{14} 0.0044$ | ${ }^{14} 0.0044$ | ${ }^{16} 1.035$ |
| 201 | YITU-3 | ${ }^{192} 4138$ | ${ }^{193} 871$ | ${ }^{17} 0.0023$ | ${ }^{17} 0.0023$ | ${ }^{17} 0.0023$ | ${ }^{23} 1.018$ | ${ }^{19} 0.0054$ | ${ }^{19} 0.0054$ | ${ }^{19} 0.0054$ | ${ }^{29} 1.044$ |
| 202 | YITU-4 | ${ }^{163} 2070$ | ${ }^{196} 910$ | ${ }^{2} 0.0011$ | ${ }^{2} 0.0011$ | ${ }^{2} 0.0011$ | ${ }^{5} 1.008$ | ${ }^{9} 0.0037$ | ${ }^{9} 0.0037$ | ${ }^{9} 0.0037$ | ${ }^{12} 1.031$ |
| 203 | YITU-5 | ${ }^{161} 2070$ | ${ }^{190} 861$ | ${ }^{15} 0.0020$ | ${ }^{15} 0.0020$ | ${ }^{15} 0.0020$ | ${ }^{19} 1.016$ | ${ }^{18} 0.0048$ | ${ }^{18} 0.0048$ | ${ }^{18} 0.0048$ | ${ }^{26} 1.041$ |

Table 18: Rank-based accuracy for the FRVT 2018 mugshot sets. In columns 3 and 4 are template size and template generation duration. Thereafter values are rank-based FNIR with $T=0$ and FPIR $=1$. This is appropriate to investigational uses but not those with higher volumes where candidates from all searches would need review. Columns 5-9 show FRVT 2018 accuracy for various ranks for galleries unenrolled with all lifetime images. Column 10 is a workload statistic, a small value shows an algorithm frontloads mates into the first 10 candidates. The last four columns gives analogous results for enrollment only of the most recent image - see Figure 8. Throughout, blue superscripts indicate the rank of the algorithm for that column, and the best value is highlighted in yellow.

| $2019 / 09 / 11$ | FNIR $(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | $\mathrm{T}=$ Threshold |
| :--- | ---: | :--- | :--- | :--- |
| 16:09:13 | FPIR $(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined | $\mathrm{T}=0 \rightarrow$ Investigation |
| $\mathrm{T}>0 \rightarrow$ Identification |  |  |  |  |


| MISSES BELOW THRESHOLD, T |  | ENROL MOST RECENT MUGSHOT, $\mathrm{N}=1.6 \mathrm{M}$ |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | IR(N, T > 0, R > L) | DATASET: FRVT 2018 MUGSHOTS |  |  | DATASET: WEBCAM PROBES |  |  | DATASET: PROFILE PROBES |  |  |
| \# | ALGORITHM | FPIR $=0.001$ | FPIR $=0.01$ | FPIR $=0.1$ | FPIR $=0.001$ | FPIR $=0.01$ | FPIR=0.1 | FPIR $=0.001$ | FPIR=0.01 | FPIR $=0.1$ |
| 1 | 3DIVI-0 | ${ }^{126} 0.256$ | ${ }^{134} 0.160$ | ${ }^{135} 0.086$ | ${ }^{115} 0.425$ | ${ }^{117} 0.302$ | ${ }^{115} 0.180$ |  |  |  |
| 2 | 3DIVI-1 | ${ }^{125} 0.256$ | ${ }^{155} 0.160$ | ${ }^{136} 0.087$ |  |  |  |  |  |  |
| 3 | 3DIVI-2 | ${ }^{121} 0.255$ | ${ }^{136} 0.164$ | ${ }^{137} 0.089$ |  |  |  |  |  |  |
| 4 | 3DIVI-3 | ${ }^{145} 0.402$ | ${ }^{152} 0.284$ | ${ }^{152} 0.168$ | ${ }^{131} 0.626$ | ${ }^{133} 0.497$ | ${ }^{129} 0.343$ |  |  |  |
| 5 | 3DIVI-4 | ${ }^{105} 0.171$ | ${ }^{107} 0.096$ | ${ }^{101} 0.047$ | ${ }^{108} 0.343$ | ${ }^{108} 0.237$ | ${ }^{109} 0.138$ |  |  |  |
| 6 | 3DIVI-5 | ${ }^{101} 0.169$ | ${ }^{106} 0.095$ | ${ }^{102} 0.047$ | ${ }^{106} 0.339$ | ${ }^{107} 0.234$ | ${ }^{108} 0.137$ | ${ }^{20} 0.995$ | ${ }^{25} 0.987$ | ${ }^{28} 0.961$ |
| 7 | 3DIVI-6 | ${ }^{104} 0.170$ | ${ }^{110} 0.098$ | ${ }^{107} 0.051$ | ${ }^{107} 0.342$ | ${ }^{109} 0.238$ | ${ }^{110} 0.142$ |  |  |  |
| 8 | ALCHERA-0 | ${ }^{95} 0.140$ | ${ }^{94} 0.073$ | ${ }^{91} 0.035$ | 0.216 | ${ }^{78} 0.146$ | ${ }^{79} 0.087$ |  |  |  |
| 9 | ALCHERA-1 | ${ }^{198} 0.999$ | ${ }^{198} 0.999$ | ${ }^{199} 0.995$ | ${ }^{169} 1.000$ | ${ }^{169} 1.000$ | ${ }^{161} 1.000$ |  |  |  |
| 10 | ALCHERA-2 | ${ }^{156} 0.490$ | ${ }^{155} 0.304$ | ${ }^{154} 0.184$ | ${ }^{128} 0.591$ | ${ }^{127} 0.442$ | ${ }^{126} 0.295$ |  |  |  |
| 11 | ALCHERA-3 | ${ }^{98} 0.159$ | ${ }^{95} 0.073$ | ${ }^{84} 0.030$ | ${ }^{84} 0.239$ | ${ }^{82} 0.152$ | ${ }^{74} 0.081$ | ${ }^{28} 0.999$ | ${ }^{27} 0.993$ | ${ }^{22} 0.921$ |
| 12 | ANKE-0 | ${ }^{83} 0.120$ | ${ }^{89} 0.065$ | ${ }^{87} 0.033$ | ${ }^{9} 0.220$ | ${ }^{80} 0.151$ | ${ }^{82} 0.088$ | ${ }^{18} 0.991$ | ${ }^{24} 0.985$ | ${ }^{29} 0.972$ |
| 13 | ANKE-1 | ${ }^{89} 0.122$ | ${ }^{88} 0.065$ | ${ }^{88} 0.033$ | ${ }^{78} 0.220$ | ${ }^{81} 0.151$ | ${ }^{81} 0.088$ |  |  |  |
| 14 | AWARE-0 | ${ }^{194} 0.983$ | ${ }^{126} 0.128$ | ${ }^{135} 0.085$ | ${ }^{145} 0.817$ | ${ }^{\text {III }} 0.253$ | ${ }^{114} 0.178$ |  |  |  |
| 15 | AWARE-1 | ${ }^{195} 0.996$ | ${ }^{125} 0.127$ | ${ }^{132} 0.081$ |  |  |  |  |  |  |
| 16 | AWARE-2 | ${ }^{192} 0.977$ | ${ }^{122} 0.120$ | ${ }^{130} 0.078$ |  |  |  |  |  |  |
| 17 | AWARE-3 | ${ }^{93} 0.131$ | ${ }^{100} 0.085$ | ${ }^{108} 0.051$ | ${ }^{99} 0.298$ | ${ }^{100} 0.204$ | ${ }^{107} 0.132$ |  |  |  |
| 18 | AWARE-4 | ${ }^{12 /} 0.271$ | ${ }^{139} 0.177$ | ${ }^{144} 0.107$ | ${ }^{125} 0.509$ | ${ }^{125} 0.375$ | ${ }^{124} 0.253$ |  |  |  |
| 19 | AWARE-5 | ${ }^{139} 0.373$ | ${ }^{103} 0.088$ | ${ }^{105} 0.050$ | ${ }^{87} 0.253$ | ${ }^{85} 0.163$ | ${ }^{86} 0.099$ | ${ }^{30} 1.000$ | ${ }^{33} 0.999$ | ${ }^{33} 0.998$ |
| 20 | AWARE-6 | ${ }^{128} 0.278$ | ${ }^{140} 0.178$ | ${ }^{146} 0.109$ | ${ }^{112} 0.398$ | ${ }^{115} 0.283$ | ${ }^{116} 0.188$ |  |  |  |
| 21 | AYONIX-0 | ${ }^{180} 0.811$ | ${ }^{188} 0.725$ | ${ }^{190} 0.598$ | ${ }^{152} 0.939$ | ${ }^{154} 0.892$ | ${ }^{155} 0.802$ |  |  |  |
| 22 | AYONIX-1 | ${ }^{183} 0.825$ | ${ }^{185} 0.702$ | ${ }^{188} 0.526$ | ${ }^{148} 0.920$ | ${ }^{150} 0.845$ | ${ }^{151} 0.703$ |  |  |  |
| 23 | AYONIX-2 | ${ }^{182} 0.825$ | ${ }^{186} 0.702$ | ${ }^{187} 0.526$ | ${ }^{149} 0.920$ | ${ }^{149} 0.845$ | ${ }^{150} 0.702$ |  |  |  |
| 24 | CAMVI-1 | ${ }^{173} 0.684$ | ${ }^{178} 0.549$ | ${ }^{178} 0.375$ | ${ }^{140} 0.770$ | ${ }^{144} 0.648$ | ${ }^{144} 0.488$ |  |  |  |
| 25 | CAMVI-2 | ${ }^{160} 0.537$ | ${ }^{164} 0.402$ | ${ }^{161} 0.242$ |  |  |  |  |  |  |
| 26 | CAMVI-3 | ${ }^{56} 0.074$ | ${ }^{83} 0.060$ | ${ }^{115} 0.055$ | ${ }^{46} 0.132$ | ${ }^{69} 0.108$ | ${ }^{85} 0.094$ |  |  |  |
| 27 | CAMVI-4 | ${ }^{57} 0.074$ | ${ }^{79} 0.056$ | ${ }^{104} 0.050$ | ${ }^{48} 0.136$ | ${ }^{58} 0.100$ | ${ }^{75} 0.083$ | ${ }^{26} 0.999$ | ${ }^{30} 0.994$ | ${ }^{15} 0.816$ |
| 28 | CAMVI-5 | ${ }^{75} 0.102$ | ${ }^{99} 0.078$ | ${ }^{123} 0.069$ | ${ }^{73} 0.179$ | ${ }^{75} 0.132$ | ${ }^{94} 0.110$ |  |  |  |
| 29 | COGENT-0 | ${ }^{45} 0.056$ | ${ }^{52} 0.032$ | ${ }^{61} 0.020$ | ${ }^{51} 0.140$ | ${ }^{62} 0.100$ | ${ }^{71} 0.069$ |  |  |  |
| 30 | COGENT-1 | ${ }^{44} 0.056$ | ${ }^{51} 0.032$ | ${ }^{50} 0.020$ | ${ }^{50} 0.140$ | ${ }^{61} 0.100$ | ${ }^{70} 0.069$ |  |  |  |
| 31 | COGENT-2 | ${ }^{30} 0.047$ | ${ }^{19} 0.020$ | ${ }^{21} 0.010$ | ${ }^{24} 0.098$ | ${ }^{25} 0.063$ | ${ }^{28} 0.036$ | ${ }^{22} 0.997$ | ${ }^{26} 0.993$ | ${ }^{31} 0.983$ |
| 32 | COGENT-3 | ${ }^{36} 0.051$ | ${ }^{18} 0.018$ | ${ }^{19} 0.009$ | ${ }^{20} 0.095$ | ${ }^{23} 0.061$ | ${ }^{29} 0.037$ |  |  |  |
| 33 | COGNITEC-0 | 0.163 | ${ }^{108} 0.098$ | ${ }^{111} 0.053$ | ${ }^{000} 0.303$ | ${ }^{98} 0.200$ | ${ }^{\text {97 }} 0.115$ |  |  |  |
| 34 | COGNITEC-1 | ${ }^{77} 0.105$ | ${ }^{77} 0.055$ | ${ }^{78} 0.027$ | ${ }^{82} 0.230$ | ${ }^{77} 0.135$ | ${ }^{72} 0.071$ |  |  |  |
| 35 | COGNITEC-2 | ${ }^{46} 0.056$ | ${ }^{42} 0.027$ | ${ }^{39} 0.014$ | ${ }^{72} 0.178$ | ${ }^{64} 0.101$ | ${ }^{53} 0.050$ | ${ }^{31} 1.000$ | ${ }^{15} 0.947$ | ${ }^{23} 0.936$ |
| 36 | COGNITEC-3 | ${ }^{43} 0.055$ | ${ }^{44} 0.028$ | ${ }^{41} 0.014$ | ${ }^{65} 0.162$ | ${ }^{59} 0.100$ | ${ }^{51} 0.050$ |  |  |  |
| 37 | DAHUA-0 | ${ }^{67} 0.089$ | ${ }^{70} 0.047$ | ${ }^{68} 0.022$ | ${ }^{47} 0.135$ | ${ }^{47} 0.083$ | ${ }^{45} 0.046$ |  |  |  |
| 38 | DAHUA-1 | ${ }^{59} 0.075$ | ${ }^{58} 0.039$ | ${ }^{55} 0.018$ | ${ }^{41} 0.122$ | ${ }^{40} 0.075$ | ${ }^{39} 0.042$ | ${ }^{10} 0.953$ | ${ }^{10} 0.862$ | ${ }^{13} 0.679$ |
| 39 | DERMALOG-0 | ${ }^{155} 0.488$ | ${ }^{159} 0.364$ | ${ }^{160} 0.233$ | ${ }^{135} 0.657$ | ${ }^{159} 0.528$ | ${ }^{154} 0.362$ |  |  |  |
| 40 | DERMALOG-1 | ${ }^{158} 0.528$ | ${ }^{165} 0.405$ | ${ }^{165} 0.268$ |  |  |  |  |  |  |
| 41 | DERMALOG-2 | ${ }^{157} 0.503$ | ${ }^{161} 0.378$ | ${ }^{162} 0.244$ |  |  |  |  |  |  |
| 42 | DERMALOG-3 | ${ }^{154} 0.484$ | ${ }^{158} 0.362$ | ${ }^{158} 0.231$ | ${ }^{133} 0.655$ | ${ }^{138} 0.526$ | ${ }^{133} 0.361$ |  |  |  |
| 43 | DERMALOG-4 | ${ }^{153} 0.481$ | ${ }^{157} 0.360$ | ${ }^{157} 0.230$ | ${ }^{134} 0.657$ | ${ }^{\text {156 }} 0.526$ | ${ }^{132} 0.359$ |  |  |  |
| 44 | DERMALOG-5 | ${ }^{71} 0.091$ | ${ }^{64} 0.045$ | ${ }^{74} 0.024$ | ${ }^{57} 0.154$ | ${ }^{56} 0.096$ | ${ }^{58} 0.057$ |  |  |  |
| 45 | DERMALOG-6 | ${ }^{41} 0.054$ | ${ }^{45} 0.028$ | ${ }^{44} 0.015$ | ${ }^{27} 0.105$ | ${ }^{29} 0.067$ | ${ }^{33} 0.039$ | ${ }^{8} 0.948$ | 0.856 | ${ }^{10} 0.642$ |
| 46 | EVERAI-0 | ${ }^{73} 0.092$ | ${ }^{73} 0.047$ | ${ }^{80} 0.028$ | ${ }^{67} 0.170$ | ${ }^{60} 0.100$ | ${ }^{61} 0.060$ |  |  |  |
| 47 | EVERAI-1 | ${ }^{37} 0.052$ | ${ }^{27} 0.023$ | ${ }^{22} 0.010$ | ${ }^{43} 0.128$ | ${ }^{36} 0.074$ | ${ }^{32} 0.039$ |  |  |  |
| 48 | EVERAI-2 | ${ }^{38} 0.053$ | ${ }^{34} 0.025$ | ${ }^{27} 0.011$ | ${ }^{40} 0.119$ | ${ }^{41} 0.076$ | ${ }^{36} 0.041$ |  |  |  |
| 49 | EVERAI-3 | ${ }^{17} 0.038$ | ${ }^{17} 0.018$ | ${ }^{17} 0.008$ | ${ }^{21} 0.096$ | ${ }^{21} 0.060$ | ${ }^{22} 0.034$ | ${ }^{14} 0.979$ | ${ }^{6} 0.535$ | ${ }^{4} 0.247$ |
| 50 | EYEDEA-0 | ${ }^{181} 0.812$ | ${ }^{184} 0.679$ | ${ }^{184} 0.484$ | ${ }^{147} 0.914$ | ${ }^{147} 0.783$ | ${ }^{147} 0.619$ |  |  |  |
| 51 | EYEDEA-1 | ${ }^{168} 0.632$ | ${ }^{169} 0.480$ | ${ }^{172} 0.335$ |  |  |  |  |  |  |
| 52 | EYEDEA-2 | ${ }^{1 / 8} 0.794$ | ${ }^{172} 0.490$ | ${ }^{1 / 4} 0.338$ |  |  |  |  |  |  |
| 53 | EYEDEA-3 | ${ }^{142} 0.389$ | ${ }^{150} 0.267$ | ${ }^{150} 0.160$ | ${ }^{125} 0.543$ | ${ }^{126} 0.404$ | ${ }^{125} 0.264$ |  |  |  |
| 54 | GLORY-0 | ${ }^{138} 0.369$ | ${ }^{154} 0.297$ | ${ }^{159} 0.233$ | ${ }^{126} 0.547$ | ${ }^{130} 0.470$ | ${ }^{138} 0.390$ |  |  |  |
| 55 | GLORY-1 | ${ }^{155} 0.307$ | ${ }^{147} 0.238$ | ${ }^{155} 0.179$ | ${ }^{124} 0.537$ | ${ }^{128} 0.448$ | ${ }^{150} 0.352$ |  |  |  |
| 56 | GORILLA-0 |  |  |  |  |  |  |  |  |  |
| 57 | GORILLA-1 | ${ }^{146} 0.408$ | ${ }^{148} 0.248$ | ${ }^{147} 0.136$ | ${ }^{118} 0.453$ | ${ }^{119} 0.314$ | ${ }^{118} 0.191$ |  |  |  |
| 58 | GORILLA-2 | ${ }^{108} 0.190$ | ${ }^{114} 0.108$ | ${ }^{106} 0.051$ | ${ }^{92} 0.268$ | ${ }^{90} 0.170$ | ${ }^{84} 0.093$ |  |  |  |
| 59 | GORILLA-3 | ${ }^{135} 0.326$ | ${ }^{133} 0.160$ | ${ }^{124} 0.074$ | ${ }^{117} 0.434$ | ${ }^{110} 0.247$ | ${ }^{105} 0.131$ |  |  |  |
| 60 | HBINNO-0 | ${ }^{17 / 7} 0.766$ | ${ }^{182} 0.632$ | ${ }^{185} 0.458$ |  |  |  |  |  |  |
| 61 | HIK-0 | ${ }^{82} 0.114$ | ${ }^{93} 0.070$ | ${ }^{95} 0.040$ | ${ }^{58} 0.155$ | ${ }^{66} 0.103$ | ${ }^{64} 0.061$ |  |  |  |
| 62 | HIK-1 | ${ }^{87} 0.120$ | ${ }^{91} 0.067$ | ${ }^{90} 0.034$ |  |  |  |  |  |  |
| 63 | HIK-2 | ${ }^{88} 0.121$ | ${ }^{\text {Y2 }} 0.067$ | ${ }^{89} 0.034$ |  |  |  |  |  |  |
| 64 | HIK-3 | ${ }^{78} 0.105$ | ${ }^{82} 0.060$ | ${ }^{85} 0.030$ | ${ }^{60} 0.158$ | ${ }^{67} 0.105$ | ${ }^{65} 0.061$ |  |  |  |
| 65 | HIK-4 | ${ }^{74} 0.101$ | ${ }^{80} 0.056$ | ${ }^{82} 0.029$ | ${ }^{56} 0.153$ | ${ }^{63} 0.101$ | ${ }^{59} 0.059$ |  |  |  |
| 66 | HIK-5 | ${ }^{27} 0.047$ | ${ }^{23} 0.022$ | ${ }^{29} 0.011$ | ${ }^{11} 0.077$ | ${ }^{11} 0.048$ | ${ }^{15} 0.028$ | ${ }^{27} 0.999$ | ${ }^{29} 0.994$ | ${ }^{12} 0.662$ |
| 67 | HIK-6 | ${ }^{32} 0.050$ | ${ }^{26} 0.022$ | ${ }^{28} 0.011$ | ${ }^{12} 0.086$ | ${ }^{14} 0.052$ | ${ }^{16} 0.029$ | ${ }^{32} 1.000$ | ${ }^{32} 0.997$ | ${ }^{11} 0.645$ |
| 68 | IDEMIA-0 | ${ }^{81} 0.114$ | ${ }^{85} 0.062$ | ${ }^{81} 0.029$ | ${ }^{85} 0.240$ | ${ }^{83} 0.156$ | ${ }^{78} 0.085$ |  |  |  |
| 69 | IDEMIA-1 | ${ }^{40} 0.054$ | ${ }^{50} 0.031$ | ${ }^{54} 0.018$ |  |  |  |  |  |  |
| 70 | IDEMIA-2 | ${ }^{42} 0.054$ | ${ }^{53} 0.032$ | ${ }^{56} 0.019$ |  |  |  |  |  |  |
| 71 | IDEMIA-3 | ${ }^{31} 0.050$ | ${ }^{32} 0.024$ | ${ }^{40} 0.014$ | ${ }^{66} 0.165$ | ${ }^{43} 0.079$ | ${ }^{54} 0.050$ |  |  |  |
| 72 | IDEMIA-4 | ${ }^{19} 0.040$ | ${ }^{31} 0.024$ | ${ }^{42} 0.014$ | ${ }^{39} 0.118$ | ${ }^{42} 0.079$ | ${ }^{52} 0.050$ | ${ }^{11} 0.969$ | ${ }^{17} 0.962$ | ${ }^{26} 0.952$ |

Table 19: Threshold-based accuracy. Values are $\operatorname{FNIR}(N, T, L)$ with $N=1.6$ million with thresholds set to produce FPIR $=0.001$, 0.01 , and 0.1 in non-mate searches. Columns 3-5 apply to FRVT-2018 mugshots: Columns 6-8 show the corresponding FNIR values for webcam images searched against the FRVT-2018 mugshot gallery. Finally, the three rightmost columns show FNIR for profile view images searched against the FRVT-2018 frontal gallery. Throughout blue superscripts indicate the rank of the algorithm for that column. Caution: The Power-low models are mostly intended to draw attention to the kind of behavior, not as a model to be used for prediction.

| $2019 / 09 / 11$ | FNIR(N, R, T) $=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | $\mathrm{T}=$ Threshold |
| :--- | ---: | :--- | :--- | :--- |
| 16:09:13 | FPIR $(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined | $\mathrm{T}=0 \rightarrow$ Investigation |
|  |  | $\mathrm{T}>0 \rightarrow$ Identification |  |  |


| MISSES BELOW THRESHOLD, T |  | ENROL MOST RECENT MUGSHOT, $\mathrm{N}=1.6 \mathrm{M}$ |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\mathrm{IR}(\mathrm{N}, \mathrm{T}>0, \mathrm{R}>\mathrm{L}$ ) | DATASET: FRVT 2018 MUGSHOTS |  |  | DATASET: WEBCAM PROBES |  |  | DATASET: PROFILE PROBES |  |  |
| \# | ALGORITHM | FPIR $=0.001$ | FPIR=0.01 | FPIR=0.1 | FPIR=0.001 | FPIR=0.01 | FPIR=0.1 | FPIR $=0.001$ | FPIR=0.01 | FPIR=0.1 |
| 73 | IDEMIA-5 | ${ }^{26} 0.047$ | ${ }^{46} 0.028$ | ${ }^{49} 0.017$ | ${ }^{55} 0.150$ | ${ }^{65} 0.102$ | ${ }^{69} 0.065$ | ${ }^{12} 0.974$ | ${ }^{19} 0.968$ | ${ }^{27} 0.960$ |
| 74 | IDEMIA-6 | ${ }^{24} 0.046$ | ${ }^{43} 0.028$ | ${ }^{51} 0.018$ | ${ }^{81} 0.226$ | ${ }^{84} 0.161$ | ${ }^{93} 0.108$ |  |  |  |
| 75 | IMAGUS-0 | ${ }^{175} 0.734$ | ${ }^{181} 0.608$ | ${ }^{182} 0.453$ | ${ }^{145} 0.872$ | ${ }^{146} 0.779$ | ${ }^{148} 0.635$ |  |  |  |
| 76 | IMAGUS-2 | ${ }^{176} 0.751$ | ${ }^{179} 0.566$ | ${ }^{179} 0.377$ | ${ }^{142} 0.816$ | ${ }^{143} 0.645$ | ${ }^{142} 0.460$ |  |  |  |
| 77 | IMAGUS-3 | ${ }^{1 / 9} 0.808$ | ${ }^{185} 0.670$ | ${ }^{186} 0.512$ | ${ }^{146} 0.909$ | ${ }^{148} 0.809$ | ${ }^{149} 0.667$ |  |  |  |
| 78 | INCODE-0 | ${ }^{134} 0.313$ | ${ }^{144} 0.201$ | ${ }^{143} 0.107$ | ${ }^{114} 0.420$ | ${ }^{118} 0.304$ | ${ }^{117} 0.191$ |  |  |  |
| 79 | INCODE-1 | ${ }^{114} 0.214$ | ${ }^{115} 0.114$ | ${ }^{103} 0.050$ | ${ }^{96} 0.296$ | ${ }^{96} 0.198$ | ${ }^{95} 0.110$ |  |  |  |
| 80 | INCODE-2 | ${ }^{100} 0.186$ | ${ }^{112} 0.102$ | ${ }^{100} 0.046$ | ${ }^{5} 0.269$ | ${ }^{91} 0.176$ | ${ }^{87} 0.100$ |  |  |  |
| 81 | INCODE-3 | ${ }^{103} 0.170$ | ${ }^{101} 0.086$ | ${ }^{94} 0.037$ | ${ }^{90} 0.264$ | ${ }^{87} 0.164$ | ${ }^{80} 0.087$ |  |  |  |
| 82 | INNOVATRICS-0 | ${ }^{124} 0.255$ | ${ }^{138} 0.165$ | ${ }^{139} 0.089$ | ${ }^{109} 0.361$ | ${ }^{112} 0.258$ | ${ }^{115} 0.159$ |  |  |  |
| 83 | InNOVATRICS-1 | ${ }^{123} 0.255$ | ${ }^{137} 0.165$ | ${ }^{138} 0.089$ |  |  |  |  |  |  |
| 84 | INNOVATRICS-2 | ${ }^{120} 0.237$ | ${ }^{132} 0.142$ | ${ }^{131} 0.079$ | ${ }^{1010} 0.310$ | ${ }^{102} 0.209$ | ${ }^{101} 0.126$ |  |  |  |
| 85 | INNOVATRICS-3 | ${ }^{116} 0.224$ | ${ }^{128} 0.134$ | ${ }^{122} 0.068$ | ${ }^{97} 0.297$ | ${ }^{99} 0.203$ | ${ }^{98} 0.116$ |  |  |  |
| 86 | INNOVATRICS-4 | ${ }^{94} 0.134$ | ${ }^{98} 0.076$ | ${ }^{93} 0.035$ | ${ }^{80} 0.222$ | ${ }^{79} 0.149$ | ${ }^{77} 0.085$ | ${ }^{13} 0.977$ | ${ }^{18} 0.966$ | ${ }^{24} 0.945$ |
| 87 | ISYSTEMS-0 | ${ }^{72} 0.091$ | ${ }^{69} 0.047$ | ${ }^{72} 0.023$ | ${ }^{69} 0.173$ | ${ }^{70} 0.110$ | ${ }^{67} 0.065$ |  |  |  |
| 88 | ISYSTEMS-1 | ${ }^{69} 0.090$ | ${ }^{67} 0.047$ | ${ }^{71} 0.023$ |  |  |  |  |  |  |
| 89 | ISYSTEMS-2 | ${ }^{62} 0.081$ | ${ }^{55} 0.035$ | ${ }^{46} 0.015$ | ${ }^{42} 0.126$ | ${ }^{45} 0.080$ | ${ }^{46} 0.046$ |  |  |  |
| 90 | ISYSTEMS-3 | ${ }^{52} 0.062$ | ${ }^{40} 0.027$ | ${ }^{36} 0.012$ | ${ }^{30} 0.107$ | ${ }^{31} 0.068$ | ${ }^{31} 0.039$ | ${ }^{29} 1.000$ | ${ }^{31} 0.995$ | ${ }^{21} 0.913$ |
| 91 | LOOKMAN-3 | ${ }^{25} 0.046$ | ${ }^{41} 0.027$ | ${ }^{50} 0.017$ | ${ }^{33} 0.112$ | ${ }^{46} 0.082$ | ${ }^{57} 0.057$ |  |  |  |
| 92 | LOOKMAN-4 | ${ }^{28} 0.047$ | ${ }^{39} 0.027$ | ${ }^{47} 0.016$ | ${ }^{29} 0.105$ | ${ }^{38} 0.075$ | ${ }^{56} 0.052$ | ${ }^{16} 0.980$ | ${ }^{22} 0.978$ | ${ }^{30} 0.977$ |
| 93 | MEGVII-0 | ${ }^{80} 0.109$ | ${ }^{81} 0.058$ | 0.025 | ${ }^{55} 0.116$ | ${ }^{30} 0.067$ | ${ }^{23} 0.034$ |  |  |  |
| 94 | MEGVII-1 | ${ }^{58} 0.075$ | ${ }^{57} 0.039$ | ${ }^{67} 0.022$ | ${ }^{23} 0.097$ | ${ }^{22} 0.061$ | ${ }^{21} 0.033$ |  |  |  |
| 95 | MEGVII-2 | ${ }^{61} 0.080$ | ${ }^{59} 0.039$ | ${ }^{65} 0.022$ | ${ }^{22} 0.096$ | ${ }^{20} 0.059$ | ${ }^{20} 0.033$ | ${ }^{25} 0.997$ | ${ }^{8} 0.698$ | 0.429 |
| 96 | MICROFOCUS-0 | ${ }^{188} 0.933$ | ${ }^{192} 0.867$ | ${ }^{194} 0.749$ | ${ }^{158} 0.985$ | ${ }^{157} 0.950$ | ${ }^{158} 0.877$ |  |  |  |
| 97 | MICROFOCUS-1 | ${ }^{189} 0.933$ | ${ }^{193} 0.867$ | ${ }^{195} 0.749$ |  |  |  |  |  |  |
| 98 | MICROFOCUS-2 | ${ }^{190} 0.934$ | ${ }^{194} 0.870$ | ${ }^{196} 0.758$ |  |  |  |  |  |  |
| 99 | MICROFOCUS-3 | ${ }^{187} 0.931$ | ${ }^{191} 0.866$ | ${ }^{193} 0.748$ | ${ }^{157} 0.979$ | ${ }^{156} 0.948$ | ${ }^{157} 0.876$ |  |  |  |
| 100 | MICROFOCUS-4 | ${ }^{197} 0.999$ | ${ }^{199} 0.999$ | ${ }^{198} 0.994$ | ${ }^{155} 0.975$ | ${ }^{155} 0.940$ | ${ }^{156} 0.862$ |  |  |  |
| 101 | MICROFOCUS-5 | ${ }^{184} 0.836$ | ${ }^{189} 0.736$ | ${ }^{189} 0.588$ | ${ }^{151} 0.928$ | ${ }^{152} 0.865$ | ${ }^{154} 0.748$ |  |  |  |
| 102 | MICROFOCUS-6 | ${ }^{195} 0.978$ | ${ }^{195} 0.963$ | ${ }^{191} 0.641$ | ${ }^{150} 0.923$ | ${ }^{151} 0.858$ | ${ }^{153} 0.739$ |  |  |  |
| 103 | MICROSOFT-0 | ${ }^{21} 0.044$ | ${ }^{22} 0.022$ | ${ }^{25} 0.010$ | ${ }^{34} 0.115$ | ${ }^{33} 0.071$ | ${ }^{34} 0.040$ |  |  |  |
| 104 | MICROSOFT-1 | ${ }^{23} 0.045$ | ${ }^{24} 0.022$ | ${ }^{26} 0.011$ |  |  |  |  |  |  |
| 105 | MICROSOFT-2 | ${ }^{34} 0.050$ | ${ }^{36} 0.026$ | ${ }^{34} 0.012$ |  |  |  |  |  |  |
| 106 | MICROSOFT-3 | ${ }^{16} 0.030$ | ${ }^{16} 0.014$ | ${ }^{12} 0.006$ | ${ }^{17} 0.091$ | ${ }^{18} 0.056$ | ${ }^{14} 0.028$ |  |  |  |
| 107 | MICROSOFT-4 | ${ }^{13} 0.029$ | ${ }^{15} 0.013$ | ${ }^{10} 0.005$ | ${ }^{13} 0.087$ | ${ }^{15} 0.053$ | ${ }^{13} 0.026$ |  |  |  |
| 108 | MICROSOFT-5 | ${ }^{12} 0.028$ | ${ }^{12} 0.012$ | ${ }^{7} 0.005$ | ${ }^{10} 0.070$ | ${ }^{9} 0.041$ | ${ }^{7} 0.021$ | ${ }^{2} 0.338$ | ${ }^{2} 0.188$ | ${ }^{2} 0.123$ |
| 109 | MICROSOFT-6 | ${ }^{5} 0.014$ | 0.008 | ${ }^{3} 0.004$ | ${ }^{5} 0.037$ | ${ }^{5} 0.024$ | ${ }^{4} 0.016$ | ${ }^{1} 0.203$ | ${ }^{1} 0.148$ | 0.109 |
| 110 | NEC-0 | ${ }^{63} 0.082$ | ${ }^{74} 0.049$ | ${ }^{83} 0.029$ | ${ }^{52} 0.140$ | ${ }^{52} 0.093$ | ${ }^{60} 0.059$ |  |  |  |
| 111 | NEC-1 | ${ }^{79} 0.108$ | ${ }^{87} 0.063$ | ${ }^{\text {Y2 }} 0.035$ | ${ }^{75} 0.197$ | ${ }^{76} 0.133$ | ${ }^{76} 0.083$ |  |  |  |
| 112 | NEC-2 | ${ }^{2} 0.005$ | ${ }^{1} 0.004$ | ${ }^{1} 0.003$ | ${ }^{2} 0.020$ | ${ }^{2} 0.013$ | ${ }^{1} 0.010$ |  |  |  |
| 113 | NEC-3 | ${ }^{1} 0.004$ | ${ }^{2} 0.004$ | ${ }^{2} 0.003$ | ${ }^{1} 0.017$ | ${ }^{1} 0.013$ | ${ }^{2} 0.011$ | ${ }^{5} 0.664$ | ${ }^{5} 0.479$ | ${ }^{6} 0.340$ |
| 114 | NEUROTECHNOLOGY-0 | ${ }^{129} 0.295$ | ${ }^{143} 0.196$ | ${ }^{145} 0.108$ | ${ }^{119} 0.465$ | ${ }^{120} 0.317$ | ${ }^{120} 0.196$ |  |  |  |
| 115 | NEUROTECHNOLOGY-1 | ${ }^{151} 0.299$ | ${ }^{142} 0.195$ | ${ }^{142} 0.105$ |  |  |  |  |  |  |
| 116 | NEUROTECHNOLOGY-2 | ${ }^{132} 0.299$ | ${ }^{141} 0.195$ | ${ }^{141} 0.105$ |  |  |  |  |  |  |
| 117 | NEUROTECHNOLOGY-3 | ${ }^{172} 0.665$ | ${ }^{111} 0.101$ | ${ }^{110} 0.052$ | ${ }^{91} 0.266$ | ${ }^{86} 0.164$ | ${ }^{83} 0.088$ |  |  |  |
| 118 | NEUROTECHNOLOGY-4 | ${ }^{54} 0.066$ | ${ }^{48} 0.030$ | ${ }^{43} 0.014$ | ${ }^{36} 0.117$ | ${ }^{34} 0.073$ | ${ }^{35} 0.040$ |  |  |  |
| 119 | NEUROTECHNOLOGY-5 | ${ }^{48} 0.056$ | ${ }^{35} 0.025$ | ${ }^{35} 0.012$ | ${ }^{44} 0.130$ | ${ }^{37} 0.074$ | ${ }^{40} 0.042$ | ${ }^{21} 0.996$ | ${ }^{25} 0.982$ | ${ }^{25} 0.948$ |
| 120 | NEUROTECHNOLOGY-6 | ${ }^{122} 0.255$ | ${ }^{123} 0.124$ | ${ }^{109} 0.051$ | ${ }^{113} 0.418$ | ${ }^{101} 0.206$ | ${ }^{88} 0.103$ |  |  |  |
| 121 | NEWLAND-2 | ${ }^{150} 0.441$ | ${ }^{153} 0.296$ | ${ }^{149} 0.157$ | ${ }^{120} 0.466$ | ${ }^{122} 0.335$ | ${ }^{122} 0.213$ |  |  |  |
| 122 | NOBLIS-1 | ${ }^{199} 1.000$ | ${ }^{197} 0.992$ | ${ }^{180} 0.419$ | ${ }^{199} 1.000$ | ${ }^{199} 1.000$ | ${ }^{160} 1.000$ |  |  |  |
| 123 | NOBLIS-2 | ${ }^{196} 0.997$ | ${ }^{173} 0.490$ | ${ }^{169} 0.309$ | ${ }^{166} 1.000$ | ${ }^{166} 1.000$ | ${ }^{146} 0.565$ | ${ }^{33} 1.000$ | ${ }^{34} 1.000$ | ${ }^{34} 1.000$ |
| 124 | NTECHLAB-0 | ${ }^{64} 0.083$ | ${ }^{72} 0.047$ | ${ }^{69} 0.023$ | ${ }^{64} 0.162$ | ${ }^{68} 0.105$ | ${ }^{62} 0.061$ |  |  |  |
| 125 | NTECHLAB-1 | ${ }^{76} 0.102$ | ${ }^{78} 0.056$ | ${ }^{79} 0.027$ |  |  |  |  |  |  |
| 126 | NTECHLAB-3 | ${ }^{47} 0.056$ | ${ }^{49} 0.030$ | ${ }^{45} 0.015$ | ${ }^{37} 0.118$ | ${ }^{39} 0.075$ | ${ }^{41} 0.043$ |  |  |  |
| 127 | NTECHLAB-4 | ${ }^{20} 0.043$ | ${ }^{29} 0.024$ | ${ }^{32} 0.012$ | ${ }^{28} 0.105$ | ${ }^{28} 0.065$ | ${ }^{2 /} 0.036$ |  |  |  |
| 128 | NTECHLAB-5 | ${ }^{22} 0.045$ | ${ }^{30} 0.024$ | ${ }^{31} 0.012$ | ${ }^{26} 0.102$ | ${ }^{26} 0.063$ | ${ }^{25} 0.034$ |  |  |  |
| 129 | NTECHLAB-6 | ${ }^{18} 0.039$ | ${ }^{20} 0.021$ | ${ }^{23} 0.010$ | ${ }^{19} 0.094$ | ${ }^{19} 0.059$ | ${ }^{18} 0.032$ | ${ }^{4} 0.566$ | ${ }^{4} 0.443$ | ${ }^{5} 0.317$ |
| 130 | QUANTASOFT-1 | ${ }^{170} 0.640$ | ${ }^{175} 0.494$ | ${ }^{173} 0.335$ |  |  |  |  |  |  |
| 131 | RANKONE-0 | ${ }^{115} 0.219$ | ${ }^{127} 0.129$ | ${ }^{129} 0.078$ | ${ }^{111} 0.391$ | ${ }^{116} 0.291$ | ${ }^{119} 0.195$ |  |  |  |
| 132 | RANKONE-1 | ${ }^{100} 0.168$ | ${ }^{102} 0.087$ | ${ }^{98} 0.043$ |  |  |  |  |  |  |
| 133 | RANKONE-2 | ${ }^{85} 0.120$ | ${ }^{9 / 0} 0.073$ | ${ }^{9 / 0} 0.042$ | ${ }^{89} 0.261$ | ${ }^{\text {T5 }} 0.190$ | ${ }^{100} 0.126$ |  |  |  |
| 134 | RANKONE-3 | ${ }^{84} 0.120$ | ${ }^{96} 0.073$ | ${ }^{96} 0.042$ | ${ }^{88} 0.255$ | ${ }^{93} 0.187$ | ${ }^{99} 0.122$ |  |  |  |
| 135 | RANKONE-4 | ${ }^{109} 0.195$ | ${ }^{124} 0.126$ | ${ }^{125} 0.076$ | ${ }^{116} 0.426$ | ${ }^{121} 0.324$ | ${ }^{123} 0.221$ |  |  |  |
| 136 | RANKONE-5 | ${ }^{50} 0.062$ | ${ }^{56} 0.036$ | ${ }^{62} 0.021$ | ${ }^{70} 0.173$ | ${ }^{72} 0.119$ | ${ }^{73} 0.074$ | ${ }^{24} 0.998$ | ${ }^{28} 0.994$ | ${ }^{32} 0.988$ |
| 137 | REALNETWORKS-0 | ${ }^{119} 0.236$ | ${ }^{131} 0.140$ | ${ }^{128} 0.077$ | ${ }^{104} 0.319$ | ${ }^{104} 0.209$ | ${ }^{103} 0.129$ |  |  |  |
| 138 | REALNETWORKS-1 | ${ }^{118} 0.236$ | ${ }^{130} 0.140$ | ${ }^{127} 0.077$ | ${ }^{103} 0.319$ | ${ }^{103} 0.209$ | ${ }^{102} 0.129$ |  |  |  |
| 139 | REALNETWORKS-2 | ${ }^{117} 0.234$ | ${ }^{129} 0.139$ | ${ }^{126} 0.077$ | ${ }^{102} 0.315$ | ${ }^{105} 0.209$ | ${ }^{104} 0.129$ |  |  |  |
| 140 | REMARKAI-0 | ${ }^{92} 0.130$ | ${ }^{86} 0.062$ | ${ }^{76} 0.025$ | ${ }^{76} 0.203$ | ${ }^{74} 0.123$ | ${ }^{66} 0.064$ |  |  |  |
| 141 | REMARKAI-2 | ${ }^{91} 0.126$ | ${ }^{84} 0.061$ | ${ }^{75} 0.024$ | ${ }^{74} 0.196$ | ${ }^{73} 0.122$ | ${ }^{65} 0.063$ | ${ }^{15} 0.980$ | ${ }^{16} 0.958$ | ${ }^{18} 0.878$ |
| 142 | SENSETIME-0 | ${ }^{9} 0.023$ | ${ }^{10} 0.012$ | ${ }^{14} 0.007$ | ${ }^{8} 0.063$ | ${ }^{8} 0.040$ | ${ }^{9} 0.025$ | ${ }^{124} 1.000$ | ${ }^{20} 0.971$ | ${ }^{16} 0.844$ |
| 143 | SENSETIME-1 | ${ }^{11} 0.025$ | ${ }^{11} 0.012$ | ${ }^{15} 0.007$ | ${ }^{9} 0.064$ | ${ }^{10} 0.041$ | ${ }^{11} 0.025$ |  |  |  |
| 144 | SHAMAN-0 | ${ }^{152} 0.474$ | ${ }^{160} 0.370$ | ${ }^{164} 0.259$ | ${ }^{130} 0.621$ | ${ }^{154} 0.507$ | ${ }^{136} 0.375$ |  |  |  |

Table 20: Threshold-based accuracy. Values are $\operatorname{FNIR}(N, T, L)$ with $N=1.6$ million with thresholds set to produce FPIR $=0.001$, 0.01 , and 0.1 in non-mate searches. Columns 3-5 apply to FRVT-2018 mugshots: Columns 6-8 show the corresponding FNIR values for webcam images searched against the FRVT-2018 mugshot gallery. Finally, the three rightmost columns show FNIR for profile view images searched against the FRVT-2018 frontal gallery. Throughout blue superscripts indicate the rank of the algorithm for that column. Caution: The Power-low models are mostly intended to draw attention to the kind of behavior, not as a model to be used for prediction.

| 2019/09/11 | FNIR $(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | $\mathrm{T}=$ Threshold | $\mathrm{T}=0 \rightarrow$ Investigation |
| :--- | ---: | :--- | :--- | :--- | :--- |
| 16:09:13 | FPIR $(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined | $\mathrm{T}>0 \rightarrow$ Identification |  |


| MISSES BELOW THRESHOLD, T |  | ENROL MOST RECENT MUGSHOT, $\mathrm{N}=1.6 \mathrm{M}$ |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| FNIR(N, $\mathrm{T}>00 \mathrm{R}>\mathrm{L}$ ) |  | DATASET: FRVT 2018 MUGSHOTS |  |  | DATASET: WEBCAM PROBES |  |  | DATASET: PROFILE PROBES |  |  |
| \# | ALGORITHM | FPIR $=0.001$ | FPIR=0.01 | FPIR=0.1 | FPIR $=0.001$ | FPIR=0.01 | FPIR=0.1 | FPIR $=0.001$ | FPIR=0.01 | FPIR $=0.1$ |
| 145 | SHAMAN-1 | ${ }^{159} 0.532$ | ${ }^{166} 0.406$ | ${ }^{167} 0.274$ |  |  |  |  |  |  |
| 146 | SHAMAN-2 | ${ }^{174} 0.700$ | ${ }^{180} 0.582$ | ${ }^{181} 0.424$ |  |  |  |  |  |  |
| 147 | SHAMAN-3 | ${ }^{151} 0.453$ | ${ }^{156} 0.348$ | ${ }^{156} 0.225$ | ${ }^{129} 0.597$ | ${ }^{131} 0.472$ | ${ }^{127} 0.317$ |  |  |  |
| 148 | SHAMAN-4 | ${ }^{165} 0.616$ | ${ }^{171} 0.490$ | ${ }^{176} 0.344$ | ${ }^{139} 0.754$ | ${ }^{142} 0.639$ | ${ }^{143} 0.480$ |  |  |  |
| 149 | SHAMAN-6 | ${ }^{96} 0.143$ | ${ }^{105} 0.095$ | ${ }^{119} 0.060$ | ${ }^{85} 0.237$ | ${ }^{88} 0.168$ | ${ }^{92} 0.108$ | 0.952 | ${ }^{14} 0.935$ | ${ }^{20} 0.905$ |
| 150 | SHAMAN-7 | ${ }^{97} 0.144$ | ${ }^{104} 0.094$ | ${ }^{118} 0.060$ | ${ }^{86} 0.240$ | ${ }^{89} 0.169$ | ${ }^{90} 0.107$ |  |  |  |
| 151 | SIAT-0 | ${ }^{70} 0.091$ | ${ }^{68} 0.047$ | ${ }^{64} 0.022$ | ${ }^{31} 0.107$ | ${ }^{2 /} 0.064$ | ${ }^{26} 0.035$ |  |  |  |
| 152 | SIAT-1 | ${ }^{6} 0.020$ | ${ }^{6} 0.009$ | ${ }^{6} 0.005$ | ${ }^{110} 0.365$ | ${ }^{123} 0.348$ | ${ }^{128} 0.337$ |  |  |  |
| 153 | SIAT-2 | ${ }^{10} 0.024$ | ${ }^{7} 0.009$ | ${ }^{5} 0.005$ | ${ }^{121} 0.478$ | ${ }^{129} 0.460$ | ${ }^{141} 0.451$ |  |  |  |
| 154 | SMILART-0 | ${ }^{166} 0.620$ | ${ }^{170} 0.486$ | ${ }^{170} 0.322$ |  |  |  |  |  |  |
| 155 | SMILART-1 | ${ }^{171} 0.641$ | ${ }^{177} 0.505$ | ${ }^{175} 0.342$ |  |  |  |  |  |  |
| 156 | SMILART-2 | ${ }^{167} 0.629$ | ${ }^{174} 0.492$ | ${ }^{171} 0.325$ |  |  |  |  |  |  |
| 157 | SMILART-4 | ${ }^{191} 0.968$ | ${ }^{196} 0.965$ | ${ }^{197} 0.964$ | ${ }^{156} 0.976$ | ${ }^{158} 0.973$ | ${ }^{159} 0.973$ |  |  |  |
| 158 | SMILART-5 |  |  |  |  |  |  |  |  |  |
| 159 | SYNESIS-0 | ${ }^{165} 0.554$ | ${ }^{162} 0.378$ | ${ }^{155} 0.213$ | ${ }^{138} 0.734$ | ${ }^{141} 0.598$ | ${ }^{140} 0.431$ |  |  |  |
| 160 | SYNESIS-3 | ${ }^{164} 0.583$ | ${ }^{168} 0.444$ | ${ }^{168} 0.294$ | ${ }^{132} 0.646$ | ${ }^{135} 0.524$ | ${ }^{135} 0.372$ |  |  |  |
| 161 | TEVIAN-0 | ${ }^{111} 0.203$ | ${ }^{117} 0.114$ | ${ }^{113} 0.054$ | ${ }^{105} 0.331$ | ${ }^{106} 0.227$ | ${ }^{106} 0.132$ |  |  |  |
| 162 | TEVIAN-1 | ${ }^{112} 0.203$ | ${ }^{118} 0.114$ | ${ }^{114} 0.054$ |  |  |  |  |  |  |
| 163 | TEVIAN-2 | ${ }^{110} 0.202$ | ${ }^{116} 0.114$ | ${ }^{112} 0.054$ |  |  |  |  |  |  |
| 164 | TEVIAN-3 | ${ }^{106} 0.180$ | ${ }^{109} 0.098$ | ${ }^{99} 0.044$ | ${ }^{98} 0.298$ | ${ }^{97} 0.198$ | ${ }^{96} 0.113$ |  |  |  |
| 165 | TEVIAN-4 | ${ }^{86} 0.120$ | ${ }^{90} 0.066$ | ${ }^{86} 0.031$ | ${ }^{71} 0.176$ | ${ }^{71} 0.115$ | ${ }^{68} 0.065$ |  |  |  |
| 166 | TEVIAN-5 | ${ }^{68} 0.090$ | ${ }^{71} 0.047$ | ${ }^{66} 0.022$ | ${ }^{53} 0.144$ | ${ }^{49} 0.089$ | ${ }^{50} 0.049$ | 0.910 | ${ }^{7} 0.661$ | ${ }^{8} 0.483$ |
| 167 | TIGER-0 | ${ }^{143} 0.392$ | ${ }^{149} 0.263$ | ${ }^{148} 0.142$ | ${ }^{122} 0.500$ | ${ }^{124} 0.366$ | ${ }^{121} 0.211$ |  |  |  |
| 168 | TIGER-1 |  |  |  | ${ }^{127} 0.580$ | ${ }^{132} 0.487$ | ${ }^{139} 0.396$ |  |  |  |
| 169 | TIGER-2 | ${ }^{66} 0.089$ | ${ }^{61} 0.042$ | ${ }^{53} 0.018$ | ${ }^{62} 0.158$ | ${ }^{55} 0.095$ | ${ }^{49} 0.048$ | ${ }^{25} 0.998$ | ${ }^{12} 0.927$ | ${ }^{9} 0.503$ |
| 170 | TIGER-3 | ${ }^{65} 0.089$ | ${ }^{62} 0.042$ | ${ }^{52} 0.018$ | ${ }^{61} 0.158$ | ${ }^{54} 0.095$ | ${ }^{48} 0.048$ |  |  |  |
| 171 | TONGYITRANS-0 | ${ }^{60} 0.077$ | ${ }^{60} 0.041$ | ${ }^{57} 0.019$ | ${ }^{32} 0.112$ | ${ }^{32} 0.069$ | ${ }^{30} 0.038$ |  |  |  |
| 172 | TONGYITRANS-1 | ${ }^{55} 0.069$ | ${ }^{54} 0.035$ | ${ }^{48} 0.016$ | ${ }^{25} 0.101$ | ${ }^{24} 0.062$ | ${ }^{24} 0.034$ |  |  |  |
| 173 | TOSHIBA-0 | ${ }^{53} 0.065$ | ${ }^{47} 0.029$ | ${ }^{37} 0.013$ | ${ }^{38} 0.118$ | ${ }^{35} 0.074$ | ${ }^{38} 0.041$ | ${ }^{17} 0.988$ | ${ }^{21} 0.971$ | ${ }^{19} 0.899$ |
| 174 | TOSHIBA-1 | ${ }^{51} 0.062$ | ${ }^{21} 0.021$ | ${ }^{24} 0.010$ | ${ }^{18} 0.092$ | ${ }^{16} 0.054$ | ${ }^{19} 0.032$ |  |  |  |
| 175 | VD-0 | ${ }^{186} 0.917$ | ${ }^{190} 0.828$ | ${ }^{192} 0.668$ | ${ }^{153} 0.946$ | ${ }^{153} 0.871$ | ${ }^{152} 0.725$ |  |  |  |
| 176 | VD-1 | ${ }^{113} 0.204$ | ${ }^{121} 0.118$ | ${ }^{117} 0.059$ | ${ }^{94} 0.281$ | ${ }^{94} 0.188$ | ${ }^{89} 0.106$ |  |  |  |
| 177 | VIGILANTSOLUTIONS-0 | ${ }^{161} 0.539$ | ${ }^{165} 0.394$ | ${ }^{165} 0.247$ | ${ }^{137} 0.695$ | ${ }^{140} 0.557$ | ${ }^{157} 0.389$ |  |  |  |
| 178 | VIGILANTSOLUTIONS-1 | ${ }^{169} 0.637$ | ${ }^{176} 0.502$ | ${ }^{177} 0.348$ |  |  |  |  |  |  |
| 179 | VIGILANTSOLUTIONS-2 | ${ }^{185} 0.876$ | ${ }^{188} 0.731$ | ${ }^{185} 0.489$ |  |  |  |  |  |  |
| 180 | VIGILANTSOLUTIONS-3 | ${ }^{147} 0.410$ | ${ }^{151} 0.283$ | ${ }^{151} 0.163$ | ${ }^{136} 0.660$ | ${ }^{137} 0.526$ | ${ }^{131} 0.356$ |  |  |  |
| 181 | VIGILANTSOLUTIONS-4 | ${ }^{162} 0.550$ | ${ }^{16 /} 0.424$ | ${ }^{166} 0.268$ | ${ }^{144} 0.817$ | ${ }^{145} 0.709$ | ${ }^{145} 0.523$ |  |  |  |
| 182 | VIGILANTSOLUTIONS-5 | ${ }^{149} 0.433$ | ${ }^{63} 0.045$ | ${ }^{70} 0.023$ |  |  |  |  |  |  |
| 183 | VIGILANTSOLUTIONS-6 | ${ }^{148} 0.426$ | ${ }^{65} 0.046$ | ${ }^{73} 0.023$ |  |  |  |  |  |  |
| 184 | VISIONLABS-3 | ${ }^{55} 0.051$ | ${ }^{37} 0.026$ | ${ }^{88} 0.013$ | ${ }^{49} 0.137$ | ${ }^{50} 0.091$ | ${ }^{55} 0.051$ |  |  |  |
| 185 | VISIONLABS-4 | ${ }^{49} 0.060$ | ${ }^{38} 0.026$ | ${ }^{20} 0.010$ | ${ }^{63} 0.159$ | ${ }^{57} 0.097$ | ${ }^{43} 0.045$ |  |  |  |
| 186 | VISIONLABS-5 | ${ }^{39} 0.053$ | ${ }^{25} 0.022$ | ${ }^{18} 0.008$ | ${ }^{54} 0.147$ | ${ }^{48} 0.087$ | ${ }^{37} 0.041$ |  |  |  |
| 187 | VISIONLABS-6 | ${ }^{15} 0.029$ | ${ }^{14} 0.012$ | ${ }^{11} 0.005$ | ${ }^{16} 0.090$ | ${ }^{13} 0.051$ | ${ }^{12} 0.025$ |  |  |  |
| 188 | VISIONLABS-7 | ${ }^{14} 0.029$ | ${ }^{13} 0.012$ | 0.005 | ${ }^{15} 0.090$ | ${ }^{12} 0.051$ | ${ }^{10} 0.025$ | ${ }^{3} 0.461$ | ${ }^{5} 0.322$ | 0.198 |
| 189 | VOCORD-0 | ${ }^{144} 0.399$ | ${ }^{120} 0.116$ | ${ }^{121} 0.062$ | ${ }^{95} 0.285$ | ${ }^{92} 0.181$ | ${ }^{91} 0.108$ |  |  |  |
| 190 | VOCORD-1 | ${ }^{130} 0.299$ | ${ }^{119} 0.116$ | ${ }^{120} 0.062$ |  |  |  |  |  |  |
| 191 | VOCORD-2 | ${ }^{137} 0.366$ | ${ }^{113} 0.107$ | ${ }^{116} 0.057$ |  |  |  |  |  |  |
| 192 | VOCORD-3 | ${ }^{90} 0.126$ | ${ }^{75} 0.050$ | ${ }^{59} 0.020$ | ${ }^{59} 0.155$ | ${ }^{53} 0.093$ | ${ }^{47} 0.048$ |  |  |  |
| 193 | VOCORD-4 | ${ }^{140} 0.378$ | ${ }^{76} 0.054$ | ${ }^{63} 0.021$ | ${ }^{68} 0.173$ | ${ }^{51} 0.093$ | ${ }^{44} 0.046$ |  |  |  |
| 194 | VOCORD-5 | ${ }^{102} 0.170$ | ${ }^{66} 0.046$ | ${ }^{58} 0.019$ | ${ }^{45} 0.130$ | ${ }^{44} 0.080$ | ${ }^{42} 0.043$ | ${ }^{19} 0.992$ | ${ }^{13} 0.929$ | ${ }^{14} 0.787$ |
| 195 | VOCORD-6 | ${ }^{205} 1.000$ | ${ }^{205} 1.000$ | ${ }^{205} 1.000$ | ${ }^{201} 1.000$ | ${ }^{201} 1.000$ | ${ }^{201} 1.000$ |  |  |  |
| 196 | YISHENG-0 | ${ }^{141} 0.380$ | ${ }^{146} 0.209$ | ${ }^{134} 0.086$ | ${ }^{154} 0.974$ | ${ }^{114} 0.275$ | ${ }^{112} 0.146$ |  |  |  |
| 197 | YISHENG-1 | ${ }^{136} 0.348$ | ${ }^{145} 0.208$ | ${ }^{140} 0.090$ | ${ }^{141} 0.808$ | ${ }^{115} 0.269$ | ${ }^{111} 0.144$ |  |  |  |
| 198 | YITU-0 | ${ }^{33} 0.050$ | ${ }^{33} 0.025$ | ${ }^{35} 0.012$ | ${ }^{14} 0.090$ | ${ }^{7} 0.054$ | ${ }^{17} 0.030$ |  |  |  |
| 199 | YITU-1 | ${ }^{29} 0.047$ | ${ }^{28} 0.023$ | ${ }^{30} 0.011$ |  |  |  |  |  |  |
| 200 | YITU-2 | ${ }^{7} 0.020$ | ${ }^{8} 0.011$ | ${ }^{13} 0.006$ | ${ }^{6} 0.049$ | ${ }^{6} 0.028$ | ${ }^{5} 0.016$ |  |  |  |
| 201 | YITU-3 | ${ }^{8} 0.021$ | ${ }^{9} 0.011$ | ${ }^{16} 0.007$ | 0.052 | 0.033 | ${ }^{8} 0.021$ |  |  |  |
| 202 | YITU-4 | ${ }^{3} 0.012$ | ${ }^{3} 0.007$ | ${ }^{4} 0.004$ | ${ }^{3} 0.027$ | ${ }^{3} 0.017$ | ${ }^{3} 0.011$ | ${ }^{6} 0.902$ | ${ }^{11} 0.875$ | ${ }^{17} 0.845$ |
| 203 | YITU-5 | ${ }^{4} 0.013$ | ${ }^{4} 0.007$ | ${ }^{8} 0.005$ | ${ }^{4} 0.032$ | ${ }^{4} 0.023$ | ${ }^{6} 0.017$ |  |  |  |

Table 21: Threshold-based accuracy. Values are $\operatorname{FNIR}(N, T, L)$ with $N=1.6$ million with thresholds set to produce FPIR $=0.001$, 0.01, and 0.1 in non-mate searches. Columns 3-5 apply to FRVT-2018 mugshots: Columns 6-8 show the corresponding FNIR values for webcam images searched against the FRVT-2018 mugshot gallery. Finally, the three rightmost columns show FNIR for profile view images searched against the FRVT-2018 frontal gallery. Throughout blue superscripts indicate the rank of the algorithm for that column. Caution: The Power-low models are mostly intended to draw attention to the kind of behavior, not as a model to be used for prediction.

| $2019 / 09 / 11$ | FNIR $(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | $\mathrm{T}=$ Threshold |
| :--- | ---: | :--- | :--- | :--- |
| 16:09:13 | FPIR $(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined | $\mathrm{T}=0 \rightarrow$ Investigation |
|  |  | $\mathrm{T}>0 \rightarrow$ Identification |  |  |


|  |  | INVESTIGATION MODE |  |  |  | IDENTIFICATION MODE |  |  |  | Failure to extract |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | RANK ONE MISS RATE, FNIR(N, 0,1 ) |  |  |  | HIGH T $\rightarrow$ FPIR $=0.01$, FNIR(N, T, L) |  |  |  | features |  |  |  |
|  |  | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.1 \mathrm{~m}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.1 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.1 \mathrm{M}$ |
| \# | ALGORITHM | FRVT-18 | WEbCAM | Profile | WILD | FRVT-18 | WEBCAM | PROFILE | WILD ${ }^{+}$ | FRVT-18 | WEBCAM | PROFILE | WILD |
| 1 | 3DIVI-0 | ${ }^{118} 0.034$ | ${ }^{\text {IrI }} 0.086$ |  | 0.071 | ${ }^{\text {T34 }} 0.160$ | ${ }^{\text {¹/ } 0.302}$ |  | ${ }^{55} 0.095$ | 0.003 | 0.007 |  | 0.013 |
| 2 | 3DIVI-1 | ${ }^{119} 0.038$ |  |  | ${ }^{62} 0.074$ | ${ }^{135} 0.160$ |  |  | ${ }^{64} 0.095$ | 0.003 |  |  | 0.013 |
| 3 | 3DIVI-2 | ${ }^{124} 0.040$ |  |  | ${ }^{64} 0.076$ | ${ }^{136} 0.164$ |  |  | ${ }^{65} 0.096$ | 0.003 |  |  | 0.013 |
| 4 | 3DIVI-3 | ${ }^{152} 0.086$ | ${ }^{129} 0.206$ |  | ${ }^{81} 0.094$ | ${ }^{152} 0.284$ | ${ }^{133} 0.497$ |  | ${ }^{55} 0.136$ | 0.002 | 0.005 |  | 0.009 |
| 5 | 3DIVI-4 | ${ }^{0} 0.020$ | ${ }^{\text {\% }} 0.062$ |  |  | 0.096 | ${ }^{108} 0.237$ |  |  | 0.002 | 0.005 |  |  |
| 6 | 3DIVI-5 | ${ }^{7} 0.020$ | ${ }^{95} 0.062$ | ${ }^{25} 0.894$ | ${ }^{32} 0.052$ | ${ }^{106} 0.095$ | ${ }^{107} 0.234$ | ${ }^{25} 0.987$ | ${ }^{42} 0.069$ | 0.002 | 0.005 | 0.442 | 0.004 |
| 7 | 3DIVI-6 | ${ }^{110} 0.027$ | ${ }^{105} 0.074$ |  | ${ }^{38} 0.060$ | ${ }^{110} 0.098$ | ${ }^{109} 0.238$ |  | ${ }^{45} 0.072$ | 0.002 | 0.005 |  | 0.004 |
| 8 | ALCHERA-0 | ${ }^{2} 0.019$ | ${ }^{44} 0.047$ |  | 0.092 | 0.073 | 0.146 |  | 0.089 | 0.006 | 0.014 |  | 0.030 |
| 9 | ALCHERA-1 | ${ }^{199} 0.987$ | ${ }^{163} 1.000$ |  |  | ${ }^{198} 0.999$ | ${ }^{109} 1.000$ |  |  | 0.006 | 0.013 |  |  |
| 10 | ALCHERA-2 | ${ }^{155} 0.097$ | ${ }^{126} 0.166$ |  | ${ }^{84} 0.098$ | ${ }^{155} 0.304$ | ${ }^{127} 0.442$ |  | ${ }^{84} 0.135$ | 0.001 | 0.002 |  | 0.012 |
| 11 | ALCHERA-3 | ${ }^{72} 0.013$ | ${ }^{64} 0.035$ | ${ }^{15} 0.629$ | ${ }^{46} 0.064$ | ${ }^{50} 0.073$ | ${ }^{82} 0.152$ | ${ }^{27} 0.993$ | ${ }^{40} 0.067$ | 0.001 | 0.002 | 0.106 | 0.012 |
| 12 | ANKE-0 | ${ }^{86} 0.016$ | ${ }^{67} 0.038$ | ${ }^{24} 0.897$ | ${ }^{112} 0.289$ | ${ }^{9} 0.065$ | ${ }^{0} 0.151$ | ${ }^{24} 0.985$ |  | 0.000 | 0.001 | 0.080 | 0.001 |
| 13 | ANKE-1 | ${ }^{87} 0.016$ | ${ }^{66} 0.038$ |  | ${ }^{111} 0.284$ | ${ }^{88} 0.065$ | ${ }^{81} 0.151$ |  |  | 0.000 | 0.001 |  | 0.001 |
| 14 | AWARE-0 | ${ }^{145} 0.064$ | ${ }^{122} 0.138$ |  | ${ }^{1250.588}$ | ${ }^{126} 0.128$ | ${ }^{111} 0.253$ |  | ${ }^{1250.587}$ | 0.006 | 0.054 |  | 0.143 |
| 15 | AWARE-1 | ${ }^{141} 0.059$ |  |  | ${ }^{124} 0.580$ | ${ }^{1250.127}$ |  |  | ${ }^{121} 0.580$ | 0.006 |  |  | 0.143 |
| 16 | AWARE-2 | ${ }^{142} 0.060$ |  |  |  | ${ }^{122} 0.120$ |  |  |  | 0.006 |  |  | 0.143 |
| 17 | AWARE-3 | ${ }^{116} 0.033$ | ${ }^{172} 0.090$ |  | ${ }^{122} 0.503$ | ${ }^{100} 0.085$ | ${ }^{100} 0.204$ |  | ${ }^{188} 0.505$ | 0.004 | 0.003 |  | 0.014 |
| 18 | AWARE-4 | ${ }^{147} 0.070$ | ${ }^{128} 0.176$ |  |  | ${ }^{139} 0.177$ | ${ }^{125} 0.375$ |  |  | 0.003 | 0.003 |  |  |
| 19 | AWARE-5 | ${ }^{117} 0.034$ | ${ }^{98} 0.067$ | ${ }^{33} 0.979$ | ${ }^{123} 0.509$ | ${ }^{103} 0.088$ | ${ }^{85} 0.163$ | ${ }^{33} 0.999$ | ${ }^{119} 0.508$ | 0.001 | 0.002 | 0.189 | 0.002 |
| 20 | AWARE-6 | ${ }^{149} 0.072$ | ${ }^{121} 0.128$ |  |  | ${ }^{140} 0.178$ | ${ }^{115} 0.283$ |  |  | 0.001 | 0.002 |  |  |
| 21 | AYONIX-0 | ${ }^{191} 0.452$ | ${ }^{157} 0.685$ |  | ${ }^{120} 0.400$ | ${ }^{187} 0.725$ | ${ }^{154} 0.892$ |  | ${ }^{122} 0.586$ | 0.010 | 0.031 |  | 0.068 |
| 22 | AYONIX-1 | ${ }^{187} 0.343$ | ${ }^{152} 0.527$ |  | ${ }^{177} 0.334$ | ${ }^{185} 0.702$ | ${ }^{150} 0.845$ |  | ${ }^{120} 0.555$ | 0.010 | 0.031 |  | 0.066 |
| 23 | AYONIX-2 | ${ }^{186} 0.343$ | ${ }^{153} 0.527$ |  |  | ${ }^{186} 0.702$ | ${ }^{149} 0.845$ |  |  | 0.010 | 0.031 |  |  |
| 24 | CAMVI-1 | ${ }^{179} 0.227$ | ${ }^{145} 0.337$ |  | ${ }^{56} 0.148$ | ${ }^{178} 0.549$ | ${ }^{144} 0.648$ |  | 0.196 | 0.005 | 0.009 |  | 0.058 |
| 25 | Camvi-2 | ${ }^{100} 0.129$ |  |  | ${ }^{9} 0.130$ | ${ }^{164} 0.402$ |  |  | ${ }^{90} 0.157$ | 0.005 |  |  | 0.058 |
| 26 | CAMVI-3 | ${ }^{\text {T40 }} 0.054$ | ${ }^{175} 0.090$ |  | ${ }^{\text {940.139 }}$ | ${ }^{50} 0.060$ | ${ }^{50.108}$ |  | 0.130 | 0.006 | 0.013 |  | 0.074 |
| 27 | CAMVI-4 | ${ }^{137} 0.049$ | ${ }^{07} 0.077$ | ${ }^{16} 0.640$ | ${ }^{136} 1.000$ | ${ }^{9} 0.056$ | ${ }^{58} 0.100$ | ${ }^{30} 0.994$ | ${ }^{134} 1.000$ | 0.000 | 0.000 | 0.000 | 0.000 |
| 28 | Camvi-5 | ${ }^{146} 0.067$ | ${ }^{177} 0.103$ |  | ${ }^{157} 1.000$ | ${ }^{99} 0.078$ | ${ }^{75} 0.132$ |  | ${ }^{156} 1.000$ | 0.000 | 0.000 |  | 0.001 |
| 29 | COGENT-0 | ${ }^{74} 0.013$ | ${ }^{82} 0.046$ |  | ${ }^{80.093}$ | ${ }^{2} 0.032$ | ${ }^{62} 0.100$ |  | ${ }^{2} 0.110$ | 0.000 | 0.000 |  | 0.000 |
| 30 | COGENT-1 | ${ }^{73} 0.013$ | ${ }^{81} 0.046$ |  |  | ${ }^{51} 0.032$ | ${ }^{610.100}$ |  |  | 0.000 | 0.000 |  |  |
| 31 | Cogent-2 | ${ }^{26} 0.006$ | ${ }^{27} 0.020$ | ${ }^{25} 0.901$ | ${ }^{21} 0.045$ | ${ }^{19} 0.020$ | ${ }^{25} 0.063$ | ${ }^{26} 0.993$ | ${ }^{23} 0.051$ | 0.000 | 0.000 | 0.000 | 0.000 |
| 32 | COGENT-3 | ${ }^{27} 0.006$ | ${ }^{33} 0.021$ |  | ${ }^{33} 0.053$ | ${ }^{18} 0.018$ | ${ }^{23} 0.061$ |  | ${ }^{32} 0.063$ | 0.000 | 0.000 |  | 0.000 |
| 33 | COGNITEC-0 | ${ }^{112} 0.028$ | ${ }^{92} 0.059$ |  |  | ${ }^{108} 0.098$ | ${ }^{98} 0.200$ |  |  | 0.003 | 0.002 |  |  |
| 34 | COGNITEC-1 | ${ }^{83} 0.014$ | ${ }^{62} 0.034$ |  | ${ }^{61} 0.074$ | ${ }^{77} 0.055$ | ${ }^{70} 0.135$ |  | ${ }^{46} 0.072$ | 0.003 | 0.002 |  | 0.025 |
| 35 | COGNITEC-2 | ${ }^{42} 0.008$ | ${ }^{49} 0.025$ | ${ }^{29} 0.941$ | ${ }^{50} 0.065$ | ${ }^{42} 0.027$ | ${ }^{64} 0.101$ | ${ }^{15} 0.947$ | ${ }^{28} 0.061$ | 0.003 | 0.002 | 0.924 | 0.021 |
| 36 | COGNITEC-3 | ${ }^{45} 0.009$ | ${ }^{48} 0.025$ |  | ${ }^{20} 0.051$ | ${ }^{44} 0.028$ | ${ }^{\text {"0 }} 0.100$ |  | 0.049 | 0.004 | 0.002 |  | 0.012 |
| 37 | DAHUA-0 | ${ }^{64} 0.012$ | ${ }^{51} 0.026$ |  |  | ${ }^{0} 0.047$ | ${ }^{47} 0.083$ |  |  | 0.004 | 0.003 |  |  |
| 38 | DAHUA-1 | ${ }^{4} 0.009$ | ${ }^{\text {¹ }} 0.024$ | ${ }^{14} 0.590$ | ${ }^{1} 0.038$ | ${ }^{\text {80 }} 0.039$ | ${ }^{\text {T0 }} 0.075$ | 0.862 | ${ }^{8} 0.043$ | 0.002 | 0.002 | 0.346 | 0.001 |
| 39 | DERMALOG-0 | ${ }^{161} 0.131$ | ${ }^{133} 0.218$ |  | ${ }^{65} 0.075$ | ${ }^{159} 0.364$ | ${ }^{159} 0.528$ |  | ${ }^{9} 0.104$ | 0.003 | 0.002 |  | 0.020 |
| 40 | DERMALOG-1 | ${ }^{165} 0.156$ |  |  | ${ }^{75} 0.089$ | ${ }^{165} 0.405$ |  |  | ${ }^{81} 0.131$ | 0.003 |  |  | 0.020 |
| 41 | DERMALOG-2 | ${ }^{162} 0.138$ |  |  | ${ }^{60} 0.076$ | ${ }^{101} 0.378$ |  |  | 0.105 | 0.003 |  |  | 0.020 |
| 42 | DERMALOG-3 | ${ }^{158} 0.128$ | ${ }^{132} 0.217$ |  |  | ${ }^{158} 0.362$ | ${ }^{138} 0.526$ |  |  | 0.002 | 0.002 |  |  |
| 43 | DERMALOG-4 | ${ }^{157} 0.127$ | ${ }^{131} 0.215$ |  | ${ }^{53} 0.066$ | ${ }^{157} 0.360$ | ${ }^{136} 0.526$ |  | ${ }^{61} 0.095$ | 0.001 | 0.002 |  | 0.013 |
| 44 | DERMALOG-5 | ${ }^{89} 0.017$ | ${ }^{65} 0.037$ |  | ${ }^{52} 0.066$ | ${ }^{64} 0.045$ | ${ }^{56} 0.096$ |  | ${ }^{38} 0.066$ | 0.001 | 0.002 |  | 0.013 |
| 45 | DERMALOG-6 | ${ }^{\text {50 } 0.010 ~}$ | ${ }^{4 /} 0.024$ | ${ }^{13} 0.517$ | 0.056 | ${ }^{45} 0.028$ | ${ }^{20} 0.067$ | 0.856 | ${ }^{26} 0.054$ | 0.003 | 0.006 | 0.181 | 0.014 |
| 46 | EvERAI-0 | ${ }^{90} 0.021$ | ${ }^{69} 0.038$ |  |  | ${ }^{\text {70 }} 0.047$ | ${ }^{60} 0.100$ |  |  | 0.000 | 0.000 |  |  |
| 47 | EVERAI-1 | ${ }^{20} 0.006$ | ${ }^{28} 0.020$ |  | ${ }^{129} 0.928$ | ${ }^{27} 0.023$ | ${ }^{36} 0.074$ |  | ${ }^{127} 0.927$ | 0.000 | 0.000 |  | 0.000 |
| 48 | EVERAI-2 | ${ }^{22} 0.006$ | ${ }^{35} 0.022$ |  | ${ }^{113} 0.302$ | ${ }^{34} 0.025$ | ${ }^{41} 0.076$ |  | ${ }^{108} 0.308$ | 0.000 | 0.000 |  | 0.001 |
| 49 | EVERAI-3 | ${ }^{15} 0.005$ | ${ }^{24} 0.019$ | ${ }^{4} 0.154$ | 0.038 | ${ }^{17} 0.018$ | ${ }^{21} 0.060$ | ${ }^{6} 0.535$ | ${ }^{11} 0.044$ | 0.000 | 0.000 | 0.032 | 0.001 |
| 50 | EYEDEA-0 | ${ }^{184} 0.300$ | ${ }^{147} 0.443$ |  | ${ }^{92} 0.131$ | ${ }^{184} 0.679$ | ${ }^{147} 0.783$ |  | ${ }^{1050} 0.249$ | 0.001 | 0.003 |  | 0.008 |
| 51 | EYEDEA-1 | ${ }^{1 / 2} 0.198$ |  |  | ${ }^{\text {00 }} 0.072$ | ${ }^{159} 0.480$ |  |  | ${ }^{\text {80 }} 0.131$ | 0.001 |  |  | 0.008 |
| 52 | EYEDEA-2 | ${ }^{1 / 3} 0.200$ |  |  | ${ }^{5} 0.070$ | ${ }^{1 / 2} 0.490$ |  |  | ${ }^{88} 0.130$ | 0.000 |  |  | 0.005 |
| 53 | EYEDEA-3 | ${ }^{151} 0.082$ | ${ }^{124} 0.148$ |  | ${ }^{48} 0.064$ | ${ }^{150} 0.267$ | ${ }^{126} 0.404$ |  | ${ }^{56} 0.091$ | 0.001 | 0.003 |  | 0.008 |
| 54 | GLORY-0 | ${ }^{68} 0.180$ | ${ }^{140} 0.320$ |  |  | ${ }^{154} 0.297$ | ${ }^{150} 0.470$ |  |  | 0.011 | 0.013 |  |  |
| 55 | GLORY-1 | ${ }^{159} 0.129$ | ${ }^{137} 0.267$ |  | ${ }^{114} 0.315$ | ${ }^{147} 0.238$ | ${ }^{128} 0.448$ |  | ${ }^{110} 0.353$ | 0.011 | 0.013 |  | 0.114 |
| 56 | gorilla-0 |  |  |  | ${ }^{1350.994}$ |  |  |  | ${ }^{151} 0.994$ | 0.001 |  |  | 0.008 |
| 57 | GORILLA-1 | ${ }^{145} 0.063$ | ${ }^{114} 0.095$ |  | ${ }^{3} 0.057$ | ${ }^{148} 0.248$ | ${ }^{119} 0.314$ |  | ${ }^{48} 0.076$ | 0.001 | 0.001 |  | 0.007 |
| 58 | GORILLA-2 | ${ }^{100} 0.022$ | ${ }^{79} 0.044$ |  | ${ }^{19} 0.045$ | ${ }^{114} 0.108$ | ${ }^{90} 0.170$ |  | ${ }^{20} 0.049$ | 0.001 | 0.001 |  | 0.006 |
| 59 | gorilla-3 | ${ }^{121} 0.038$ | ${ }^{1011} 0.070$ |  | ${ }^{56} 0.069$ | ${ }^{133} 0.160$ | ${ }^{110} 0.247$ |  | ${ }^{51} 0.080$ | 0.001 | 0.001 |  | 0.007 |
| 60 | HBINNO-0 | ${ }^{183} 0.275$ |  |  | ${ }^{118} 0.335$ | ${ }^{182} 0.632$ |  |  | ${ }^{112} 0.411$ | 0.007 |  |  | 0.151 |
| 61 | HIK-0 | 0.024 | ${ }^{\text {o0 }} 0.033$ |  | 0.153 | ${ }^{0.070}$ | ${ }^{60} 0.103$ |  | ${ }^{0} 0.155$ | 0.010 | 0.004 |  | 0.027 |
| 62 | HIK-1 | ${ }^{91} 0.017$ |  |  | ${ }^{101} 0.162$ | ${ }^{9} 0.067$ |  |  | ${ }^{92} 0.166$ | 0.003 |  |  | 0.013 |
| 63 | HIK-2 | ${ }^{90} 0.017$ |  |  | ${ }^{85} 0.094$ | ${ }^{92} 0.067$ |  |  | ${ }^{68} 0.103$ | 0.001 |  |  | 0.008 |
| 64 | HIK-3 | ${ }^{82} 0.014$ | ${ }^{53} 0.027$ |  |  | ${ }^{82} 0.060$ | ${ }^{67} 0.105$ |  |  | 0.000 | 0.000 |  |  |
| 65 | HIK-4 | ${ }^{80} 0.014$ | ${ }^{52} 0.027$ |  | ${ }^{42} 0.062$ | ${ }^{80} 0.056$ | ${ }^{63} 0.101$ |  | ${ }^{47} 0.075$ | 0.000 | 0.000 |  | 0.008 |
| 66 | HIK-5 | ${ }^{29} 0.007$ | ${ }^{16} 0.017$ | ${ }^{10} 0.371$ |  | ${ }^{23} 0.022$ | ${ }^{11} 0.048$ | ${ }^{29} 0.994$ |  | 0.000 | 0.000 | 0.000 | 0.001 |
| 67 | HIK-6 | ${ }^{30} 0.007$ | ${ }^{15} 0.017$ | ${ }^{11} 0.371$ | ${ }^{155} 1.000$ | ${ }^{26} 0.022$ | ${ }^{14} 0.052$ | ${ }^{32} 0.997$ | ${ }^{153} 1.000$ | 0.000 | 0.000 | 0.000 | 0.001 |
| 68 | IDEMIA-0 | ${ }^{61} 0.011$ | ${ }^{65} 0.034$ |  | ${ }^{\text {T04 }} 0.166$ | ${ }^{\text {85 }} 0.062$ | ${ }^{0.156}$ |  | ${ }^{100} 0.288$ | 0.003 | 0.000 |  | 0.002 |
| 69 | IDEMIA-1 | ${ }^{65} 0.012$ |  |  | ${ }^{99} 0.157$ | ${ }^{50} 0.031$ |  |  | ${ }^{90} 0.205$ | 0.003 |  |  | 0.002 |
| 70 | IDEMIA-2 | ${ }^{71} 0.013$ |  |  | ${ }^{107} 0.198$ | ${ }^{53} 0.032$ |  |  | ${ }^{100} 0.242$ | 0.005 |  |  | 0.031 |
| 71 | IDEMIA-3 | ${ }^{54} 0.010$ | ${ }^{61} 0.034$ |  |  | ${ }^{32} 0.024$ | ${ }^{45} 0.079$ |  |  | 0.000 | 0.000 |  |  |
| 72 | IDEMIA-4 | ${ }^{50} 0.009$ | ${ }^{59} 0.032$ | ${ }^{27} 0.934$ | ${ }^{27} 0.051$ | ${ }^{31} 0.024$ | ${ }^{42} 0.079$ | ${ }^{17} 0.962$ | ${ }^{35} 0.064$ | 0.000 | 0.000 | 0.041 | 0.003 |

Table 22: Miss rates by dataset: At left, rank 1 miss rates relevant to investigations; at right, with threshold set to target FPIR = 0.01 for higher volume, low prior, uses. ${ }^{+}$For the WILD set, FPIR $=0.1$ Yellow indicates most accurate algorithm. Throughout blue superscripts indicate the rank of the algorithm for that column.

| $2019 / 09 / 11$ | FNIR $(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | $\mathrm{T}=$ Threshold |
| :--- | ---: | :--- | :--- | :--- |
| 16:09:13 | FPIR $(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined | $\mathrm{T}=0 \rightarrow$ Investigation |
| $\mathrm{T}>0 \rightarrow$ Identification |  |  |  |  |


|  |  | INVESTIGATION MODE |  |  |  | IDENTIFICATION MODE |  |  |  | FAILURE TO EXTRACT |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | RANK ONE MISS RATE, FNIR(N, 0, 1) |  |  |  | HIGH T $\rightarrow$ FPIR $=0.01$, FNIR(N, T, L) |  |  |  | FEATURES |  |  |  |
|  |  | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.1 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.1 \mathrm{~m}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.1 \mathrm{M}$ |
| \# | ALGORITHM | FRVT-18 | WEBCAM | PROFILE | WILD | FRVT-18 | WEBCAM | PROFILE | WILD $^{+}$ | FRVT-18 | WEBCAM | PROFILE | WILD |
| 73 | IDEMIA-5 | ${ }^{59} 0.011$ | ${ }^{72} 0.039$ | ${ }^{30} 0.943$ | ${ }^{16} 0.044$ | ${ }^{46} 0.028$ | ${ }^{65} 0.102$ | ${ }^{19} 0.968$ | ${ }^{27} 0.055$ | 0.000 | 0.000 | 0.041 | 0.000 |
| 74 | IDEMIA-6 | ${ }^{69} 0.012$ | ${ }^{103} 0.072$ |  | ${ }^{31} 0.052$ | ${ }^{43} 0.028$ | ${ }^{84} 0.161$ |  | ${ }^{39} 0.067$ | 0.000 | 0.000 |  | 0.000 |
| 75 | IMAGUS-0 | ${ }^{185} 0.305$ | ${ }^{149} 0.482$ |  | ${ }^{109} 0.222$ | ${ }^{181} 0.608$ | ${ }^{146} 0.779$ |  | ${ }^{109} 0.311$ | 0.009 | 0.013 |  | 0.049 |
| 76 | IMAGUS-2 | ${ }^{177} 0.222$ | ${ }^{138} 0.301$ |  | ${ }^{98} 0.154$ | ${ }^{179} 0.566$ | ${ }^{143} 0.645$ |  | ${ }^{105} 0.252$ | 0.004 | 0.008 |  | 0.023 |
| 77 | IMAGUS-3 | ${ }^{188} 0.358$ | ${ }^{150} 0.513$ |  |  | ${ }^{183} 0.670$ | ${ }^{148} 0.809$ |  |  | 0.004 | 0.008 |  |  |
| 78 | INCODE-0 | ${ }^{159} 0.051$ | ${ }^{116} 0.100$ |  |  | ${ }^{144} 0.201$ | ${ }^{118} 0.304$ |  |  | 0.001 | 0.004 |  |  |
| 79 | INCODE-1 | ${ }^{93} 0.019$ | ${ }^{83} 0.046$ |  | ${ }^{30} 0.052$ | ${ }^{115} 0.114$ | ${ }^{96} 0.198$ |  | ${ }^{30} 0.062$ | 0.001 | 0.004 |  | 0.009 |
| 80 | INCODE-2 | ${ }^{88} 0.020$ | ${ }^{85} 0.048$ |  | ${ }^{8} 0.039$ | ${ }^{112} 0.102$ | ${ }^{\text {910 }} 0.176$ |  | ${ }^{15} 0.045$ | 0.000 | 0.001 |  | 0.001 |
| 81 | INCODE-3 | ${ }^{85} 0.015$ | ${ }^{74} 0.040$ |  | ${ }^{10} 0.039$ | ${ }^{101} 0.086$ | ${ }^{87} 0.164$ |  | ${ }^{12} 0.044$ | 0.000 | 0.001 |  | 0.001 |
| 82 | INNOVATRICS-0 | ${ }^{127} 0.042$ | ${ }^{106} 0.076$ |  | ${ }^{105} 0.188$ | ${ }^{138} 0.165$ | ${ }^{112} 0.258$ |  | ${ }^{101} 0.245$ | 0.002 | 0.008 |  | 0.093 |
| 83 | INNOVATRICS-1 | ${ }^{126} 0.042$ |  |  | ${ }^{106} 0.193$ | ${ }^{13 /} 0.165$ |  |  | 0.221 | 0.002 |  |  | 0.093 |
| 84 | INNOVATRICS-2 | ${ }^{136} 0.048$ | ${ }^{104} 0.074$ |  |  | ${ }^{132} 0.142$ | ${ }^{102} 0.209$ |  |  | 0.000 | 0.001 |  |  |
| 85 | INNOVATRICS-3 | ${ }^{113} 0.029$ | ${ }^{88} 0.055$ |  | ${ }^{58} 0.071$ | ${ }^{128} 0.134$ | ${ }^{99} 0.203$ |  | ${ }^{52} 0.081$ | 0.000 | 0.001 |  | 0.007 |
| 86 | INNOVATRICS-4 | ${ }^{84} 0.015$ | ${ }^{75} 0.040$ | ${ }^{28} 0.940$ | ${ }^{55} 0.067$ | ${ }^{98} 0.076$ | ${ }^{79} 0.149$ | ${ }^{18} 0.966$ | ${ }^{43} 0.071$ | 0.000 | 0.001 | 0.046 | 0.013 |
| 87 | ISYSTEMS-0 | ${ }^{77} 0.014$ | ${ }^{71} 0.038$ |  | ${ }^{103} 0.163$ | ${ }^{69} 0.047$ | ${ }^{70} 0.110$ |  | ${ }^{94} 0.169$ | 0.003 | 0.013 |  | 0.065 |
| 88 | ISYSTEMS-1 | ${ }^{76} 0.014$ |  |  | ${ }^{102} 0.162$ | ${ }^{67} 0.047$ |  |  | ${ }^{93} 0.169$ | 0.003 |  |  | 0.065 |
| 89 | ISYSTEMS-2 | ${ }^{44} 0.009$ | ${ }^{50} 0.026$ |  | ${ }^{24} 0.049$ | ${ }^{55} 0.035$ | ${ }^{45} 0.080$ |  | ${ }^{22} 0.051$ | 0.002 | 0.002 |  | 0.009 |
| 90 | ISYSTEMS-3 | ${ }^{37} 0.007$ | ${ }^{42} 0.023$ | ${ }^{19} 0.718$ | ${ }^{15} 0.043$ | ${ }^{40} 0.027$ | ${ }^{31} 0.068$ | ${ }^{31} 0.995$ | ${ }^{10} 0.044$ | 0.002 | 0.002 | 0.142 | 0.003 |
| 91 | LOOKMAN-3 | ${ }^{62} 0.011$ | ${ }^{70} 0.038$ |  | ${ }^{181} 1.000$ | ${ }^{41} 0.027$ | ${ }^{46} 0.082$ |  |  | 0.000 | 0.000 |  | 0.000 |
| 92 | LOOKMAN-4 | ${ }^{66} 0.012$ | ${ }^{73} 0.039$ | ${ }^{32} 0.978$ | ${ }^{185} 1.000$ | ${ }^{39} 0.027$ | ${ }^{38} 0.075$ | ${ }^{22} 0.978$ |  | 0.000 | 0.000 | 0.000 | 0.000 |
| 93 | MEGVII-0 | ${ }^{51} 0.009$ | ${ }^{18} 0.017$ |  | ${ }^{41} 0.061$ | ${ }^{81} 0.058$ | ${ }^{30} 0.067$ |  | ${ }^{60} 0.094$ | 0.000 | 0.000 |  | 0.005 |
| 94 | MEGVII-1 | ${ }^{78} 0.014$ | ${ }^{19} 0.017$ |  |  | ${ }^{57} 0.039$ | ${ }^{22} 0.061$ |  |  | 0.002 | 0.000 |  |  |
| 95 | MEGVII-2 | ${ }^{79} 0.014$ | ${ }^{20} 0.017$ | 0.275 |  | ${ }^{59} 0.039$ | ${ }^{20} 0.059$ | ${ }^{8} 0.698$ |  | 0.002 | 0.000 | 0.033 |  |
| 96 | MICROFOCUS-0 | ${ }^{195} 0.597$ | ${ }^{161} 0.782$ |  | ${ }^{115} 0.316$ | ${ }^{192} 0.867$ | ${ }^{157} 0.950$ |  | ${ }^{115} 0.434$ | 0.005 | 0.030 |  | 0.065 |
| 97 | MICROFOCUS-1 | ${ }^{196} 0.597$ |  |  | ${ }^{116} 0.316$ | ${ }^{193} 0.867$ |  |  | ${ }^{116} 0.434$ | 0.005 |  |  | 0.065 |
| 98 | MICROFOCUS-2 | ${ }^{197} 0.627$ |  |  | ${ }^{719} 0.342$ | ${ }^{194} 0.870$ |  |  | ${ }^{117} 0.447$ | 0.005 |  |  | 0.065 |
| 99 | MICROFOCUS-3 | ${ }^{194} 0.595$ | ${ }^{160} 0.781$ |  | ${ }^{110} 0.279$ | ${ }^{191} 0.866$ | ${ }^{156} 0.948$ |  | ${ }^{113} 0.412$ | 0.001 | 0.005 |  | 0.014 |
| 100 | MICROFOCUS-4 | ${ }^{193} 0.577$ | ${ }^{159} 0.758$ |  |  | ${ }^{199} 0.999$ | ${ }^{155} 0.940$ |  |  | 0.001 | 0.005 |  |  |
| 101 | MICROFOCUS-5 | ${ }^{189} 0.426$ | ${ }^{156} 0.601$ |  | ${ }^{100} 0.158$ | ${ }^{189} 0.736$ | ${ }^{152} 0.865$ |  | ${ }^{106} 0.261$ | 0.001 | 0.005 |  | 0.011 |
| 102 | MICROFOCUS-6 | ${ }^{190} 0.428$ | ${ }^{155} 0.583$ |  | ${ }^{5} 0.146$ | ${ }^{195} 0.963$ | ${ }^{151} 0.858$ |  | ${ }^{102} 0.246$ | 0.001 | 0.005 |  | 0.011 |
| 103 | MICROSOFT-0 | ${ }^{23} 0.006$ | ${ }^{30} 0.021$ |  | ${ }^{49} 0.065$ | ${ }^{22} 0.022$ | ${ }^{33} 0.071$ |  | ${ }^{37} 0.065$ | 0.000 | 0.001 |  | 0.019 |
| 104 | MICROSOFT-1 | ${ }^{21} 0.006$ |  |  | ${ }^{44} 0.062$ | ${ }^{24} 0.022$ |  |  | ${ }^{29} 0.061$ | 0.000 |  |  | 0.019 |
| 105 | MICROSOFT-2 | ${ }^{25} 0.006$ |  |  | ${ }^{45} 0.063$ | ${ }^{36} 0.026$ |  |  | ${ }^{34} 0.063$ | 0.000 |  |  | 0.019 |
| 106 | MICROSOFT-3 | ${ }^{4} 0.003$ | ${ }^{8} 0.012$ |  |  | ${ }^{16} 0.014$ | ${ }^{18} 0.056$ |  |  | 0.000 | 0.001 |  |  |
| 107 | MICROSOFT-4 | ${ }^{2} 0.003$ | ${ }^{7} 0.012$ |  | ${ }^{9} 0.039$ | ${ }^{15} 0.013$ | ${ }^{15} 0.053$ |  | ${ }^{9} 0.043$ | 0.000 | 0.001 |  | 0.004 |
| 108 | MICROSOFT-5 | ${ }^{5} 0.003$ | ${ }^{5} 0.011$ | ${ }^{1} 0.087$ | ${ }^{2} 0.033$ | ${ }^{12} 0.012$ | ${ }^{9} 0.041$ | ${ }^{2} 0.188$ | ${ }^{4} 0.041$ | 0.000 | 0.001 | 0.049 | 0.000 |
| 109 | MICROSOFT-6 | ${ }^{8} 0.003$ | ${ }^{6} 0.011$ | ${ }^{2} 0.089$ |  | ${ }^{5} 0.008$ | ${ }^{5} 0.024$ | ${ }^{1} 0.148$ |  | 0.000 | 0.001 | 0.049 |  |
| 110 | NEC-0 | ${ }^{94} 0.020$ | ${ }^{77} 0.041$ |  | ${ }^{134} 0.999$ | ${ }^{74} 0.049$ | ${ }^{52} 0.093$ |  | ${ }^{132} 0.999$ | 0.001 | 0.002 |  | 0.064 |
| 111 | NEC-1 | ${ }^{106} 0.024$ | ${ }^{89} 0.056$ |  |  | ${ }^{87} 0.063$ | ${ }^{76} 0.133$ |  |  | 0.005 | 0.003 |  |  |
| 112 | NEC-2 | ${ }^{1} 0.003$ | ${ }^{2} 0.009$ |  | ${ }^{80} 0.093$ | ${ }^{1} 0.004$ | ${ }^{2} 0.013$ |  | ${ }^{71} 0.107$ | 0.000 | 0.001 |  | 0.025 |
| 113 | NEC-3 | ${ }^{3} 0.003$ | ${ }^{3} 0.010$ | ${ }^{6} 0.272$ | ${ }^{74} 0.088$ | ${ }^{2} 0.004$ | ${ }^{1} 0.013$ | ${ }^{5} 0.479$ | ${ }^{58} 0.092$ | 0.000 | 0.001 | 0.041 | 0.025 |
| 114 | NEUROTECHNOLOGY-0 | ${ }^{138} 0.050$ | ${ }^{718} 0.104$ |  | ${ }^{157} 1.000$ | ${ }^{145} 0.196$ | ${ }^{120} 0.317$ |  | ${ }^{155} 1.000$ | 0.004 | 0.022 |  | 0.091 |
| 115 | NEUROTECHNOLOGY-1 | ${ }^{135} 0.047$ |  |  | ${ }^{130} 0.954$ | ${ }^{142} 0.195$ |  |  | ${ }^{128} 0.953$ | 0.001 |  |  | 0.028 |
| 116 | NEUROTECHNOLOGY-2 | ${ }^{134} 0.047$ |  |  | ${ }^{131} 0.983$ | ${ }^{141} 0.195$ |  |  | ${ }^{129} 0.983$ | 0.001 |  |  | 0.028 |
| 117 | NEUROTECHNOLOGY-3 | ${ }^{109} 0.025$ | ${ }^{78} 0.042$ |  |  | ${ }^{111} 0.101$ | ${ }^{86} 0.164$ |  |  | 0.000 | 0.001 |  |  |
| 118 | NEUROTECHNOLOGY-4 | ${ }^{40} 0.008$ | ${ }^{26} 0.020$ |  | ${ }^{76} 0.090$ | ${ }^{48} 0.030$ | ${ }^{34} 0.073$ |  | ${ }^{74} 0.122$ | 0.000 | 0.001 |  | 0.007 |
| 119 | NEUROTECHNOLOGY-5 | ${ }^{31} 0.007$ | ${ }^{46} 0.024$ | ${ }^{22} 0.854$ | ${ }^{121} 0.408$ | ${ }^{35} 0.025$ | ${ }^{37} 0.074$ | ${ }^{23} 0.982$ | ${ }^{114} 0.415$ | 0.000 | 0.000 | 0.030 | 0.000 |
| 120 | NEUROTECHNOLOGY-6 | ${ }^{95} 0.020$ | ${ }^{80} 0.045$ |  | ${ }^{25} 0.050$ | ${ }^{123} 0.124$ | ${ }^{101} 0.206$ |  | ${ }^{36} 0.065$ | 0.000 | 0.000 |  | 0.001 |
| 121 | NEWLAND-2 | ${ }^{150} 0.081$ | ${ }^{119} 0.117$ |  |  | ${ }^{155} 0.296$ | ${ }^{122} 0.335$ |  |  | 0.007 | 0.012 |  |  |
| 122 | NOBLIS-1 | ${ }^{181} 0.251$ | ${ }^{151} 0.522$ |  | ${ }^{127} 0.734$ | ${ }^{197} 0.992$ | ${ }^{199} 1.000$ |  | ${ }^{124} 0.744$ | 0.000 | 0.000 |  | 0.000 |
| 123 | NOBLIS-2 | ${ }^{169} 0.182$ | ${ }^{146} 0.392$ | ${ }^{31} 0.971$ |  | ${ }^{173} 0.490$ | ${ }^{166} 1.000$ | ${ }^{34} 1.000$ |  | 0.000 | 0.000 | 0.000 |  |
| 124 | NTECHLAB-0 | ${ }^{63} 0.012$ | ${ }^{58} 0.031$ |  | ${ }^{12} 0.041$ | ${ }^{72} 0.047$ | ${ }^{68} 0.105$ |  | 0.043 | 0.000 | 0.001 |  | 0.005 |
| 125 | NTECHLAB-1 | ${ }^{81} 0.014$ |  |  | ${ }^{20} 0.045$ | ${ }^{78} 0.056$ |  |  | ${ }^{21} 0.049$ | 0.000 |  |  | 0.005 |
| 126 | NTECHLAB-3 | ${ }^{41} 0.008$ | ${ }^{39} 0.023$ |  |  | ${ }^{49} 0.030$ | ${ }^{39} 0.075$ |  |  | 0.000 | 0.000 |  |  |
| 127 | NTECHLAB-4 | ${ }^{33} 0.007$ | ${ }^{23} 0.019$ |  | ${ }^{14} 0.043$ | ${ }^{29} 0.024$ | ${ }^{28} 0.065$ |  | ${ }^{18} 0.048$ | 0.000 | 0.000 |  | 0.003 |
| 128 | NTECHLAB-5 | ${ }^{28} 0.006$ | ${ }^{21} 0.018$ |  | ${ }^{7} 0.038$ | ${ }^{30} 0.024$ | ${ }^{26} 0.063$ |  | ${ }^{6} 0.042$ | 0.000 | 0.000 |  | 0.000 |
| 129 | NTECHLAB-6 | ${ }^{24} 0.006$ | ${ }^{17} 0.017$ | ${ }^{5} 0.208$ | ${ }^{6} 0.038$ | ${ }^{20} 0.021$ | ${ }^{19} 0.059$ | ${ }^{4} 0.443$ | ${ }^{5} 0.042$ | 0.000 | 0.000 | 0.040 | 0.000 |
| 130 | QUANTASOFT-1 | ${ }^{176} 0.220$ | ${ }^{158} 0.727$ |  | ${ }^{126} 0.620$ | ${ }^{175} 0.494$ |  |  | ${ }^{125} 0.760$ | 0.000 | 0.000 |  | 0.000 |
| 131 | RANKONE-0 | ${ }^{135} 0.045$ | ${ }^{120} 0.117$ |  | 0.114 | ${ }^{12 / 2} 0.129$ | ${ }^{116} 0.291$ |  | ${ }^{\text {"1 }} 0.161$ | 0.000 | 0.000 |  | 0.000 |
| 132 | RANKONE-1 | ${ }^{108} 0.025$ |  |  | ${ }^{68} 0.077$ | ${ }^{102} 0.087$ |  |  | ${ }^{67} 0.102$ | 0.000 |  |  | 0.000 |
| 133 | RANKONE-2 | ${ }^{102} 0.022$ | ${ }^{102} 0.071$ |  |  | ${ }^{97} 0.073$ | ${ }^{95} 0.190$ |  |  | 0.000 | 0.000 |  |  |
| 134 | RANKONE-3 | ${ }^{101} 0.022$ | ${ }^{99} 0.068$ |  | ${ }^{69} 0.078$ | ${ }^{96} 0.073$ | ${ }^{93} 0.187$ |  | ${ }^{62} 0.095$ | 0.000 | 0.000 |  | 0.000 |
| 135 | RANKONE-4 | ${ }^{132} 0.044$ | ${ }^{123} 0.141$ |  | ${ }^{82} 0.094$ | ${ }^{124} 0.126$ | ${ }^{121} 0.324$ |  | ${ }^{75} 0.126$ | 0.000 | 0.000 |  | 0.000 |
| 136 | RANKONE-5 | ${ }^{68} 0.012$ | ${ }^{76} 0.041$ | ${ }^{34} 0.981$ | ${ }^{39} 0.061$ | ${ }^{56} 0.036$ | ${ }^{72} 0.119$ | ${ }^{28} 0.994$ | ${ }^{41} 0.068$ | 0.000 | 0.000 | 0.489 | 0.000 |
| 137 | REALNETWORKS-0 | ${ }^{131} 0.043$ | ${ }^{110} 0.078$ |  | ${ }^{65} 0.076$ | ${ }^{131} 0.140$ | ${ }^{104} 0.209$ |  | ${ }^{53} 0.084$ | 0.001 | 0.000 |  | 0.004 |
| 138 | REALNETWORKS-1 | ${ }^{\text {T30 }} 0.043$ | ${ }^{109} 0.078$ |  |  | ${ }^{\text {T30 }} 0.140$ | ${ }^{105} 0.209$ |  |  | 0.001 | 0.000 |  |  |
| 139 | REALNETWORKS-2 | ${ }^{125} 0.042$ | ${ }^{108} 0.078$ |  | ${ }^{132} 0.992$ | ${ }^{129} 0.139$ | ${ }^{105} 0.209$ |  | ${ }^{130} 0.992$ | 0.001 | 0.000 |  | 0.000 |
| 140 | REMARKAI-0 | ${ }^{60} 0.011$ | ${ }^{57} 0.030$ |  |  | ${ }^{86} 0.062$ | ${ }^{74} 0.123$ |  |  | 0.000 | 0.001 |  |  |
| 141 | REMARKAI-2 | ${ }^{58} 0.010$ | ${ }^{55} 0.029$ | ${ }^{18} 0.708$ | ${ }^{25} 0.046$ | ${ }^{84} 0.061$ | ${ }^{75} 0.122$ | ${ }^{16} 0.958$ | ${ }^{25} 0.052$ | 0.000 | 0.001 | 0.017 | 0.000 |
| 142 | SENSETIME-0 | ${ }^{16} 0.005$ | ${ }^{13} 0.016$ | ${ }^{12} 0.446$ |  | ${ }^{10} 0.012$ | ${ }^{8} 0.040$ | ${ }^{20} 0.971$ |  | 0.004 | 0.000 | 0.042 | 0.000 |
| 143 | SENSETIME-1 | ${ }^{1 / 0.005}$ | ${ }^{12} 0.016$ |  | ${ }^{3} 0.038$ | ${ }^{11} 0.012$ | ${ }^{10} 0.041$ |  | ${ }^{1}-0.796$ | 0.004 | 0.000 |  | 0.000 |
| 144 | SHAMAN-0 | ${ }^{165} 0.171$ | ${ }^{136} 0.262$ |  | ${ }^{90} 0.115$ | ${ }^{160} 0.370$ | ${ }^{134} 0.507$ |  | ${ }^{86} 0.146$ | 0.020 | 0.011 |  | 0.043 |

Table 23: Miss rates by dataset: At left, rank 1 miss rates relevant to investigations; at right, with threshold set to target FPIR = 0.01 for higher volume, low prior, uses. ${ }^{+}$For the WILD set, FPIR $=0.1$ Yellow indicates most accurate algorithm. Throughout blue superscripts indicate the rank of the algorithm for that column.

| $2019 / 09 / 11$ | FNIR $(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | $\mathrm{T}=$ Threshold |
| :--- | ---: | :--- | :--- | :--- |
| 16:09:13 | $\operatorname{FPIR}(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined | $\mathrm{T}=0 \rightarrow$ Investigation |
|  |  | $\mathrm{T}>0 \rightarrow$ Identification |  |  |


|  |  | INVESTIGATION MODE |  |  |  | IDENTIFICATION MODE |  |  |  | FAILURE TO EXTRACT |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | RANK ONE MISS RATE, FNIR ( $\mathrm{N}, 0,1$ ) |  |  |  | HIGH T $\rightarrow$ FPIR $=0.01$, FNIR(N, T, L) |  |  |  | FEATURES |  |  |  |
|  |  | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.1 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | N=1.6M | $\mathrm{N}=1.1 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.6 \mathrm{M}$ | $\mathrm{N}=1.1 \mathrm{M}$ |
| \# | ALGORITHM | FRVT-18 | WEBCAM | PROFILE | WILD | FRVT-18 | WEBCAM | PROFILE | WILD $^{+}$ | FRVT-18 | WEBCAM | PROFILE | WILD |
| 145 | SHAMAN-1 | ${ }^{166} 0.172$ |  |  | ${ }^{88} 0.113$ | ${ }^{166} 0.406$ |  |  | ${ }^{88} 0.153$ | 0.020 |  |  | 0.043 |
| 146 | SHAMAN-2 | ${ }^{182} 0.262$ |  |  | ${ }^{93} 0.132$ | ${ }^{180} 0.582$ |  |  | ${ }^{96} 0.201$ | 0.020 |  |  | 0.043 |
| 147 | SHAMAN-3 | ${ }^{155} 0.127$ | ${ }^{127} 0.172$ |  | ${ }^{86} 0.109$ | ${ }^{156} 0.348$ | ${ }^{151} 0.472$ |  | ${ }^{82} 0.132$ | 0.020 | 0.011 |  | 0.043 |
| 148 | SHAMAN-4 | ${ }^{178} 0.224$ | ${ }^{139} 0.319$ |  |  | ${ }^{171} 0.490$ | ${ }^{142} 0.639$ |  |  | 0.020 | 0.011 |  |  |
| 149 | SHAMAN-6 | ${ }^{129} 0.042$ | ${ }^{91} 0.058$ | ${ }^{26} 0.910$ |  | ${ }^{105} 0.095$ | ${ }^{88} 0.168$ | ${ }^{14} 0.935$ |  | 0.020 | 0.011 | 0.869 |  |
| 150 | SHAMAN-7 | ${ }^{128} 0.042$ | ${ }^{90} 0.057$ |  | ${ }^{70} 0.078$ | ${ }^{104} 0.094$ | ${ }^{89} 0.169$ |  | ${ }^{50} 0.079$ | 0.020 | 0.010 |  | 0.029 |
| 151 | SIAT-0 | ${ }^{55} 0.010$ | ${ }^{32} 0.021$ |  | ${ }^{71} 0.078$ | ${ }^{68} 0.047$ | ${ }^{27} 0.064$ |  | ${ }^{104} 0.250$ | 0.000 | 0.000 |  | 0.008 |
| 152 | SIAT-1 | ${ }^{10} 0.004$ | ${ }^{142} 0.333$ |  | ${ }^{11} 0.040$ | ${ }^{6} 0.009$ | ${ }^{123} 0.348$ |  | ${ }^{3} 0.041$ | 0.000 | 0.000 |  | 0.003 |
| 153 | SIAT-2 | ${ }^{11} 0.004$ | ${ }^{148} 0.446$ |  |  | 0.009 | ${ }^{129} 0.460$ |  |  | 0.000 | 0.000 |  |  |
| 154 | SmILART-0 | ${ }^{170} 0.193$ | ${ }^{141} 0.325$ |  | ${ }^{196} 1.000$ | ${ }^{170} 0.486$ |  |  | ${ }^{196} 1.000$ | 0.008 |  |  | 0.121 |
| 155 | SMILART-1 | ${ }^{175} 0.219$ |  |  | ${ }^{154} 1.000$ | ${ }^{177} 0.505$ |  |  | ${ }^{153} 1.000$ | 0.021 |  |  | 0.006 |
| 156 | SMILART-2 | ${ }^{17 / 1} 0.195$ |  |  | ${ }^{145} 1.000$ | ${ }^{1 / 4} 0.492$ |  |  | ${ }^{144} 1.000$ | 0.000 |  |  | 0.048 |
| 157 | SMILART-4 | ${ }^{198} 0.965$ | ${ }^{162} 0.974$ |  | ${ }^{128} 0.834$ | ${ }^{196} 0.965$ | ${ }^{158} 0.973$ |  | ${ }^{126} 0.833$ | 0.011 | 0.013 |  | 0.039 |
| 158 | SMILART-5 |  |  |  |  |  |  |  |  | 0.011 | 0.013 |  |  |
| 159 | SYNESIS-0 | ${ }^{164} 0.162$ | ${ }^{145} 0.361$ |  |  | ${ }^{162} 0.378$ | ${ }^{141} 0.598$ |  |  | 0.002 | 0.009 |  | 0.081 |
| 160 | SYNESIS-3 | ${ }^{167} 0.172$ | ${ }^{134} 0.235$ |  |  | ${ }^{168} 0.444$ | ${ }^{135} 0.524$ |  |  | 0.006 | 0.015 |  | 0.042 |
| 161 | TEVIAN-0 | ${ }^{104} 0.022$ | ${ }^{97} 0.066$ |  | ${ }^{34} 0.054$ | ${ }^{177} 0.114$ | ${ }^{106} 0.227$ |  | ${ }^{44} 0.072$ | 0.002 | 0.005 |  | 0.007 |
| 162 | TEVIAN-1 | ${ }^{105} 0.022$ |  |  | ${ }^{43} 0.062$ | ${ }^{118} 0.114$ |  |  | ${ }^{49} 0.078$ | 0.002 |  |  | 0.007 |
| 163 | TEVIAN-2 | ${ }^{103} 0.022$ |  |  | ${ }^{79} 0.093$ | ${ }^{116} 0.114$ |  |  | ${ }^{73} 0.118$ | 0.002 |  |  | 0.008 |
| 164 | TEVIAN-3 | ${ }^{88} 0.017$ | ${ }^{86} 0.052$ |  |  | ${ }^{109} 0.098$ | ${ }^{97} 0.198$ |  |  | 0.001 | 0.002 |  |  |
| 165 | TEVIAN-4 | ${ }^{75} 0.013$ | ${ }^{68} 0.038$ |  | ${ }^{26} 0.050$ | ${ }^{90} 0.066$ | ${ }^{71} 0.115$ |  | ${ }^{33} 0.063$ | 0.001 | 0.002 |  | 0.005 |
| 166 | TEVIAN-5 | ${ }^{48} 0.009$ | ${ }^{54} 0.028$ | ${ }^{8} 0.329$ |  | ${ }^{71} 0.047$ | ${ }^{49} 0.089$ | 0.661 |  | 0.001 | 0.002 | 0.116 |  |
| 167 | TIGER-0 | ${ }^{144} 0.064$ | ${ }^{115} 0.095$ |  | ${ }^{186} 1.000$ | ${ }^{149} 0.263$ | ${ }^{124} 0.366$ |  | ${ }^{185} 1.000$ | 0.000 | 0.000 |  | 0.005 |
| 168 | TIGER-1 |  | ${ }^{144} 0.351$ |  |  |  | ${ }^{152} 0.487$ |  |  | 0.000 | 0.000 |  |  |
| 169 | TIGER-2 | ${ }^{39} 0.008$ | ${ }^{41} 0.023$ | ${ }^{9} 0.355$ |  | ${ }^{61} 0.042$ | ${ }^{55} 0.095$ | ${ }^{12} 0.927$ |  | 0.000 | 0.000 | 0.056 |  |
| 170 | TIGER-3 | ${ }^{38} 0.008$ | ${ }^{40} 0.023$ |  |  | ${ }^{62} 0.042$ | ${ }^{54} 0.095$ |  |  | 0.000 | 0.000 |  |  |
| 171 | TONGYITRANS-0 | ${ }^{55} 0.010$ | ${ }^{38} 0.022$ |  |  | ${ }^{60} 0.041$ | ${ }^{32} 0.069$ |  |  | 0.003 | 0.001 |  |  |
| 172 | TONGYITRANS-1 | ${ }^{52} 0.010$ | ${ }^{37} 0.022$ |  | ${ }^{87} 0.112$ | ${ }^{54} 0.035$ | ${ }^{24} 0.062$ |  | ${ }^{85} 0.134$ | 0.003 | 0.001 |  | 0.009 |
| 173 | TOSHIBA-0 | ${ }^{32} 0.007$ | ${ }^{34} 0.022$ | ${ }^{17} 0.689$ |  | ${ }^{47} 0.029$ | ${ }^{35} 0.074$ | ${ }^{21} 0.971$ |  | 0.000 | 0.000 | 0.070 | 0.002 |
| 174 | TOSHIBA-1 | ${ }^{34} 0.007$ | ${ }^{36} 0.022$ |  |  | ${ }^{21} 0.021$ | ${ }^{16} 0.054$ |  |  | 0.000 | 0.000 |  |  |
| 175 | VD-0 | ${ }^{192} 0.475$ | ${ }^{154} 0.551$ |  | ${ }^{108} 0.217$ | ${ }^{190} 0.828$ | ${ }^{153} 0.871$ |  | ${ }^{111} 0.362$ | 0.011 | 0.013 |  | 0.026 |
| 176 | VD-1 | ${ }^{115} 0.030$ | ${ }^{87} 0.053$ |  |  | ${ }^{121} 0.118$ | ${ }^{94} 0.188$ |  |  | 0.005 | 0.001 |  | 0.017 |
| 177 | VIGILANTSOLUTIONS-0 | ${ }^{154} 0.125$ | ${ }^{130} 0.212$ |  | ${ }^{67} 0.076$ | ${ }^{163} 0.394$ | ${ }^{140} 0.557$ |  | ${ }^{87} 0.152$ | 0.000 | 0.001 |  | 0.003 |
| 178 | VIGILANTSOLUTIONS-1 | ${ }^{174} 0.204$ |  |  | ${ }^{85} 0.103$ | ${ }^{176} 0.502$ |  |  | ${ }^{98} 0.209$ | 0.000 |  |  | 0.003 |
| 179 | VIGILANTSOLUTIONS-2 | ${ }^{180} 0.239$ |  |  | ${ }^{47} 0.064$ | ${ }^{188} 0.731$ |  |  | ${ }^{76} 0.129$ | 0.000 |  |  | 0.003 |
| 180 | VIGILANTSOLUTIONS-3 | ${ }^{148} 0.072$ | ${ }^{125} 0.151$ |  | ${ }^{51} 0.065$ | ${ }^{151} 0.283$ | ${ }^{137} 0.526$ |  | ${ }^{79} 0.131$ | 0.000 | 0.001 |  | 0.003 |
| 181 | VIGILANTSOLUTIONS-4 | ${ }^{156} 0.127$ | ${ }^{135} 0.244$ |  |  | ${ }^{167} 0.424$ | ${ }^{145} 0.709$ |  |  | 0.000 | 0.001 |  |  |
| 182 | VIGILANTSOLUTIONS-5 | ${ }^{67} 0.012$ |  |  |  | ${ }^{63} 0.045$ |  |  |  | 0.000 | 0.001 |  |  |
| 183 | VIGILANTSOLUTIONS-6 | ${ }^{70} 0.013$ |  |  |  | ${ }^{65} 0.046$ |  |  |  | 0.000 | 0.001 |  |  |
| 184 | VISIONLABS-3 | ${ }^{46} 0.009$ | ${ }^{56} 0.030$ |  | ${ }^{28} 0.051$ | ${ }^{37} 0.026$ | ${ }^{50} 0.091$ |  | ${ }^{15} 0.046$ | 0.002 | 0.003 |  | 0.014 |
| 185 | VISIONLABS-4 | ${ }^{13} 0.004$ | ${ }^{25} 0.020$ |  |  | ${ }^{38} 0.026$ | ${ }^{57} 0.097$ |  |  | 0.001 | 0.001 |  |  |
| 186 | VISIONLABS-5 | ${ }^{12} 0.004$ | ${ }^{22} 0.019$ |  | ${ }^{13} 0.043$ | ${ }^{25} 0.022$ | ${ }^{48} 0.087$ |  | ${ }^{16} 0.046$ | 0.001 | 0.001 |  | 0.006 |
| 187 | VISIONLABS-6 | ${ }^{7} 0.003$ | ${ }^{11} 0.015$ |  |  | ${ }^{14} 0.012$ | ${ }^{13} 0.051$ |  |  | 0.001 | 0.001 |  |  |
| 188 | VISIONLABS-7 | ${ }^{6} 0.003$ | ${ }^{10} 0.015$ | ${ }^{5} 0.130$ | ${ }^{1} 0.033$ | ${ }^{15} 0.012$ | ${ }^{12} 0.051$ | ${ }^{3} 0.322$ | ${ }^{2} 0.035$ | 0.001 | 0.001 | 0.051 | 0.001 |
| 189 | VOCORD-0 | ${ }^{123} 0.040$ | ${ }^{100} 0.068$ |  |  | ${ }^{120} 0.116$ | ${ }^{92} 0.181$ |  |  | 0.015 | 0.025 |  | 0.019 |
| 190 | VOCORD-1 | ${ }^{122} 0.040$ |  |  |  | ${ }^{119} 0.116$ |  |  |  | 0.015 |  |  | 0.018 |
| 191 | VOCORD-2 | ${ }^{120} 0.038$ |  |  |  | ${ }^{115} 0.107$ |  |  |  | 0.015 |  |  | 0.015 |
| 192 | VOCORD-3 | ${ }^{43} 0.008$ | ${ }^{45} 0.024$ |  | ${ }^{36} 0.057$ | ${ }^{75} 0.050$ | ${ }^{53} 0.093$ |  | ${ }^{31} 0.062$ | 0.001 | 0.011 |  | 0.006 |
| 193 | VOCORD-4 | ${ }^{57} 0.010$ | ${ }^{31} 0.021$ |  |  | ${ }^{76} 0.054$ | ${ }^{51} 0.093$ |  |  | 0.000 | 0.000 |  |  |
| 194 | VOCORD-5 | ${ }^{49} 0.009$ | ${ }^{43} 0.023$ | ${ }^{20} 0.739$ | ${ }^{17} 0.044$ | ${ }^{66} 0.046$ | ${ }^{44} 0.080$ | ${ }^{13} 0.929$ | ${ }^{14} 0.045$ | 0.001 | 0.009 | 0.554 | 0.003 |
| 195 | VOCORD-6 | ${ }^{205} 1.000$ | ${ }^{201} 1.000$ |  |  | ${ }^{205} 1.000$ | ${ }^{201} 1.000$ |  |  | 0.001 | 0.009 |  |  |
| 196 | YISHENG-0 | ${ }^{171} 0.027$ | ${ }^{93} 0.060$ |  | ${ }^{54} 0.067$ | ${ }^{146} 0.209$ | ${ }^{114} 0.275$ |  | ${ }^{66} 0.100$ | 0.002 | 0.005 |  | 0.014 |
| 197 | YISHENG-1 | ${ }^{114} 0.029$ | ${ }^{\text {94 }} 0.060$ |  | ${ }^{40} 0.061$ | ${ }^{145} 0.208$ | ${ }^{115} 0.269$ |  | ${ }^{54} 0.087$ | 0.002 | 0.005 |  | 0.014 |
| 198 | YITU-0 | ${ }^{36} 0.007$ | ${ }^{29} 0.020$ |  | ${ }^{73} 0.086$ | ${ }^{33} 0.025$ | ${ }^{17} 0.054$ |  | ${ }^{59} 0.094$ | 0.003 | 0.001 |  | 0.026 |
| 199 | YITU-1 | ${ }^{35} 0.007$ |  |  | ${ }^{72} 0.086$ | ${ }^{28} 0.023$ |  |  | ${ }^{57} 0.092$ | 0.003 |  |  | 0.026 |
| 200 | YITU-2 | ${ }^{14} 0.004$ | ${ }^{4} 0.010$ |  | ${ }^{22} 0.046$ | ${ }^{8} 0.011$ | ${ }^{6} 0.028$ |  | ${ }^{24} 0.051$ | 0.000 | 0.000 |  | 0.000 |
| 201 | YITU-3 | ${ }^{19} 0.005$ | ${ }^{14} 0.016$ |  |  | ${ }^{9} 0.011$ | 0.033 |  |  | 0.003 | 0.001 |  |  |
| 202 | YITU-4 | ${ }^{9} 0.004$ | ${ }^{1} 0.008$ | ${ }^{21} 0.831$ | ${ }^{18} 0.044$ | ${ }^{3} 0.007$ | ${ }^{3} 0.017$ | ${ }^{11} 0.875$ | ${ }^{17} 0.047$ | 0.000 | 0.000 | 0.000 | 0.006 |
| 203 | YITU-5 | ${ }^{18} 0.005$ | ${ }^{9} 0.014$ |  |  | ${ }^{4} 0.007$ | ${ }^{4} 0.023$ |  |  | 0.003 | 0.001 |  |  |

Table 24: Miss rates by dataset: At left, rank 1 miss rates relevant to investigations; at right, with threshold set to target FPIR = 0.01 for higher volume, low prior, uses. ${ }^{+}$For the WILD set, FPIR $=0.1$ Yellow indicates most accurate algorithm. Throughout blue superscripts indicate the rank of the algorithm for that column.

| $2019 / 09 / 11$ | FNIR $(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | $\mathrm{T}=$ Threshold |
| :--- | ---: | :--- | :--- | :--- |
| 16:09:13 | FPIR $(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined | $\mathrm{T}=0 \rightarrow$ Investigation |
| $\mathrm{T}>0 \rightarrow$ Identification |  |  |  |  |


| MISSES OUTSIDE RANK R |  | MUGSHOT SEARCHES, $\mathrm{N}=1.6 \mathrm{M}$ IDENTITIES |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | INVESTIGATION MODE, $\mathrm{T}=0$ |  |  |  | IDENTIFICATION MODE, $\mathrm{T}>0$ FOR FPIR $=0.001$ |  |  |  |
|  |  | PROPORTWITHOUT THE MATEAT RANK 1 |  | MATED SEARCHE |  | PROPORTION MATED SEARCHES |  |  |  |
|  |  | WITH NO MATE | WITH K-TH MATE NOT IN TOP K | WITH THE MATE beLow threshold |  | WITHOUT ANY MATE ABOVE THRESH | WITHOUT ALL MATES above thresh |
|  |  |  |  |  |  |  |  | UNCONSOLIDATED |  |
|  |  | RECENT | CONSOLIDATED |  |  | UNCONSOLIDATED |  |  |  | Recent | CONSOLIDATED |
| 1 | 3DIVI-5 | ${ }^{49} 0.0202$ | ${ }^{43} 0.0133$ | ${ }^{46} 0.0133$ | ${ }^{48} 0.0449$ | ${ }^{48} 0.1691$ | ${ }^{46} 0.1339$ | ${ }^{48} 0.1339$ | ${ }^{49} 0.3186$ |
| 2 | 3DIVI-6 | ${ }^{52} 0.0265$ | ${ }^{46} 0.0186$ | ${ }^{51} 0.0172$ | ${ }^{47} 0.0410$ | ${ }^{51} 0.1705$ | ${ }^{47} 0.1345$ | ${ }^{49} 0.1350$ | ${ }^{48} 0.3160$ |
| 3 | ALCHERA-2 | ${ }^{64} 0.0973$ | ${ }^{5 /} 0.0914$ | ${ }^{63} 0.0734$ | ${ }^{63} 0.1876$ | ${ }^{64} 0.4899$ | ${ }^{7} 0.3736$ | ${ }^{63} 0.4418$ | ${ }^{63} 0.6820$ |
| 4 | ANKE-0 | ${ }^{5} 0.0158$ | ${ }^{50} 0.0100$ | ${ }^{450.0100}$ | ${ }^{5} 0.0338$ | ${ }^{1} 0.1199$ | 0.0989 | ${ }^{2} 0.0989$ | ${ }^{42} 0.2558$ |
| 5 | ANKE-1 | ${ }^{46} 0.0158$ | ${ }^{40} 0.0101$ | ${ }^{44} 0.0101$ | ${ }^{44} 0.0337$ | ${ }^{42} 0.1218$ | ${ }^{40} 0.1001$ | ${ }^{44} 0.1001$ | ${ }^{43} 0.2581$ |
| 6 | AWARE-5 | ${ }^{54} 0.0337$ | ${ }^{4 / 0.0208}$ | 0.0230 | 0.0740 | ${ }^{0} 0.3729$ | ${ }^{6} 0.2984$ | ${ }^{00} 0.3777$ | ${ }^{1 / 0.6534}$ |
| 7 | AWARE-6 | ${ }^{62} 0.0722$ | ${ }^{56} 0.0538$ | ${ }^{60} 0.0538$ | ${ }^{61} 0.1551$ | ${ }^{58} 0.2779$ | ${ }^{50} 0.2419$ | ${ }^{58} 0.2465$ | ${ }^{58} 0.5140$ |
| 8 | AYONIX-1 | ${ }^{70} 0.3432$ | ${ }^{62} 0.3364$ | ${ }^{67} 0.2841$ | ${ }^{68} 0.4764$ | ${ }^{68} 0.8247$ | ${ }^{61} 0.8533$ | ${ }^{66} 0.7935$ | ${ }^{66} 0.9037$ |
| 9 | AYONIX-2 | ${ }^{60} 0.3432$ | ${ }^{61} 0.2606$ | ${ }^{68} 0.2841$ | ${ }^{5} 0.4763$ | ${ }^{67} 0.8246$ | ${ }^{9} 0.8038$ | ${ }^{5} 0.7933$ | ${ }^{5} 0.9036$ |
| 10 | CAMVI-4 | ${ }^{60} 0.0490$ | ${ }^{54} 0.0326$ | ${ }^{59} 0.0469$ | ${ }^{49} 0.0475$ | ${ }^{31} 0.0741$ | ${ }^{27} 0.0505$ | ${ }^{34} 0.0661$ | ${ }^{16} 0.1105$ |
| 11 | CAMVI-5 | ${ }^{61} 0.0673$ | ${ }^{5} 0.0458$ | ${ }^{62} 0.0633$ | ${ }^{6} 0.0638$ | ${ }^{40} 0.1020$ | ${ }^{36} 0.0727$ | ${ }^{40} 0.0922$ | ${ }^{29} 0.1513$ |
| 12 | COGENT-2 | ${ }^{14} 0.0062$ | ${ }^{12} 0.0027$ | ${ }^{12} 0.0027$ | ${ }^{12} 0.0086$ | ${ }^{19} 0.0475$ | ${ }^{12} 0.0299$ | ${ }^{19} 0.0391$ | ${ }^{20} 0.1275$ |
| 13 | COGENT-3 | ${ }^{15} 0.0064$ | ${ }^{18} 0.0037$ | ${ }^{13} 0.0029$ | ${ }^{13} 0.0091$ | ${ }^{21} 0.0515$ | ${ }^{17} 0.0341$ | ${ }^{27} 0.0450$ | ${ }^{28} 0.1448$ |
| 14 | COGNITEC-2 | ${ }^{25} 0.0083$ | ${ }^{22} 0.0044$ | ${ }^{23} 0.0043$ | ${ }^{24} 0.0145$ | ${ }^{25} 0.0560$ | ${ }^{22} 0.0401$ | ${ }^{22} 0.0400$ | ${ }^{25} 0.1342$ |
| 15 | Cognitec-3 | ${ }^{26} 0.0088$ | ${ }^{24} 0.0048$ | ${ }^{26} 0.0048$ | ${ }^{25} 0.0148$ | ${ }^{24} 0.0555$ | ${ }^{21} 0.0397$ | ${ }^{21} 0.0397$ | ${ }^{24} 0.1322$ |
| 16 | DAHUA-0 | ${ }^{35} 0.0115$ | ${ }^{32} 0.0070$ | ${ }^{36} 0.0072$ | ${ }^{34} 0.0204$ | 0.0891 | ${ }^{32} 0.0624$ | ${ }^{35} 0.0691$ | ${ }^{36} 0.1967$ |
| 17 | DAHUA-1 | ${ }^{27} 0.0089$ | ${ }^{25} 0.0049$ | ${ }^{27} 0.0052$ | ${ }^{27} 0.0173$ | ${ }^{33} 0.0755$ | ${ }^{28} 0.0521$ | ${ }^{30} 0.0577$ | ${ }^{33} 0.1738$ |
| 18 | DERMALOG-5 | ${ }^{4 / 0.0171}$ | ${ }^{41} 0.0113$ | ${ }^{48} 0.0139$ | ${ }^{41} 0.0254$ | ${ }^{\text {T }} 0.0909$ | ${ }^{350.0649}$ | ${ }^{38} 0.0767$ | 0.2072 |
| 19 | DERMALOG-6 | ${ }^{30} 0.0102$ | ${ }^{28} 0.0060$ | ${ }^{30} 0.0061$ | ${ }^{19} 0.0119$ | ${ }^{23} 0.0542$ | ${ }^{20} 0.0383$ | ${ }^{24} 0.0416$ | ${ }^{21} 0.1280$ |
| 20 | EvERAI-2 | ${ }^{12} 0.0058$ | ${ }^{13} 0.0029$ | ${ }^{15} 0.0032$ | ${ }^{15} 0.0099$ | ${ }^{22} 0.0526$ | 0.0370 | ${ }^{23} 0.0410$ | ${ }^{23} 0.1312$ |
| 21 | EVERAI-3 | ${ }^{8} 0.0047$ | ${ }^{11} 0.0023$ | ${ }^{11} 0.0024$ | ${ }^{11} 0.0073$ | ${ }^{\text {"10 }} 0.0377$ | ${ }^{11} 0.0256$ | ${ }^{11} 0.0285$ | ${ }^{11} 0.0978$ |
| 22 | GORILLA-2 | ${ }^{51} 0.0220$ | ${ }^{44} 0.0137$ | ${ }^{50} 0.0153$ | ${ }^{5} 0.0570$ | ${ }^{53} 0.1902$ | ${ }^{49} 0.1379$ | ${ }^{52} 0.1537$ | ${ }^{52} 0.3589$ |
| 23 | GORILLA-3 | ${ }^{55} 0.0384$ | ${ }^{49} 0.0245$ | ${ }^{55} 0.0283$ | ${ }^{60} 0.1032$ | ${ }^{59} 0.3260$ | ${ }^{55} 0.2730$ | ${ }^{59} 0.3043$ | ${ }^{59} 0.5786$ |
| 24 | HIK-5 | ${ }^{10} 0.0067$ |  | ${ }^{20} 0.0037$ | ${ }^{25} 0.0140$ | ${ }^{17} 0.0467$ |  | ${ }^{18} 0.0364$ | ${ }^{18} 0.1228$ |
| 25 | HIK-6 | ${ }^{18} 0.0067$ | ${ }^{16} 0.0034$ | ${ }^{19} 0.0037$ | ${ }^{22} 0.0140$ | ${ }^{20} 0.0500$ | ${ }^{16} 0.0324$ | ${ }^{20} 0.0392$ | ${ }^{22} 0.1310$ |
| 26 | IDEMIA-5 | ${ }^{32} 0.0107$ | ${ }^{29} 0.0062$ | ${ }^{32} 0.0064$ | ${ }^{35} 0.0192$ | ${ }^{6} 0.0465$ | ${ }^{15} 0.0319$ | 0.0348 | 0.1125 |
| 27 | IDEMIA-6 | ${ }^{39} 0.0122$ | ${ }^{33} 0.0071$ | ${ }^{38} 0.0076$ | ${ }^{32} 0.0188$ | ${ }^{14} 0.0458$ | ${ }^{14} 0.0316$ | ${ }^{14} 0.0342$ | ${ }^{13} 0.1032$ |
| 28 | INCODE-2 | ${ }^{50} 0.0203$ | ${ }^{42} 0.0120$ | ${ }^{47} 0.0137$ | ${ }^{50} 0.0480$ | ${ }^{52} 0.1861$ | ${ }^{48} 0.1360$ | ${ }^{51} 0.1507$ | ${ }^{51} 0.3500$ |
| 29 | INCODE-3 | ${ }^{44} 0.0153$ | ${ }^{36} 0.0088$ | ${ }^{45} 0.0103$ | ${ }^{46} 0.0368$ | ${ }^{50} 0.1703$ | ${ }^{45} 0.1227$ | ${ }^{50} 0.1388$ | ${ }^{50} 0.3290$ |
| 30 | INNOVATRICS-4 | ${ }^{45} 0.0149$ | 0.0081 | ${ }^{40} 0.0081$ | ${ }^{53} 0.0293$ | ${ }^{5} 0.1340$ | ${ }^{7} 0.0928$ | ${ }^{41} 0.0927$ | ${ }^{11} 0.2479$ |
| 31 | ISYSTEMS-3 | ${ }^{22} 0.0075$ | ${ }^{20} 0.0040$ | ${ }^{22} 0.0041$ | ${ }^{16} 0.0106$ | ${ }^{29} 0.0620$ | ${ }^{23} 0.0402$ | ${ }^{28} 0.0500$ | ${ }^{30} 0.1519$ |
| 32 | LOOKMAN-3 | ${ }^{34} 0.0114$ | ${ }^{37} 0.0089$ | ${ }^{34} 0.0067$ | ${ }^{17} 0.0109$ | ${ }^{15} 0.0463$ | ${ }^{250.0425}$ | ${ }^{13} 0.0338$ | ${ }^{12} 0.1015$ |
| 33 | LOOKMAN-4 | ${ }^{36} 0.0117$ | ${ }^{88} 0.0091$ | ${ }^{35} 0.0072$ | ${ }^{21} 0.0134$ | ${ }^{18} 0.0472$ | ${ }^{24} 0.0417$ | ${ }^{15} 0.0346$ | ${ }^{14} 0.1086$ |
| 34 | MEGVII-1 | ${ }^{41} 0.0137$ |  | ${ }^{41} 0.0096$ | ${ }^{36} 0.0231$ | ${ }^{32} 0.0746$ |  | ${ }^{31} 0.0577$ | ${ }^{32} 0.1688$ |
| 35 | MEGVII-2 | ${ }^{42} 0.0137$ |  | ${ }^{42} 0.0097$ | ${ }^{38} 0.0236$ | ${ }^{34} 0.0796$ |  | ${ }^{33} 0.0623$ | ${ }^{34} 0.1810$ |
| 36 | MICROFOCUS-5 | ${ }^{11} 0.4257$ | ${ }^{65} 0.3701$ | ${ }^{60} 0.3701$ | ${ }^{50} 0.5522$ | ${ }^{\text {90 }} 0.8361$ | ${ }^{65} 0.9835$ | ${ }^{7} 0.8139$ | 0.9189 |
| 37 | MICROFOCUS-6 | ${ }^{72} 0.4283$ | ${ }^{64} 0.3732$ | ${ }^{50} 0.3732$ | ${ }^{70} 0.5566$ | ${ }^{71} 0.9780$ | ${ }^{60} 0.8195$ | ${ }^{68} 0.8195$ | ${ }^{68} 0.9215$ |
| 38 | MICROSOFT-5 | ${ }^{3} 0.0033$ | ${ }^{3} 0.0013$ | ${ }^{6} 0.0015$ | ${ }^{0} 0.0062$ | ${ }^{8} 0.0279$ | 0.0171 | 0.0193 | 0.0755 |
| 39 | MICROSOFT-6 | ${ }^{6} 0.0033$ | ${ }^{5} 0.0014$ | 0.0015 | ${ }^{9} 0.0060$ | ${ }^{5} 0.0141$ | ${ }^{5} 0.0080$ | ${ }^{10} 0.0213$ | ${ }^{0} 0.0772$ |
| 40 | NEC-2 | 0.0028 | ${ }^{2} 0.0011$ | 0.0008 | 0.0019 | ${ }^{2} 0.0047$ | ${ }^{2} 0.0024$ | 0.0021 | ${ }^{2} 0.0086$ |
| 41 | NEC-3 | ${ }^{2} 0.0031$ | ${ }^{4} 0.0013$ | 0.0010 | ${ }^{2} 0.0019$ | ${ }^{1} 0.0044$ | ${ }^{1} 0.0021$ | ${ }^{2} 0.0022$ | ${ }^{1} 0.0080$ |
| 42 | NEUROTECHNOLOGY-5 | ${ }^{19} 0.0068$ | ${ }^{21} 0.0042$ | ${ }^{14} 0.0032$ | ${ }^{14} 0.0094$ | ${ }^{26} 0.0564$ | ${ }^{29} 0.0527$ | ${ }^{25} 0.0438$ | ${ }^{26} 0.1364$ |
| 43 | NEUROTECHNOLOGY-6 | ${ }^{48} 0.0201$ | ${ }^{45} 0.0153$ | ${ }^{49} 0.0142$ | ${ }^{52} 0.0534$ | ${ }^{50} 0.2555$ | ${ }^{54} 0.2695$ | ${ }^{57} 0.2125$ | ${ }^{50} 0.4458$ |
| 44 | NEWLAND-2 | ${ }^{63} 0.0811$ |  | ${ }^{61} 0.0599$ | ${ }^{62} 0.1562$ | ${ }^{63} 0.4405$ |  | ${ }^{61} 0.3790$ | ${ }^{60} 0.6252$ |
| 45 | NOBLIS-1 | ${ }^{68} 0.2512$ | 0.2049 | ${ }^{5} 0.2032$ | 0.3631 | 0.9996 | ${ }^{60} 0.9998$ | ${ }^{2} 0.9994$ | ${ }^{2} 0.9997$ |
| 46 | NOBLIS-2 | ${ }^{66} 0.1816$ | ${ }^{59} 0.1565$ | ${ }^{66} 0.2517$ | ${ }^{66} 0.3944$ | ${ }^{72} 0.9974$ | ${ }^{65} 0.9959$ | ${ }^{11} 0.9967$ | ${ }^{10} 0.9987$ |
| 47 | NTECHLAB-5 | ${ }^{16} 0.0064$ | ${ }^{19} 0.0039$ | ${ }^{21} 0.0039$ | ${ }^{30} 0.0179$ | ${ }^{13} 0.0448$ | ${ }^{18} 0.0347$ | ${ }^{16} 0.0347$ | ${ }^{9} 0.1235$ |
| 48 | NTECHLAB-6 | ${ }^{15} 0.0059$ | ${ }^{15} 0.0034$ | ${ }^{17} 0.0034$ | ${ }^{20} 0.0154$ | ${ }^{12} 0.0391$ | ${ }^{15} 0.0301$ | ${ }^{12} 0.0301$ | ${ }^{5} 0.1088$ |
| 49 | QUANTASOFT-1 | ${ }^{67} 0.2198$ | ${ }^{66} 0.9857$ | ${ }^{1} 0.9426$ | ${ }^{1} 0.9502$ | ${ }^{66} 0.6399$ | ${ }^{64} 0.9915$ | ${ }^{69} 0.9640$ | ${ }^{0} 0.9801$ |
| 50 | RANKONE-4 | ${ }^{59} 0.0441$ | ${ }^{52} 0.0318$ | ${ }^{58} 0.0318$ | ${ }^{50} 0.0945$ | ${ }^{54} 0.1951$ | ${ }^{50} 0.1545$ | ${ }^{53} 0.1545$ | ${ }^{50} 0.3590$ |
| 51 | RANKONE-5 | ${ }^{38} 0.0120$ | ${ }^{34} 0.0072$ | ${ }^{37} 0.0072$ | ${ }^{39} 0.0237$ | ${ }^{27} 0.0617$ | ${ }^{26} 0.0447$ | ${ }^{26} 0.0447$ | ${ }^{27} 0.1404$ |
| 52 | REALNETWORKS-2 | ${ }^{56} 0.0418$ | ${ }^{53} 0.0320$ | ${ }^{54} 0.0268$ | ${ }^{58} 0.0903$ | ${ }^{56} 0.2341$ | ${ }^{52} 0.2049$ | ${ }^{56} 0.1775$ | ${ }^{56} 0.3949$ |
| 53 | REMARKAI-0 | ${ }^{33} 0.0109$ | ${ }^{31} 0.0065$ | ${ }^{33} 0.0065$ | ${ }^{40} 0.0238$ | ${ }^{44} 0.1301$ | ${ }^{41} 0.1020$ | ${ }^{45} 0.1020$ | ${ }^{47} 0.2671$ |
| 54 | REMARKAI-2 | ${ }^{11} 0.0105$ | ${ }^{0} 0.0062$ | ${ }^{11} 0.0062$ | 0.0235 | ${ }^{45} 0.1264$ | ${ }^{\text {, } 0.0991 ~}$ | ${ }^{45} 0.0991$ | ${ }^{440.2615}$ |
| 55 | SENSETIME-0 | ${ }^{9} 0.0048$ | ${ }^{9} 0.0018$ | ${ }^{9} 0.0018$ | ${ }^{4} 0.0037$ | ${ }^{6} 0.0234$ | ${ }^{6} 0.0165$ | ${ }^{5} 0.0168$ | ${ }^{5} 0.0603$ |
| 56 | SENSETIME-1 | ${ }^{10} 0.0048$ | ${ }^{8} 0.0018$ | ${ }^{8} 0.0018$ | ${ }^{7} 0.0041$ | ${ }^{7} 0.0245$ | ${ }^{8} 0.0175$ | ${ }^{6} 0.0177$ | ${ }^{6} 0.0628$ |
| 57 | SHAMAN-6 | ${ }^{58} 0.0424$ | ${ }^{1} 0.0312$ | ${ }^{7} 0.0312$ | ${ }^{3} 0.0542$ | ${ }^{46} 0.1432$ | ${ }^{45} 0.1109$ | ${ }^{46} 0.1109$ | ${ }^{46} 0.2629$ |
| 58 | SHAMAN-7 | ${ }^{57} 0.0422$ | ${ }^{50} 0.0310$ | ${ }^{56} 0.0310$ | ${ }^{51} 0.0529$ | ${ }^{47} 0.1436$ | ${ }^{44} 0.1112$ | ${ }^{47} 0.1112$ | ${ }^{45} 0.2624$ |
| 59 | SmILART-4 | ${ }^{70} 0.9649$ | ${ }^{65} 0.9531$ | ${ }^{72} 0.9722$ | ${ }^{72} 0.9738$ | ${ }^{\text {70 }} 0.9683$ | ${ }^{62} 0.9569$ | ${ }^{70} 0.9740$ | ${ }^{69} 0.9781$ |
| 60 | SYNESIS-3 | ${ }^{65} 0.1721$ | ${ }^{58} 0.1350$ | ${ }^{64} 0.1350$ | ${ }^{64} 0.2571$ | ${ }^{65} 0.5832$ | ${ }^{58} 0.5296$ | ${ }^{64} 0.5295$ | ${ }^{64} 0.7459$ |
| 61 | TEVIAN-5 | ${ }^{28} 0.0092$ | ${ }^{26} 0.0053$ | ${ }^{29} 0.0058$ | ${ }^{35} 0.0213$ | ${ }^{38} 0.0898$ | ${ }^{34} 0.0667$ | ${ }^{39} 0.0770$ | ${ }^{40} 0.2079$ |
| 62 | TIGER-2 | ${ }^{24} 0.0075$ | ${ }^{25} 0.0044$ | ${ }^{25} 0.0044$ | ${ }^{25} 0.0177$ | ${ }^{36} 0.0888$ | ${ }^{55} 0.0698$ | ${ }^{36} 0.0698$ | ${ }^{8} 0.2016$ |
| 63 | TIGER-3 | ${ }^{23} 0.0075$ |  | ${ }^{24} 0.0044$ | ${ }^{28} 0.0177$ | ${ }^{35} 0.0888$ |  | ${ }^{37} 0.0698$ | ${ }^{37} 0.2015$ |
| 64 | TOSHIBA-0 | ${ }^{20} 0.0068$ | ${ }^{14} 0.0033$ | ${ }^{16} 0.0033$ | ${ }^{18} 0.0110$ | ${ }^{30} 0.0648$ | ${ }^{30} 0.0529$ | ${ }^{29} 0.0529$ | ${ }^{31} 0.1599$ |
| 65 | TOSHIBA-1 | ${ }^{21} 0.0071$ | ${ }^{17} 0.0035$ | ${ }^{18} 0.0035$ | ${ }^{20} 0.0120$ | ${ }^{28} 0.0618$ | ${ }^{31} 0.0596$ | ${ }^{32} 0.0585$ | ${ }^{5} 0.1819$ |
| 66 | VD-1 | ${ }^{53} 0.0302$ | ${ }^{48} 0.0221$ | ${ }^{52} 0.0221$ | ${ }^{56} 0.0560$ | ${ }^{55} 0.2036$ | ${ }^{51} 0.1654$ | ${ }^{54} 0.1658$ | ${ }^{54} 0.3657$ |
| 67 | VIGILANTSOLUTIONS-5 | ${ }^{37} 0.0118$ |  |  |  | ${ }^{62} 0.4327$ |  |  |  |
| 68 | VIGILANTSOLUTIONS-6 | ${ }^{40} 0.0125$ |  | ${ }^{39} 0.0077$ | ${ }^{42} 0.0258$ | ${ }^{61} 0.4260$ |  | ${ }^{62} 0.4155$ | ${ }^{62} 0.6577$ |
| 69 | VISIONLABS-6 | ${ }^{5} 0.0033$ | 0.0015 | ${ }^{5} 0.0015$ | ${ }^{6} 0.0040$ | 0.0289 | 0.0185 | ${ }^{9} 0.0201$ | ${ }^{8} 0.0737$ |
| 70 | VISIONLABS-7 | ${ }^{4} 0.0033$ | ${ }^{6} 0.0014$ | ${ }^{4} 0.0014$ | ${ }^{5} 0.0039$ | 0.0289 | ${ }^{9} 0.0185$ | ${ }^{8} 0.0201$ | 0.0737 |
| 71 | VOCORD-5 | ${ }^{29} 0.0092$ | ${ }^{27} 0.0057$ | ${ }^{28} 0.0054$ | ${ }^{31} 0.0182$ | ${ }^{49} 0.1697$ | ${ }^{42} 0.1076$ | ${ }^{55} 0.1717$ | ${ }^{5} 0.3775$ |
| 72 | YITU-4 | ${ }^{7} 0.0037$ | ${ }^{1} 0.0011$ | ${ }^{3} 0.0012$ | ${ }^{3} 0.0033$ | ${ }^{3} 0.0123$ | ${ }^{3} 0.0074$ | ${ }^{3} 0.0080$ | ${ }^{3} 0.0337$ |
| 73 | YITU-5 | ${ }^{11} 0.0048$ | ${ }^{10} 0.0020$ | ${ }^{10} 0.0020$ | ${ }^{8} 0.0041$ | ${ }^{4} 0.0128$ | ${ }^{4} 0.0076$ | ${ }^{4} 0.0088$ | ${ }^{4} 0.0350$ |

Table 25: Comparing enrollment styles for the FRVT 2018 mugshot sets. Consolidated refers to enrollment of all lifetime images in one template Unconsolidated refers to enrollment of those images separately under different identifiers. Columns 3-6 values are FNIR at rank 1 and with $\mathrm{T}=0$. Columns 7-10 values are high threshold FNIR. Throughout, blue superscripts indicate the rank of the algorithm for that column, and the best three values are highlighted in yellow and green.

| 2019/09/11 | FNIR $(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | $\mathrm{T}=$ Threshold | $\mathrm{T}=0 \rightarrow$ Investigation |
| :--- | ---: | :--- | :--- | :--- | :--- |
| 16:09:13 | FPIR $(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined | $\mathrm{T}>0 \rightarrow$ Identification |  |




Figure 19: [Mugshot Dataset] Error rate reductions in 2018. For each FRVT2018 participant, the plot shows accuracy gains between Phase 1 (Feb 2018), Phase 2 (Jun 2018) and Phase 3 (Nov 2018) according to two metrics: rank one miss rate, FNIR(N, 1, 0), and high threshold, FNIR(N, L, T), with $T$ set to achieve FPIR $=0.003$. The text "Red=" gives the best reduction multiplier for the given metric on the recent enrollment strategy - a smaller value is better.


## Degrader

- $\mathrm{N}=00640000$
- $\mathrm{N}=01600000$
- $\mathrm{N}=03000000$
- $\mathrm{N}=06000000$
- $\mathrm{N}=12000000$
- Years Lapsed $(00,02]$
- Years Lapsed $(02,04]$
- Years Lapsed $(04,06]$ - Years Lapsed $(06,08]$ - Years Lapsed $(08,10]$ - Years Lapsed $(10,12]$ - Years Lapsed $(12,14]$ - Years Lapsed $(14,18]$

Figure 20: [FRVT-2018 Mugshot Ageing Dataset] Contrast of ageing and population size dependency. The Figure shows, at left, the dependence FNIR(N) for the FRVT-2018, as tabulated in Table 12. At right, is $\operatorname{FNIR}(N=3000000, \Delta T)$ from Figure 62. Ageing miss rates are computed over all searches binned by number of years between search and initial enrollment. In all cases, $F P I R=0.01$.


Figure 21: [Twins Dataset ] High scores from twins. The Figure shows native similarity scores from searches into a dataset of $N=640000$ background mugshot images plus 104 portrait images, one from each of one of a pair of twins. Two distributions of scores are plotted for each of monozygotic (identical) and dizygotic (fraternal) twins. The first distribution ("AA") shows the mate score from Twin A against their own enrollment. The second ("AB") shows scores from searches of Twin B against the Twin A enrollment: As these are non-mate scores they should be below the various thresholds shown as horizontal lines. That they usually are not is an indication that twins produce very high non-mate scores. Note in theory half of dizygotic (fraternal) twins are different sex. In the sample used here some fraternal twins are correctly rejected.

## Appendices

## Appendix A Accuracy on large-population FRVT 2018 mugshots

| иои̣еэу!̣иәрі $\leftarrow 0<\mathrm{L}$ иоч̣еячุяәлиі $\leftarrow 0=\mathrm{L}$ |  | рәи!̣uеха sәұер!̣иеә unn $_{\mathrm{N}}=$ у <br>  |  <br>  |  | $\begin{array}{r} \varepsilon I: 60: 9 \mathrm{~L} \\ \mathrm{LI} / 60 / 6 \mathrm{~L} \end{array}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |



Figure 22: [FRVT-2018 Mugshot Dataset] Rank-based identification miss rates vs. number of enrolled subjects. The figure shows false negative identification rates, $\operatorname{FNIR}(N, R)$, across various gallery sizes and ranks 1,10 and 50 . The threshold is set to zero, so this metric rewards even weak scoring rank 1 mates. This also means FPIR $=1$, so any search without an enrolled mate will return non-mated candidates. For clarity, results are sorted and reported into tiers spanning multiple pages, the tiering criteria being rank 1 hit rate on a gallery size of 640000.


Enrolled population size, N

Figure 24: [FRVT-2018 Mugshot Dataset] Rank-based identification miss rates vs. number of enrolled subjects. The figure shows false negative identification rates, FNIR(N,R), across various gallery sizes and ranks 1, 10 and 50. The threshold is set to zero, so this metric rewards even weak scoring rank 1 mates. This also means FPIR $=1$, so any search without an enrolled mate will return non-mated candidates. For clarity, results are sorted and reported into tiers spanning multiple pages, the tiering criteria being rank 1 hit rate on a gallery size of 640000.

enrollment_style
-.. lifetime_consolidated
-.. recent
Dataset: 2018 Mugshots Tier: 4

- Rank 1
$\rightarrow$ Rank 10
Figure 25: [FRVT-2018 Mugshot Dataset] Rank-based identification miss rates vs. number of enrolled subjects. The figure shows false negative identification rates, $\operatorname{FNIR}(N, R)$, across various gallery sizes and ranks 1,10 and 50 . The threshold is set to zero, so this metric rewards even weak scoring rank 1 mates. This also means FPIR $=1$, so any search without an enrolled mate will return non-mated candidates. For clarity, results are sorted and reported into tiers spanning multiple pages, the tiering criteria being rank 1 hit rate on a gallery size of 640000.

Figure 26: [FRVT-2018 Mugshot Dataset] Rank-based identification miss rates vs. number of enrolled subjects. The figure shows false negative identification rates, FNIR(N, R), across various gallery sizes and ranks 1, 10 and 50. The threshold is set to zero, so this metric rewards even weak scoring rank 1 mates. This also means $F P I R=1$, so any search without an enrolled mate will return non-mated candidates. For clarity, results are sorted and reported into tiers spanning multiple pages, the tiering criteria being rank 1 hit rate on a gallery size of 640000 .

enrollment_style


Dataset: 2018 Mugshots Dier: 6
$\rightarrow$ Rank 1
$\rightarrow$ Rank 10


Enrolled population size, N
 Enrolled population size, N
Figure 29: [FRVT-2018 Mugshot Dataset] Rank-based identification miss rates vs. number of enrolled subjects. The figure shows false negative identification rates, $\operatorname{FNIR}(N, R)$, across various gallery sizes and ranks 1,10 and 50 . The threshold is set to zero, so this metric rewards even weak scoring rank 1 mates. This also means $F P I R=1$, so any search without an enrolled mate will return non-mated candidates. For clarity, results are sorted and reported into tiers spanning multiple pages, the tiering criteria being rank 1 hit rate on a gallery size of 640000 .



Figure 30: [FRVT-2018 Mugshot Dataset] Rank-based identification miss rates vs. rank. The figure shows false negative identification rates (FNIR) for ranks up to 50 . This metric is appropriate to investigational applications where human reviewers will adjudicate sorted candidate lists. Note that with threshold set to zero, FPIR = 1 , i.e. any search without an enrolled mate will return non-mated candidates. Results are sorted and reported into tiers for clarity, with the tiering criteria being rank 1 hit rate on a gallery size of $N=640000$ subjects.




Dataset: 2018 Mugshots
Tier: 2 Tier: 2

- 00640000
- 01600000
- 03000000
- 12000000


## enrollment style

## -.. lifectime











## enrollment_style

-     - lifetime_consolidated
Dataset: 2018 Mugshots Tier: 3
- 00640000
- 016000000
- 01600000
- 06000000
Rank
Figure 32: [FRVT-2018 Mugshot Dataset] Rank-based identification miss rates vs. rank. The figure shows false negative identification rates (FNIR) for ranks up to 50 . This metric is appropriate to investigational applications where human reviewers will adjudicate sorted candidate lists. Note that with threshold set to zero, FPIR = 1 , i.e. any search without an enrolled mate will return non-mated candidates. Results are sorted and reported into tiers for clarity, with the tiering criteria being rank 1 hit rate on a gallery size of $N=640000$ subjects.






Dier: 4 . 2018 Mugshot

- 00640000
- 01600000
- 030000000
- 12000000


## enrollment style

-.. lifetime_consolidated



enrollment_style

Dataset: 2018 Mugshots Tier: 5

- 00640000
- 016000000
- 030000000
- 06000000
Rank
Figure 34: [FRVT-2018 Mugshot Dataset] Rank-based identification miss rates vs. rank. The figure shows false negative identification rates (FNIR) for ranks up to 50 . This metric is appropriate to investigational applications where human reviewers will adjudicate sorted candidate lists. Note that with threshold set to zero, FPIR = 1 , i.e. any search without an enrolled mate will return non-mated candidates. Results are sorted and reported into tiers for clarity, with the tiering criteria being rank 1 hit rate on a gallery size of $N=640000$ subjects.




Dataset: 2018 Mugshots Tier: 6
$\begin{array}{r}00640000 \\ -01600000 \\ \hline\end{array}$

- 01600000 - 06000000


Figure 36: [FRVT-2018 Mugshot Dataset] Rank-based identification miss rates vs. rank. The figure shows false negative identification rates (FNIR) for ranks up to 50 . This metric is appropriate to investigational applications where human reviewers will adjudicate sorted candidate lists. Note that with threshold set to zero, FPIR = 1 , i.e. any search without an enrolled mate will return non-mated candidates. Results are sorted and reported into tiers for clarity, with the tiering criteria being rank 1 hit rate on a gallery size of $N=640000$ subjects.





## enrollment_style

-.. $\begin{aligned} & \text { lifetime_consolidated } \\ & \text { recent }\end{aligned}$
Dataset: 2018 Mugshots Tier: 8
$\begin{array}{r}00640000 \\ -01600000 \\ \hline\end{array}$

- 030000000 - 06000000
Rank
Figure 37: [FRVT-2018 Mugshot Dataset] Rank-based identification miss rates vs. rank. The figure shows false negative identification rates (FNIR) for ranks up to 50. This metric is appropriate to investigational applications where human reviewers will adjudicate sorted candidate lists. Note that with threshold set to zero, FPIR = 1 , i.e. any search without an enrolled mate will return non-mated candidates. Results are sorted and reported into tiers for clarity, with the tiering criteria being rank 1 hit rate on a gallery size of $N=640000$ subjects.



Figure 38: [FRVT-2018 Mugshot Dataset] Threshold-based identification miss rates vs. number of enrolled subjects. The figure shows FNIR(N, T) across various gallery sizes when the threshold is set to achieve the given FPIRs. The rank criterion is irrelevant at high thresholds as mates are always at rank 1. The results are computed from the trials listed in rows 1-10 of Table 5. Less accurate algorithms were not run on large N, so results are missing. For clarity, results are sorted and reported into tiers spanning multiple pages. The tiering criteria is complicated: First paging by $\operatorname{FNIR}\left(N_{b}, 1,0\right)$, then sorting by median $F N \operatorname{IR}\left(N_{b}, T\right), N_{b}=640000$.


Figure 39: [FRVT-2018 Mugshot Dataset] Threshold-based identification miss rates vs. number of enrolled subjects. The figure shows FNIR(N,T) across various gallery sizes when the threshold is set to achieve the given FPIRs. The rank criterion is irrelevant at high thresholds as mates are always at rank 1. The results are computed from the trials listed in rows 1-10 of Table 5. Less accurate algorithms were not run on large $N$, so results are missing. For clarity, results are sorted and reported into tiers spanning multiple pages. The tiering criteria is complicated: First paging by $\operatorname{FNIR}\left(N_{b}, 1,0\right)$, then sorting by median $F N I R\left(N_{b}, T\right), N_{b}=640000$.


Figure 40: [FRVT-2018 Mugshot Dataset] Threshold-based identification miss rates vs. number of enrolled subjects. The figure shows FNIR(N, T) across various gallery sizes when the threshold is set to achieve the given FPIRs. The rank criterion is irrelevant at high thresholds as mates are always at rank 1. The results are computed from the trials listed in rows 1-10 of Table 5. Less accurate algorithms were not run on large N, so results are missing. For clarity, results are sorted and reported into tiers spanning multiple pages. The tiering criteria is complicated: First paging by $\operatorname{FNIR}\left(N_{b}, 1,0\right)$, then sorting by median $F N I R\left(N_{b}, T\right), N_{b}=640000$.


Figure 41: [FRVT-2018 Mugshot Dataset] Threshold-based identification miss rates vs. number of enrolled subjects. The figure shows FNIR(N, T) across various gallery sizes when the threshold is set to achieve the given FPIRs. The rank criterion is irrelevant at high thresholds as mates are always at rank 1. The results are computed from the trials listed in rows 1-10 of Table 5. Less accurate algorithms were not run on large N, so results are missing. For clarity, results are sorted and reported into tiers spanning multiple pages. The tiering criteria is complicated: First paging by $\operatorname{FNIR}\left(N_{b}, 1,0\right)$, then sorting by median $F N I R\left(N_{b}, T\right), N_{b}=640000$.


Figure 42: [FRVT-2018 Mugshot Dataset] Threshold-based identification miss rates vs. number of enrolled subjects. The figure shows FNIR(N, T) across various gallery sizes when the threshold is set to achieve the given FPIRs. The rank criterion is irrelevant at high thresholds as mates are always at rank 1. The results are computed from the trials listed in rows 1-10 of Table 5. Less accurate algorithms were not run on large N, so results are missing. For clarity, results are sorted and reported into tiers spanning multiple pages. The tiering criteria is complicated: First paging by $\operatorname{FNIR}\left(N_{b}, 1,0\right)$, then sorting by median $F N I R\left(N_{b}, T\right), N_{b}=640000$.


Enrolled population size, N
Figure 43: [FRVT-2018 Mugshot Dataset] Threshold-based identification miss rates vs. number of enrolled subjects. The figure shows FNIR(N, T) across various gallery sizes when the threshold is set to achieve the given FPIRs. The rank criterion is irrelevant at high thresholds as mates are always at rank 1. The results are computed from the trials listed in rows 1-10 of Table 5. Less accurate algorithms were not run on large N, so results are missing. For clarity, results are sorted and reported into tiers spanning multiple pages. The tiering criteria is complicated: First paging by $\operatorname{FNIR}\left(N_{b}, 1,0\right)$, then sorting by median $F N \operatorname{IR}\left(N_{b}, T\right), N_{b}=640000$.


Enrolled population size, N


Figure 45: [FRVT-2018 Mugshot Dataset] Threshold-based identification miss rates vs. number of enrolled subjects. The figure shows FNIR(N, T) across various gallery sizes when the threshold is set to achieve the given FPIRs. The rank criterion is irrelevant at high thresholds as mates are always at rank 1. The results are computed from the trials listed in rows 1-10 of Table 5. Less accurate algorithms were not run on large $N$, so results are missing. For clarity, results are sorted and reported into tiers spanning multiple pages. The tiering criteria is complicated: First paging by $\operatorname{FNIR}\left(N_{b}, 1,0\right)$, then sorting by median $F N \operatorname{IR}\left(N_{b}, T\right), N_{b}=640000$.


Enrolled population size, N
Figure 46: [FRVT-2018 Mugshot Dataset] Threshold-based identification miss rates vs. number of enrolled subjects. The figure shows FNIR(N, T) across various gallery sizes when the threshold is set to achieve the given FPIRs. The rank criterion is irrelevant at high thresholds as mates are always at rank 1. The results are computed from the trials listed in rows 1-10 of Table 5. Less accurate algorithms were not run on large N, so results are missing. For clarity, results are sorted and reported into tiers spanning multiple pages. The tiering criteria is complicated: First paging by $\operatorname{FNIR}\left(N_{b}, 1,0\right)$, then sorting by median $F N \operatorname{IR}\left(N_{b}, T\right), N_{b}=640000$.


Figure 47: [FRVT-2018 Mugshot Dataset] Threshold-based identification miss rates vs. number of enrolled subjects. The figure shows FNIR(N, T) across various gallery sizes when the threshold is set to achieve the given FPIRs. The rank criterion is irrelevant at high thresholds as mates are always at rank 1. The results are computed from the trials listed in rows 1-10 of Table 5. Less accurate algorithms were not run on large N, so results are missing. For clarity, results are sorted and reported into tiers spanning multiple pages. The tiering criteria is complicated: First paging by $\operatorname{FNIR}\left(N_{b}, 1,0\right)$, then sorting by median $F N \operatorname{IR}\left(N_{b}, T\right), N_{b}=640000$.


Figure 48: [FRVT-2018 Mugshot Dataset] Threshold-based identification miss rates vs. number of enrolled subjects. The figure shows FNIR(N, T) across various gallery sizes when the threshold is set to achieve the given FPIRs. The rank criterion is irrelevant at high thresholds as mates are always at rank 1. The results are computed from the trials listed in rows 1-10 of Table 5. Less accurate algorithms were not run on large N, so results are missing. For clarity, results are sorted and reported into tiers spanning multiple pages. The tiering criteria is complicated: First paging by $\operatorname{FNIR}\left(N_{b}, 1,0\right)$, then sorting by median $F N I R\left(N_{b}, T\right), N_{b}=640000$.


Figure 49: [FRVT-2018 Mugshot Dataset] Threshold-based identification miss rates vs. number of enrolled subjects. The figure shows FNIR(N,T) across various gallery sizes when the threshold is set to achieve the given FPIRs. The rank criterion is irrelevant at high thresholds as mates are always at rank 1. The results are computed from the trials listed in rows 1-10 of Table 5. Less accurate algorithms were not run on large $N$, so results are missing. For clarity, results are sorted and reported into tiers spanning multiple pages. The tiering criteria is complicated: First paging by $\operatorname{FNIR}\left(N_{b}, 1,0\right)$, then sorting by median $F N \operatorname{IR}\left(N_{b}, T\right), N_{b}=640000$.



Figure 50: [FRVT-2018 Mugshot Dataset] Identification miss rates vs. false positive rates. The figure shows miss rates FNIR(N, L, T) as a function of FPIR(N, T), with $N$ ranging from 640000 to 12000000 as noted in rows 1-10 of Table 5. These error tradeoff characteristics are useful for applications where a threshold must be elevated to limit false positives, such as when human reviewer labor is not matched to the volume of searches. Dark lines join points of equal threshold: If horizontal, FPIR(T) rises with $N$, and mate scores are independent of $N$. Other algorithms adjust scores in an attempt to make FPIR independent of $N$.


False positive identification rate, $\operatorname{FPIR(T)}$
Figure 51: [FRVT-2018 Mugshot Dataset] Identification miss rates vs. false positive rates. The figure shows miss rates FNIR(N, L, T) as a function of $\operatorname{FPIR}(N, T)$, with $N$ ranging from 640000 to 12000000 as noted in rows 1-10 of Table 5. These error tradeoff characteristics are useful for applications where a threshold must be elevated to limit false positives, such as when human reviewer labor is not matched to the volume of searches. Dark lines join points of equal threshold: If horizontal, FPIR(T) rises with $N$, and mate scores are independent of $N$. Other algorithms adjust scores in an attempt to make FPIR independent of $N$.


Figure 52: [FRVT-2018 Mugshot Dataset] Identification miss rates vs. false positive rates. The figure shows miss rates FNIR $(N, L, T)$ as a function of $F P I R(N, T)$, with $N$ ranging from 640000 to 12000000 as noted in rows 1-10 of Table 5. These error tradeoff characteristics are useful for applications where a threshold must be elevated to limit false positives, such as when human reviewer labor is not matched to the volume of searches. Dark lines join points of equal threshold: If horizontal, FPIR(T) rises with $N$, and mate scores are independent of $N$. Other algorithms adjust scores in an attempt to make FPIR independent of $N$.



False positive identification rate, $\operatorname{FPIR}(\mathrm{T})$
Figure 54: [FRVT-2018 Mugshot Dataset] Identification miss rates vs. false positive rates. The figure shows miss rates FNIR(N, L, T) as a function of $\operatorname{FPIR}(N, T)$, with $N$ ranging from 640000 to 12000000 as noted in rows 1-10 of Table 5. These error tradeoff characteristics are useful for applications where a threshold must be elevated to limit false positives, such as when human reviewer labor is not matched to the volume of searches. Dark lines join points of equal threshold: If horizontal, FPIR(T) rises with $N$, and mate scores are independent of $N$. Other algorithms adjust scores in an attempt to make FPIR independent of $N$.



False positive identification rate, $\operatorname{FPIR}(T)$
Figure 56: [FRVT-2018 Mugshot Dataset] Identification miss rates vs. false positive rates. The figure shows miss rates $F N I R(N, L, T)$ as a function of $F P I R(N, T)$, with $N$ ranging from 640000 to 12000000 as noted in rows 1-10 of Table 5. These error tradeoff characteristics are useful for applications where a threshold must be elevated to limit false positives, such as when human reviewer labor is not matched to the volume of searches. Dark lines join points of equal threshold: If horizontal, FPIR(T) rises with $N$, and mate scores are independent of $N$. Other algorithms adjust scores in an attempt to make FPIR independent of $N$.


False positive identification rate, $\operatorname{FPIR}(T)$
Figure 57: [FRVT-2018 Mugshot Dataset] Identification miss rates vs. false positive rates. The figure shows miss rates FNIR(N, L, T) as a function of $\operatorname{FPIR}(N, T)$, with $N$ ranging from 640000 to 12000000 as noted in rows 1-10 of Table 5. These error tradeoff characteristics are useful for applications where a threshold must be elevated to limit false positives, such as when human reviewer labor is not matched to the volume of searches. Dark lines join points of equal threshold: If horizontal, FPIR(T) rises with $N$, and mate scores are independent of $N$. Other algorithms adjust scores in an attempt to make FPIR independent of $N$.


False positive identification rate, $\operatorname{FPIR}(T)$
Figure 58: [FRVT-2018 Mugshot Dataset] Identification miss rates vs. false positive rates. The figure shows miss rates FNIR (N, L, T) as a function of FPIR(N, $T$ ), with $N$ ranging from 640000 to 12000000 as noted in rows 1-10 of Table 5. These error tradeoff characteristics are useful for applications where a threshold must be elevated to limit false positives, such as when human reviewer labor is not matched to the volume of searches. Dark lines join points of equal threshold: If horizontal, FPIR(T) rises with $N$, and mate scores are independent of $N$. Other algorithms adjust scores in an attempt to make FPIR independent of $N$.


False positive identification rate, $\operatorname{FPIR}(T)$
Figure 59: [FRVT-2018 Mugshot Dataset] Identification miss rates vs. false positive rates. The figure shows miss rates $F N I R(N, L, T)$ as a function of $F P I R(N, T)$, with $N$ ranging from 640000 to 12000000 as noted in rows 1-10 of Table 5. These error tradeoff characteristics are useful for applications where a threshold must be elevated to limit false positives, such as when human reviewer labor is not matched to the volume of searches. Dark lines join points of equal threshold: If horizontal, FPIR(T) rises with $N$, and mate scores are independent of $N$. Other algorithms adjust scores in an attempt to make FPIR independent of $N$.


False positive identification rate, $\operatorname{FPIR}(T)$
Figure 60: [FRVT-2018 Mugshot Dataset] Identification miss rates vs. false positive rates. The figure shows miss rates FNIR(N, L, T) as a function of FPIR(N, T), with $N$ ranging from 640000 to 12000000 as noted in rows 1-10 of Table 5. These error tradeoff characteristics are useful for applications where a threshold must be elevated to limit false positives, such as when human reviewer labor is not matched to the volume of searches. Dark lines join points of equal threshold: If horizontal, FPIR(T) rises with $N$, and mate scores are independent of $N$. Other algorithms adjust scores in an attempt to make FPIR independent of $N$.


False positive identification rate, $\operatorname{FPIR}(T)$
Figure 61: [FRVT-2018 Mugshot Dataset] Identification miss rates vs. false positive rates. The figure shows miss rates FNIR(N, L, T) as a function of FPIR(N, T), with $N$ ranging from 640000 to 12000000 as noted in rows 1-10 of Table 5. These error tradeoff characteristics are useful for applications where a threshold must be elevated to limit false positives, such as when human reviewer labor is not matched to the volume of searches. Dark lines join points of equal threshold: If horizontal, FPIR(T) rises with $N$, and mate scores are independent of $N$. Other algorithms adjust scores in an attempt to make FPIR independent of $N$.

## Appendix B Effect of time-lapse: Accuracy after face ageing



Figure 62: [FRVT-2018 Mugshot Ageing Dataset] Identification miss rates vs. rank by time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Miss rates are computed over all searches noted in row 17 of Table 5 and binned by number of years between search and initial enrollment.


Figure 63: [FRVT-2018 Mugshot Ageing Dataset] Identification miss rates vs. rank by time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Miss rates are computed over all searches noted in row 17 of Table 5 and binned by number of years between search and initial enrollment.


Figure 64: [FRVT-2018 Mugshot Ageing Dataset] Identification miss rates vs. rank by time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Miss rates are computed over all searches noted in row 17 of Table 5 and binned by number of years between search and initial enrollment.

Figure 65: [FRVT-2018 Mugshot Ageing Dataset] Identification miss rates vs. rank by time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Miss rates are computed over all searches noted in row 17 of Table 5 and binned by number of years between search and initial enrollment.


## Dataset: 2018 Mugshots

 Tier: 5Time Lapse
(years)

- $(00,02$
- $(02,04]$
- $(04,06]$
- $(08,10]$
- $(10,12]$
- $(14,18]$
Figure 66: [FRVT-2018 Mugshot Ageing Dataset] Identification miss rates vs. rank by time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Miss rates are computed over all searches noted in row 17 of Table 5 and binned by number of years between search and initial enrollment.

 enrollment.


Rank



Dataset: 2018 Mugshots Tier: 6

Time Lapse
(years)

- $(00,02]$
$-\quad(02,04]$
$-\quad(04,06]$
$\begin{array}{r}-(04,06] \\ - \\ \hline\end{array}(06,08]$
- $(08,10]$
- $(10,12]$
$-\quad(12,14]$
- $(14,18]$
Figure 67: [FRVT-2018 Mugshot Ageing Dataset] Identification miss rates vs. rank by time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Miss rates are computed over all searches noted in row 17 of Table 5 and binned by number of years between search and initial






## Dataset: 2018 Mugshots

 Tier: 7Time Lapse
(years)
$\begin{aligned}- & (00,02] \\ - & (02,04] \\ - & (04,06] \\ - & (06,08] \\ - & (08,10] \\ - & (10,12] \\ - & (12,14] \\ - & (14,18]\end{aligned}$
Figure 68: [FRVT-2018 Mugshot Ageing Dataset] Identification miss rates vs. rank by time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Miss rates are computed over all searches noted in row 17 of Table 5 and binned by number of years between search and initial enrollment.

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| :---: | :---: | :---: | :---: | :---: | :---: |




## Dataset: 2018 Mugshots

 Tier: 8Time Lapse
(years)
$\begin{aligned}- & (00,02] \\ - & (02,04] \\ - & (04,06] \\ - & (06,08] \\ - & (08,10] \\ - & (10,12] \\ - & (12,14] \\ - & (14,18]\end{aligned}$
Figure 69: [FRVT-2018 Mugshot Ageing Dataset] Identification miss rates vs. rank by time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Miss rates are computed over all searches noted in row 17 of Table 5 and binned by number of years between search and initial enrollment.




Dataset: 2018 Mugshots Tier: 9
Time Lapse
(years)

- $(00,02]$
$-(02,04]$
$-\quad(04,06]$
- $(06,08]$
- $(08,10]$
- $(10,12]$
- $(12,14]$
- $(14,18]$
Figure 70: [FRVT-2018 Mugshot Ageing Dataset] Identification miss rates vs. rank by time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Miss rates are computed over all searches noted in row 17 of Table 5 and binned by number of years between search and initial enrollment.

| 2019/09/11 | FNIR $(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | $\mathrm{T}=$ Threshold | $\mathrm{T}=0 \rightarrow$ Investigation |
| :--- | ---: | :--- | :--- | :--- | :--- |
| 16:09:13 | FPIR $(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined |  | $\mathrm{T}>0 \rightarrow$ Identification |




Dataset: 2018 Mugshots Tier: 10
Time Lapse
(years)

- $(00,02$
$(02,04]$
$-\quad(04,06]$
- $(06,08]$
- $(08,10]$
- $(10,12]$
- $(12,14]$
Figure 71: [FRVT-2018 Mugshot Ageing Dataset] Identification miss rates vs. rank by time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Miss rates are computed over all searches noted in row 17 of Table 5 and binned by number of years between search and initial enrollment.






Dataset: 2018 Mugshots
$\mathrm{N}=3068801$
二 ${ }^{(000,02]}$
- $(04,06]$
- ${ }^{(06,08,10]}$
- $(08,10]$
(10, 12$]$
(1214)
— $(12,14]$
[ $(14,18]$

Figure 72: [FRVT-2018 Mugshot Ageing Dataset] Identification miss rates vs. FPIR by time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Miss rates are computed over all searches noted in row 17 of Table 5 and binned by number of years between search and initial enrollment. FPIR is computed from the same FRVT 2018 non-mates noted in row 3 of Table 5 with $N=3000000$.


False positive identification rate (FPIR)
Figure 73: [FRVT-2018 Mugshot Ageing Dataset] Identification miss rates vs. FPIR by time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Miss rates are computed over all searches noted in row 17 of Table 5 and binned by number of years between search and initial enrollment. FPIR is computed from the same FRVT 2018 non-mates noted in row 3 of Table 5 with $N=3000000$.


Dataset: 2018 Mugshots
$\mathrm{N}=3068801$

- $(00,02]$
$\begin{array}{r}\text { - } 002,04] \\ - \\ \hline\end{array}(04,06]$
二 $(06,08]$
- $(08,10]$
( 10,12$]$
$\left.\begin{array}{r}(12,14] \\ - \\ \hline\end{array}(14,18]\right)$



Dataset: 2018 Mugshots
$\mathrm{N}=3068801$
- $(00,02]$
- $(02,04]$
$\begin{array}{r}(00,04] \\ -\quad(04,06] \\ \hline\end{array}$
- $(06,08]$
- $(08,10]$
$-(10,12]$
$(12,14]$
$-(14,18]$
Figure 75: [FRVT-2018 Mugshot Ageing Dataset] Identification miss rates vs. FPIR by time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Miss rates are computed over all searches noted in row 17 of Table 5 and binned by number of years between search and initial enrollment. FPIR is computed from the same FRVT 2018 non-mates noted in row 3 of Table 5 with $N=3000000$.




Dataset: 2018 Mugshots $N=3068801$
$-{ }^{(000,02]}$
二 ${ }^{(02,04,06]}$

- $(06,08]$
$\begin{array}{r}\text { - }(08,10] \\ (10,12) \\ \hline\end{array}$
- $(10,12]$
- $(12,14]$ - $(12,14]$
(14,18]

False positive identification rate (FPIR)
Figure 76: [FRVT-2018 Mugshot Ageing Dataset] Identification miss rates vs. FPIR by time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Miss rates are computed over all searches noted in row 17 of Table 5 and binned by number of years between search and initial enrollment. FPIR is computed from the same FRVT 2018 non-mates noted in row 3 of Table 5 with $N=3000000$.



Figure 77: [FRVT-2018 Mugshot Ageing Dataset] Native mate scores vs. time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Mated score distributions are computed over all searches noted in row 17 of Table 5 binned by number of years between search and initial enrollment.

Figure 78: [FRVT-2018 Mugshot Ageing Dataset] Native mate scores vs. time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Mated score distributions are computed over all searches noted in row 17 of Table 5 binned by number of years between search and initial enrollment.


Figure 79: [FRVT-2018 Mugshot Ageing Dataset] Native mate scores vs. time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Mated score distributions are computed over all searches noted in row 17 of Table 5 binned by number of years between search and initial enrollment.

Figure 80: [FRVT-2018 Mugshot Ageing Dataset] Native mate scores vs. time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Mated score distributions are computed over all searches noted in row 17 of Table 5 binned by number of years between search and initial enrollment.


Figure 81: [FRVT-2018 Mugshot Ageing Dataset] Native mate scores vs. time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Mated score distributions are computed over all searches noted in row 17 of Table 5 binned by number of years between search and initial enrollment.

Figure 82: [FRVT-2018 Mugshot Ageing Dataset] Native mate scores vs. time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Mated score distributions are computed over all searches noted in row 17 of Table 5 binned by number of years between search and initial enrollment.

Figure 83: [FRVT-2018 Mugshot Ageing Dataset] Native mate scores vs. time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Mated score distributions are computed over all searches noted in row 17 of Table 5 binned by number of years between search and initial enrollment.


Figure 84: [FRVT-2018 Mugshot Ageing Dataset] Native mate scores vs. time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Mated score distributions are computed over all searches noted in row 17 of Table 5 binned by number of years between search and initial enrollment.

Figure 85: [FRVT-2018 Mugshot Ageing Dataset] Native mate scores vs. time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Mated score distributions are computed over all searches noted in row 17 of Table 5 binned by number of years between search and initial enrollment.

Figure 86: [FRVT-2018 Mugshot Ageing Dataset] Native mate scores vs. time-elapsed. The oldest image of each individual is enrolled. Thereafter, all more recent images are searched. Mated score distributions are computed over all searches noted in row 17 of Table 5 binned by number of years between search and initial enrollment.

## Appendix C Effect of enrolling multiple images




False positive identification rate， $\operatorname{FPIR}(T)$
Figure 87：［FRVT－2018 Mugshot Dataset］Effect of enrolling multiple images for each identity．The plot shows an identification miss rates vs．false positive rates，at seven operating thresholds．The enrolled population size is fixed．The images are enrolled with lifetime－consolidation－see section 2．3．

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Tier＝
－ $\mathrm{FPIR}=0.0003$
 －FPIR $=0.0010$ — $\mathrm{FPIR}=0.0030$ － $\mathrm{FPIR}=0.0100$
二 $\mathrm{FPR}=0.0300$
$\mathrm{FPIR}=0.1000$ － $\begin{array}{r}\text { FPIR }=0.1000 \\ \text { FPIR }=0.3000\end{array}$

Figure 88：［FRVT－2018 Mugshot Dataset］Effect of enrolling multiple images for each identity．The plot shows an identification miss rates vs．false positive rates，at seven operating thresholds．The enrolled population size is fixed．The images are enrolled with lifetime－consolidation－see section 2．3．

False positive identification rate, $\operatorname{FPIR}(T)$
Figure 89: [FRVT-2018 Mugshot Dataset] Effect of enrolling multiple images for each identity. The plot shows an identification miss rates vs. false positive rates, at seven operating thresholds. The enrolled population size is fixed. The images are enrolled with lifetime-consolidation - see section 2.3.


## Tier=4

- $\begin{array}{r}\text { FPIR }=0.0003 \\ \text { - } \\ \text { FPIR }=0.0010\end{array}$ - FPIR=0.0030 - $\begin{aligned} & \text { FPIR }=0.0100 \\ & \text { FPIR }=0.0300\end{aligned}$ - $\begin{aligned} & \text { FPRR }=0.030 \\ & \text { FPIR }=0.100\end{aligned}$ - FPIR=0.3000

Figure 90: [FRVT-2018 Mugshot Dataset] Effect of enrolling multiple images for each identity. The plot shows an identification miss rates vs. false positive rates, at seven operating thresholds. The enrolled population size is fixed. The images are enrolled with lifetime-consolidation - see section 2.3.

False positive identification rate, $\operatorname{FPIR}(T)$
Figure 91: [FRVT-2018 Mugshot Dataset] Effect of enrolling multiple images for each identity. The plot shows an identification miss rates vs. false positive rates, at seven operating thresholds. The enrolled population size is fixed. The images are enrolled with lifetime-consolidation - see section 2.3.


False positive identification rate, $\operatorname{FPIR}(T)$

Figure 92: [FRVT-2018 Mugshot Dataset] Effect of enrolling multiple images for each identity. The plot shows an identification miss rates vs. false positive rates, at seven operating thresholds. The enrolled population size is fixed. The images are enrolled with lifetime-consolidation - see section 2.3.

## Appendix D Accuracy with poor quality webcam images




Figure 93: [Webcam Dataset] Identification miss rates vs. rank. The results apply to cross-domain recognition in which webcams are searched against enrolled mugshots. The FNIR values are higher than those for mugshot-mugshot identification due to low image resolution, lighting and less constrained subject pose in webcam images - see Figure 4.

Dataset: Webcam Tier: 2
FNIR(R=1, $\mathrm{N}=1600000$ and Algorithm

- 0.046 incode_ 1
- 0.046 cogent_ 0.046 cogent_1
- 0.045 neurotechnology_
- 0.044 gorilla_2
- 0.042 neurotechnology_3
- 0.041 nec_0
- 0.040 innovatrics 4
- 0.040 incode_3
— 0.039 lookman_4
- 0.039 idemia_5
- 0.038 isystems_0 - 0.038 lookman_3
二 0.038 everai_ 0
- 0.038 tevian_-
- 0.038 anke_1
- 0.037 dermalog_5
- 0.035 alchera_3
- 0.034 cognitec_1
- 0.034 idemia_-- 0.034 idemia_ - 0.033 hik_0
— 0.032 idemia_4
- 0.030 remarkai 0 - 0.030 visionlabs_3 - 0.029 remarkai_2
- 0.028 tevian_5
- 0.027 hik_3
- 0.027 hik_4
- 0.026 dahua_ 0
- 0.026 isystems_2
- 0.025 cognitec_2
- 0.024 dermalog_6
- 0.024 neurotechnology 5
- 0.024 vocord_3
- 0.023 vocord_-5
Figure 94: [Webcam Dataset] Identification miss rates vs. rank. The results apply to cross-domain recognition in which webcams are searched against enrolled mugshots. The FNIR values are higher than those for mugshot-mugshot identification due to low image resolution, lighting and less constrained subject pose in webcam images - see Figure 4.


Dataset: Webcam
Tier: 3 Tier: 3
FNIR(R=1, $\mathrm{N}=1600000$ and Algorithm

- 0.148 eyedea_3
= 0.141 rankone_4 4
0.138 aware_ 0 - 0.128 aware_6 - 0.117 rankone_0
- 0.117 newland_2 0.104 neurotechnology_ - 0.103 camvi_5 - 0.100 incode_0 - 0.095 tiger_0
- 0.095 gorilla_1
- 0.090 aware - 0.086 3divi_-- 0.078 realnetworks_0 - 0.078 realnetworks_ - 0.077 camvi_4 - 0.076 innovatric - 0.074 3divi_6 - 0.074 innovatrics_2 - 0.072 idemia_ 6 - 0.071 rankone_2 - 0.070 gorilla_3 - 0.068 vocord_ 0 - 0.068 rankone_3 - 0.066 tevian_0 - 0.062 3divi_4 - 0.062 3divi_ 5 - 0.060 yisheng_0 - 0.060 yisheng_1 - 0.059 cognitec_ 0 - 0.058 shaman_6 — 0.057 shaman_ 0.056 nec_1 - 0.055 innovatric二- 0.053 vd_1
- 0.052 tevian_3
- 0.048 incode_2
- 0.047 alchera_0

Figure 95: [Webcam Dataset] Identification miss rates vs. rank. The results apply to cross-domain recognition in which webcams are searched against enrolled mugshots. The FNIR values are higher than those for mugshot-mugshot identification due to low image resolution, lighting and less constrained subject pose in webcam images - see Figure 4.


Figure 96: [Webcam Dataset] Identification miss rates vs. rank. The results apply to cross-domain recognition in which webcams are searched against enrolled mugshots. The FNIR values are higher than those for mugshot-mugshot identification due to low image resolution, lighting and less constrained subject pose in webcam images - see Figure 4.



Figure 97: [Webcam Dataset] Identification miss rates vs. false positive rates. The results apply to cross-domain recognition in which webcams are searched against enrolled mugshots. The FNIR values are higher than those for mugshot-mugshot identification due to low image resolution, lighting and less constrained subject pose in webcam images - see Figure 4.


Dataset: Webcam, Tier=2 FNIR( $=1600000$, FPIR $=0.001$ and Algorithm

- 0.974 yisheng_0

二 0.808 yisheng_ 0.434 gorilla ${ }_{3}$

- 0.424 3divi_0
- 0.418 neurotechnology_6
- 0.361 innovatrics_
- 0.343 3divi 4
- 0.342 3diviv 6
- 0.331 tevian_0
- 0.318 realnetworks_0
- 0.318 realnetworks_1
- 0.315 realnetworks_2
- 0.303 cognitec_0
- 0.298 tevian_3
- 0.297 innovatrics_3
- 0.296 incode_1 0.285 vocord_0
- 0.281 vd 1 -
- 0.269 incod

二 0.266 gorill__2

- 0.266 neurotechnology_3
- 0.264 incode_3
- 0.261 rankone_
- 0.255 rankone_3
- 0.253 aware_ 5
- 0.240 shaman_ $^{0}$
- 0.239 alchera_
- 0.233 alchera_ ${ }^{3}$
- 0.230 cognitec_1
- 0.225 idemia_6
- 0.221 innovatrics_4
- 0.220 anke_ 0
- 0.220 anke_ 1
- 0.216 alchera_0
- 0.2019 nem_1
- 0.176 tevian_4
- 0.173 rankone_5
- 0.173 isystems_0
- 0.170 everai_ 0
- 0.164 idemia_3
- 0.162 ntechlab_0
- 0.155 hik_0
- 0.154 dermalog 5
- 0.140 nec_0
- 0.140 nec_0 0
- 0.140 cogent_ 0
- 0.136 camvi-4
- 0.118 idemia_4 - 0.1125 lookman_3 0.105 lookman 4

Figure 98: [Webcam Dataset] Identification miss rates vs. false positive rates. The results apply to cross-domain recognition in which webcams are searched against enrolled mugshots. The FNIR values are higher than those for mugshot-mugshot identification due to low image resolution, lighting and less constrained subject pose in webcam images - see Figure 4.


Figure 99: [Webcam Dataset] Identification miss rates vs. false positive rates. The results apply to cross-domain recognition in which webcams are searched against enrolled mugshots. The FNIR values are higher than those for mugshot-mugshot identification due to low image resolution, lighting and less constrained subject pose in webcam images - see Figure 4.

## Appendix E Accuracy for profile-view to frontal recognition

Figures 100-102 gives accuracy results for searching 100000 mated and 100000 non-mated profile-view images against the same FRVT 2018 frontal enrollment dataset, $\mathrm{N}=1600000$, used in the main mugshot trials. This experiment corresponds to row- 13 of Table 5. An example of profile-view image is given in Figure 5.


Figure 100: [Mugshot and profile-view dataset] Rank-based accuracy. For some of the more accurate Phase 3 algorithms the figure plots error tradeoff characteristics for frontal and profile-view searches into an enrolled set of $N=1600000$ frontal images. Note that some algorithms fail on profile-view images with FNIR $\rightarrow 1$-this evaluation did not ask developers to provide profile-view capability. Some algorithms, on the other hand, give FNIR approaching that for frontal-view searches using c. 2010 algorithms. The best result is that $91 \%$ of profile-view searches yield the correct mate at rank 1, and better than $94 \%$ in the top- 50 candidates.


Dataset: 2018 Mugshot-Profile FNIR@FPIR = 0.002 $\mathrm{N}=1600000$

- 1.0000 sensetime_0
- 0.9999 noblis_ 0.9997 hik_
- 0.9995 aware_5
- 0.9991 isystems_3
- 0.9985 alchera_3
- 0.9984 hik_5
- 0.9982 camvi_4
- 0.9976 cognitec_2
- 0.9966 rankone_5
- 0.9953 tiger_2
- 0.9951 megvii_2
- 0.9945 neurotec
- 0.9898 anke_0
- 0.9845 toshiba_0
— 0.9829 vocord_5
二- 0.9754 remarkai_2
- 0.9745 innovatrics_4
- 0.9727 idemia_5
- 0.9671 idemia_4
- 0.9625 everai_3
- 0.9474 shaman_6
- 0.9359 dahua_- 1
- 0.9260 dermalog
- 0.8381 tevian 5
- 0.6009 nec_3
- 0.5311 ntechlab_6
- 0.4136 visionlabs_7
- 0.2545 microsoft_5
- 0.1848 microsoft_ 6

Figure 101: [Mugshot and profile-view dataset] Threshold-based accuracy. For some of the more accurate Phase 3 algorithms the figure plots error tradeoff characteristics for frontal and profile-view searches into an enrolled set of $N=1600000$ frontal images. Note that some algorithms fail on profile-view images with FNIR $\rightarrow 1$ - this evaluation did not ask developers to provide profile-view capability. Some algorithms, on the other hand, give FNIR approaching that for frontal-view searches using $c$. 2010 algorithms.


Figure 102: [Mugshot and profile-view dataset] Speed-accuracy tradeoff. For some of the more accurate Phase 3 algorithms the figure plots error tradeoff characteristics for frontal and profile-view searches into an enrolled set of $N=1600000$ frontal images. Some algorithms fail on profile-view images with FNIR $\rightarrow 1$ - this evaluation did not ask developers to provide profile-view capability. Some algorithms, on the other hand, give FNIR approaching that for frontal-view searches using c. 2010 algorithms. Blue lines connect points of equal threshold from which it is evident that some algorithms would give markedly higher false positive outcomes if profile-view images were searched in a system configured for frontal searches. This would be a vulnerability in an access control system.

## Appendix F Accuracy when identifying wild images




Figure 103: [Wild Dataset] Identification miss rates vs. rank. For the wild dataset, the figure shows false negative identification rates (FNIR) vs. rank when the threshold is set to zero. This metric is relevant to human reviewers who will traverse candidate lists checking whether any of the returned identities match to the search imagery. Specifically, wild images were searched against 1.1 million individuals enrolled with wild images as well.


Figure 104: [Wild Dataset] Identification miss rates vs. rank. For the wild dataset, the figure shows false negative identification rates (FNIR) vs. rank when the threshold is set to zero. This metric is relevant to human reviewers who will traverse candidate lists checking whether any of the returned identities match to the search imagery. Specifically, wild images were searched against 1.1 million individuals enrolled with wild images as well.


Figure 105: [Wild Dataset] Identification miss rates vs. rank. For the wild dataset, the figure shows false negative identification rates (FNIR) vs. rank when the threshold is set to zero. This metric is relevant to human reviewers who will traverse candidate lists checking whether any of the returned identities match to the search imagery. Specifically, wild images were searched against 1.1 million individuals enrolled with wild images as well.



Figure 106: [Wild Dataset] Identification miss rates vs. rank. For the wild dataset, the figure shows false negative identification rates (FNIR) vs. rank when the threshold is set to zero. This metric is relevant to human reviewers who will traverse candidate lists checking whether any of the returned identities match to the search imagery. Specifically, wild images were searched against 1.1 million individuals enrolled with wild images as well.

|  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |
|  |  |  |  | = ( $\mathrm{I}^{\prime}$ N) NIId | $\begin{array}{r} \text { £I:60:9I } \\ \text { LI/60/6L0z } \end{array}$ |
|  | (1) |  |  |  |  |
|  |  |  |  |  |  |



Dataset: cs5, Tier=1 NIR(N=1107000, FPIR=0.2
and Algorithm

- 0.055 idemia_6
-0.051 idemia_4
- 0.050 3divi 5
- 0.047 incode_1
- 0.045 dermalog_ 0.044 neurotechnology_6
- 0.0444 neurotec $\mathbf{0}$
- 0.044 tevian_4
- 0.043 idemia_5
- 0.043 vocord_3
- 0.040 cognitec_3 3
- 0.040 visionlabs_3
- 0.039 isystems
— 0.039 yitu_2 2
- 0.036 gorilla 2
- 0.035 cogent_2
- 0.034 yitu_4
- 0.034 ntechlab_1
- 0.033 incode_2
- 0.032 isystems_3
- 0.031 visionlabs_5 0.031 incode 3
- 0.030 ntechlab
- 0.030 ntechlab_4
- 0.030 vocord_5
- 0.028 microsoft_4
- 0.028 siat_1
- 0.027 dahua_ 1
- 0.025 everai 3

二 0.024 ntechlab_5

- 0.020 visionlabs_7
- 0.019 microsoft_5
- -0.826 sensetime_ 1

Figure 107: [Wild Dataset] Identification miss rates vs. false positive rates. The figure shows accuracy of algorithms on wild images searched against wild images of 1.1 million individuals enrolled into a gallery. On the vertical axis is miss rate FNIR(N,T,L) with $N=1107000$, as a function of false positive identification FPIR( $N, T$ ). The rapid increase in FNIR below FPIR $=0.1$ suggests that some background identities in the gallery are actually present in the non-mated search sets. This issue will be addressed in the 2019 revision of this report.


Dataset: cs5, Tier=2 FNIR (N=1107000, FPIR=0.2
and Algorithm

- 0.115 vigilantsolutions_
- 0.098 eyedea_1 1
- 0.094 vigilantsolutions_
- 0.093 vigilantsolutions_3
- 0.085 dermalog_2
- 0.083 rankone_-
- 0.078 rankone_3
- 0.075 3divi_2
- 0.074 3divi-
- 0.074 dermalog_4
- 0.073 3divi 0
- 0.072 eyedea_3
- 0.070 shaman_
- 0.069 yisheng_ 0.065 cognitec 1
- 0.064 realnetworks_0
- 0.063 innovatrics_3
- 0.062 gorilla_3
- 0.061 innovatrics_
- 0.061 yisheng_1
- 0.060 gorilla_1
- 0.060 tevian_1
- 0.059 megvii_0

| - 0.058 hik_4 |
| :--- |
| 0.056 rankon |

- 0.055 alchera 3
- 0.055 3divi_6
- 0.054 dermalog 5
- 0.054 cognitec_2
- 0.053 microsoft_0
- 0.052 microsoft_2
- 0.051 microsoft_1
 1.1 million individuals enrolled into a gallery. On the vertical axis is miss rate FNIR(N, T, L) with $N=1107000$, as a function of false positive identification FPIR(N, T). The rapid increase in FNIR below FPIR $=0.1$ suggests that some background identities in the gallery are actually present in the non-mated search sets. This issue will be addressed in the 2019 revision of this report.


False positive identification rate, $\mathrm{FPIR}(\mathrm{T})$
Figure 109: [Wild Dataset] Identification miss rates vs. false positive identivication rate, FPIR(T) The figure shows accuracy of algorithms on wild images searched against wild images of 1.1 million individuals enrolled into a gallery. On the vertical axis is miss rate FNIR(N,T,L) with $N=1107000$, as a function of false positive identification FPIR(N, T). The rapid increase in FNIR below FPIR $=0.1$ suggests that some background identities in the gallery are actually present in the non-mated search sets. This issue will be addressed in the 2019 revision of this report.


False positive identification rate, $\operatorname{FPIR}(T)$
Figure 110: [Wild Dataset] Identification miss rates vs. false positive identification rate, FPIR(T) 1.1 million individuals enrolled into a gallery. On the vertical axis is miss rate FNIR(N, T, L) with $N=1107000$, as a function of false positive identification FPIR(N, T). The rapid increase in FNIR below FPIR $=0.1$ suggests that some background identities in the gallery are actually present in the non-mated search sets. This issue will be addressed in the 2019 revision of this report.

## Appendix G Search duration

As in and prior tests, this section documents search speeds spanning three orders of magnitude. In applications where search volumes are high enough, this will have implications for hardware requirements especially for large N or when search duration is appreciably larger than the time it takes to prepare a template from the search image(s). Further, given very large (and growing) operational databases, the scalability of algorithms is important. It has been reported previously [8] that search duration can scale sublinearly with enrolled population size N. Further there has been considerable recent research on indexing, exact [13] and approximate nearest neighbor search [1,13] and fast-search [14,16].

Figure 111 charts the search duration measurements presented earlier in Tables 6-9.
$\triangleright$ Most algorithms scale linearly. For those in that category, there is a wide range in speed with search durations ranging from 82 milliseconds for a 12 million gallery (for NEC-3) to more than 40 seconds (for Yitu-3, Toshiba-2) and even higher for less accurate algorithms.
$\triangleright$ Some developers (Camvi, Dermalog, EverAI, Innovatrics, and Visionlabs) provide algorithms whose template search durations grow logarithmically i.e. approximately $T(N)=a \log N$ with the constant $a$ varying between implementations. In the figure this model is fit using the point $T(1)=0$, and $T(640000)$. This very sublinear behaviour affords extremely fast search times in very large galleries. One caveat for the sublinear algorithms is that the fast-search data structures require considerable computation time - on the order of hours - for N in the millions, and this scales mildy super-linearly, i.e. $O\left(N^{b}\right), b>1$. There are exceptions: the Camvi algorithms take minutes; and Innvovatrics' scale sublinearly.



Figure 111: [Mugshot Dataset] Search duration vs. enrolled population size. In red are the actual point durations measured on a single c. 2016 core. The blue shows linear growth from $N=640000$. The green line shows logathmic growth from that point to $N=1600000$. Note the sublinear growth from algorithms from Camvi, Dermalog, EverAI, Innovatrics, and Visionlabs. The tiger_1 algorithm is also sublinear, but inaccurate and inoperable at $N \geq 3000000$. This capability sometimes comes at the additional expense of converting a linear gallery data structure into whatever fast-search data structure is used. Note that search times are sometimes dominated by the template generation times shown in Table 16.


Figure 112: [Mugshot Dataset] Search duration vs. enrolled population size. In red are the actual point durations measured on a single c. 2016 core. The blue shows linear growth from $N=640000$. The green line shows logathmic growth from that point to $N=1600000$. Note the sublinear growth from algorithms from Camvi, Dermalog, EverAI, Innovatrics, and Visionlabs. The tiger_1 algorithm is also sublinear, but inaccurate and inoperable at $N \geq 3000000$. This capability sometimes comes at the additional expense of converting a linear gallery data structure into whatever fast-search data structure is used. Note that search times are sometimes dominated by the template generation times shown in Table 16.


Figure 114: [Mugshot Dataset] Search duration vs. enrolled population size. In red are the actual point durations measured on a single c. 2016 core. The blue shows linear growth from $N=640000$. The green line shows logathmic growth from that point to $N=1600000$. Note the sublinear growth from algorithms from Camvi, Dermalog, EverAI, Innovatrics, and Visionlabs. The tiger_1 algorithm is also sublinear, but inaccurate and inoperable at $N \geq 3000000$. This capability sometimes comes at the additional expense of converting a linear gallery data structure into whatever fast-search data structure is used. Note that search times are sometimes dominated by the template generation times shown in Table 16.


Figure 115: [Mugshot Dataset] Search duration vs. enrolled population size. In red are the actual point durations measured on a single c. 2016 core. The blue shows linear growth from $N=640000$. The green line shows logathmic growth from that point to $N=1600000$. Note the sublinear growth from algorithms from Camvi, Dermalog, EverAI, Innovatrics, and Visionlabs. The tiger_1 algorithm is also sublinear, but inaccurate and inoperable at $N \geq 3000000$. This capability sometimes comes at the additional expense of converting a linear gallery data structure into whatever fast-search data structure is used. Note that search times are sometimes dominated by the template generation times shown in Table 16.

## Appendix H Gallery Insertion Timing







Figure 117: [Mugshot Dataset] Gallery insertion duration vs. enrolled population size. This chart plots the time it takes to insert a single template into a finalized gallery, illustrated over increasing gallery sizes. For reference, search times on finalized galleries of corresponding sizes are plotted right underneath. Gallery insertion time plots were generated on algorithms that 1) successfully implemented gallery insertion with no errors and 2) that were run on galleries with $N$ up to 12000000 . Generally, only the more accurate algorithms were run on galleries with $N$ up to 12000000 .




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| 2019/09/11 | FNIR $(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | $\mathrm{T}=$ Threshold |
| :--- | ---: | :--- | :--- | :--- |
| 16:09:13 | FPIR $(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined | $\mathrm{T}=0 \rightarrow$ Investigation |
| $\mathrm{T}>0 \rightarrow$ Identification |  |  |  |  |

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| 2019/09/11 | $\operatorname{FNIR}(\mathrm{N}, \mathrm{R}, \mathrm{T})=$ | False neg. identification rate | $\mathrm{N}=$ Num. enrolled subjects | T = Threshold | $\mathrm{T}=0 \rightarrow$ Investigation |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 16:09:13 | $\operatorname{FPIR}(\mathrm{N}, \mathrm{T})=$ | False pos. identification rate | $\mathrm{R}=$ Num. candidates examined |  | $\mathrm{T}>0 \rightarrow$ Identification |


[^0]:    ${ }^{1}$ For example, Resnets [11], Inception [23], very deep networks [18,21] and spatial transformers.
    ${ }^{2}$ NEC-0 prepares templates much faster than NEC-2 but gives twenty times more misses. Dermalog-5 executes a template search much more quickly than Dermalog-6 but is also much less accurate.

[^1]:    ${ }^{3}$ The gallery size here is 12 million people, 26.1 million images. Given 331254 non-mated searches, an exhaustive implementation of one-too-many search would execute 8.6 trillion comparisons. At a false positive identification rate of 0.0025 the number of false positives is, to first order, 828 corresponding to single-comparison false match rate of $828 / 8.6$ trillion $=9.610^{-11}$ i.e. about 1 in 10 billion. Strictly this FMRR computation meaningful only for algorithms that implement 1:N search using N 1:1 comparisons, which is not always the case.
    ${ }^{4}$ See the CDC's National Vital Statistics Report for 2017: https:/ /www.cdc.gov/nchs/data/nvsr/nvsr67/nvsr67_08-508.pdf

[^2]:    ${ }^{5}$ Intel Xeon CPU E5-2630 v4 running at 2.20 GHz .

[^3]:    ${ }^{7}$ For example, a person might skip applying for a passport for one cycle, letting it expire. In addition, a person might submit identical images (from the same photography session) to consecutive passport applications at five year intervals.
    ${ }^{8} \mathrm{~A}$ number of distributions have been considered to model recidivism, see for example [3].
    ${ }^{9}$ There are no formal face template standards. Template standards only exist for fingerprint minutiae - see ISO/IEC 19794-2:2011.

[^4]:    ${ }^{10}$ For example, the Megaface benchmark. This is bad practice for several reasons: First, if a developer knows, or can reasonably assume, that a mate always exists, then unrealistic gaming of the test is possible. A second reason is that it does not put FPIR on equal footing with FNIR and that matters because in most applications, not all searches have mates - not everyone has been previously enrolled in a driving license issuance or a criminal justice system - so addressing between-class separation becomes necessary.

[^5]:    ${ }^{11}$ This value is the sum of two partial false negative rates: $\mathrm{FNIR}_{B}=0.15 * 0.0039$ plus $\mathrm{FNIR}_{D}=0.3 * 0.0039$

[^6]:    ${ }^{12}$ The FRVT 2018 API prohibited threading, so some gains from parallelism may be available on multiple-cores or multiple processors, if the feature extraction code could be distributed across them.
    ${ }^{13}$ Note also that factors of two or more may be realizable by exploiting modern vector processing instructions on CPUs. It is not clear in our measurements whether all developers exploited Intel's AVX2 instructions, for example. Our machine was so equipped, but we insisted that the same compiled library should also run on older machines lacking that instruction. The more sophisticated implementations may have detected AVX2 presence and branched accordingly. The less sophisticated may be defaulted to the reduced instruction set. Readers should see the FRVT 2018 API document for the specific chip details.

