

# The role of AIST/NMIJ Certified Reference Materials in X-ray reflectometry metrology

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# Presentation Outline

NIST / NMIJ collaboration motivation

NMIJ XRR CRM stability studies

NMIJ XRR CRM for sample alignment

NMIJ XRR CRM for  
metrology of XRR uncertainty analysis

NIST/AIST  
Collaboration outputs

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# SRM Development Difficulty

*Why NIST cannot produce SRMs for all measurement needs*

Time (Years)	Requirements for SRM Development		NIST Cost*	
	SI-traceable NIST Instrument	Metrological First Principles Analysis Method	Labor (1 FTE is \$250k)	Equipment (commercial instr. cost)
10 (+)	Necessary	Necessary	3 - 10	3-5 times
5	Necessary	Available	2 - 5	3-5 times
2 - 3	Available	Necessary	2 - 5	na
1 - 2	Available	Available	1-2	na
Assuming a stable, high uniformity material is Available for use as SRM feedstock			*Only partially recovered through SRM sales	

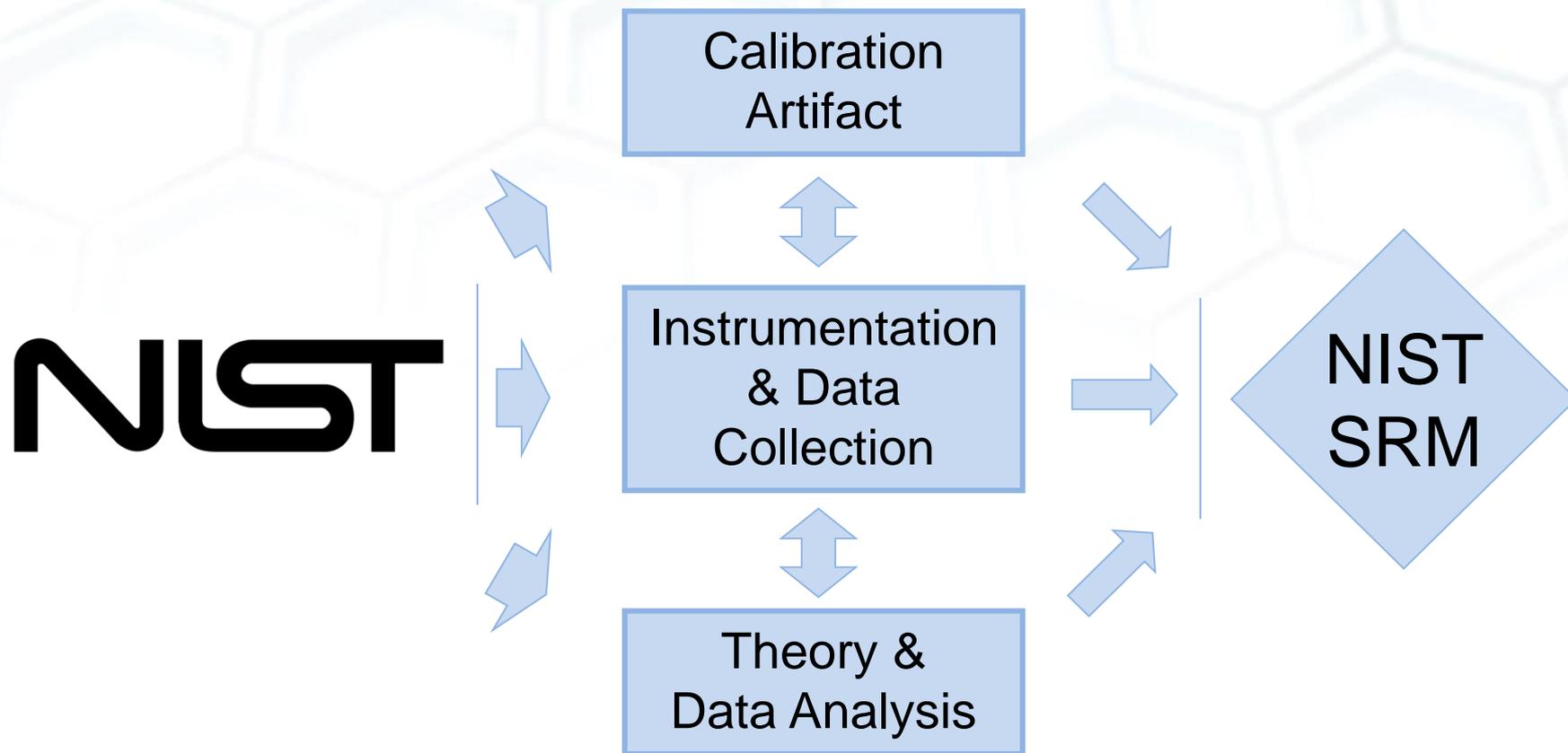
# NIST - Community Interaction

*NIST's transfer of calibration capability to customers by SRMs*



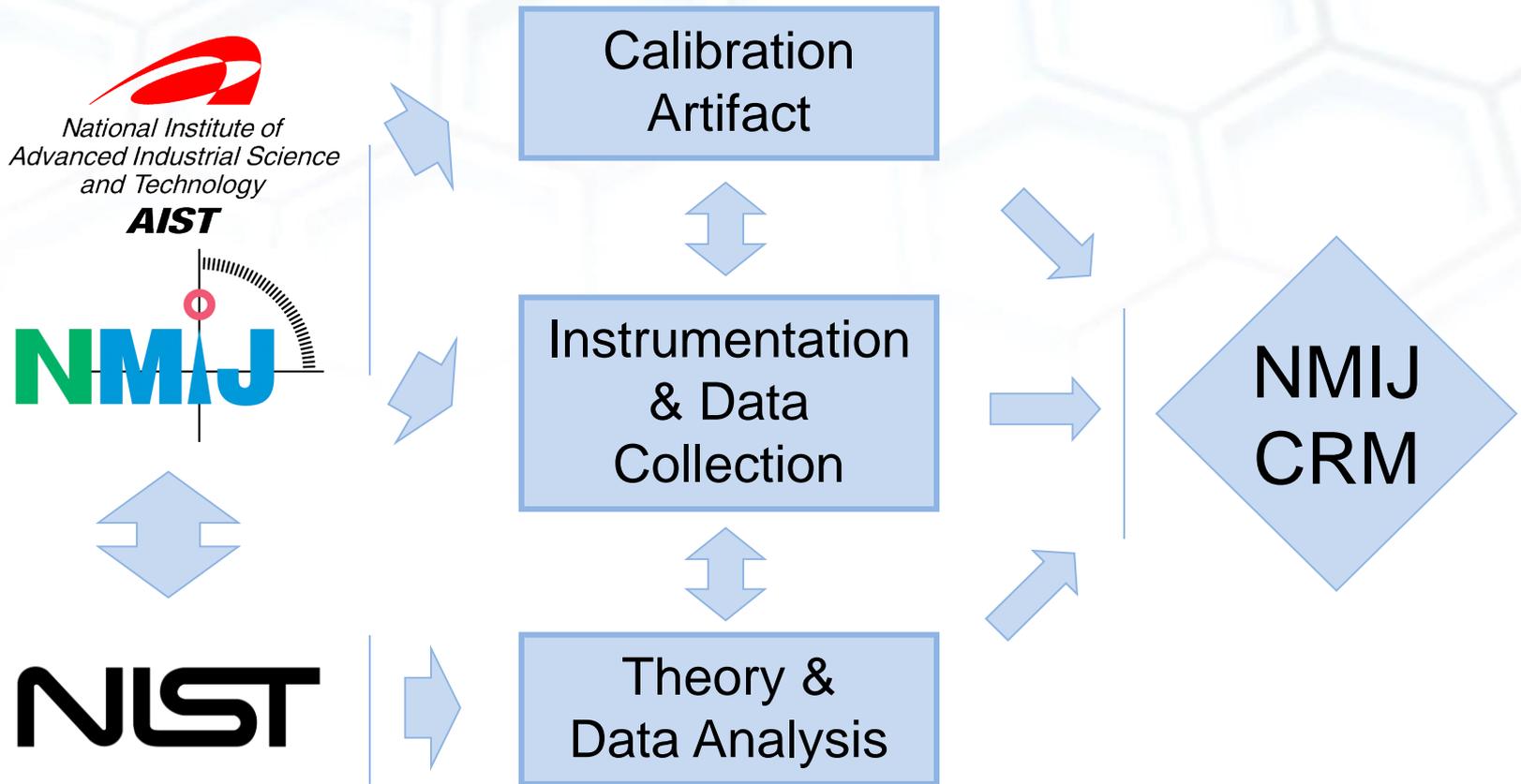
# SRM Development

## *Elements of an SRM*



# NIST – NMIJ/AIST Collaboration

*Providing XRR metrology to the international community*



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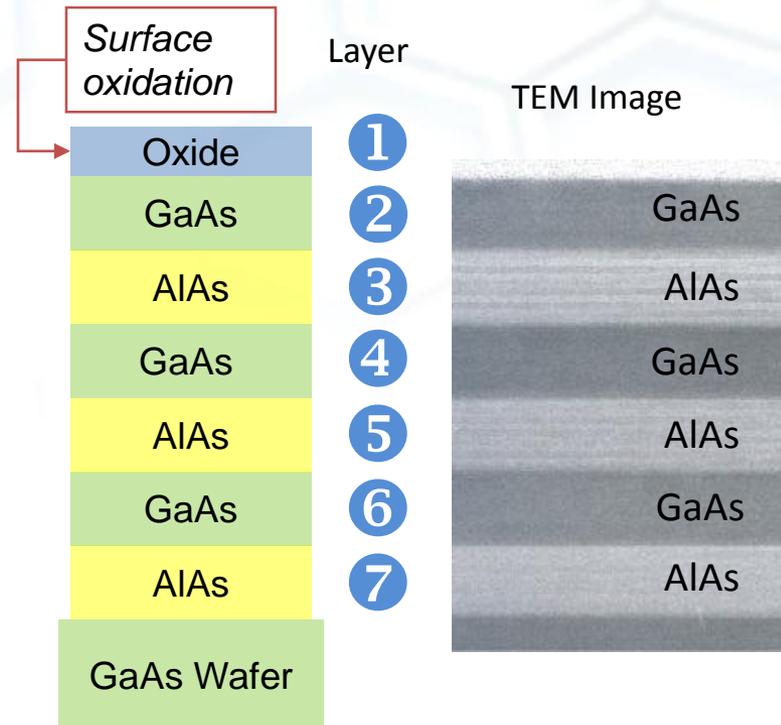
# XRR CRM structure

*As deposited model*



NMIJ CRM

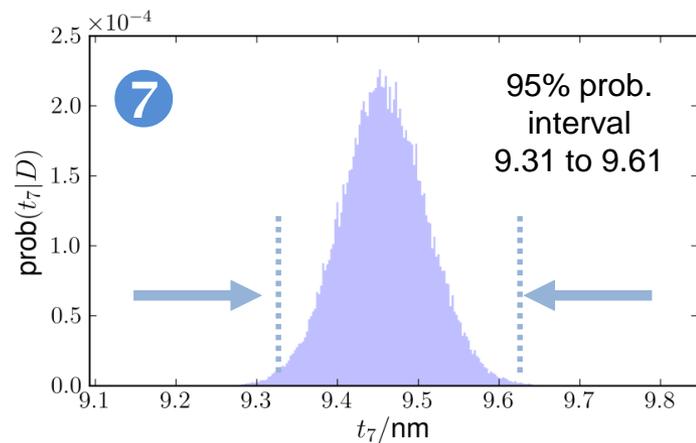
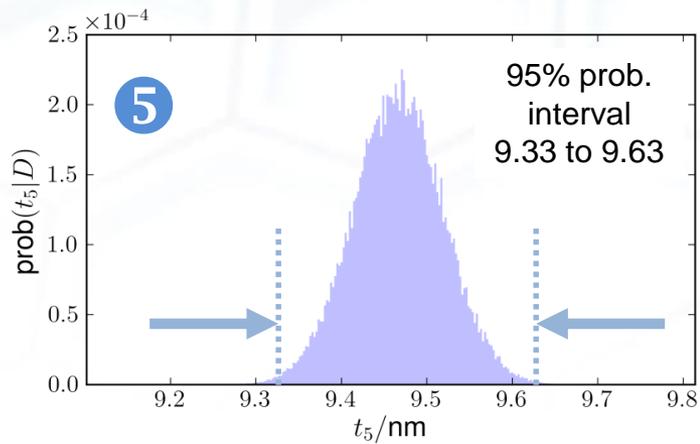
MBE epitaxial deposition of AlAs/GaAs produces high quality, stoichiometric, multilayer with smooth interfaces and low inter-diffusion.



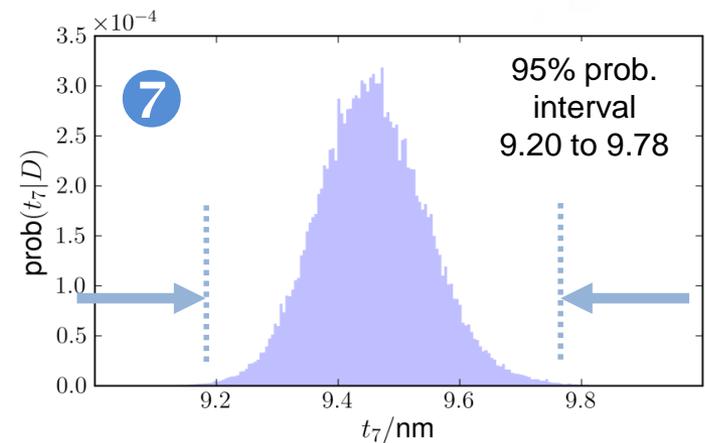
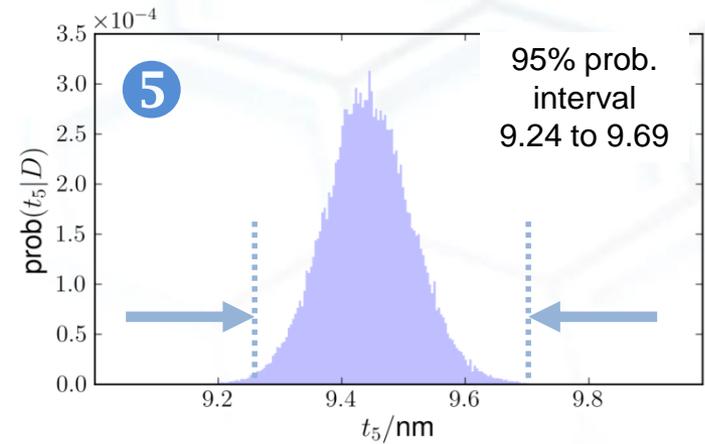
# AIAs Thickness Stability

## 5 year comparison of thickness

### MCMC of 2004 XRR data



### MCMC of 2009 XRR data



# XRR CRM Thickness Stability

*5 year comparison of thickness*

Structure (thickness in nm)	2004		2009	
	NMIJ/GA <sup>5</sup>	NIST/MCMC (95%) k=2 <sup>6</sup>	NIST/GA	NIST/ MCMC (95%) k=2 <sup>6</sup>
Al <sub>2</sub> O <sub>3</sub>	1.23	0.89 to 1.43	2.779	2.97 to 3.66
GaAs	9.05	8.87 to 9.60	8.457	<u>7.88 to 8.51</u>
AlAs	9.44	9.35 to 9.64	9.480	9.09 to 9.69
GaAs	9.27	9.13 to 9.40	9.307	9.01 to 9.45
AlAs	9.43	9.33 to 9.63	9.464	9.24 to 9.69
GaAs	9.26	9.12 to 9.40	9.303	9.10 to 9.60
AlAs	9.44	9.31 to 9.61	9.466	9.20 to 9.78
GaAs	--	--	--	--

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# XRR Data and Fit: Aligned

## 2004 NMIJ data GA refinement

### NMIJ Results

Oxide (1.23nm)

GaAs (9.05 nm)

AlAs (9.44 nm)

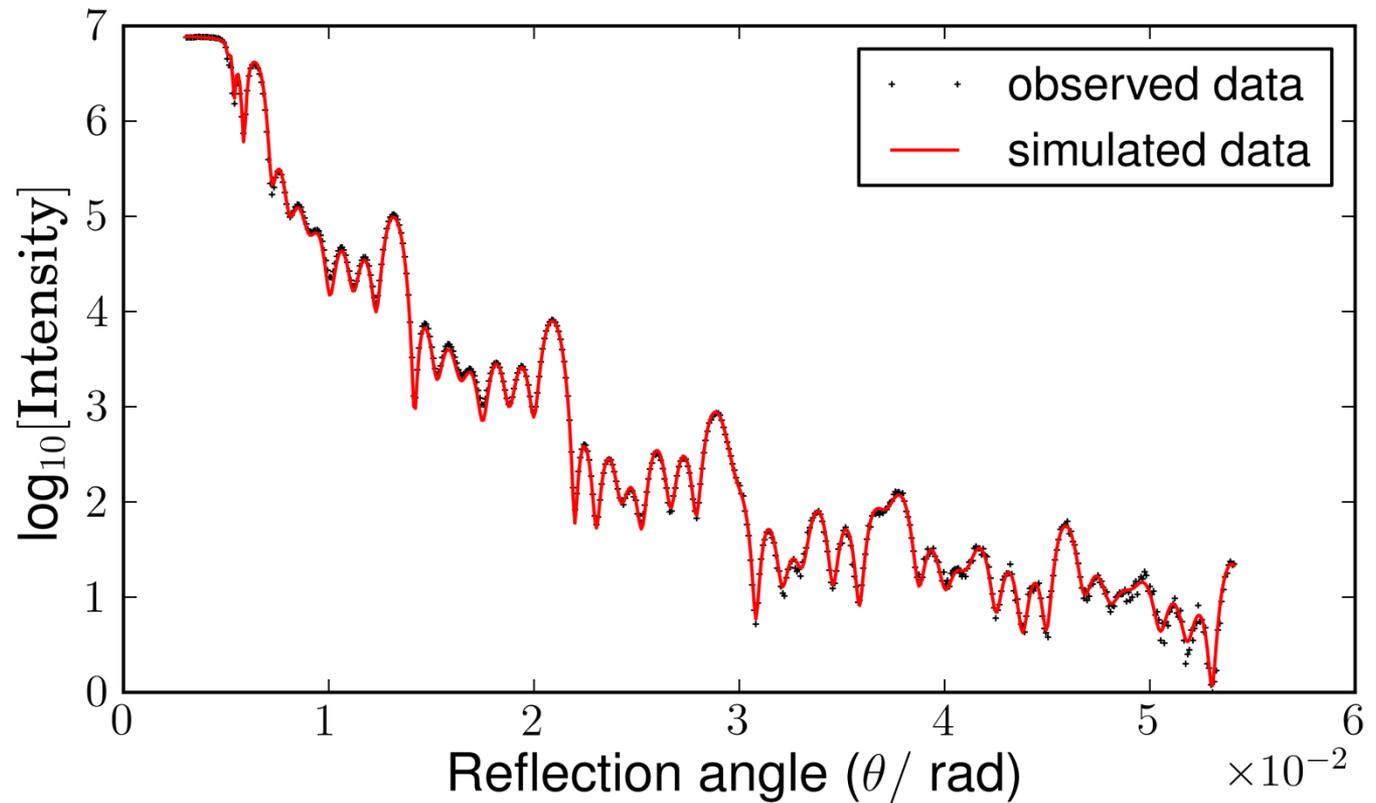
GaAs (9.27 nm)

AlAs (9.43 nm)

GaAs (9.26 nm)

AlAs (9.44 nm)

GaAs Wafer

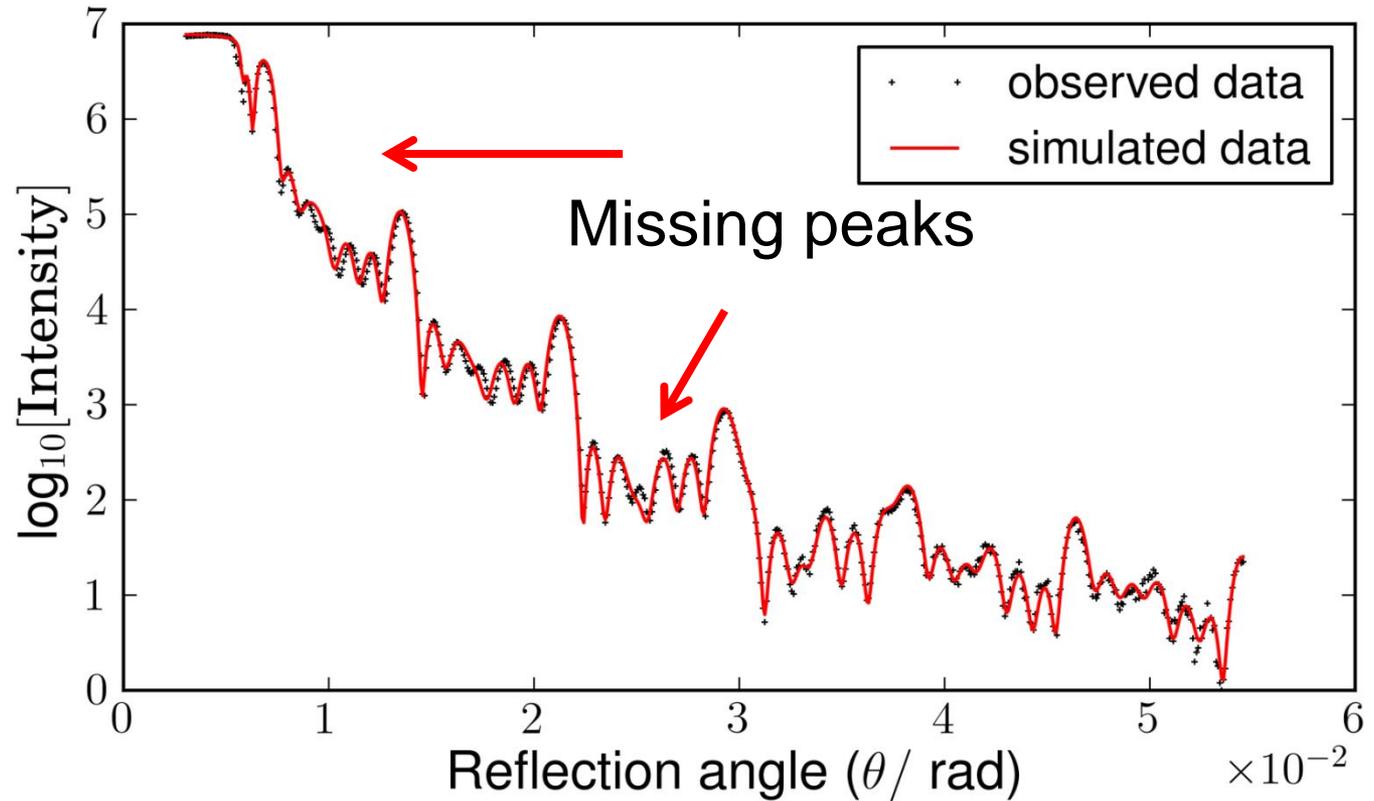


# XRR Data and Fit: $\gamma$ of + 0.025°

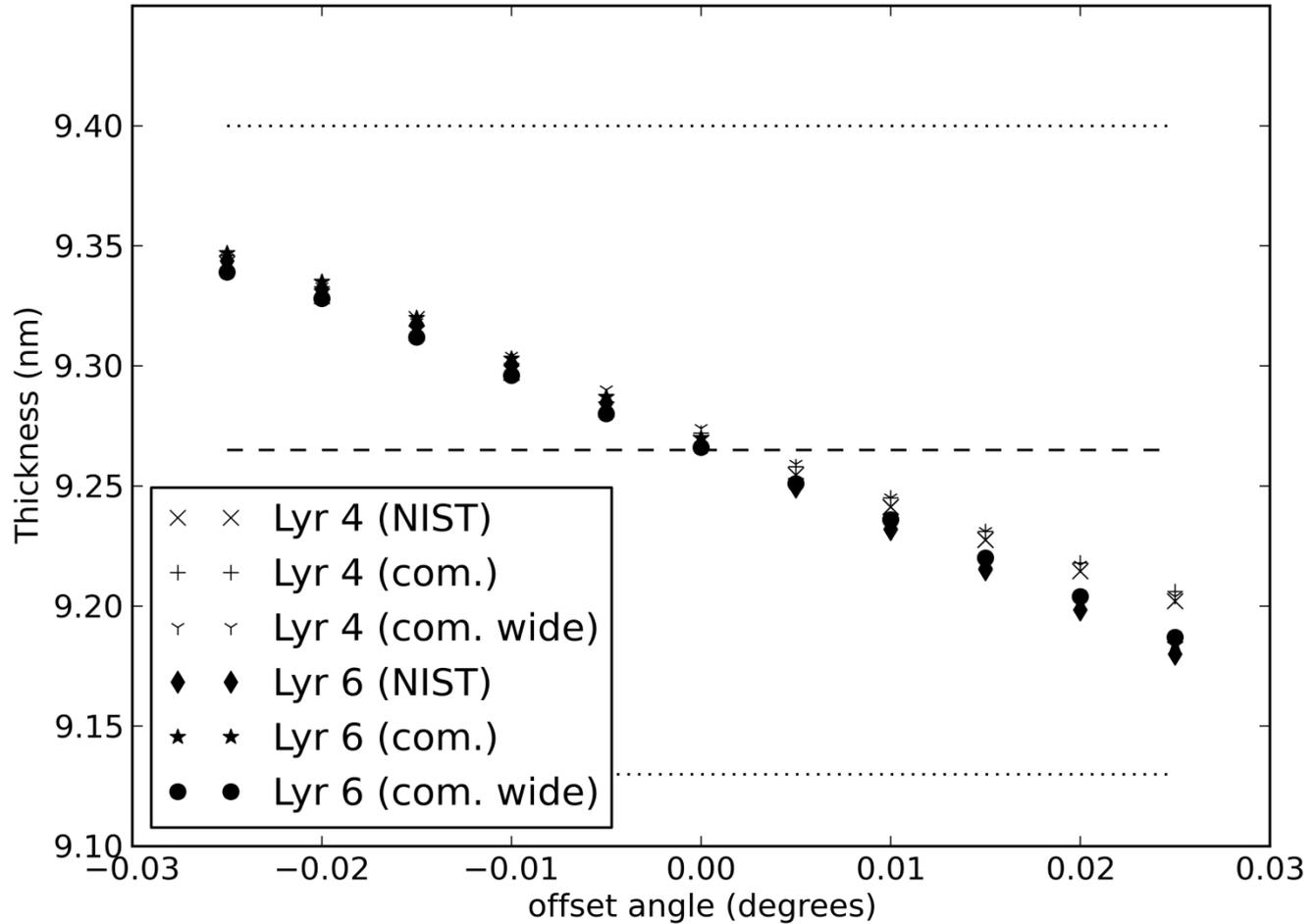
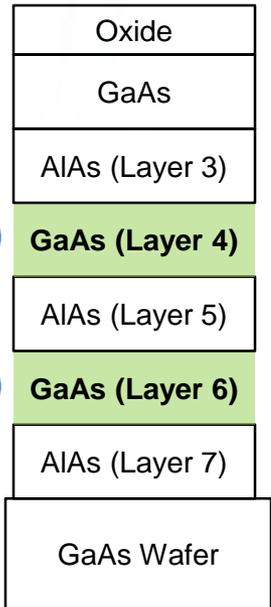
## 2004 NMIJ data GA refinement

### NMIJ Results

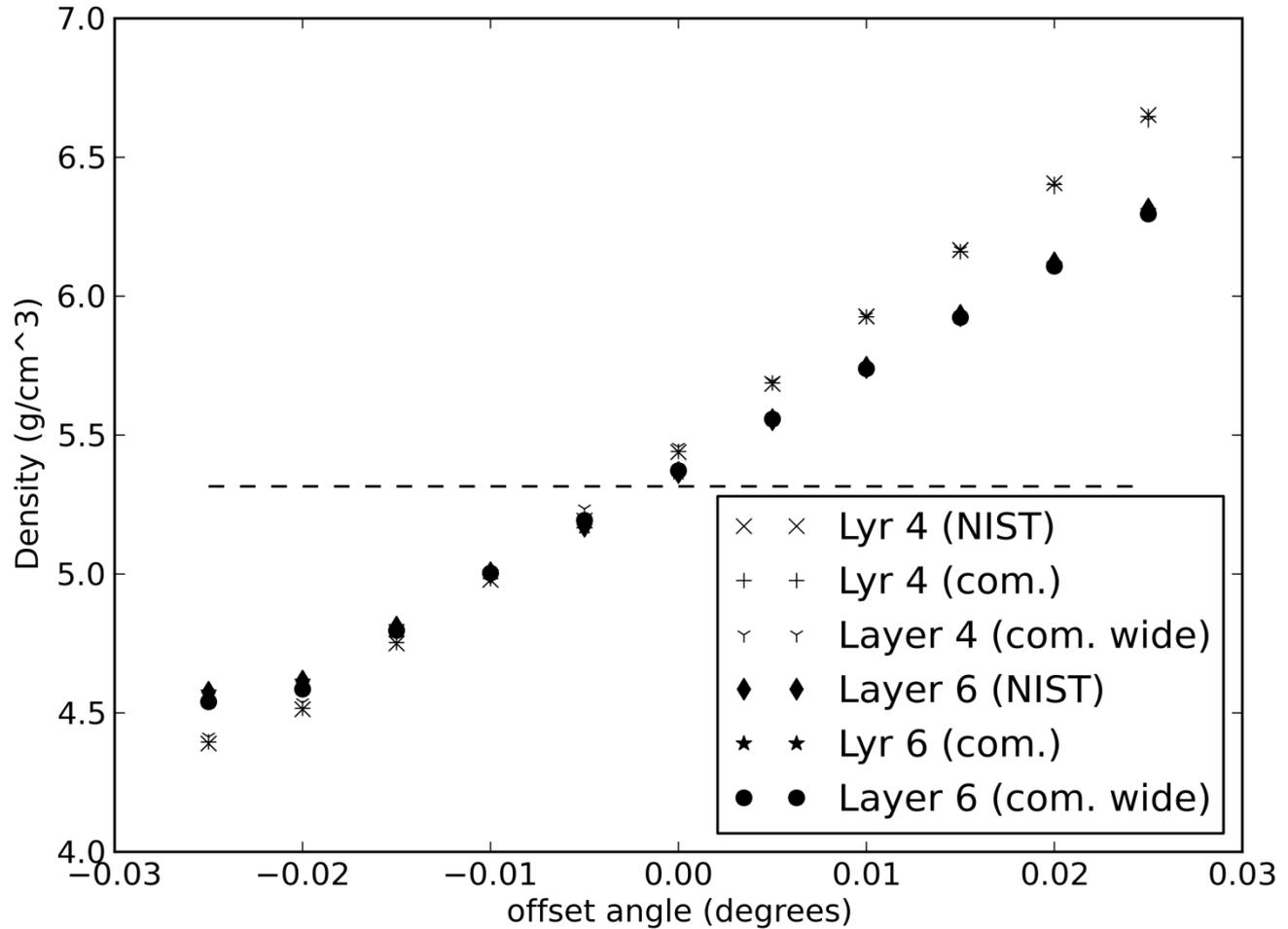
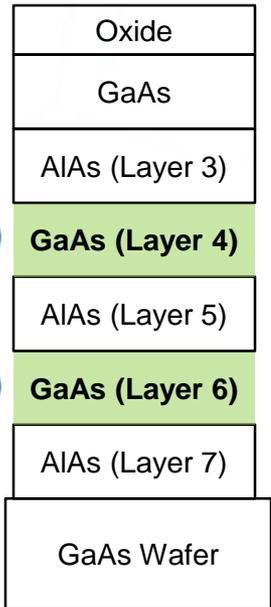
Oxide (1.23nm)
GaAs (9.05 nm)
AIAs (9.44 nm)
GaAs (9.27 nm)
AIAs (9.43 nm)
GaAs (9.26 nm)
AIAs (9.44 nm)
GaAs Wafer



# XRR Modeling: GaAs Thickness



# XRR Modeling: GaAs Density



# Conclusions

Goodness of Fit does not provide enough information for alignment  $\gamma < \pm 0.01$  degrees

Increase in reflection angle (via misalignment) increases density and decreases thickness (as predicted)

If density and/or thickness parameters are known (certified), then either can be used for sample alignment

Density and Thickness provide alignment  $\gamma < \pm 0.005$  degrees

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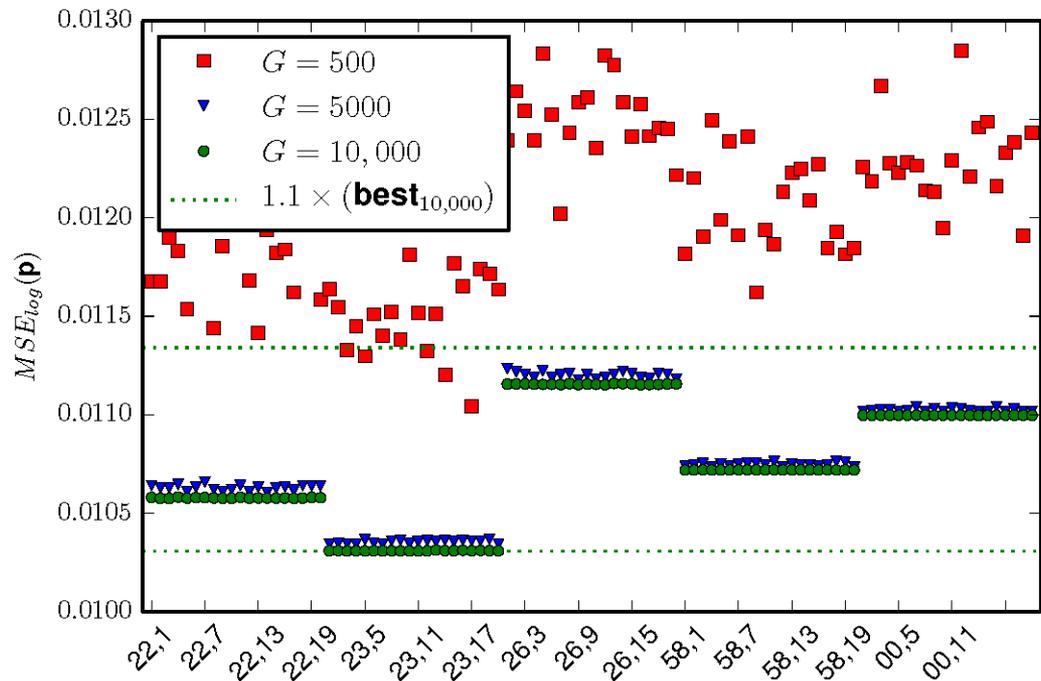
# XRR uncertainty metrology using NMIJ CRM

## Goodness of fit

The five different spreads of values represent best fits for 5 XRR data sets on the same sample and same alignment.

Green bars represent expanded uncertainty of parameter over the measurement set and Represents refinement variation introduced by measurement noise.

Red squares = 500 generations  
Blue triangle = 5,000 generations  
Green circle = 10,000 generations



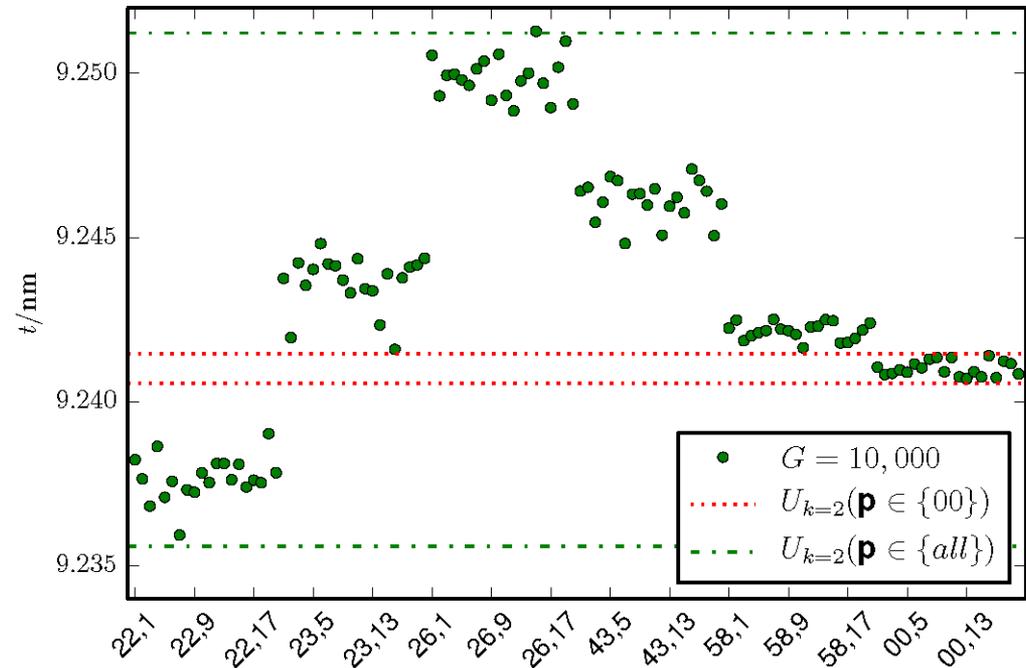
# XRR uncertainty metrology using NMIJ CRM

## Thickness of GaAs (layer 6)

The five different spreads of values represent best fits for 5 XRR data sets on the same sample and same alignment.

Green bars represent expanded uncertainty of parameter over the measurement set

Red bars represent expanded uncertainty of parameter for a single measurement



# XRR uncertainty metrology using NMIJ CRM

*Relative precision over various measurement strategies*

Layer	Sealed tube				Rotating anode			
	One, 8hr set		Six, 8 hr sets		Ten, 15 min sets		Three, Six hour sets	
	30 s (00)		30 s set		1 s set		20 s set	
	<t> /nm	U (t <sub>00</sub> )	U(t <sub>30s</sub> )	U(t <sub>30s</sub> ) / U (t <sub>00</sub> )	U(t <sub>1s</sub> )	U(t <sub>1s</sub> ) / U (t <sub>00</sub> )	U(t <sub>20s</sub> )	U(t <sub>20s</sub> ) / U (t <sub>00</sub> )
<b>Ga1</b>	8.298	0.001	0.014	18	0.011	14	0.004	5
<b>Al 1</b>	9.496	0.001	0.016	21	0.024	32	0.01	14
<b>Ga 2</b>	9.27	0.001	0.009	15	0.009	14	0.011	17
<b>Al 2</b>	9.486	0.001	0.011	21	0.015	30	0.005	10
<b>Ga 3</b>	9.241	0.0	0.01	22	0.013	30	0.008	19
<b>Al 3</b>	9.462	0.001	0.012	14	0.019	23	0.004	5
<b>&lt;&gt;</b>	-	-	-	<b>19</b>	-	<b>23</b>	-	<b>11</b>

# Conclusions

Differential Evolutions can estimate uncertainties for measurement-to-measurement bias. However, to perform this type of statistical analysis from an optimization method you must:

Measure repeated measurements  
(~ 10 identical XRR measurements)

Run multiple DEs on each XRR measurement  
(~ 10-20 DEs) for,  $m > 10 \times d$  &  $G > 5,000$ )

Find refinement uncertainty (using narrow parameter ranges) and a 1.1x best DE selection

Estimate uncertainties using results from DEs from multiple measurements and 1.1x best set

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# NIST / NMIJ Key Outputs

## Posters

X-Ray Reflectometry Parameter Uncertainties for Thin Films

D.L. Gil, D. Windover, J.P. Cline, Y. Azuma, and T. Fujimoto

Presented at Frontiers of Characterization and Metrology for Nanoelectronics, Grenoble, France, 2011

Sample Alignment of X-Ray Reflectometry Using Thickness and Density From Certified Reference Materials

D. Windover, Y. Azuma, D.L. Gil, and T. Fujimoto

Presented at Frontiers of Characterization and Metrology for Nanoelectronics, Gaithersburg, MD, 2013

## Papers

Determining sample alignment in x-ray reflectometry using thickness and density from

GaAs/AlAs multilayer certified reference materials

D. Windover, D.L. Gil, Y. Azuma, and T. Fujimoto, Meas. Sci. Technol. 25 (2014) 105007

Reproducible x-ray reflectometry optimization: statistical analysis of differential evolution fitting of multilayer structural models

D. Windover, D.L. Gil, Y. Azuma, and T. Fujimoto, Submitted for review

