Controlled Unclassified Information

The Program, Implementation, and Features

Shared • Standardized • Transparent
- An Information Security Reform
- What is CUI?
- The Policies
- Oversight
- Industry
- Other Safeguarding Elements
Information Security Reform

- Clarifies what to protect
- Defines safeguarding
- Reinforces existing LRGWP
- Promotes authorized information sharing
What is Controlled Unclassified Information or CUI?

**CUI is unclassified information requiring protection as identified in a law, regulation, or government-wide policy.**

- The CUI Registry provides information on the specific categories and subcategories of information that the Executive branch protects. The CUI Registry also provides the newly approved Defense category. The CUI Registry can be found at: https://www.archives.gov/cui

**CUI includes, but is not limited to:**

- Privacy (including Health)
- Tax
- Law Enforcement
- Critical Infrastructure
- Controlled Technical Information
- Financial
- Intelligence
- Privilege
- Unclassified Nuclear
- Procurement and Acquisition
What we protect and How we protect it

CUI Registry

32 CFR 2002
“This FAR rule is necessary to ensure uniform implementation of the requirements of the CUI program in contracts across the government, thereby avoiding potentially inconsistent agency-level action.” –Unified Agenda

The NIST 800-171 is intended for use by federal agencies in appropriate contractual vehicles or other agreements established between those agencies and nonfederal organizations.
Oversight Approach

Certification = Asserting compliance

Documentation = Describing compliance

Validation = Verifying compliance
Implementation

- Implementation has begun
  - Program officials, resources, policy, training, systems, contracts, oversight
- CUI practices and Legacy practices will exist at the same time.
Industry does not treat legacy information the same way the government does. Continue to protect all legacy information you received in accordance with the contracts from which you received the information. **Do not change the protections unless your contract is modified.**

For expired contracts continue to protect the information in accordance with the requirements set forth in the contract.

Follow-on or contract extensions may be modified to include CUI identification and protection.
CUI Specified (Requires unique markings)

Laws, Regulations, or Government-wide policies require specific protections. For example:
- Unique markings
- Enhanced physical safeguards
- Limits on who can access the information

CUI Basic

Laws, Regulations, or Government-wide policies DO NOT require specific protections.
Controlled Environments

- **Controlled environment** is any area or space an authorized holder deems to have adequate physical or procedural controls (e.g., barriers and managed access controls) for protecting CUI from unauthorized access or disclosure.

Reception Area used to control access to workspace.
Assessing physical environments

- Going beyond gates, guns, and guards: Internal security

  - Who works in the space?
  - Who has access to the space during and after business hours?
  - Do individual workspaces (cubes & offices) have adequate safeguards to prevent access (locking cabinets, drawers, or overhead bins)?
  - Suitable for sensitive discussions?
Assessing Electronic Environments

Limit and control access to CUI within the workforce by establishing electronic barriers.

Assess:
- Who has access?
- Who needs access?
- Is access removed when no longer needed?
- Documentation?
- Verification and Oversight?
Three Elements of the Banner Marking

- The CUI Control Marking (mandatory) may consist of either “CONTROLLED” or “CUI.”

- CUI Category Markings (mandatory for CUI Specified). CUI Control Markings and Category Markings are separated by two forward slashes (//). When including multiple categories they are separated by a single forward slash (/).

- Limited Dissemination Control Markings. Limited Dissemination Control Markings are separated from the rest of the Banner Marking by a double forward slash (//).
Supplemental Administrative Markings may not be incorporated into the Banner Marking or mixed with CUI Markings.
Agencies may authorize or require the use of alternate CUI indicators on IT systems, websites, browsers, or databases through agency CUI policy. These may be used to alert users of the presence of CUI where use of markings has been waived by the agency head.
Destruction

- Unreadable, Indecipherable, and Irrecoverable
- Other methods acceptable with verification and documentation
- See CUI Notice 2017-02
Learn More (Websites and Events)

Websites:

- CUI Registry
  https://www.archives.gov/cui

- CUI Training
  https://www.archives.gov/cui/training.html

- CUI Blog
  https://isoo.blogs.archives.gov/

Events:

- Industry Day
  December 10, 2018
  9:00 a.m. – 3:00 p.m.
  McGowan Theater
  National Archives Museum
  701 Constitution Ave. NW
  Washington, DC 20408

- CUI Program Update to Stakeholders
  November 14, 2018
  1:00 p.m. – 3:00 p.m.
  Webinar (sign up on blog for invite)