## HEALTH IT STANDARDS TESTING INFRASTRUCTURE

# NIST Medical Device Communication Testing

Semantic interoperability of Medical Devices

HIT Test Tool Update

Joint HL7/IEEE 11073 Healthcare Devices Working Group

National Institute of Standards and Technology 11 September, 2012 – Baltimore, Maryland Contact: john.garguilo@nist.gov, 301-975-5248



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## **Content Topics**

- HL7 V2 (2.6) IHE-PCD Validation Tools
  - Cycle 7 2012-13; Fall Pre-Connectathon, Virtual Testing,
     January/February 2013 Connectathon, HIMSS13
- Rosetta Terminology Mapping Management System (RTMMS) Overview
  - RTMMS Deployment Update and service status
    - User Membership Protocol / pending IEEE decision
- ICSGenerator
  - Implementation Conformance Statement Generator
  - Device Profile/Specialization
- ISO/IEEE 11073 'Domain Information Model Editor'
  - UML Defined x73 DIM
  - Provides DIM Objects
  - Art Griesser + Jun Ho Shin ("Shin") [see presentation]

# NIST MDC Testing Project Web Sites

- Project Web site: www.nist.gov/medicaldevices
- NIST HL7 V2 Test Tooling Web sites:
  - ➤ IHE-PCD Pre-Connectathon:
    <a href="http://hit-testing.nist.gov:13100/PCD-HL7WebPreCon/">http://hit-testing.nist.gov:13100/PCD-HL7WebPreCon/</a>
  - ➤ IHE-PCD Connectathon: http://hit-testing.nist.gov:13100/PCD-HL7WebCon/
- NIST Medical Device Terminology Service:
  - Rosetta Terminology Mapping Management System (RTMMS): http://hit-testing.nist.gov:13110/rtmms/
- NIST Implementation Conformance Statement Generator (ICSGenerator):
  - http://hit-testing.nist.gov/medicaldevices/ICSGenerator/ics\_download.html

# IHE-PCD 2012 Pre-Connectathon + Connectathon NIST Testing Support

The NIST V2 (2.6) Tools perform the following validation:

- Syntax and Semantic Content Validation
  - Against IHE-PCD Technical Frameworks/Supplements (e.g., PCD-01, Communicate Device Data)
  - Against HL7 conformance profile
  - Against HL7 and/or user [local] provided tables
    - Example of user provided table is RTM for Ref\_IDs, Units, etc.
  - Against 'validation context', including specific values
    - Defined in XML (e.g., specific test case values)

## **Testing Environments**

#### Instance Testing

- Conformance (e.g., against HL7 2.x or CDA)
  - Test object conforms to specification on which it is based
  - IHE Model: ~Virtual and Pre-Connectathon
  - NIST IHE-PCD v2 Message Validation Test Tool

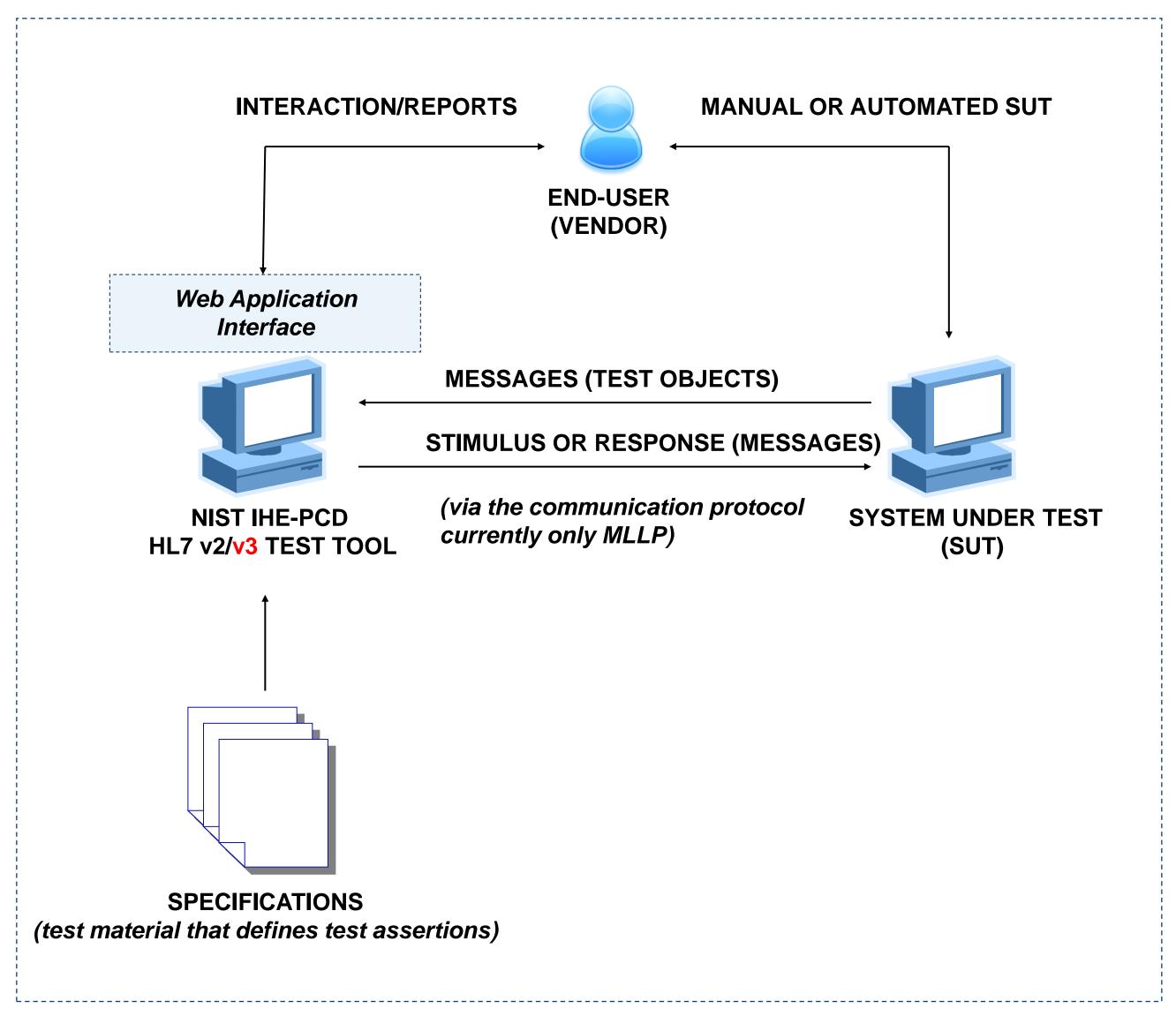
### Isolated System Testing

- Includes Instance Testing Activities
- Protocol Conformance
- Functional Behavior Conformance
  - Features and operational behavior correspond to specifications
  - IHE Model: ~Virtual and Pre-Connectathon
  - NIST IHE-PCD v2 Test Tool

### Peer-to-Peer System Testing

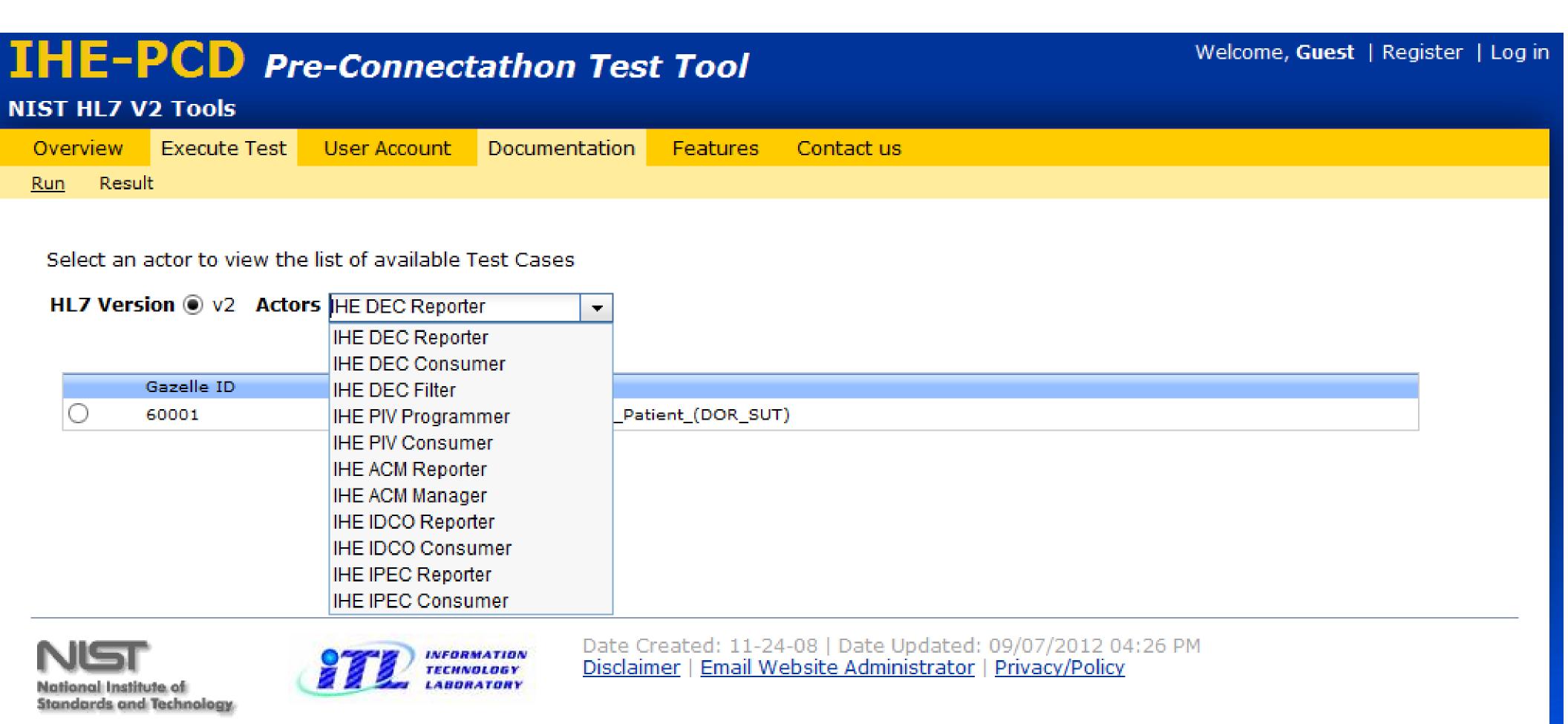
- Includes Isolated System Testing Activities
- Interoperability Testing
  - Testing complete application environment
  - May include interacting with Database, using Network Communications, or interacting with other hardware, applications, or systems if appropriate
  - IHE Model: ~Connectathon

## **NIST V2 HL7 IHE-PCD Test Tool: Operational Process**

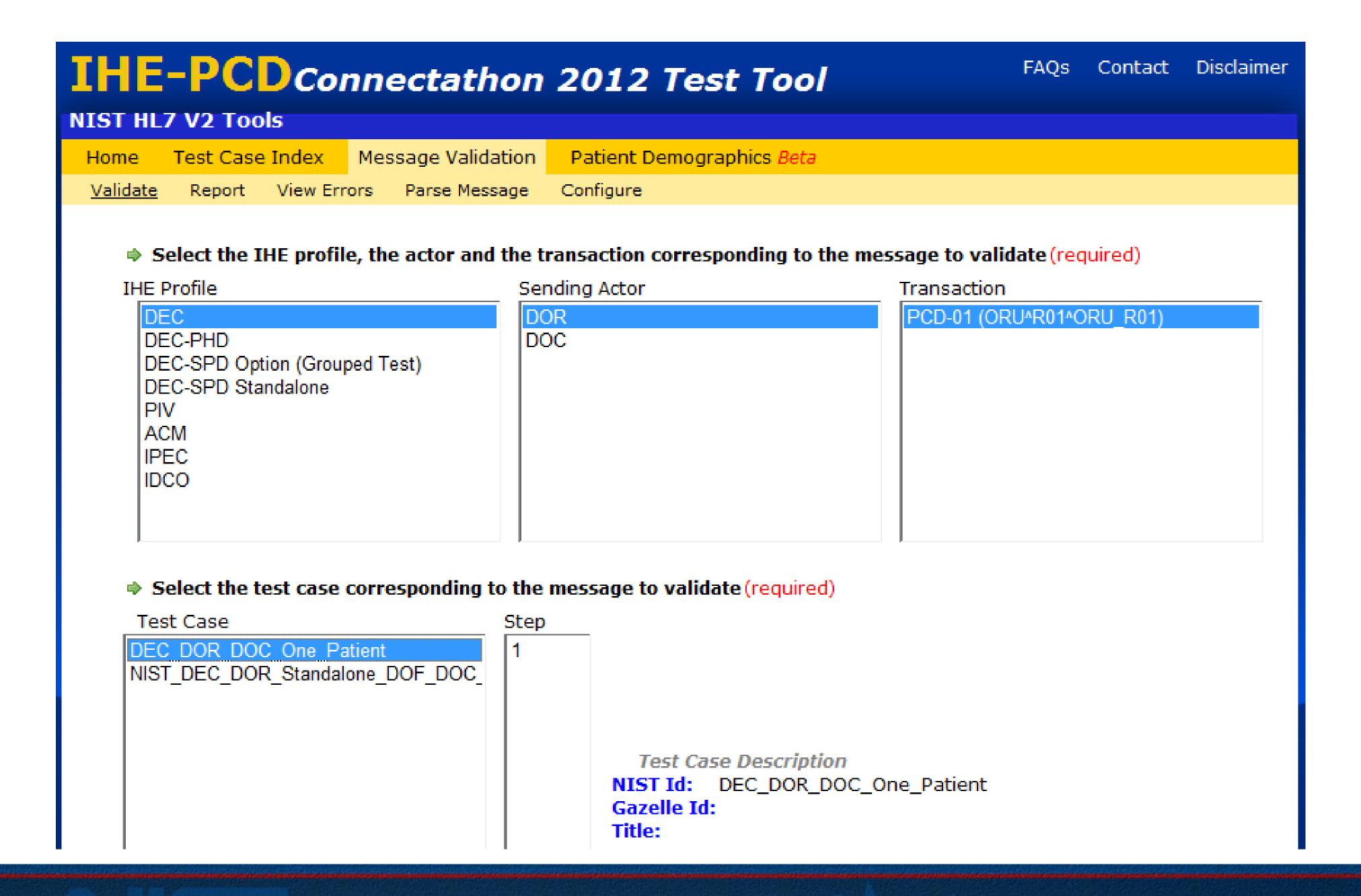


V3 – Future Work

## IHE-PCD Pre-Connectathon 'Isolated Environment' Tool http://hit-testing.nist.gov:13100/PCD-HL7WebPreCon/



## IHE-PCD Connectathon 'Instance Environment' Tool http://hit-testing.nist.gov:13100/PCD-HL7WebCon/



## **NIST Pre-Connectathon + Connectathon: Test Case Updates Cycle 7**

· .	THE	Test Case	Description		
	Profile	Title			
1	DEC	NIST DEC DOR DOF DOC Patient Demographics Table2010927	Step 1	PCD-01 (ORU^R01^ORU_R01)	DOR sends data for patient in table 2010927
			Step 2	ACK (ACK^R01^ACK)	DOC responds with ACK message (MSA- 1 = "AA")
2	DEC	NIST DEC DOR DOF DOC One to One Communication	Step 1	PCD-01 (ORU^R01^ORU_R01)	DOR sends data for patient Albert Hon (MRN: HO2009001, DOB: Jan.1 1961, Location: HO Surgery, OR-1, Sex: M, Mother's Maiden Name: Adams). At least 4 parameters for each type of device are sent. Patient's data is not validated
			Step 2	ACK (ACK^R01^ACK)	DOC responds with ACK message (MSA- 1 = "AA")
			Step 3	PCD-01 (ORU^R01^ORU_R01)	DOR sends data for patient Charles Hon (MRN: HO2009002, DOB: Feb.1 1961, Location: HO Surgery, OR-2, Sex: M, Mother's Maiden Name: Brooks). At least 4 parameters for each type of device are sent. Patient's data is validated
			Step 4	ACK (ACK^R01^ACK)	DOC responds with ACK message (MSA- 1 = "AA")
3	DEC SPD Option	NIST DEC SPD By Location	Step 1	PCD-02 (QSB^Z02^QSB_Q16)	DOC sends subscription to DOF requesting patients in the ICU
			Step 2	ACK (ACK^Q16^ACK)	DOF sends ACK to DOC
			Step 3	PCD-01 (ORU^R01^ORU_R01)	DOR sends data for patient Amy Hon (MRN HO2009003) in ICU to DOF
			Step 4	ACK (ORU^R01^ORU_R01)	DOF sends ACK to DOR
			Step 5	PCD-01 (ORU^R01^ORU_R01)	DOF sends received data for patient Amy Hon to DOC
			Step 6	ACK (ORU^R01^ORU_R01)	DOC sends ACK to DOF
			Step 7	PCD-01 (ORU^R01^ORU_R01)	DOR sends data for patient Albert Hon (MRN HO2009001) in OR to DOF
			Step 8	ACK (ORU^R01^ORU_R01)	DOF sends ACK to DOR. DOF does not forward the message to DOC.

## RTMMS: Update

#### RTM Management Service

User Guide | Sign In / Register

In partnership with NIST

Home

hRTM

Rosetta

hRTM Units

Enumerations

Groups

#### About Rosetta

Current version of Rosetta in RTMMS :3q

The Rosetta Terminology Mapping uses three primary tables that define and constrain the semantic content of IHE PCD messages. The three tables are:

Rosetta The Rosetta table contains the observation identifiers, units-of-measure and enumerations that vendors currently support on their gateways and how they plan to map these to the ISO/IEEE 11073-10101 nomenclature and its extensions.

This table defines all the allowed units-of-measure and normative mapping between the ISO/IEEE 11073-10101 units-of-measure (by Reference IDs Units and numeric codes) and the equivalent UCUM term(s). It also defines groups of related units-of-measure, such as units used for drug dose, concentration, etc. that are referenced by the primary Rosetta table. It also specifies the dimensionality of each unit-of-measure to ensure that all the units associated with an observation identifier are consistent. The units table includes additional information required for publication in ISO/IEEE 11073-10101 standard so that all of the units-of-measure information can be updated and maintained in a single repository.

This table defines groups of enumerated values (either token strings or as IEEE Reference IDs and numeric codes) that are referenced from the Enums main 'Rosetta' table.

#### Other tables:

The Harmonized Rosetta table contains terms agreed upon during the harmonization process. This table is automatically generated from the Rosetta table.

An informative table that defines the groups that reference identifiers may belong to, as an aid to sorting and organizing them.

## RTMSS - Deployment Status

- RTMMS went live on May 1, 2012.
  - http://hit-testing.nist.gov:13110/rtmms/
- Beta-Test version was available from mid January April 2012
  - any data/change was not committed (i.e., discarded)
- Beta version of RTMMS was available for ~2 months to a select few (~15) individuals of varying roles (e.g., vendor, SDO, Admin)
  - NIST received feedback on functionality, capability, usability, and interface and subsequently updated the on-line RTMMS
- NIST continues to work out IEEE membership issues w/ IEEE
  - Full presentation and proposal made to IEEE by NIST in Dec 2011
  - Presentation and Q&A session with IEEE 'systems people'
  - Kathryn Bennett (IEEE) indicated IEEE-SA Senior Management agreed to RTMMS DIM + Nomenclature freely available ☺ (June 2012)
  - IEEE formal announcement pending likely final approval soon! (Sept 2012)

## RTMSS - Deployment Plan - Going Forward

- RTMMS becomes the "master" version going forward
- [Today] RTMMS is now available to various user types and domain groups (e.g., IHE-PCD members) and select others (but only if IEEE members)
  - If interested in obtaining an RTMMS system id and password
    - Go to Web Applications (URL below) and request and account
    - http://hit-testing.nist.gov:13110/rtmms/
  - NIST will perform the appropriate background checks
    - Typically same day turn-around
    - If/Once approved (vetted with IEEE) NIST will provide a corresponding email with approved account information.

#### **RTMMS Overview**

- A web application\* that allows vendors and reviewers access, retrieval, and reporting of Rosetta Tables over the internet in conformance to IHE-PCD RTM Profile
- An electronic resource/tool providing the capability of saving data in xml format (as defined by RTM Profile)
- Aids the harmonization process by:
  - Identifying missing terms
  - Automatic generation of the "Harmonized Rosetta Table"
  - Providing latest up-to-date view of hRTM table
- Facilitates the proposal of New Terms to IEEE 11073
   Nomenclature standard
- Facilitates Conformance Tooling
  - Message verification and conformance (syntax and semantics)
  - Leading to interoperability...
  - \*developed by and currently hosted at NIST
  - Integrated with ICSGenerator Tool (hRTM also imported into DIM Editor)

## RTMMS Key Tables

## Rosetta Terminology Mapping Data Base

- Rosetta Table
  - Maps vendor supported observations, units and enumerations to ISO/IEEE x73 nomenclature
- Units Table
  - Defines allowed units-of-measure
  - Defines groups of related units-of-measure
- Enumerations Table
  - Defines groups of enumerated values
- hRTM Table
  - Generated from the original Rosetta

## X73 Nomenclature DB

Security DB - Stores users information

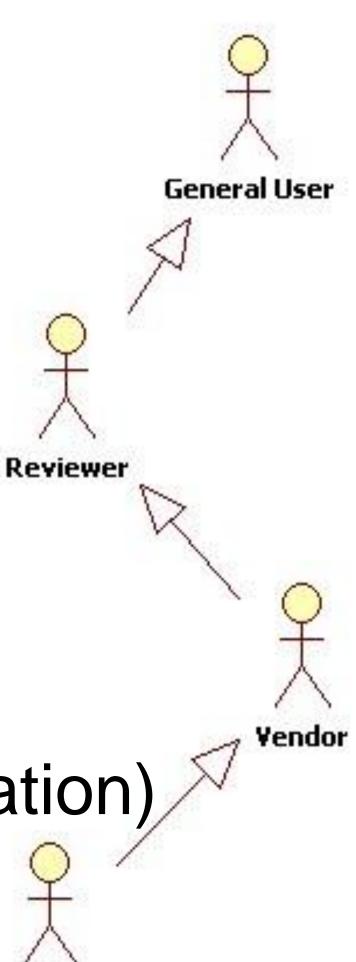
#### **RTMMS Features**

- Access to NIST IEEE 11073 Nomenclature database
  - Appendix A terms (from ISO/IEEE 11073 10101: Nomenclature)
  - Appendix B terms (from ISO/IEEE 11073 10101: Nomenclature)
  - IDCO terms (ISO/IEEE 11073-10301 implantable device cardiac)
  - aECG (annotated Electrocardiography)
  - PHD terms (personal health domain)
- Access to RTM database
- Ability to propose terms in Rosetta
- hRTM, units, and enumeration download-able in XML format
- User registration
  - Email confirmation, approval process... controlled through 'admin'
- Filtering based on regular expressions
- Rosetta validation against hRTM
- Management capabilities for SDO users
- Integrated w/ ICSGenerator

#### **RTMMS Users**

5D0

- General user
  - Views Rosetta Tables
- Reviewer
  - Participates in discussions
- Vendor (includes Organizations)
  - Vendor 'sandbox'
  - Modifies Vendor Rosetta Table
  - Suggests new terms
  - Modifies Units and Enumerations Table
- SDO (Standard Development Organization)
  - Modifies Units and Enumerations Table
  - Register new terms
- Admin
  - Manages User Accounts



## Issues / Key Work Items

- Synching membership from IEEE to NIST (issue may go away in June)
- IEEE copyright issues
  - Against NIST Policy to maintain proprietary data or public displaying of copyrighted information...
- Long-term who owns and maintains RTMMS
- Maintenance issues...
- Hosting/Server issues…
- Access control issues...
- Integration of SNOMED-CT Terms
  - Expertise needed mappings provide equivalence between SNOMED CT/x73 (e.g., Norman Jones IHTSDO)
- Integration of LOINC Terms
  - Mapping must be provided by exerts (e.g., Clem McDonald NLM)

## RTMMS Updates

- Updates and focus on Rosetta term after search (results)
- Allow user to download only the current terms / Clearer label for download buttons
- New/better format for xml download
- Better icons for enumeration group/ unit groups
- Focus on edited term after edit or new comment
- Vendors can create group in group panel
- Allow group sorting
- Duplicate Rosetta term button
- Use of views for "quick" SQL queries
- Add project on Hudson server (eliminates NIST firewall issues)
- Major code cleanup / doc

## RTMMS Updates (Continued)

- From Last Time (May 2012, Vancouver WG Mtgs.)
- Vendors can now propose units and enumerations
- Fixed interface glitches found during testing period
- Rosetta terms can now have multiple groups
- Mouse-over help on table headers
- Improved PDF reports for x73
- Wrapped text in x73 tables
- Global search in x73 tab
- SDOs can now create/propose a Rosetta term
- Improved new account request process
- RTMMS is now compatible with IE7, although it works best with Firefox, Chrome or Safari
- Updated user's guide

## **RTMMS Functionality Demo**

- Viewing the RTM Tables (all user types)
- Mapping X73 and UCUM units (SDO)
- Mapping a Term (Vendor and SDO)
- Proposing new terms (Vendor and SDO)
- Term Review (SDO, Vendors and Reviewers)
- Term approval (SDO)

http://www.youtube.com/watch?v=rP\_Tsb6wlF8&hd=1

#### **ICSGenerator Overview**

- Model Devices in compliance with the X73 DIM, capturing;
  - object relationship (containment)
  - object attributes, behavior and notifications
  - Objects and parameters term codes from hRTM and X73 Nomenclature
  - Device profile generated in XML in compliance to the DIM schema
- Generates Implementation Conformance Statements (device supported features) in a tabular and XML format.
  - General ICS
  - Service Support ICS
  - Transport ICS
  - DIM MOC ICS
  - MOC Attribute ICS
  - MOC Behavior ICS
  - MOC Notification ICS
- Uses an embedded DIM database originated from the DIM Schema
- Provides access to IEEE 11073 nomenclature, hRTM and Rosetta (proposed terms)
- Generates a PDF file that includes only object containment and parameters.
- Generates simplified version of the device profile (xml)

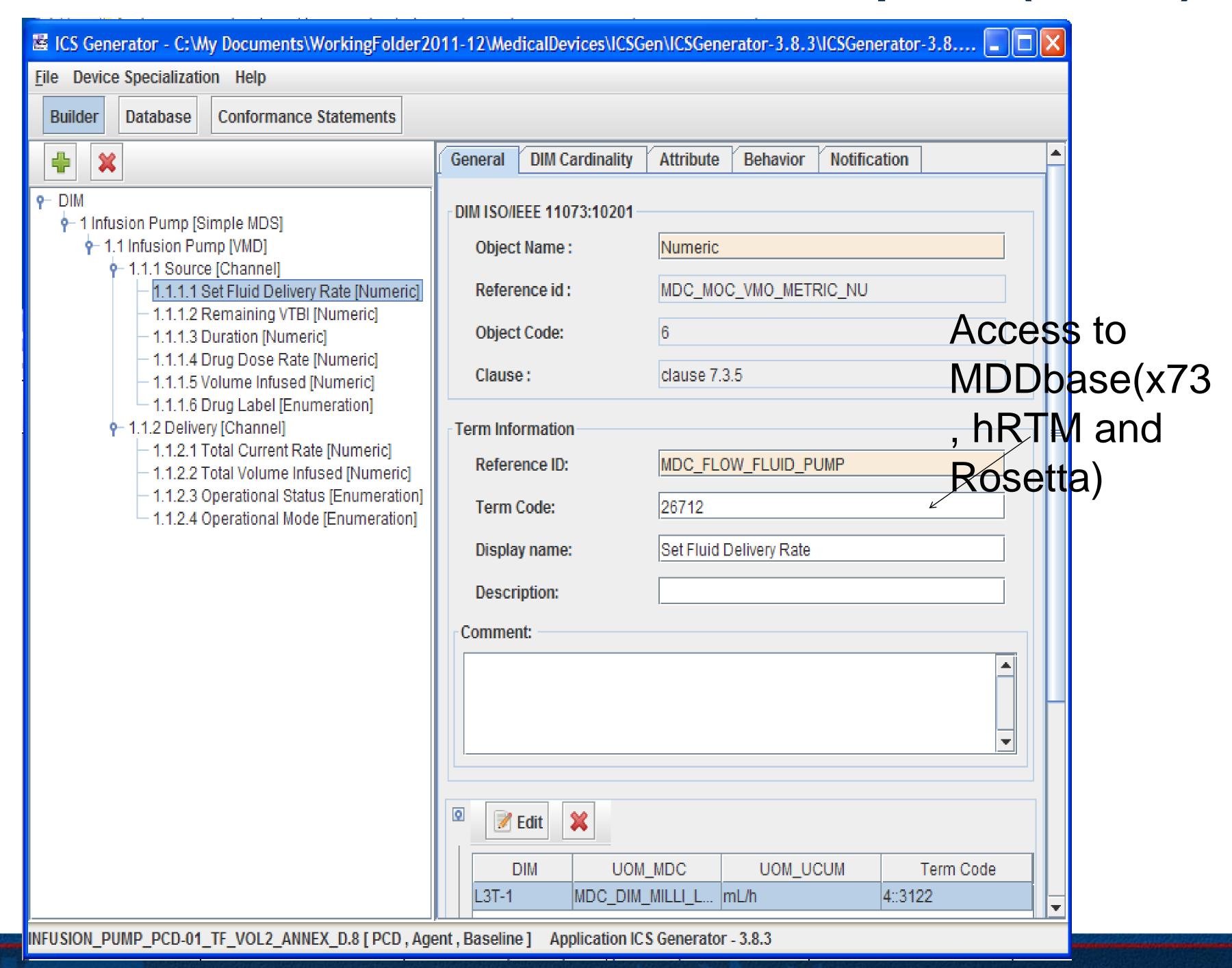
#### **ICSGenerator Status**

- In this year, we have been challenged by three major problems,
  - Data Synchronization
  - Quick Delivery
  - Private Owned Terms
- Several improvements and new functionality (focus areas):
  - New Model for ICSGenerator
  - More outputs (Device Profiles [DP] in XML, and device reports in PDF file)
  - Integration of Rosetta proposed terms and associated Unit/Enumeration
  - Web based API including terminology search engine
  - DP database
  - Object level and term level cardinality
  - Misc (security, UML diagram, cache, UI, OBX)

#### **ICSGenerator Status**

- From Last Time/WG Mtgs (Vancouver)
- Object cardinality support
- Access to RTMMS Rosetta "proposed terms" including units and enumerations
  - Connecting to NIST RTMMS web service
- Automatic generation of device profile in PDF format
- "compound numeric" support
- Dbase lookup (X73 nomenclature, DIM and hRTM)
- In the process of developing a web application

#### PCD-01 Infusion Pump - Vol2 (Annex D)



### **ICSGenerator XML Profile content**

## ICSGenerator XML PCD-01 Infusion Pump Profile

- The ICSGenerator profile contains:
  - All the objects involved
  - object attributes
  - Behavior and Notifications
  - Object term codes
  - Containment
  - Units and enumerations

#### PDF generated from the Infusion Pump Device profile

#### **Channel: Delivery**

Name	Term Code	Units	Values
Total Current Rate	MDC_FLOW_FLUID_ PUMP (26712)	MDC_DIM_MILLI_L_ PER_HR (4::3122)/	
Total Volume Infused	MDC_VOL_INFUS_A CTUAL_TOTAL (26876)	N/A	
Operational Status	MDC_PUMP_STAT (53436)		pump-status- ready/pump-status- infusing/pump-status- paused/pump-status- kvo/pump-status- delayed/pump-status- standby/pump-status- vtbi-complete/pump- status-off/pump-status- priming/
Operational Mode	MDC_PUMP_MODE (53432)		pump-mode- nominal/pump-mode- drug-dosing/pump- mode-ramp-taper/pump- mode-multi-step/pump- mode-multi- dosing/pump-mode- bolus/pump-mode- loading-dose/pump- mode-multi- channel/pump-mode- pca/pump-mode- continuous/pump-mode- pca-and- continuous/pump-mode- piggyback/pump-mode- concurrent/

#### **Containment Tree**

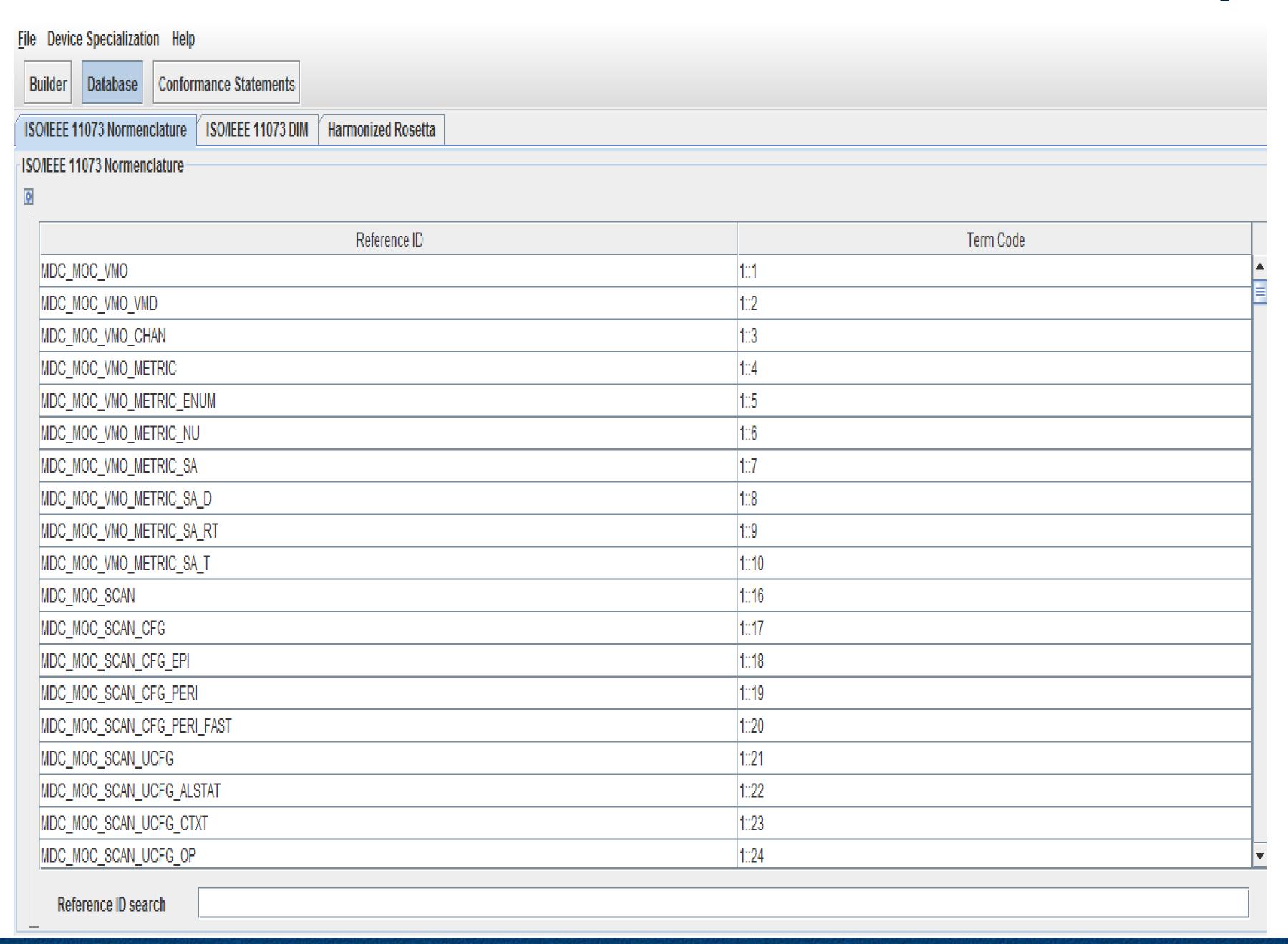
Simple MDS: Infusion Pump		ımp	MDC DEV PUMP INFUS MDS (4449)	
	VMD: Infusion Pump		MDC_DEV_PUMP_INFUS_VMD (4450)	
		Channel: Source	MDC_DEV_PUMP_INFUS_CHAN_SOURCE (61441)	
		Channel: Delivery	MDC_DEV_PUMP_INFUS_CHAN_DELIVERY (61442)	

#### **Channel: Source**

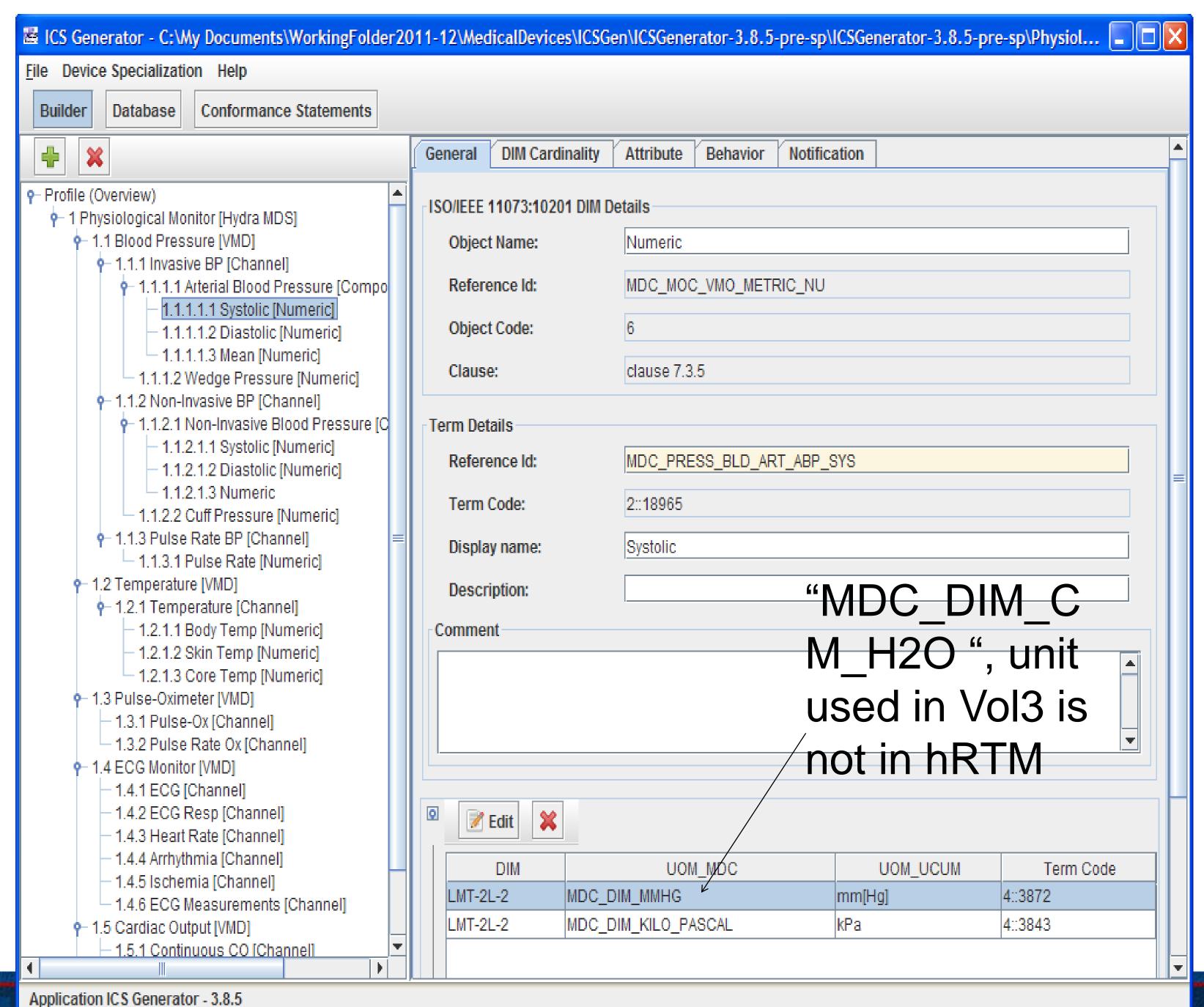
Name	Term Code	Units	Values
Set Fluid Delivery Rate	MDC_FLOW_FLUID_ PUMP (26712)	MDC_DIM_MILLI_L PER_HR (4::3122)/	
Remaining VTBI	MDC_VOL_FLUID_TB I_REMAIN (26800)	MDC_DIM_MILLI_L (4::1618)/	
Duration	MDC_TIME_PD_REM AIN (26844)	MDC_DIM_MIN (4::2208)/	
Drug Dose Rate	MDC_FLOW_DRUG_ DELIV (26732)	MDC_DIM_MILLI_L PER_HR (4::3122)/	
Volume Infused	MDC_VOL_FLUID_D ELIV (26792)	MDC_DIM_MILLI_L (4::1618)/	
Drug Label	MDC_DRUG_NAME_ TYPE (53258)		

REFID	OBX-4	Comments
MDC DEV PUMP INFUS MDS	1	
. MDC DEV PUMP INFUS VMD	1.1	
MDC_DEV_PUMP_INFUS_CHAN_SOURCE	1.1.1	
MDC_FLOW_FLUID_PUMP	1.1.1.1	
MDC_VOL_FLUID_TBI_REMAIN	1.1.1.2	
MDC_TIME_PD_REMAIN	1.1.1.3	
MDC_FLOW_DRUG_DELIV	1.1.1.4	
MDC_VOL_FLUID_DELIV	1.1.1.5	
MDC_DRUG_NAME_TYPE	1.1.1.6	
MDC DEV PUMP INFUS CHAN DELIVERY	1.1.2	
MDC FLOW FLUID PUMP	1.1.2.1	
MDC VOL INFUS ACTUAL TOTAL	1.1.2.2	
MDC_PUMP_STAT	1.1.2.3	
MDC PUMP MODE	1.1.2.4	

## MD Semantic Dbase "Look-up"

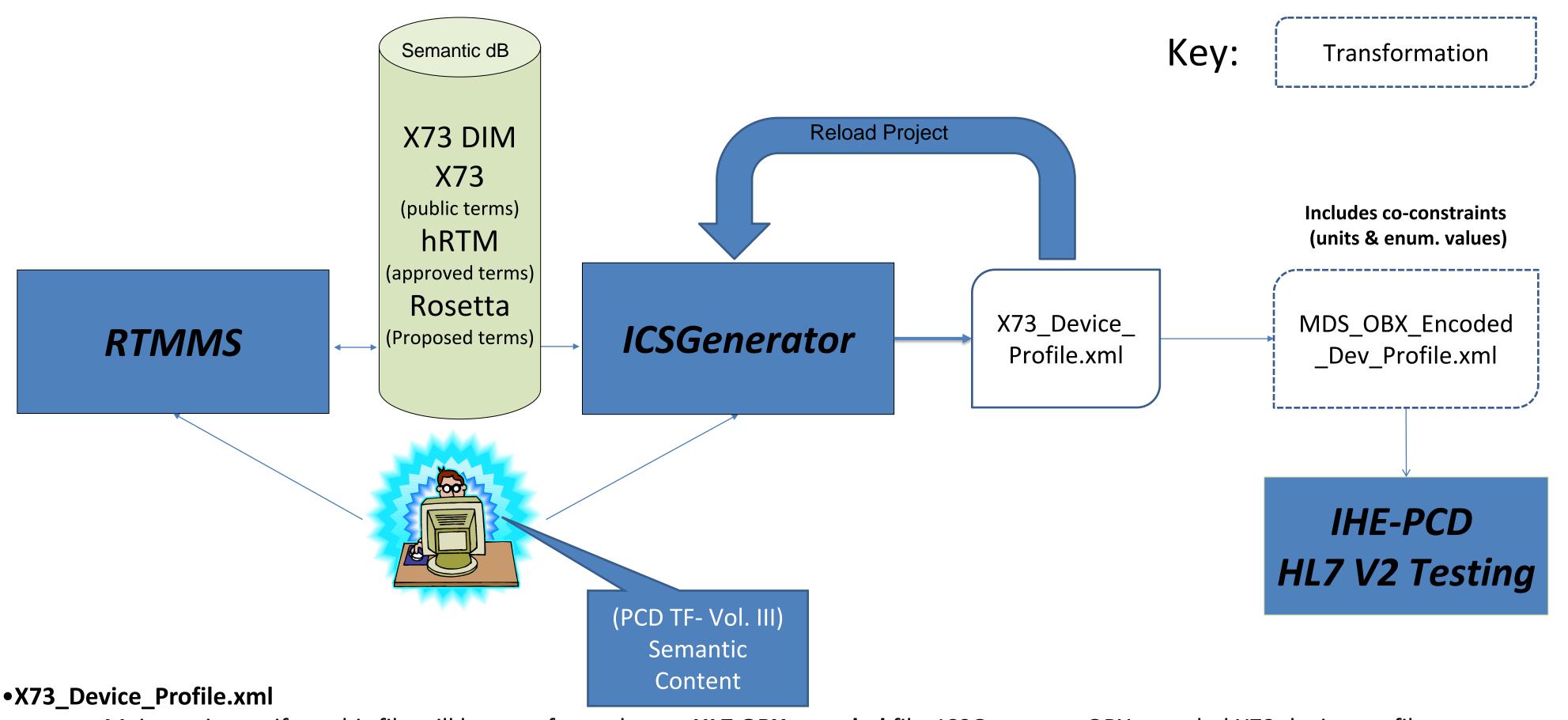


## Physiological Monitor – "Compound Numeric"



HEALTH IT
STANDARDS TESTING INFRASTRUCTURE

## **ICSGenerator and IHE-PCD V&V testing artifacts**



- Main testing artifact, this file will be transformed to an HL7 OBX encoded file. ICSGenerator OBX encoded X73 device profile,
- •MDS\_OBX\_Encoded\_Device\_Profile.xml includes:
  - •OBX-2(data types) → could develop for partial data type testing
  - •OBX-3 (OBX-3.1= <term code> ,OBX-3.2= <refid> and OBX-3.3="MDC")
  - •OBX-4 (containment), dotted notation
  - ●OBX-5 (enumeration values) ICSGenerator access to hRTM
  - ●OBX-6(units) ICSGenerator access to hRTM
  - •OBX-7(value range) if provided
  - Cardinality at object level ???
  - •Attribute, behavior and notification information could also be added as a mapping to OBX segment is defined.

## **Thank YOU! For your attention**

- DIM Work w/ Art and Shin...(reference associated slide set)
- Discussion?