

NATIONAL STRATEGY FOR TRUSTED IDENTITIES IN CYBERSPACE

**Governance Workshop
June 9-10, 2011**

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Introduction to Breakout Sessions

Two breakout sessions

- Today 3-5pm; Tomorrow 10-noon.
- Focus on first 3 NOI issues
 1. Steering Group Structure
 2. Steering Group Initiation
 3. Stakeholder Representation
- International considerations to be considered in all 3.
- Attendees can participate in whichever sessions they like
- Tomorrow morning we'll have a brief report on Day 1 breakouts; more detailed reports after lunch

Setup

Each group will have:

- A moderator
- A recorder
- A reporter

Group reports will be the official record

- Difficult to accurately express or capture every viewpoint in the room.
- We are not making decisions in this workshop – only exploring issues and beginning to collaborate.
- The best way to ensure your thoughts are conveyed is to submit a formal response to the NOI.

NOI Focus Area 1: Steering Group Structure

- 1.1. Given the Guiding Principles outlined in the Strategy, what should be the structure of the steering group? What structures can support the technical, policy, legal, and operational aspects of the Identity Ecosystem without stifling innovation?
- 1.2. Are there broad, multi-sector examples of governance structures that match the scale of the steering group? If so, what makes them successful or unsuccessful? What challenges do they face?
- 1.3. Are there functions of the steering group listed in this Notice that should not be part of the steering group's activities? Please explain why they are not essential components of Identity Ecosystem Governance.
- 1.4. Are there functions that the steering group must have that are not listed in this notice? How do your suggested governance structures allow for inclusion of these additional functions?
- 1.5. To what extent does the steering group need to support different sectors differently?
- 1.6. How can the steering group effectively set its own policies for all Identity Ecosystem participants without risking conflict with rules set in regulated industries? To what extent can the government mitigate risks associated with this complexity?
- 1.7. To what extent can each of the Guiding Principles of the Strategy—interoperability, security, privacy and ease of use—be supported without risking “pull through” regulation from regulated participants in the Identity Ecosystem?
- 1.8. What are the most important characteristics (e.g., standards and technical capabilities, rulemaking authority, representational structure, etc.) of the steering group?
- 1.9. How should the government be involved in the steering group at steady state? What are the advantages and disadvantages of different levels of government involvement?

NOI Focus Area 2: Steering Group Initiation

- 2.1. How does the functioning of the steering group relate to the method by which it was initiated? Does the scope of authority depend on the method? What examples are there from each of the broad categories above or from other methods? What are the advantages or disadvantages of different methods?
- 2.2. While the steering group will ultimately be private sector-led regardless of how it is established, to what extent does government leadership of the group's initial phase increase or decrease the likelihood of the Strategy's success?
- 2.3. How can the government be most effective in accelerating the development and ultimate success of the Identity Ecosystem?
- 2.4. Do certain methods of establishing the steering group create greater risks to the Guiding Principles? What measures can best mitigate those risks? What role can the government play to help to ensure the Guiding Principles are upheld?
- 2.5. What types of arrangements would allow for both an initial government role and, if initially led by the government, a transition to private sector leadership in the steering group? If possible, please give examples of such arrangements and their positive and negative attributes.

NOI Focus Area 3: Representation of Stakeholders

- 3.1. What should the make-up of the steering group look like? What is the best way to engage organizations playing each role in the Identity Ecosystem, including individuals?
- 3.2. How should interested entities that do not directly participate in the Identity Ecosystem receive representation in the steering group?
- 3.3. What does balanced representation mean and how can it be achieved? What steps can be taken guard against disproportionate influence over policy formulation?
- 3.4. Should there be a fee for representatives in the steering group? Are there appropriate tiered systems for fees that will prevent “pricing out” organizations, including individuals?
- 3.5. Other than fees, are there other means to maintain a governance body in the long term? If possible, please give examples of existing structures and their positive and negative attributes.
- 3.6. Should all members have the same voting rights on all issues, or should voting rights be adjusted to favor those most impacted by a decision?
- 3.7. How can appropriately broad representation within the steering group be ensured? To what extent and in what ways must the Federal government, as well as State, local, tribal, territorial, and foreign governments be involved at the outset?

Keep in mind...

The NSTIC Vision

Individuals and organizations utilize secure, efficient, easy-to-use and interoperable identity solutions to access online services in a manner that promotes confidence, privacy, choice and innovation.

Guiding Principles

Identity Solutions will be:

- Privacy-Enhancing and Voluntary
- Secure and Resilient
- Interoperable
- Cost-Effective and Easy To Use

Questions?
