

k. Management and Access to Data and Publications

1. In General. The recipient acknowledges and understands that information and data contained in applications for financial assistance, as well as information and data contained in financial, performance and other reports submitted by recipients, may be used by the DOC in conducting reviews and evaluations of its financial assistance programs. For this purpose, recipient information and data may be accessed, reviewed and evaluated by DOC employees, other Federal employees, Federal agents and contractors, and/or by non-Federal personnel, all of who enter into appropriate or are otherwise subject to confidentiality and nondisclosure agreements covering the use of such information. Recipients are expected to support program reviews and evaluations by submitting required financial and performance information and data in an accurate and timely manner, and by cooperating with DOC and external program evaluators. In accordance with 2 C.F.R. § 200.303(e), recipients are reminded that they must take reasonable measures to safeguard protected personally identifiable information and other confidential or sensitive personal or business information created or obtained relating to a DOC financial assistance award.

2. Scientific Data. Non-Federal entities must comply with the data management and access to data requirements established by the DOC funding agency as set forth in the applicable Notice of Funding Opportunity and/or in Specific Award Conditions.

3. Publications, Videos, and Acknowledgment of Sponsorship.

- i. Publication of results or findings in appropriate professional journals and production of video or other media is encouraged as an important method of recording, reporting and otherwise disseminating information and expanding public access to federally-funded projects (e.g., scientific research). Non-Federal entities must comply with the data management and access to data requirements established by the DOC funding agency as set forth in the applicable Notice of Funding Opportunity and/or in Specific Award Conditions.
- ii. Non-Federal entities may be required to submit a copy of any publication materials, including but not limited to print, recorded, or Internet materials, to the funding agency.
- iii. When releasing information related to a funded project, non-Federal entities must include a statement that the project or effort undertaken was or is sponsored by DOC and must also include the applicable financial assistance award number.
- iv. Non-Federal entities are responsible for assuring that every publication of material based on, developed under, or otherwise produced pursuant to a DOC financial assistance award contains the following disclaimer or other disclaimer approved by the Grants Officer:

This [report/video/etc.] was prepared by [recipient name] using Federal funds under award [number] from [name of operating unit], U.S. Department of Commerce. The statements, findings, conclusions, and recommendations are those of the author(s)

and do not necessarily reflect the views of the [name of operating unit] or the U.S. Department of Commerce.

The information below is taken from NIST's Specific Award Conditions.

NIST Publication Guidelines [for research and/or if applicable]

The following NIST Publication Guidelines supplement the Department of Commerce Financial Assistance Standard Terms and Conditions, Section G.05.k.3., "Publications, Videos, and Acknowledgment of Sponsorship":

- a. Recipients are encouraged to publish the research results of a NIST research grant/cooperative in open scientific literature in such a way as to be generally available to American Scientific Libraries;
- b. The Recipient should contact the Federal Program Officer for assistance in coordinating appropriate publication/dissemination of information resulting from a NIST research grant/cooperative agreement. For this purpose, the *NIST Technical Grant/Contractor Series* (GCR) may be used as a publication venue, but the Recipient may choose other publication/dissemination methods;
- c. In any such publications, acknowledgment of NIST sponsorship must be made with a footnote or other appropriate notation reading, "This work was performed under the following financial assistance award [insert NIST grant or cooperative agreement number] from the U.S. Department of Commerce, National Institute of Standards and Technology," or words to that effect;
- d. The Recipient must submit one (1) copy of any published work to the Federal Program Officer.