# Attachment 2 Research Subaward Agreement Prime Award Terms and Conditions NIST

# **Technology Innovation Program**

#### **Certifications/Assurances**

1. By signing this Research Subaward Agreement Subrecipient makes the certifications and assurances specified in the Research Terms and Conditions Appendix C found at <a href="http://www.nsf.gov/bfa/dias/policy/rtc/appc.pdf">http://www.nsf.gov/bfa/dias/policy/rtc/appc.pdf</a> (Attachment A)

## General Terms and Conditions as of the effective date of this Research Subaward Agreement:

- 1. The restrictions on the expenditure of federal funds in appropriations acts are applicable to this subaward to the extent those restrictions are pertinent.
- 2. Applicable program regulations: TIP Statute (Pub. L. 110-69 Sec. 3012 and codified at 15 USC Sec 278n) and TIP Rule (15 CFR Part 296), which are available at < <a href="http://www.nist.gov/tip/helpful-resources.cfm">http://www.nist.gov/tip/helpful-resources.cfm</a>>.
- 3. DOC Financial Assistance Rules, 15 CFR Part 14, as amended and available at <a href="http://www.nist.gov/tip/helpful-resources.cfm">http://www.nist.gov/tip/helpful-resources.cfm</a>>.
- 4. Research Terms and Conditions found at < <a href="http://www.nsf.gov/pubs/policydocs/rtc/termsidebyside.pdf">http://www.nsf.gov/pubs/policydocs/rtc/termsidebyside.pdf</a> (Attachment B); the Department of Commerce (DOC) Agency Specific Requirements found at < <a href="http://oamweb.osec.doc.gov/docs/GRANTS/DOC%20STCsMAR08Rev.pdf">http://oamweb.osec.doc.gov/docs/GRANTS/DOC%20STCsMAR08Rev.pdf</a> (Attachment C); the Program Specific Requirements found at < <a href="http://www.nist.gov/tip/helpful-resources.cfm">http://www.nist.gov/tip/helpful-resources.cfm</a> (Attachment D); and the applicable Program Specific Special Award Conditions found at
  - < http://www.nist.gov/tip/helpful-resources.cfm> (Attachment E), except for the following:
  - a. The right to initiate an automatic one-time extension of the end date provided by Article 25(c)(2) is replaced by the need to obtain prior written approval from the Prime Recipient;
  - b. The payment mechanism described in Article 22 and the financial reporting requirements in Article 52 of the Research Terms and Conditions and TIP Special Award Condition No. 10 are replaced with Terms and Conditions (1) through (4) of this agreement; and
  - c. Any prior approvals are to be sought from the Prime Recipient and not the Federal Awarding Agency.
- 5. The TIP General Terms & Conditions for Equipment in Item #4 above applies, which also references the DOC Agency Specific Requirements under 15 C.F.R. §14.34.
- 6. Applicable solicitation specific technical scope requirements for the award are found at <a href="http://www.nist.gov/tip/helpful-resources.cfm">http://www.nist.gov/tip/helpful-resources.cfm</a> under Closed Competitions.

## **Special Terms and Conditions:**

- 1. Automatic Carry Forward: [] Yes [X] No (If No, Carry Forward requests must be sent to Prime Recipient's Contacts, as shown in Attachment 3).
- 2. Intellectual Property and Subaward requirements as specified in the Technology Innovation Program General Terms and Conditions Nos. 23 and 25.
- 3. Other applicable Program Specific Special Award Conditions, as indicated (see Item #4 of the General Terms and Conditions for the Research Subaward for award conditions to insert). Reporting requirements by the Subrecipient to the Prime Recipient that are consistent with the requirements outlined in Attachment D should be provided to the Subrecipient in Attachment 4.
- 4. Subrecipients that are not covered under OMB Circular A-133 for audit requirements, are required to assure the Prime Recipient that it complies with the audit requirements as covered under the Agency Specific and Program Specific Requirements noted in Item #4 of the General Terms and Conditions for the Research Subaward, and that it will notify the Prime Recipient of completion of required audits and any adverse findings which impact the subaward.