



# Specification for WS-Biometric Devices (WS-BD)

## Revision 0 Draft 3

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Recommendations of the National Institute of Standards and Technology

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#### 1 Introduction

Imagine an intelligent biometric device that is secure, tamper-proof, and spoof resistant. Such a device would enable biometrics as a viable option for remote authentication. Imagine a new generation of fingerprint scanner, small enough and thin enough to clip onto a police officer's uniform—enabling law enforcement to quickly identify suspects. These envisioned devices require a communications protocol that is secure, globally connected, and free from requirements on operating systems, device drivers, form factors, and low-level communications protocols. WS-Biometric Devices is a protocol designed in the interest of furthering this goal, with a specific focus on the single process shared by all biometric systems—acquisition.

#### 1.1 Terminology

This section contains terminology commonly used throughout this document. First time readers are encouraged to skip this section and revisit it as needed.

#### biometric capture device

a system component capable of capturing biometric data in digital form

#### client

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a logical endpoint that originates operation requests

#### HTTP

Hypertext Transfer Protocol. Unless specified, the term HTTP may refer to either HTTP as defined in [RFC2616] or HTTPS as defined in [RFC2660].

#### payload

the content of an HTTP request or response. An **input payload** refers to the XML content of an HTTP *request*. An **output payload** refers to the XML content of an HTTP *response*.

#### payload parameter

an operation parameter that is passed to a service within an input payload

#### REST

Representational State Transfer

#### sensor or biometric sensor

a single biometric capture device or a logical collection of biometric capture devices

#### 187 **SOAP**

Simple Object Access Protocol

#### submodality

a distinct category or subtype within a biometric modality

#### target sensor or target biometric sensor

the biometric sensor made available by a particular service

#### **URL** parameter

a parameter passed to a web service by embedding it in the URL

#### Web service or service

a software system designed to support interoperable machine-to-machine interaction over a network [WSGloss]

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Extensible Markup Language [XML]

#### 1.2 Documentation Conventions

The following documentation conventions are used throughout this document.

#### 1.2.1 Quotations

If the inclusion of a period within a quotation might lead to ambiguity as to whether or not the period should be included in the quoted material, the period will be placed outside the trailing quotation mark. For example, a sentence that ends in a quotation would have the trailing period "inside the quotation, like this quotation punctuated like this." However, a sentence that ends in a URL would have the trailing period outside the quotation mark, such as "http://example.com".

#### 1.2.2 Machine-Readable Code

With the exception of some reference URLs, machine-readable information will typically be depicted with a mono-spaced font, such as this.

#### 1.2.3 Sequence Diagrams

Throughout this document, sequence diagrams are used to help explain various scenarios. These diagrams are informative simplifications and are intended to help explain core specification concepts. Operations are depicted in a functional, remote procedure call style. The level of abstraction presented in the diagrams, and the details that are shown (or not shown) will vary according to the particular information being illustrated.

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[BDIF306]	ISO/IEC 19794-3:2006: Information technology — Biometric data interchange formats — Part 3: Finger pattern spectral data
[BDIF405]	ISO/IEC 19794-4:2005: Information technology – Biometric data interchange formats – Part 4: Finger image data

[BDIF505] ISO/IEC 19794-5:2005: Information technology – Biometric data interchange formats – Part

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- [BDIF707] ISO/IEC 19794-7:2007/Cor 1:2009: Information technology Biometric data interchange formats Part 7: Signature/sign time series data
- [BDIF806] ISO/IEC 19794-8:2006/Cor 1:2011: Information technology Biometric data interchange formats Part 8: Finger pattern skeletal data
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### 2 Design Concepts and Architecture

This section describes the major design concepts and overall architecture of WS-BD. The main purpose of a WS-BD service is to expose a target biometric sensor to clients via web services.

#### 2.1 Tiered Specifications

This specification represents the first in what is envisioned as a series of specifications. Each individual specification describes a "level"—a numbered collection of capabilities and features. To help preserve compatibility, additional capabilities will be introduced in higher specification levels. Each level should fully contain the capabilities of its previous level. As the first level, Level 1, this specification provides the foundation from which other specifications will be derived.

The following is a brief description of the general of each level of the specification:

- Level 1. Core synchronous acquisition operations via lightweight web service protocols (REST).
- Level 2. Synchronous and asynchronous acquisition operations. Asynchronous messaging might be achieved via (SOAP-based) web services running on both the client and server or via polling. Live preview capabilities will be an inherent feature of the specification. Fine-grained authorization management might be included at this level.
- **Level 3**. Device discovery and workflow management. The functionality at this level may be better described by profiling existing web service standards.

It is expected that this "roadmap" will change and accommodate feedback and evolving requirements. Levels are intended to facilitate backwards compatibility. As a design philosophy, higher level specifications should, as much as possible, provide *additional*, rather than *substitute* functionality.

#### 2.2 Interoperability

- ISO/IEC 2382-1 (1993) defines interoperability as "the capability to communicate, execute programs, or transfer data among various functional units in a manner that requires the user to have little to no knowledge of the unique characteristics of those units."
- Conformance to a standard does not necessarily guarantee interoperability. An example is conformance to an
- 242 HTML specification. A HTML page may be 100% conformant the HTML 4.0 specification, but it is not
- interoperable between web browsers. Each browser has its own interpretation of how the content should be
- displayed. To overcome this, web developers add a note suggesting which web browsers are compatible for
- viewing. Interoperable web pages need to have the same visual outcome independent of which browser is
- used.

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A major design goal of WS-BD is to *maximize* interoperability, by *minimizing* the required "knowledge of the unique characteristics" of a component that supports WS-BD.

#### 2.3 Architectural Components

Before discussing the envisioned use of WS-BD, it may be useful to distinguish between the various components that comprise a WS-BD implementation. These are *logical* components, and may or may not correspond to particular *physical* boundaries. This distinction becomes vital in understanding WS-BD's operational models.

#### 2.3.1 **Client**

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A *client* is any software component that originates requests for biometric acquisition. Note that a client might be one of many hosted in a parent (logical or physical) component, and that a client might originate requests to a variety of destinations.



This icon is used to depict an arbitrary WS-BD client. A personal digital assistant (PDA) is used to serve as a reminder that a client might be hosted on a non-traditional computer.

#### **2.3.2 Sensor**

A biometric *sensor* is any component that is capable of acquiring, i.e., digitally sampling, a biometric. Most sensor components are hosted within a dedicated hardware component, but this is not necessarily globally true. For example, a keyboard is a general input device, but might also be used for a keystroke dynamics biometric.



This icon is used to depict a biometric device. The icon has a vague similarity to a fingerprint scanner, but should be thought of as an arbitrary biometric sensor.

The term "sensor" in used in this document in a singular sense, but may in fact be referring to multiple biometric capture devices.

#### 2.3.3 Sensor Service

The *sensor service* is the "middleware" software component that exposes a biometric sensor to a client through web services. The sensor service adapts HTTP request-response operations to biometric sensor command & control.



This icon is used to depict a sensor service. The icon is abstract and has no meaningful form, just as a sensor service is a piece of software that has no physical form.

#### 2.4 Intended Use

Each implementation of WS-BD will be realized via a mapping of logical to physical components. A distinguishing characteristic of an implementation will be the physical location of the sensor service component. WS-BD is designed to support two scenarios:

- 1. **Physically separated.** The sensor service and biometric sensor are hosted by different physical components. A *physically separated service* is one where there is both a physical and logical separation between the biometric sensor and the service that provides access to it.
- 2. **Physically integrated.** The sensor service and biometric sensor are hosted within the same physical component. A *physically integrated service* is one where the biometric sensor, and the service that provides access to it, reside within the same physical component.

Figure 1 depicts a physically separated service. In this scenario, a biometric sensor is tethered to a personal computer, workstation, or server. The web service, hosted on the computer, listens for communication requests from clients. An example of such an implementation would be a USB fingerprint scanner attached to a personal computer. A lightweight web service, running on that computer could listen to requests from local (or remote) clients—translating WS-BD requests to and from biometric sensor commands.

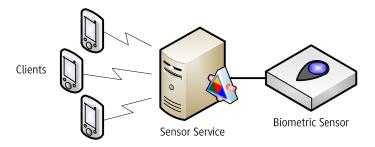


Figure 1. A physically separated WS-BD implementation.

Figure 2 depicts a physically integrated service. In this scenario, a single hardware device has an embedded biometric sensor, as well as a web service. Similar functionality is seen in many network printers; it is possible to point a web browser to a local network address, and obtain a web page that displays information about the state of the printer, such as toner and paper levels. Clients make requests directly to the integrated device; and a web service running within an embedded system translates the WS-BD requests to and from biometric sensor commands.

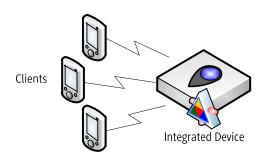


Figure 2. A physically integrated WS-BD implementation.

The "separate" versus "integrated" distinction is indeed a simplification with a high degree of fuzziness. For example, one might imagine putting a hardware shell around a USB fingerprint scanner connected to a small form-factor computer. Inside the shell, the sensor service and sensor are on different physical components.

- Outside the shell, the sensor service and sensor appear integrated. The definition of what constitutes the
- "same" physical component depends on the particular implementation, and the intended level of abstraction.
- Regardless, it is a useful distinction in that it illustrates the flexibility afforded by leveraging highly
- interoperable communications protocols.

#### 2.5 General Service Behavior

The following section describes the general behavior of WS-BD clients and services.

#### 2.5.1 Security Model

- In this version of the specification, it is assumed that if a client is able to establish a HTTP (or HTTPS)
- communication with the sensor service, then the client is fully authorized to use the service. This implies that
- 307 all successfully connected clients which have equivalent authority may be considered peers.
- Clients might be required to connect through various HTTP protocols, such as HTTPS with client-side
- certificates, or a more sophisticated protocol such as Open Id (http://openid.net/) and/or OAuth.
- Specific security measures are out of scope of this specification, but should be carefully considered when
- implementing a WS-BD service.

#### 2.5.2 HTTP Request-Response Usage

- Most biometrics devices are inherently single user—i.e., they are designed to sample the biometrics from a
- single user at a given time. Web services, on the other hand, are inherently designed to be stateless and
- multiuser. A biometric device exposed via web services must therefore provide a mechanism to reconcile
- these competing designs.
- Notwithstanding the native limits of the underlying web server, WS-BD services *must* be capable of handling
- multiple, concurrent requests. Services *must* respond to requests for operations that do not require exclusive
- control of the biometric sensor and must do so without waiting until the biometric sensor is in a particular
- 320 state.

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- Because there is no well-accepted mechanism for providing asynchronous notification via REST, each
- individual operation *must* block until completion. That is, the web server does not reply to a HTTP request
- until the operation that is triggered by that request is finished.
- 324 Clients are not expected to poll—rather make a single HTTP request and block for the corresponding result.
- Because of this, it is expected that a client would perform WS-BD operations on an independent thread, so
- not to interfere with the general responsiveness of the client application. WS-BD clients therefore must be
- configured in such a manner such that individual HTTP operations have timeouts that are compatible with a
- particular implementation.
- WS-BD operations may be longer than typical REST services. Consequently, there is a clear need to
- differentiate between service level errors and HTTP communication errors. WS-BD services *must* pass-through
- the status codes underlying a particular request. In other words, services must not use (or otherwise
- 'piggyback') HTTP status codes to indicate failures that occur within the service. If a service successfully
- receives a well-formed request, then the service *must* return the HTTP status code 200 indicating such.

- Failures are described within the contents of the XML data returned to the client for any given operation. The exception to this is when the service receives a poorly-formed request (i.e., the XML payload is not valid), then the service may return the HTTP status code 400, indicating a bad request.
- This is deliberately different from REST services that override HTTP status codes to provide service-specific error messages. This design pattern facilitates clearer communication of failures and errors than overriding particular HTTP failure codes. It also provides better support for HTTP libraries that do not easily support HTTP status code overrides.

#### 2.5.3 Client Identity

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- Before discussing how WS-BD balances single-user vs. multi-user needs, it is necessary to understand the WS-BD model for how an individual client can easily and consistently identify itself to a service.
- HTTP is, by design, a stateless protocol. Therefore, any persistence about the originator of a sequence of 344 requests must be built in (somewhat) artificially to the layer of abstraction above HTTP itself. This is 345 accomplished in WS-BD via a session—a collection of operations that originate from the same logical 346 endpoint. To initiate a session, a client performs a registration operation and obtains a session identifier (or 347 "session id"). During subsequent operations, a client uses this identifier as a parameter to uniquely identify 348 itself to a server. When the client is finished, it is expected to close a session with an unregistration operation. 349 To conserve resources, services may automatically unregister clients that do not explicitly unregister after a 350 period of inactivity (see §5.4.2.1). 351
- This use of a session id directly implies that the particular sequences that constitute a session are entirely the responsibility of the *client*. A client might opt to create a single session for its entire lifetime, or, might open (and close) a session for a limited sequence of operations. WS-BD supports both scenarios.
- It is possible, but is discouraged, that a client might maintain multiple sessions with the same service simultaneously. This can be assumed without loss of generality, since a client with multiple sessions to a service could be decomposed into to "sub-clients"—one (sub-) client per session id.
- Just as a client might maintain multiple session ids, a single session id might be shared among a collection of clients. By sharing the session id, a biometric sensor may then be put in a particular state by one client, and then handed-off from another. Such a sophisticated implementation is outside the scope of this specification. However, session id sharing is certainly permitted, and a deliberate artifact of the convention of using of the session id as the client identifier. Many-to-many relationships (i.e., multiple session ids being shared among multiple clients) are also possible, but should be avoided.
- For simplicity, unless otherwise stated, this specification is written in a manner that assumes that a single client maintains a single session id.

#### 2.5.4 **Sensor Identity**

In general, implementers *should* map each target biometric sensor to a single URL. However, just as it is possible for a client to communicate with multiple services, a host might be responsible for controlling multiple target biometric sensors.

A service that controls independent sensors should expose each device via different URLs. A service that controls multiple biometric devices simultaneously, however, *should* be exposed via the same endpoint. The following figures and example illustrates this distinction.

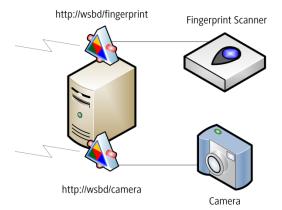


Figure 3. Independent sensors controlled by separate services

**EXAMPLE**: Figure 3 shows a physically separate implementation where a single host machine controls two biometric sensors—one fingerprint scanner, and one digital camera. The devices act independently and are therefore exposed via two different services—one at the URL http://wsbd/fingerprint, and one at http://wsbd/camera.

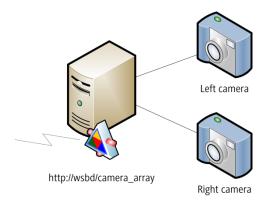


Figure 4. A sensor array controlled by a single service

**EXAMPLE**: Figure 4 shows a physically separate implementation where a single host machine controls a pair of cameras used for stereo vision. The cameras act together as a single logical sensor, and are both exposed via the same service, http://wsbd/camera\_array.

#### 2.5.5 Locking

WS-Biometric Devices (WS-BD) uses a *lock* to satisfy two complimentary requirements:

- 1. A service must have exclusive, sovereign control over biometric sensor hardware to perform a particular *sensor operation* such as initialization, configuration, or capture.
- 2. A client needs to perform an uninterrupted sequence of sensor operations.

- Each WS-BD service exposes a *single* lock (one per service) that controls access to the sensor. Clients obtain the lock in order to perform a sequence of operations that should not be interrupted. Obtaining the lock is an indication to the server (and indirectly to peer clients) that (1) a series of sensor operations is about to be initiated and (2) that server may assume sovereign control of the biometric sensor.
- A client releases the lock upon completion of its desired sequence of tasks. This indicates to the server (and indirectly to peer clients) that the uninterruptable sequence of operations is finished. A client might obtain and release the lock many times within the same session or a client might open and close a session for each pair of lock/unlock operations. This decision is entirely dependent on a particular client.
- The statement that a client might "own" or "hold" a lock is a convenient simplification that makes it easier to understand the client-server interaction. In reality, each sensor service maintains a unique global variable that contains a session id. The originator of that session id can be thought of as the client that "holds" the lock to the service. Clients are expected to release the lock after completing their required sensor operations, but there is lock *stealing*—a mechanism for forcefully releasing locks. This feature is necessary to ensure that one client cannot hold a lock indefinitely, denying its peers access to the biometric sensor.
- As stated previously (see §2.5.3), it is implied that all successfully connected clients enjoy the same access privileges. Each client is treated the same and are expected to work cooperatively with each other. This is critically important, because it is this implied equivalence of "trust" that affords a lock *stealing* operation.

#### 2.5.5.1 Pending Operations

Changing the state of the lock *must* have no effect on pending (i.e., currently running) sensor operations. That is, a client may unlock, steal, or even re-obtain the service lock even if the target biometric sensor is busy.

When lock ownership is transferred during a sensor operation, overlapping sensor operations are prevented by sensor operations returning sensorBusy.

#### 2.5.6 **Operations Summary**

- All WS-BD operations fall into one of eight categories:
- 414 1. Registration
- 415 2. Locking

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- Information
- Initialization
- 5. Configuration
  - 9 6. Capture
- 420 7. Download
- 421 8. Cancellation
- Of these, the initialization, configuration, capture, and cancellation operations are all sensor operations (i.e., they require exclusive sensor control) and require locking. Registration, locking, and download are all nonsensor operations. They do not require locking and (as stated earlier) must be available to clients regardless of the status of the biometric sensor.
- Download is not a sensor operation as this allows for a collection of clients to dynamically share acquired biometric data. One client might perform the capture and hand off the download responsibility to a peer.

The following is a brief summary of each type of operation:

- Registration operations open and close (unregister) a session.
- Locking operations are used by a client to obtain the lock, release the lock, and steal the lock.
- *Information* operations query the service for information about the service itself, such as the supported biometric modalities, and service configuration parameters.
  - The *initialization* operation prepares the biometric sensor for operation.
  - *Configuration* operations get or set sensor parameters.
  - The *capture* operation signals to the sensor to acquire a present biometric.
  - Download operations transfer the captured biometric data from the service to the client.
  - Sensor operations can be stopped by the *cancellation* operation.

#### 2.5.7 Idempotency

The W3C Web Services glossary [WSGloss] defines idempotency as:

[the] property of an interaction whose results and side-effects are the same whether it is done one or multiple times.

When regarding an operation's idempotence, it should be assumed no *other* operations occur in between successive operations, and that each operation is successful. Notice that idempotent operations *may* have side-effects—but the final state of the service must be the same over multiple (uninterrupted) invocations.

The following example illustrates idempotency using an imaginary web service.

**EXAMPLE**: A REST-based web service allows clients to create, read, update, and delete customer records from a database. A client executes an operation to update a customer's address from "123 Main St" to "100 Broad Way."

Suppose the operation is idempotent. Before the operation, the address is "123 Main St". After one execution of the update, the server returns "success", and the address is "100 Broad Way". If the operation is executed a second time, the server again returns "success," and the address remains "100 Broad Way".

Now suppose that when the operation is executed a second time, instead of returning "success", the server returns "no update made", since the address was already "100 Broad Way." Such an operation is *not* idempotent, because executing the operation a second time yielded a different result than the first execution.

The following is an example in the context of WS-BD.

**EXAMPLE**: A service has an available lock. A client invokes the lock operation and obtains a "success" result. A subsequent invocation of the operation also returns a "success" result. The operation being idempotent means that the results ("success") and side-effects (a locked service) of the two sequential operations are identical.

To best support robust communications, WS-BD is designed to offer idempotent services whenever possible.

#### 2.5.8 **Service Lifecycle Behavior**

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- The lifecycle of a service (i.e., when the service starts responding to requests, stops, or is otherwise unavailable) *must* be modeled after an integrated implementation. This is because it is significantly easier for a physically separated implementation to emulate the behavior of a fully integrated implementation than it is the other way around. This requirement has a direct effect on the expected behavior of how a physically separated service would handle a change in the target biometric sensor.
- Specifically, on a desktop computer, hot-swapping the target biometric sensor is possible through an operating system's plug-and-play architecture. By design, this specification does not assume that it is possible to replace a biometric sensor within an integrated device. Therefore, having a physically separated implementation emulate an integrated implementation provides a simple means of providing a common level of functionality.
- By virtue of the stateless nature of the HTTP protocol, a client has no simple means of detecting if a web service has been restarted. For most web communications, a client should not require this—it is a core capability that comprises the robustness of the web. Between successive web requests, a web server might be restarted on its host any number of times. In the case of WS-BD, replacing an integrated device with another (configured to respond on the same endpoint) is an *effective* restart of the service. Therefore, by the emulation requirement, replacing the device within a physically separated implementation must behave similarly.
- A client may not be directly affected by a service restart, if the service is written in a robust manner. For example, upon detecting a new target biometric sensor, a robust server could *quiesce* (refusing all new requests until any pending requests are completed) and automatically restart.
  - Upon restarting, services *may* return to a fully reset state—i.e., all sessions should be dropped, and the lock *should not* have an owner. However, a high-availability service *may* have a mechanism to preserve state across restarts, but is significantly more complex to implement (particularly when using integrated implementations!). A client that communicated with a service that was restarted would lose both its session and the service lock (if held). With the exception of the *get service info* operation, through various fault statuses a client would receive indirect notification of a service restart. If needed, a client could use the service's common info timestamp (§A.1.1) to detect potential changes in the *get service info* operation.

### **3 Data Dictionary**

This section contains descriptions of the data elements that are contained within the WS-BD data model. Each data type is described via an accompanying XML Schema type definition [XSDPart1, XSDPart2].

#### 3.1 Namespaces

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The following namespaces, and corresponding namespace prefixes are used throughout this document.

Prefix	Namespace	Remarks
xs	http://www.w3.org/2001/XMLSchema	The xs namespace refers to the XML Schema specification. Definitions for the xs data types (i.e., those not explicitly defined here) can be found in [XSDPart2].
xsi	http://www.w3.org/2001/XMLSchema-instance	The xsi namespace allows the schema to refer to other XML schemas in a qualified way.
wsbd	http://nist.gov/itl/bws/ws-bd/L1/r0/	The wsbd namespace is an URL that provides a globally unique name—it does not resolve to an active website.

It should be assumed that each of the following data types is defined in the target namespace wsbd.

#### **3.2 UUID**

A UUID is a unique identifier as defined in [RFC4122]. A service *must* use UUIDs that conform to the following XML Schema type definition.

**EXAMPLE**: Each line in the following code fragment contains a well-formed UUID. Enclosing tags (which may vary) are omitted.

```
509 E47991C3-CA4F-406A-8167-53121C0237BA
510 10fa0553-9b59-4D9e-bbcd-8D209e8d6818
511 161FdBf5-047F-456a-8373-D5A410aE4595
```

#### 3.3 Dictionary

A Dictionary is a generic container used to hold an arbitrary collection of name-value pairs.

**EXAMPLE**: A query to get the metadata of a capture returns a dictionary of supported settings and the values at the time of capture. Enclosing tags (which may vary) are omitted.

```
529
            <item>
              <key>ImageWidth</key>
531
              <value>640</value>
532
            </item>
533
            <item>
534
              <key>ImageHeight</key>
              <value>640</value>
535
536
537
            <item>
              <key>CaptureDate</key>
539
              <value>2011-01-01T01:23:45Z</value>
540
```

Dictionary instances are nestable—i.e., the value element of one Dictionary can contain another Dictionary. The use of xs:anyType allows for an XML element of any structure or definition to be used. Using types not defined in this document or types defined in W3's XML Schema recommendations [XSDPart1, XSDPart2] might require a client to have unique knowledge about the service. Because the requirement of unique knowledge negatively impacts interoperability, using such elements is discouraged.

#### 3.4 Parameter

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A Parameter is a container used to describe the parameters or settings of a service or sensor.

```
<xs:complexType name="Parameter">
548
549
             <xs:sequence>
                <xs:element name="name" type="xs:string" />
550
                <xs:element name="type" type="xs:QName" />
551
                <xs:element name="readOnly" type="xs:boolean" minOccurs="0" />
552
                <xs:element name="supportsMultiple" type="xs:boolean" minOccurs="0" />
553
554
                <xs:element name="defaultValue" type="xs:anyType" />
                <xs:element name="allowedValues" minOccurs="0">
556
                 <xs:complexType>
557
                    <xs:sequence>
558
                      <xs:element name="allowedValue" type="wsbd:RangeOrValue" maxOccurs"unbounded" />
559
                    </xs:sequence>
                  </xs:complexType>
560
561
                </xs:element>
562
             </xs:seauence>
            </xs:complexType>
563
564
```

See §4 for more information on metadata and the use of Parameter.

#### 3.4.1.1 Element Summary

The following is a brief informative description of each Parameter element.

Element	Description
name	The name of the parameter.
type	The fully qualified type of the parameter.
readOnly	Whether or not this parameter is read-only.
supportsMultiple	Whether or not this parameter can support multiple values for this parameter (§3.4.1.2).
defaultValue	The default value of this parameter.
allowedValues	A list of allowed values for this parameter (§3.4.1.3).

#### 3.4.1.2 Supports Multiple

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In some cases, a parameter might require multiple values. This flag specifies whether the parameter is capable of multiple values.

**EXAMPLE**: An iris scanner may have the ability to capture a left iris, right iris, and/or frontal face image simultaneously. This example configures the scanner to capture left and right iris images together. The first code block is what the service exposes to the clients. The second code block is how a client would configure this parameter. Essentially, the client includes all relevant values sequentially, without any additional container elements needed. In both examples, enclosing tags (which may vary) are omitted.

```
<name>IrisSensorCaptureModality</name>
577
            <type>xs:string</type>
578
            <readOnly>false</readOnly>
            <supportsMultiple>true</supportsMultiple>
579
580
            <defaultValue>leftIris</defaultValue>
581
            <allowedValues>
582
              <allowedValue><value>leftIris</value></allowedValue>
583
              <allowedValue><value>rightIris</value></allowedValue>
584
              <allowedValue><value>frontalFace</value></allowedValue>
585
            </allowedValues>
```

#### 3.4.1.3 Allowed Values

For parameters that are not read-only and have restrictions on what values it may have, this allows the service to dynamically expose it to its clients.

**EXAMPLE**: The following code block demonstrates a parameter, "CameraFlash", with only three valid values. Enclosing tags (which may vary) are omitted.

```
<name>CameraFlash</name>
```

Parameters requiring a range of values should be described by using RangeOrValue (§3.5). Because the allowed type is not the same as its parameter type, a service must have logic to check for a RangeOrValue and any appropriate validation.

**EXAMPLE**: The following code block demonstrates a parameter, "CameraZoom", where the allowed value is range of integers. Enclosing tags (which may vary) are omitted.

```
<name>CameraZoom</name>
617
618
            <type>xs:integer</type>
619
            <readOnly>false</readOnly>
            <supportsMultiple>false</supportsMultiple>
            <defaultValue>0</defaultValue>
621
            <allowedValues>
623
              <allowedValue>
624
                <minimum>0</minimum>
625
                <maximum>100</maximum>
              </allowedValue>
            </allowedValues>
```

Configurable parameters with no restrictions on its value must not include this element.

#### 3.5 RangeOrValue

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A RangeOrValue is a container used to describe a range of data, and whether the upper and lower bounds are exclusive. The upper and lower bounds *shall* be inclusive by default.

**EXAMPLE**: An example range of numbers from 0 to 100. The minimum is exclusive while the maximum is inclusive. Enclosing tags (which may vary) are omitted.

```
645
646
647
648
cmaximum>100</maximum>
647
cmaximumIsExclusive>true</minimumIsExclusive>
648
cmaximumIsExclusive>false</maximyumIsExclusive>
```

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#### 3.5.1.1 Element Summary

The following is a brief informative description of each RangeOrValue element.

Element	Description
minimum	The lower bound of the range.
maximum	The upper bound of the range.
minimumIsExclusive	Boolean indicating whether the lower bound is exclusive or not. This is true by default.
maximumIsExclusive	Boolean indicating whether the upper bound is exclusive or not. This is true by default.

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### 3.6 StringArray

A StringArray is a generic container used to hold a collection of strings.

**EXAMPLE**: Each line in the following code fragment is an example of a valid StringArray. Enclosing tags (which may vary) are omitted.

```
<element>sessionId</element>
<element>value1</element><element>value2</element>
<element>leftThumb</element><element>rightThumb</element>
```

#### 3.7 UuidArray

A UuidArray is a generic container used to hold a collection of UUIDs.

**EXAMPLE**: The following code fragment is an example of a *single* UuidArray with three elements. Enclosing tags (which may vary) are omitted.

#### 3.8 Size

Size is a generic container to describe values for a width and height and optionally the unit type.

#### 3.8.1.1 Element Summary

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The following is a brief informative description of each Size element.

Element	Description
width	The decimal value of the width
height	The decimal value of the height
unit	A string describing the units of the width and height values

#### 3.9 Status

The Status represents a common enumeration for communicating state information about a service.

```
693
           <xs:simpleType name="Status">
             <xs:restriction base="xs:string">
694
695
                <xs:enumeration value="success" />
                <xs:enumeration value="failure" />
696
697
                <xs:enumeration value="invalidId" />
                <xs:enumeration value="canceled" />
698
699
                <xs:enumeration value="canceledWithSensorFailure" />
                <xs:enumeration value="sensorFailure" />
700
701
               <xs:enumeration value="lockNotHeld" />
                <xs:enumeration value="lockHeldByAnother " />
702
                <xs:enumeration value="initializationNeeded" />
703
                <xs:enumeration value="configurationNeeded" />
705
                <xs:enumeration value="sensorBusy" />
                <xs:enumeration value="sensorTimeout" />
706
                <xs:enumeration value="unsupported" />
                <xs:enumeration value="badValue" />
708
                <xs:enumeration value="noSuchParameter" />
709
710
                <xs:enumeration value="preparingDownload" />
711
              </xs:restriction>
712
           </xs:simpleType>
```

#### 3.9.1.1 Definitions

The following table defines all of the potential values for the Status enumeration.

Value	Description
success	The operation completed successfully.
failure	The operation failed. The failure was due to a web service (as opposed to a <i>sensor</i> error).
invalidId	The provided id is not valid. This can occur if the client provides a (session or capture) id that is either:
	<ul><li>(a) unknown to the server (i.e., does not correspond to a known registration or capture result), or</li><li>(b) the session has been marked inactive because too much time has passed between operations associated with the provided (session) id</li></ul>

canceled	The operation was canceled.
	NOTE: A sensor service might cancel its own operation if it is taking too long. This can happen if a service maintains its own internal timeout that is shorter than a sensor timeout.
canceledWithSensorFailure	The operation was canceled, but during (and perhaps because of) cancellation, a sensor failure occurred.
	This particular status accommodates for hardware that may not natively support cancellation.
sensorFailure	The operation could not be performed because of a biometric sensor (as opposed to web service) failure.
	<b>NOTE</b> : Clients that receive a status of <i>sensorFailure should</i> assume that the sensor will need to be reinitialized in order to restore normal operation.
lockNotHeld	The operation could not be performed because the client does not hold the lock.
	<b>NOTE</b> : This status implies that at the time the lock was queried, no other client currently held the lock. However, this is not a guarantee that any subsequent attempts to obtain the lock will succeed.
lockHeldByAnother	The operation could not be performed because another client currently holds the lock.
initializationNeeded	The operation could not be performed because the sensor requires initialization.
configurationNeeded	The operation could not be performed because the sensor requires configuration.
sensorBusy	The operation could not be performed because the sensor is currently performing another task.
	<b>NOTE</b> : Services may self-initiate an activity that triggers a <i>sensorBusy</i> result. That is, it may not be possible for a client to trace back a <i>sensorBusy</i> status to any particular operation. An automated self-check, heartbeat, or other activitity such as a data transfer may place the target biometric sensor into a "busy" mode. (See §5.13.2.2 for information about post-acquisition processing.)
sensorTimeout	The operation was not performed because the biometric sensor experienced a timeout.
	<b>NOTE</b> : The most common cause of a sensor timeout would be a lack of interaction with a sensor within an expected timeframe.
unsupported	The service does not support the requested operation.
badValue	The operation could not be performed because a value provided for a particular parameter was either (a) an incompatible type or (b) outside of an acceptable range.
noSuchParameter	The operation could not be performed because the service did not recognize the name of a provided parameter.
preparingDownload	The operation could not be performed because the service is currently preparing captured data for download. (See §5.13.2.2)

Many of the permitted status values have been designed specifically to support physically separate implementations—a scenario where it is easier to distinguish between failures in the web service and failures

- in the biometric sensor. This is not to say that within an integrated implementation such a distinction is not possible, only that some of the status values are more relevant for physically separate versions.
- For example, a robust service would allow all sensor operations to be canceled with no threat of a failure.
- Unfortunately, not all commercial, off-the-shelf (COTS) sensors natively support cancellation. Therefore, the
- canceledWithSensorFailure status is offered to accommodate this. Implementers can still offer cancellation,
- but have a mechanism to communicate back to the client, that sensor initialization might be required.

#### **3.10** Result

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Unless a service returns with a HTTP error, all WS-BD operations *must* reply with a HTTP message that contains a Result that conforms to the following XML Schema snippet.

```
<xs:complexType name="Result">
726
727
             <xs:sequence>
728
                <xs:element minOccurs="1" name="status" type="wsbd:Status"/>
               <xs:element minOccurs="0" name="badFields " nillable="true" type="wsbd:StringArray"/>
729
               <xs:element minOccurs="0" name="captureIds " nillable="true" type="wsbd:UuidArray"/>
730
               <xs:element minOccurs="0" name="metadata" nillable="true" type="wsbd:Dictionary"/>
731
732
               <xs:element minOccurs="0" name="message" nillable="true" type="xs:string"/>
                <xs:element minOccurs="0" name="sensorData" nillable="true" type="xs:base64Binary"/>
733
               <xs:element minOccurs="0" name="sessionId" nillable="true" type="wsbd:UUID"/>
734
735
             </xs:seauence>
           </xs:complexType>
736
```

#### 3.10.1 Terminology Shorthand

Since a Result is the intended outcome of all requests, this document may state that an operation "returns" a particular status value. This is shorthand for a Result output payload with a status element containing that value.

**EXAMPLE:** The following output payload "returns success". Enclosing tags (which may vary) are omitted.

```
742 <status>success<status>
```

Likewise, the same shorthand is implied by a client "receiving" a status, or an operation "yielding" a status.

#### 3.10.2 Required Elements

Notice that from a XML Schema validation perspective [XSDPart1], a schema-valid Result must contain a status element, and may or may not contain any of the remaining elements.

The specific permitted elements of a Result are determined via a combination of (a) the operation, and (b) the result's status. That is, different operations will have different requirements on which elements are permitted or forbidden, depending on that operation's status.

**EXAMPLE**: As will be detailed later (§5.3.4.1 and §5.5.4.1), a <u>register</u> operation returning a status of success must also populate the sessionId element. However, a <u>try lock</u> operation that returns a status of success cannot populate any element other than status.

**DESIGN NOTE**: An XML inheritance hierarchy could have been used to help enforce which elements are permitted under which circumstances. However, a de-normalized representation (in which all of the possible elements are valid with respect to a *schema*) was used to simplify client and server implementation. Futher, this reduces the burden of managing an object hierarchy for the sake of enforcing simple constraints.

#### 3.10.3 Element Summary

The following is a brief informative description of each Result element.

Element	Description
status	The disposition of the operation. All Results <i>must</i> contain a status element. (Used in all operations.)
badFields	The list of fields that contain invalid or ill-formed values. (Used in almost all operations.)
captureIds	Identifiers that may be used to obtain data acquired from a capture operation (§5.12, §5.13).
metadata	Information about the target biometric sensor (§5.10, §5.11).
message	A string providing <i>informative</i> detail regarding the output of an operation. (Used in almost all operations.)
sensorData	The biometric data corresponding to a particular capture identifier (§5.13, §5.15).
sessionId	A unique session identifier (§5.3).

#### 3.11 Validation

The provided XML schemas may be used for initial XML validation. It should be noted that these are not strict schema definitions and were designed for easy consumption of web service/code generation tools. Additional logic should be used to evaluate the contents and validity of the data where the schema falls short. For example, additional logic will be necessary to verify the contents of a Result are accurate as there is not a different schema definition for every combination of optional and mandatory fields.

A service must have separate logic validating parameters and their values during configuration. The type of any allowed values might not correspond with the type of the parameter. For example, if the type of the parameter is an integer and an allowed value is a RangeOrValue, the service must handle this within the service as it cannot be appropriately validated using XML schema.

#### 4 Metadata

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Metadata can be broken down into three smaller categories: service information, sensor information or configuration, and capture information. Metadata can be returned in two forms: as a key/value pair within a Dictionary or as parameter information within a Parameter.

#### 4.1 Service Information

- Service information includes read-only parameters unrelated to the sensor as well as parameters that can be set. Updating the values of a parameter should be done in the set configuration operation.
- Service information must include the required parameters listed in Appendix A; including the optional parameters is highly recommended. Each parameter shall be exposed as a Parameter (§3.4).
- Parameters listed in Appendix A shall be exposed as read-only parameters.
- Read-only parameters shall specify its current value by populating the default value field with the value.

  Additionally, read-only parameters *must not* provide any allowed values. Allowed values are reserved to specify acceptable information which may be passed *to* the service for configuration.
  - **EXAMPLE:** An example snippet from a get service info call demonstrating a read-only parameter. Enclosing tags (which may vary) are omitted.

```
<name>inactivityTimeout</name>
786
<type>xs:nonNegativeInteger</type>
787
<readOnly>true</readOnly>
788
<supportsMultiple>false</supportsMultiple>
789
<defaultValue>600</defaultValue>
```

- Configurable parameters, or those which are not read only, must provide information for the default value as well as allowed values. To specify that an allowed value is within range of numbers, refer to RangeOrValue (§3.5).
- **EXAMPLE:** An example snippet from a get service info call. The target service supports a configurable parameter called "ImageWidth". Enclosing tags (which may vary) are omitted.

```
796
          <name>ImageWidth</name>
797
          <type>xs:positiveInteger</type>
798
           <readOnly>false</readOnly>
           <supportsMultiple>false</supportsMultiple>
799
          <defaultValue>800</defaultValue>
800
          <allowedValues>
801
802
            <allowedValue></allowedValue>
803
            <allowedValue></allowedValue>
804
            <allowedValue><value>1024</value></allowedValue>
           </allowedValue>
805
```

#### 4.2 Configuration

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A configuration consists of parameters specific to the sensor or post-processing related to the final capture result. This *must* only consist of key/value pairs. It *must* not include other information about the parameters, such as allowed values or read-only status.

Restrictions for each configuration parameter can be discovered through the get service info operation.

**EXAMPLE:** An example configuration of only three parameters. Enclosing tags (which may vary) are omitted.

```
813
814
              <key>ImageHeight</key>
815
              <value>480</value>
816
            </item>
817
            <item>
818
              <key>ImageWidth</key>
819
              <value>640</value>
820
821
            <item>
              <key>FrameRate</key>
823
              <value>20</value>
824
            </item>
```

#### 4.3 Captured Data

Metadata related to a particular capture operation *shall* include the configuration of the sensor at the time of capture. Static parameters related to the service *should not* be included in the metadata for a capture result.

**EXAMPLE:** Example metadata for a particular capture. Note that this includes parameters related to the sensor. Enclosing tags (which may vary) are omitted.

```
<item>
831
832
              <key>SerialNumber</key>
833
              <value>98A8N830LP332-V244</value>
834
            </item>
            <item>
              <key>CaptureDate</key>
836
837
              <value>2011-01-01T15:30:00Z</value>
838
            </item>
839
            <item>
840
              <key>ImageHeight</key>
              <value>600</value>
841
842
            </item>
843
            <item>
              <key>ImageWidth</key>
844
845
              <value>800</value>
846
            </item>
847
848
              <key>ContentType</key>
849
              <value>image/bmp</value>
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            </item>
```

### 5 Operations

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This section provides detailed information regarding each WS-BD operation.

#### 5.1 General Usage Notes

The following usage notes apply to all operations, unless the detailed documentation for a particular operation conflicts with these general notes, in which case the detailed documentation takes precedence.

- 1. **Failure messages are informative.** If an operation fails, then the message element *may* contain an informative message regarding the nature of that failure. The message is for informational purposes only—the functionality of a client *must not* depend on the contents of the message.
- Results must only contain required and optional elements. Services must only return elements
  that are either required or optional. All other elements must not be contained in the result, even if
  they are empty elements. Likewise, to maintain robustness in the face of a non-conformant service,
  clients should ignore any element that is not in the list of permitted Result elements for a particular
  operation call.
- 3. **Sensor operations must not occur within a non-sensor operation.** Services *may only* perform any sensor control within the operations:
  - a. get service info,
  - b. *initialize*,
  - c. get configuration,
  - d. set configuration,
  - e. *capture*, and
  - f. <u>cancel</u>.
- 4. Sensor operations must require locking. Even if a service implements a sensor operation without controlling the target biometric sensor, the service must require that a locked service for the operation to be performed.
- Content Type. Clients must make HTTP requests using a content type of application/xml [RFC2616, §14].

#### **5.1.1** Precedence of Status Enumerations

To maximize the amount of information given to a client when an error is obtained, and to prevent different implementations from exhibiting different behaviors, all WS-BD services *must* return status values according to a fixed priority. In other words, when multiple status messages might apply, a higher-priority status *must* always be returned in favor of a lower-priority status.

The status priority, listed from highest priority ("invalidId") to lowest priority ("success") is as follows:

- invalidId
- noSuchParameter
- badValue
- 4. unsupported
- canceledWithSensorFailure
- canceled
  - 7. lockHeldByAnother

- 891 8. lockNotHeld
  892 9. sensorBusy
  893 10. sensorFailure
  894 11. sensorTimeout
  895 12. initializationNeeded
  896 13. configurationNeeded
  897 14. preparingDownload
  - 15. failure 16. success

Notice that success is the *lowest* priority—an operation should only be deemed successful if no *other* kinds of (non-successful) statuses apply.

The following examples illustrates how this ordering affects the status returned in a situation in which multiple

**EXAMPLE**: Figure 5 illustrates that client cannot receive a "sensorBusy" status if it does not hold the lock, even if a sensor operation is in progress (recall from §2.5.5 that sensor operations require holding the lock).

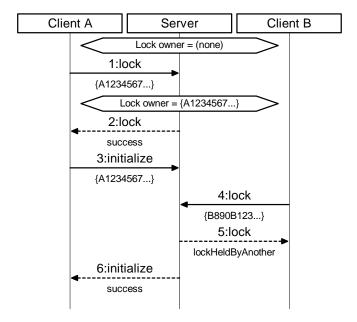


Figure 5. Example illustrating how a client cannot recieve a "sensorBusy" status if it does not hold the lock.

**EXAMPLE**: Suppose there are two clients; Client A and Client B. Client A holds the lock and starts initialization on (Step 1–3). Immediately after Client A initiates capture, Client B (Step 4) tries to obtain the lock while Client A is still capturing. In this situation, the valid statuses that could be returned to Client B are "sensorBusy" (since the sensor is busy performing a capture) and "lockHeldByAnother" (since Client A holds the lock). In this case, the service returns "lockHeldByAnother" (Step 5) since "lockHeldByAnother" is higher priority than "sensorBusy."

#### 5.1.2 **Parameter Failures**

Services *must* distinguish among invalidId, noSuchParameter, badValue, and unsupported according to the following rules. These rules are presented here in the order of precedence that matches the previous subsection.

1. **Is a recognizable UUID provided?** If the operation requires a UUID as an input URL parameter, and provided value is not an UUID (i.e., the UUID is *not* parseable), then the service *must* return badValue. Additionally, the Result's badFields list must contain the name of the offending parameter (sessionId or captureId).

...otherwise...

2. **Is the UUID understood?** If an operation requires an UUID as an input URL parameter, and the provided value *is* a UUID, but cannot accept the provided value, then the service *must* return invalidId. Additionally, the Result's badFields list must contain the name of the offending parameter (sessionId or captureId).

...otherwise...

3. **Are the parameter names understood?** If an operation does not recognize a provided input parameter *name*, then the service *must* return noSuchParameter. This behavior may differ from service to service, as different services *may* recognize (or not recognize) different parameters. The unrecognized parameter(s) *must* be listed in the Result's badFields list.

...otherwise...

4. Are the parameter values acceptable? If an operation recognizes all of the provided parameter names, but cannot accept a provided *value* because it is (a) and inappropriate type, or (b) outside the range advertised by the service (§4.1), the then service *must* return *badValue*. The parameter names associated with the unacceptable values *must* be listed in the Result's badFields list. Clients are expected to recover the bad values themselves by reconciling the Result corresponding to the offending request.

...otherwise...

5. **Is the request supported?** If an operation accepts the parameter names and values, but the particular request is not supported by the service or the target biometric sensor, then the service *must* return *unsupported*. The parameter names that triggered this determination *must* be listed in the Result's badFields list. By returning multiple fields, a service is able to imply that a particular *combination* of provided values is unsupported.

**NOTE**: It may be helpful to think of *invalidId* as a special case of *badValue* reserved for URL parameters of type UUID.

#### 5.1.3 Visual Summaries

The following two tables provide *informative* visual summaries of WS-BD operations. These visual summaries are an overview; they are not authoritative. (§5.3–5.16 are authoritative.)

#### **5.1.3.1** Input & Output

The following table represents a visual summary of the inputs and outputs corresponding to each operation.

Operation *inputs* are indicated in the "URL Fragment" and "Input Payload" columns. Operation inputs take the form of either (a) a URL parameter, with the parameter name shown in "curly brackets" ("{" and "}") within the URL fragment (first column), and/or, (b) a input payload (defined in §1.1).

Operation *outputs* are provided via Result, which is contained in the body of an operation's HTTP response.

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					<b>5</b>	Permitted Result Elements (within output payload)							
URL Fragment (Includes inputs)	Method	Operation	Input payload	Idempotent	Sensor Operation	status	badFields	sessionId	metadata	capturelds	sensorData	Detailed Documentation (§)	
register	POST	register	none			•		•				5.3	
register/{sessionId}	DELETE	unregister	none	•		•	•					5.4	
lock/{sessionId}	POST	try lock	none	•		•	•					5.5	
lock/{sessionId}	PUT	steal lock	none	•		•	•					5.6	
lock/{sessionId}	DELETE	unlock	none	•		•	•					5.7	
info	GET	get service info	none	•		•			•			5.8	
initialize/{sessionId}	POST	initialize	none	•	-	•	•					5.9	
	GET	get configuration	none	•	-	•	•		•			5.10	
configure/{sessionId}	POST	set configuration	config	•	•	•	•					5.11	
capture/{sessionId}	POST	capture	none		•	•	•			•		5.12	
download/{captureid}	GET	download	none	•		•	•		•		•	5.13	
download/{captureid}/info	GET	get download info	none	•					•			5.14	
download/{captureid}/{maxSize}	GET	thrifty download	none	•		•	•		•		•	5.15	
cancel/{sessionId}	POST	cancel operation	none	•	•	•	•					5.16	

The message element is not shown in this table; when it appears it is *always* optional.

Presence of a symbol in a table cell indicates that operation is idempotent (•), a sensor operation (•), and which elements *may* be present in the operation's Result (•). Likewise, the lack of a symbol in a table cell indicates the operation is not idempotent, not a sensor operation, and which elements of the operation's Result are forbidden.

**EXAMPLE**: The <u>capture</u> operation (fifth row from the bottom) is not idempotent, but is a sensor operation. The output may contain the elements status, badFields, and/or captureIds in its Result. The detailed information regarding the Result for <u>capture</u>, (i.e., which elements are specifically permitted under what circumstances) is found in §5.12.

#### **5.1.3.2 Permitted Status Values**

The following table provides a visual summary of the status values permitted.

Operation Description	saccess	failure	invalidid	canceled	canceledWithSensorFailure	sensorFailure	lockNotHeld	lockHeldByAnother	initializationNeeded	configurationNeeded	sensorBusy	sensorTimeout	unsupported	badValue	noSuchParameter	preparingDownload
register	•	•														
unregister	•	•	•								•			•		
try lock	•	•	•					•						•		
steal lock	•	•	•											•		
unlock	•	•	•					•						•		
get service info	•	•														
initialize	•	•	•	•	•	•	•	•			•	•		•		
get configuration	•	•	•	•	•	•	•	•	•	•	•	•		•		
set configuration	•	•	•	•	•	•	•	•	•		•	•	•	•	•	
capture	•	•	•	•	•	•	•	•	•	•	•	•		•		
download	•	•	•											•		•
get download info	•	•	•											•		•
thrifty download	•	•	•										•	•		•
cancel	•	•	•				•	•						•		

The presence (absence) symbol in a cell indicates that the respective status *may* (*may not*) be returned by the corresponding operation.

**EXAMPLE**: The <u>register</u> operation may only return a Result with a Status that contains either success or failure. The <u>unregister</u> operation may only return success, failure, invalidId, sensorBusy, or badValue.

# **5.2 Documentation Conventions**

Each WS-BD operation is documented according to the following conventions.

# 5.2.1 **General Information**

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Each operation begins with the following tabular summary:

Description	A short description of the operation
HTTP Method	The HTTP method that triggers the operation, i.e., GET, POST, PUT, OR DELETE
URL Template	The suffix used to access the operation. These take the form
	resourceName
	or
	resourceName/{URL_parameter_1}//{URL_parameter_N}
	Each parameter, {URL_parameter} <i>must</i> be replaced, in-line with that parameter's value.

Parameters have no explicit names, other than defined by this document or reported back to the client within the contents of a badFields element.

It is assumed that consumers of the service will prepend the URL to the service endpoint as appropriate.

**EXAMPLE**: The resource resourceName hosted at the endpoint

http://example.com/Service

would be accessible via

http://example.com/Service/resourceName

**URL Parameters** 

A description of the URL-embedded operation parameters. For each parameter the following details are provided:

- the name of the parameter
- the expected data type (§3)
- a description of the parameter

**Input Payload** 

A description of the content, if any, to be posted to the service as input to an operation.

**Idempotent** Yes-

Yes—the operation is idempotent (§2.5.7).

No—the operation is not idempotent.

Sensor Operation (Lock Required)

Yes—the service may require exclusive control over the target biometric sensor.

No—this operation does not require a lock.

Given the concurrency model (§2.5.5) this value doubles as documentation as to whether or not a lock is required

# 5.2.2 Result Summary

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This subsection summarizes the various forms of a Result that may be returned by the operation. Each row represents a distinct combination of permitted values & elements associated with a particular status. An operation that returns success may also provide additional information other than status.

success	status="success"
	status="failure" message*=informative message describing failure
[status value]	status=status literal [required element name]=description of permitted contents of the element [optional element name]*=description of permitted contents of the element
<b>:</b>	:

For each row, the left column contains a permitted status value, and the right column contains a summary of the constraints on the Result when the status element takes that specific value. The vertical ellipses signify that the summary table may have additional rows that summarize a permitted status value.

Element names suffixed with a '\*' indicate that the element is optional.

# 5.2.3 **Usage Notes**

Each of the following subsections describes behaviors & requirements that are specific to its respective operation.

# 5.2.4 Unique Knowledge

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For each operation, there is a brief description of whether or not the operation affords an opportunity for the server or client to exchange information unique to a particular implementation. The term "unique knowledge" is used to reflect the definition of interoperability referenced in §2.2.

#### 5.2.5 Return Values Detail

This subsection details the various return values that the operation may return. For each permitted status value, the following table details the Result requirements:

Status Value	The particular status value
Condition	The service accepts the registration request
Required Elements	A list of the required elements. Listed for each required element is the element name and its expected contents.
Optional Elements	A list of the required elements. Listed for each optional element is the element name and its expected contents.

Constraints and information unique to the particular operation/status combination may follow the table, but some status values have no trailing explanatory text.

# 5.3 Register

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Description	Open a new client-server session
HTTP Method	POST
URL Template	/register
<b>URL Parameters</b>	None
Input Payload	None
Idempotent	No
Sensor Operation	No

# 5.3.1 Result Summary

success	status="success" sessionId=Session id (UUID, §3.2)
failure	status="failure" message*=informative message describing failure

## 5.3.2 Usage Notes

- 1011 <u>Register</u> provides a unique identifier that can be used to associate a particular client with a server.
- In a sequence of operations with a service, a <u>register</u> operation is likely one of the first operations performed
- by a client (get service info being the other). It is expected (but not required) that a client would perform a
- single registration during that client's lifetime.
- DESIGN NOTE: By using an UUID, as opposed to the source IP address, a server can distinguish among clients sharing the same originating IP address (i.e., multiple clients on a single machine, or multiple machines behind a firewall). Additionally, a UUID allows a client (or collection of clients) to determine client identity
- rather than enforcing a particular model (§2.5.3).

# 5.3.3 Unique Knowledge

- As specified, the <u>register</u> operation cannot be used to provide or obtain knowledge about unique characteristics of a client or service.
  - 5.3.4 Return Values Detail
- The <u>register</u> operation *must* return a Result according to the following constraints.

#### 5.3.4.1 Success

Status Value	success
Condition	The service accepts the registration request
Required Elements	status (Status, §3.9) the literal "success"  sessionId (UUID, §3.2) an identifier that can be used to identify a session
Optional Elements	,

#### 1026 **5.3.4.2 Failure**

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Status Value	failure
Condition	The service cannot accept the registration request
Required Elements	status (Status, §3.9) the literal "failure"
Optional Elements	message (xs:string, [XSDPart2]) an informative description of the nature of the failure

- Registration might fail if there are too many sessions already registered with a service. The message element must only be used for informational purposes. Clients must not depend on particular contents of the message element to control client behavior.
- See §A.1 for how sensor metadata can be used to determine the maximum number of current sessions a service can support.

# 5.4 Unregister

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Description	Close a client-server session
HTTP Method	DELETE
URL Template	/register/{sessionId}
URL Parameters	{sessionId} (UUID, §3.2) Identity of the session to remove
Input Payload	Not applicable
Idempotent	Yes
Sensor Operation	No

# 5.4.1 Result Summary

success	status="success"
failure	status="failure" message*=informative message describing failure
sensorBusy	status="sensorBusy"
Schisti Busy	seacus sensor busy
badValue	status="badValue"
	<pre>badFields={"sessionId"} (StringArray, §3.6)</pre>

# 5.4.2 Usage Notes

<u>Unregister</u> closes a client-server session. Although not strictly necessary, clients *should* unregister from a service when it is no longer needed. Services *should* support (on the order of) thousands of concurrent sessions, but this cannot be guaranteed, particularly if the service is running within limited computational resources. Conversely, clients *should* assume that the number of concurrent sessions that a service can support is limited. (See §A.1 for details on connection metadata.)

#### 5.4.2.1 Inactivity

A service *may* automatically unregister a client after a period of inactivity, or if demand on the service requires that least-recently used sessions be dropped. This is manifested by a client receiving a status of invalidId without a corresponding unregistration. Services *should* set the inactivity timeout to a value on the order of hundreds of minutes. (See §A.1 for details on connection metadata.)

#### 5.4.2.2 Sharing Session Ids

A session id is not a secret, but clients that share session ids run the risk of having their session prematurely terminated by a rogue peer client. This behavior is permitted, but discouraged. See §2.5 for more information about client identity and the assumed security models.

#### 5.4.2.3 Locks & Pending Sensor Operations

If a client that holds the service lock unregisters, then a service must also release the service lock, with one exception. If the unregistering client both holds the lock and is responsible for a pending sensor operation, the service must return sensorBusy (See §5.4.4.3).

#### 5.4.3 Unique Knowledge

As specified, the <u>unregister</u> operation cannot be used to provide or obtain knowledge about unique characteristics of a client or service.

#### 5.4.4 Return Values Detail

The <u>unregister</u> operation *must* return a Result according to the following constraints.

#### 5.4.4.1 Success

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Status Value	success
Condition	The service accepted the unregistration request
Required Elements	status (Status, §3.9) the literal "success"
Optional Elements	None

If the unregistering client currently holds the service lock, and the requesting client is not responsible for any pending sensor operation, then successful unregistration *must* also release the service lock.

As a consequence of idempotency, a session id does not need to ever have been registered successfully in order to *unregister* successfully. Consequently, the *unregister* operation cannot return a status of invalidid.

#### 5.4.4.2 Failure

Status Value	failure
Condition	The service could not unregister the session.
Required Elements	status (Status, §3.9) the literal "failure"
Optional Elements	message (xs:string, [XSDPart2]) an informative description of the nature of the failure

In practice, failure to unregister is expected to be a rare occurrence. Failure to unregister might occur if the service experiences a fault with an external system (such as a centralized database used to track session registration and unregistration)

### 5.4.4.3 Sensor Busy

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Status Value	sensorBusy
Condition	The service could not unregister the session because the biometric sensor is currently performing a sensor operation within the session being unregistered.
Required Elements	status (Status, §3.9) the literal "sensorBusy"
Optional Elements	None

This status *must only* be returned if (a) the sensor is busy and (b) the client making the request holds the lock (i.e., the session id provided matches that associated with the current service lock). Any client that does not hold the session lock *must not* result in a sensorBusy status.

**EXAMPLE**: The following sequence diagram illustrates a client that cannot unregister (Client A) and a client that can unregister (Client B). After the initialize operation completes (Step 6), Client A can unregister (Steps 7-8).

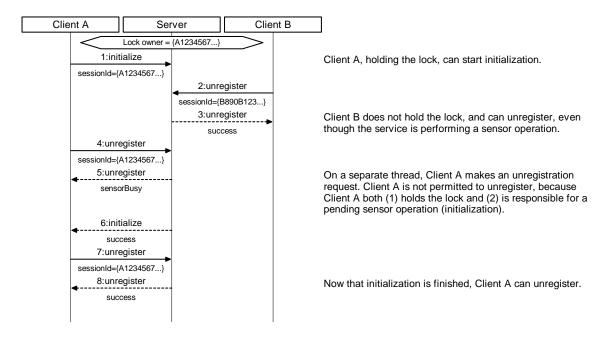


Figure 6. Example of how an <u>unregister</u> operation can result in sensorBusy.

#### 5.4.4.4 Bad Value

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Status Value
Condition
The provided session id is not a well-formed UUID.

Required Elements
Status (Status, §3.9)
the literal "badValue"

badFields (StringArray, §3.6)
an array that contains the single field name, "sessionId"

None

See §5.1.2 for general information on how services must handle parameter failures.

# 5.5 Try Lock

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Description
HTTP Method
PUT
URL Template
VRL Parameters
{sessionId} (UUID, §3.2)
Identity of the session requesting the service lock
Input Payload
Idempotent
Sensor Operation
Try to obtain the service lock
PUT
/lock/{sessionId}
(UUID, §3.2)
Identity of the session requesting the service lock
Not applicable
Idempotent
Yes
No

# 5.5.1 Result Summary

success
failure
failure
status="failure"
message\*=informative message describing failure
invalidId
status="invalidId"
badFields={"sessionId"} (StringArray, §3.6)

lockHeldByAnother
badValue
badValue
badFields={"sessionId"} (StringArray, §3.6)

# 5.5.2 Usage Notes

The <u>try lock</u> operation attempts to obtain the service lock. The word "try" is used to indicate that the call always returns immediately; it does not block until the lock is obtained. See §2.5.5 for detailed information about the WS-BD concurrency and locking model.

## 5.5.3 Unique Knowledge

As specified, the <u>try lock</u> cannot be used to provide or obtain knowledge about unique characteristics of a client or service.

## 5.5.4 Return Values Detail

The <u>try lock</u> operation must return a Result according to the following constraints.

#### 5.5.4.1 Success

Condition The service was successfully locked to the provided session id.

Required Elements Status (Status, §3.9)
the literal "success"

Optional Elements None

Clients that hold the service lock are permitted to perform sensor operations (§2.5.5). By idempotency (§2.5.7), if a client already holds the lock, subsequent *try lock* operations should also return success.

#### 5.5.4.2 Failure

Status Value	failure
Condition	The service could not be locked to the provided session id.
Required Elements	status (Status, §3.9)

the literal "failure"

Optional Elements message (xs:string, [XSDPart2])

an informative description of the nature of the failure

Services must reserve a failure status to report system or internal failures and prevent the acquisition of the lock. Most <u>try lock</u> operations that do not succeed will not produce a failure status, but more likely a lockHeldByAnother status (See §5.5.4.4 for an example).

#### 5.5.4.3 Invalid Id

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A session id is invalid if it does not correspond to an active registration. A session id may become unregistered from a service through explicit unregistration or triggered automatically by the service due to inactivity (§5.4.4.1).

See §5.1.2 for general information on how services must handle parameter failures.

#### 5.5.4.4 Lock Held by Another

Status Value
Condition
The service could not be locked to the provided session id because the lock is held by another client.

Required Elements
Status (Status, §3.9)
the literal "lockHeldByAnother"

Optional Elements
None

**EXAMPLE**: The following sequence diagram illustrates a client that cannot obtain the lock (Client B) because it is held by another client (Client A).

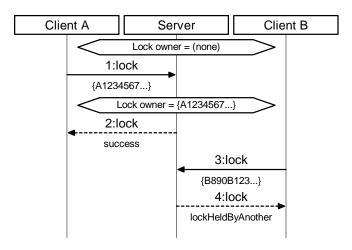


Figure 7. Example of a scenario yielding a lockHeldByAnother result.

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## 1106 **5.5.4.5 Bad Value**

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Status Value badValue

**Condition** The provided session id is not a well-formed UUID.

Required Elements status (Status, §3.9)

the literal "badValue"

badFields (StringArray, §3.6)

an array that contains the single field name, "sessionId"

Optional Elements None

See §5.1.2 for general information on how services must handle parameter failures.

# 5.6 Steal Lock

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Description	Forcibly obtain the lock away from a peer client
HTTP Method	PUT
URL Template	/lock/{sessionId}
URL Parameters	{sessionId} (UUID, §3.2) Identity of the session requesting the service lock
Input Payload	Not applicable
Idempotent	Yes
Sensor Operation	No

# 5.6.1 **Result Summary**

success	status="success"
failure	status="failure" message*=informative message describing failure
invalidId	<pre>status="invalidId" badFields={"sessionId"} (StringArray, §3.6)</pre>
badValue	<pre>status="badValue" badFields={"sessionId"} (StringArray, §3.6)</pre>

# 5.6.2 Usage Notes

The <u>steal lock</u> operation allows a client to forcibly obtain the lock away from another client that already holds the lock. The purpose of this operation is to prevent a client that experiences a fatal error from forever preventing another client access to the service, and therefore, the biometric sensor.

#### 5.6.2.1 Avoid Lock Stealing

Developers and integrators *should* endeavor to reserve lock stealing for exceptional circumstances—such as when a fatal error prevents a client from releasing a lock. Lock stealing *should* not be used as the primary mechanism in which peer clients coordinate biometric sensor use.

#### 5.6.2.2 Lock Stealing Prevention Period (LSPP)

To assist in coordinating access among clients and to prevent excessive lock stealing, a service *may* trigger a time period that forbids lock stealing for each sensor operation. For convenience, this period of time will be referred to as the *lock stealing prevention period (LSPP)*.

During the LSPP, all attempts to steal the service lock will fail. Consequently, if a client experiences a fatal failure during a sensor operation, then all peer clients need to wait until the service re-enables lock stealing.

All services *should* implement a non-zero LSPP. The recommended time for the LSPP is on the order of 100 seconds. Services that enforce an LSPP *must* start the LSPP immediately before sovereign sensor control is required. Conversely, services *should not* enforce an LSPP unless absolutely necessary.

If a request provides an invalid sessionId, then the operation should return an invalidId status instead of a failure—this must be true regardless of the LSPP threshold and whether or not it has expired. A failure signifies that the state of the service is still within the LSPP threshold and the provided sessionId is valid.

- A service *may* reinitiate a LSPP when an operation yields an undesirable result, such as failure. This would allow a client to attempt to resubmit the request or recover without worrying about whether or not the lock is still owned by the client's session.
- An LSPP ends after a fixed amount of time has elapsed, unless another sensor operation restarts the LSPP.
- Services should keep the length of the LSPP fixed throughout the service's lifecycle. It is recognized, however,
- that there may be use cases in which a variable LSPP timespan is desirable or required. Regardless, when
- determining the appropriate timespan, implementers *should* carefully consider the tradeoffs between
- preventing excessive lock stealing, versus forcing all clients to wait until a service re-enables lock stealing.

#### 1138 5.6.2.3 Cancellation & (Lack of) Client Notification

- Lock stealing *must* have no effect on any currently running sensor operations. It is possible that a client
- initiates a sensor operation, has its lock stolen away, yet the operation completes successfully. Subsequent
- sensor operations would yield a lockNotHeld status, which a client could use to indicate that their lock was
- stolen away from them. Services *should* be implemented such that the LSPP is longer than any sensor
- operation.

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# 5.6.3 Unique Knowledge

- As specified, the <u>steal lock</u> operation cannot be used to provide or obtain knowledge about unique
- characteristics of a client or service.

### 5.6.4 Return Values Detail

The <u>steal lock</u> operation *must* return a Result according to the following constraints.

#### 5.6.4.1 Success

Status Value	success
Condition	The service was successfully locked to the provided session id.
Required Elements	status (Status, §3.9) the literal "success"
Optional Elements	None

See §2.5.5 for detailed information about the WS-BD concurrency and locking model. Cancellation must have no effect on pending sensor operations (§5.6.2.3).

#### 5.6.4.2 Failure

Status Value	failure
Condition	The service could not be locked to the provided session id.
Required Elements	status (Status, §3.9)
	the literal "failure"
Optional Elements	message (xs:string, [XSDPart2])
	an informative description of the nature of the failure

Most <u>steal lock</u> operations that yield a failure status will do so because the service receives a lock stealing request during a lock stealing prevention period (§5.6.2.2). Services *must* also reserve a failure status for other non-LSPP failures that prevent the acquisition of the lock.

Implementers *may* choose to use the optional message field to provide more information to an end-user as to the specific reasons for the failure. However (as with all other failure status results), clients *must* not depend on any particular content to make this distinction.

#### 5.6.4.3 Invalid Id

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Status Value invalidId

**Condition** The provided session id is not registered with the service.

**Required Elements** status (Status, §3.9)

the literal "invalidId"

badFields (StringArray, §3.6)

an array that contains the single field name, "sessionId"

Optional Elements None

Optional Elements None

A session id is invalid if it does not correspond to an active registration. A session id may become unregistered from a service through explicit unregistration or triggered automatically by the service due to inactivity (§5.4.4.1).

See §5.1.2 for general information on how services must handle parameter failures.

#### 5.6.4.4 Bad Value

Status Value
Condition
Required Elements

badValue
The provided session id is not a well-formed UUID.
status (Status, §3.9)
the literal "badValue"
badFields (StringArray, §3.6)
an array that contains the single field name, "sessionId"

See §5.1.2 for general information on how services must handle parameter failures.

# 5.7 Unlock

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Description	Release the service lock
HTTP Method	DELETE
URL Template	/lock/{sessionId}
URL Parameters	{sessionId} (UUID, §3.2) Identity of the session releasing the service lock
Input Payload	None
Idempotent	Yes
Sensor Operation	No

# 5.7.1 **Result Summary**

success	status="success"
	status="failure" message*=informative message describing failure
invalidId	status="invalidId" badFields={"sessionId"} (StringArray, §3.6)
	<pre>status="badValue" badFields={"sessionId"} (StringArray, §3.6)</pre>

# 5.7.2 Usage Notes

The *unlock* operation release a service lock, making locking available to other clients.

See §2.5.5 for detailed information about the WS-BD concurrency and locking model.

# 5.7.3 Unique Knowledge

As specified, the *unlock* operation cannot be used to provide or obtain knowledge about unique characteristics of a client or service.

## 5.7.4 Return Values Detail

The <u>steal lock</u> operation *must* return a Result according to the following constraints.

### **5.7.4.1** Success

Status Value	success
Condition	The service returned to an unlocked state.
Required Elements	status (Status, §3.9) the literal "success"
Optional Elements	None

Upon releasing the lock, a client is no longer permitted to perform any sensor operations (§2.5.5). By idempotency (§2.5.7), if a client already has released the lock, subsequent <u>unlock</u> operations should also return success.

#### 5.7.4.2 Failure

Status Value	failure
Condition	The service could not be transitioned into an unlocked state.
Required Elements	status (Status, §3.9)
	the literal "failure"

**Optional Elements** message (xs:string, [XSDPart2])

an informative description of the nature of the failure

Services must reserve a failure status to report system or internal failures and prevent the release of the 1181 service lock. The occurrence of *unlock* operations that fail is expected to be rare. 1182

#### 5.7.4.3 Invalid Id

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Status Value invalidId

**Condition** The provided session id is not registered with the service.

**Required Elements** status (Status, §3.9)

the literal "invalidId"

badFields (StringArray, §3.6)

an array that contains the single field name, "sessionId"

**Optional Elements** None

A session id is invalid if it does not correspond to an active registration. A session id may become unregistered from a service through explicit unregistration or triggered automatically by the service due to 1185 inactivity (§5.4.4.1).

See §5.1.2 for general information on how services must handle parameter failures.

#### 5.7.4.4 Bad Value

Status Value badValue

**Condition** The provided session id is not a well-formed UUID.

**Required Elements** status (Status, §3.9)

the literal "badValue"

badFields (StringArray, §3.6)

an array that contains the single field name, "sessionId"

Optional Elements None

1189 See §5.1.2 for general information on how services must handle parameter failures.

# 5.8 Get Service Info

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Description	Retrieve metadata about the service that does not depend on session-specific information, or sovereign control of the target biometric sensor
HTTP Method	GET
URL Template	/info
<b>URL Parameters</b>	None
Payload	Not applicable
Idempotent	Yes
Sensor Operation	No

# 5.8.1 **Result Summary**

success	status="success"
	metadata=dictionary containing service metadata (Dictionary, §3.3)
	status="failure"
	message*=informative message describing failure

# 5.8.2 Usage Notes

- The *get service info* operation provides information about the service and target biometric sensor. This operation *must* return information that is both (a) independent of session, and (b) does not require sovereign biometric sensor control. In other words, services *must not* control the target biometric sensor during a *get* service info operation itself. Implementations *may* (and are encouraged to) use service startup time to query the biometric sensor directly to create a cache of information and capabilities for *get service info* operations. The service should keep a cache of sensor and service metadata to reduce the amount of operations which query the sensor as this can be a lengthy operation.
- The <u>get service info</u> operation does <u>not</u> require that a client be registered with the service. Unlike other operations, it does <u>not</u> take a session id as a URL parameter.
- See §4.1 for information about the metadata returned from this operation.

# 5.8.3 Unique Knowledge

- As specified, the *get service info* can be used to obtain knowledge about unique characteristics of a service.
- Through *get service info*, a service may expose implementation and/or service-specific configuration
- parameter names and values that are not described in a conformance profile [SP500-288A].

# 5.8.4 Return Values Detail

The *get service info* operation *must* return a Result according to the following constraints.

#### 5.8.4.1 Success

Status Value	success
Condition	The service provides service metadata
Required Elements	status (Status, §3.9)
	the literal "success"
	metadata (Dictionary, §3.3)
	information about the service metadata

Optional Elements None

## 5.8.4.2 Failure

Status Value
Condition
The service cannot provide service metadata

Required Elements
Status (Status, §3.9)
the literal "failure"

Optional Elements
message (xs:string, [XSDPart2])
an informative description of the nature of the failure

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# 5.9 Initialize

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Description	Initialize the target biometric sensor
HTTP Method	POST
URL Template	/initialize/{sessionId}
<b>URL Parameters</b>	{sessionId} (UUID, §3.2)
	Identity of the session requesting initialization
Input Payload	None
Idempotent	Yes
Sensor Operation	Yes

# 5.9.1 Result Summary

success	status="success"
failure	status="failure"
	message*=informative message describing failure
invalidId	status="invalidId"
	badFields={"sessionId"} (StringArray, §3.6)
canceled	status="canceled"
canceledWithSensorFailure	status="canceledWithSensorFailure"
sensorFailure	status="sensorFailure"
lockNotHeld	status="lockNotHeld"
lockHeldByAnother	status="lockHeldByAnother"
sensorBusy	status="sensorBusy"
sensorTimeout	status="sensorTimeout"
badValue	status="badValue"
	badFields={"sessionId"} (StringArray, §3.6)

## 5.9.2 Usage Notes

- The *initialize* operation prepares the target biometric sensor for (other) sensor operations.
- Some biometric sensors have no requirement for explicit initialization. Services exposing such a sensor *should* immediately return a success result.
- Although not strictly necessary, services should directly map this operation to the initialization of the target 1218 biometric sensor, unless the service can reliably determine that the target biometric sensor is in a fully 1219 operational state. In other words, a service may decide to immediately return success if there is a reliable 1220 way to detect if the target biometric sensor is currently in an initialized state. This style of "short circuit" 1221 evaluation could reduce initialization times. However, a service that always initializes the target biometric 1222 sensor would enable the ability of a client to attempt a manual reset of a sensor that has entered a faulty 1223 state. This is particularly useful in physically separated service implementations where the connection 1224 between the target biometric sensor and the web service host may be less reliable than an integrated 1225 implementation. 1226

# 5.9.3 Unique Knowledge

As specified, the <u>initialize</u> operation cannot be used to provide or obtain knowledge about unique characteristics of a client or service.

#### 5.9.4 Return Values Detail

#### 1231 **5.9.4.1 Success**

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Status Value success

**Condition** The service successfully initialized the target biometric sensor

Required Elements status

must be populated with the Status literal "success"

Optional Elements None

#### 5.9.4.2 Failure

Status Value failure

**Condition** The service experienced a fault that prevented successful initialization.

**Required Elements** status (Status, §3.9)

the literal "failure"

**Optional Elements** message (xs:string, [XSDPart2])

an informative description of the nature of the failure

A failure status *must* only be used to report failures that occurred within the web service, not within the target biometric sensor (§5.9.4.5, §5.9.4.6)

#### 5.9.4.3 Invalid Id

Status Value invalidId

**Condition** The provided session id is not registered with the service.

Required Elements status (Status, §3.9)

the literal "invalidId"

badFields (StringArray, §3.6)

an array that contains the single field name, "sessionId"

**Optional Elements** None

A session id is invalid if it does not correspond to an active registration. A session id may become

unregistered from a service through explicit unregistration or triggered automatically by the service due to

inactivity (§5.4.4.1).

See §5.1.2 for general information on how services must handle parameter failures.

#### 1240 **5.9.4.4 Canceled**

Status Value canceled

**Condition** The initialization operation was interrupted by a cancellation request.

Required Elements status (Status, §3.9)

the literal "canceled"

**Optional Elements** None

See §5.16.2.2 for information about what may trigger a cancellation.

#### 5.9.4.5 Canceled with Sensor Failure

Status Value canceledWithSensorFailure

**Condition** The initialization operation was interrupted by a cancellation request and the

target biometric sensor experienced a failure

**Required Elements** status (Status, §3.9)

the literal "canceledWithSensorFailure"

**Optional Elements** message (xs:string, [XSDPart2])

#### an informative description of the nature of the failure

Services must return a canceledWithSensorFailure result if a cancellation request caused a failure within the target biometric sensor. Clients receiving this result may need to reattempt the initialization request to restore full functionality. See §5.16.2.2 for information about what may trigger a cancellation.

#### 5.9.4.6 Sensor Failure

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Status Value	sensorFailure
Condition	The initialization failed due to a failure within the target biometric sensor
Required Elements	status (Status, §3.9)
·	the literal "sensorFailure"
Optional Elements	message (xs:string, [XSDPart2])
-	an informative description of the nature of the failure

A sensorFailure status *must* only be used to report failures that occurred within the target biometric sensor, not a failure within the web service (§5.9.4.2).

#### 5.9.4.7 Lock Not Held

Status Value
Condition
Initialization could not be performed because the requesting client does not hold the lock
Required Elements
Status (Status, §3.9)
the literal "lockNotHeld"
None

Sensor operations require that the requesting client holds the service lock.

#### 5.9.4.8 Lock Held by Another

Status Value	lockHeldByAnother
Condition	Initialization could not be performed because the lock is held by another client.
Required Flements	status (Status, §3.9)
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	the literal "lockHeldByAnother"
	,
Optional Elements	None

#### 5.9.4.9 Sensor Busy

Status Value	sensorBusy
Condition	Initialization could not be performed because the service is already performing a different sensor operation for the requesting client.
Required Elements	status (Status, §3.9) the literal "sensorBusy"
Optional Elements	None

#### 5.9.4.10 Sensor Timeout

Status Value	sensorTimeout
Condition	Initialization could not be performed because the target biometric sensor took too long to complete the initialization request.
Required Elements	status (Status, §3.9) the literal "sensorTimeout"
Optional Elements	None

A service did not receive a timely response from the target biometric sensor. Note that this condition is distinct from the client's originating HTTP request, which may have its own, independent timeout. (See A.2 for information on how a client might determine timeouts.)

### 5.9.4.11 Bad Value

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Status Value
Condition
The provided session id is not a well-formed UUID.

Required Elements
Status (Status, §3.9)
the literal "badValue"

badFields (StringArray, §3.6)
an array that contains the single field name, "sessionId"

None

See §5.1.2 for general information on how services must handle parameter failures.

# 5.10 Get Configuration

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Description	Retrieve metadata about the target biometric sensor's current configuration
HTTP Method	GET
URL Template	/configure/{sessionId}
URL Parameters	{sessionId} (UUID, §3.2) Identity of the session requesting the configuration
Payload	Not applicable
Idempotent	Yes
Sensor Operation	Yes

# 5.10.1 Result Summary

success	status="success" metadata=current configuration of the sensor (Dictionary, §3.3)
failure	status="failure" message*=informative message describing failure
invalidId	status="invalidId" badFields={"sessionId"} (StringArray, §3.6)
canceled	status="canceled"
canceledWithSensorFailure	status="canceledWithSensorFailure"
sensorFailure	status="sensorFailure"
lockNotHeld	status="lockNotHeld"
lockHeldByAnother	status="canceled"
initializationNeeded	status="initializationNeeded"
configurationNeeded	status="configurationNeeded"
sensorBusy	status="sensorBusy"
sensorTimeout	status="sensorTimeout"
badValue	status="badValue"
	badFields={"sessionId"} (StringArray, §3.6)

# 5.10.2 Usage Notes

The *get configuration* operation retrieves the service's current configuration.

# 5.10.3 Unique Knowledge

- As specified, the *get configuration* can be used to obtain knowledge about unique characteristics of a service.
- 1265 Through *get configuration*, a service may expose implementation and/or service-specific configuration
- parameter names and values that are not described in a conformance profile [SP500-288A].

## 5.10.4 Return Values Detail

The *get configuration* operation *must* return a Result according to the following constraints.

#### 5.10.4.1 Success

Status Value	success
Condition	The service provides the current configuration
Required Elements	status (Status, §3.9)
·	the literal "success"

#### metadata (Dictionary, §3.3)

the target biometric sensor's current configuration

Optional Elements None

See §4.2 for information regarding configurations.

#### 5.10.4.2 Failure

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Status Value failure

**Condition** The service cannot provide the current configuration due to service (not target

biometric sensor) error.

Required Elements status (Status, §3.9)

the literal "failure"

**Optional Elements** message (xs:string, [XSDPart2])

an informative description of the nature of the failure

Services *must* only use this status to report failures that occur within the web service, not the target biometric sensor (see §5.10.4.5, §5.10.4.6).

#### 5.10.4.3 Invalid Id

Status Value invalidId

**Condition** The provided session id is not registered with the service.

**Required Elements** status (Status, §3.9)

the literal "invalidId"

badFields (StringArray, §3.6)

an array that contains the single field name, "sessionId"

Optional Elements None

A session id is invalid if it does not correspond to an active registration. A session id may become

unregistered from a service through explicit unregistration or triggered automatically by the service due to

inactivity (§5.4.4.1).

See §5.1.2 for general information on how services must handle parameter failures.

#### 5.10.4.4 Canceled

Status Value canceled

**Condition** The *get configuration* operation was interrupted by a cancellation request.

**Required Elements** status (Status, §3.9)

the literal "canceled"

Optional Elements None

See §5.16.2.2 for information about what may trigger a cancellation.

#### 5.10.4.5 Canceled with Sensor Failure

Status Value canceledWithSensorFailure

**Condition** The *get configuration* operation was interrupted by a cancellation request during

which the target biometric sensor experienced a failure

Required Elements status (Status, §3.9)

the literal "canceledWithSensorFailure"

**Optional Elements** message (xs:string, [XSDPart2])

an informative description of the nature of the failure

Services *must* return a canceledWithSensorFailure result if a cancellation request caused a failure within the

target biometric sensor. Clients receiving this result may need to perform initialization to restore full

functionality. See §5.16.2.2 for information about what may trigger a cancellation.

#### 5.10.4.6 Sensor Failure

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Status Value sensorFailure

**Condition** The configuration could not be queried due to a failure within the target biometric

sensor.

**Required Elements** status (Status, §3.9)

the literal "sensorFailure"

**Optional Elements** message (xs:string, [XSDPart2])

an informative description of the nature of the failure

A sensorFailure status *must* only be used to report failures that occurred within the target biometric sensor,

not a failure within the web service (§5.9.4.2).

#### 5.10.4.7 Lock Not Held

Status Value lockNotHeld

**Condition** The configuration could not be queried because the requesting client does not

hold the lock.

Required Elements status (Status, §3.9)

the literal "lockNotHeld"

Optional Elements None

Sensor operations require that the requesting client holds the service lock.

#### 5.10.4.8 Lock Held by Another

Status Value lockHeldByAnother

**Condition** The configuration could not be queried because the lock is held by another client.

**Required Elements** status (Status, §3.9)

the literal "lockHeldByAnother"

**Optional Elements** None

#### 5.10.4.9 Initialization Needed

Status Value initializationNeeded

**Condition** The configuration could not be queried because the target biometric sensor has

not been initialized.

Required Elements status (Status, §3.9)

the literal "initializationNeeded"

Optional Elements None

Services *should* be able to provide the sensors configuration without initialization; however, this is not strictly

necessary. Regardless, robust clients *should* assume that configuration will require initialization.

# 5.10.4.10 Configuration Needed

Status Value configurationNeeded

**Condition** The configuration could not be queried because the target biometric sensor has

not been initialized.

**Required Elements** status (Status, §3.9)

the literal "configurationNeeded"

#### Optional Elements None

Services *may* require configuration to be set before a configuration can be retrieved if a service does not provide a valid default configuration.

#### **5.10.4.11 Sensor Busy**

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Status Value sensorBusy

**Condition** The configuration could not be queried because the service is already performing

a different sensor operation for the requesting client.

Required Elements status (Status, §3.9)

the literal "sensorBusy"

Optional Elements None

#### 5.10.4.12 Sensor Timeout

Status Value sensorTimeout

**Condition** The configuration could not be queried because the target biometric sensor took

too long to complete the request.

Required Elements status (Status, §3.9)

the literal "sensorTimeout"

**Optional Elements** None

A service did not receive a timely response from the target biometric sensor. Note that this condition is

distinct from the client's originating HTTP request, which may have its own, independent timeout. (See A.2

for information on how a client might determine timeouts.)

#### 5.10.4.13 Bad Value

Status Value badValue

**Condition** The provided session id is not a well-formed UUID.

**Required Elements** status (Status, §3.9)

the literal "badValue"

badFields (StringArray, §3.6)

an array that contains the single field name, "sessionId"

Optional Elements None

See §5.1.2 for general information on how services must handle parameter failures.

# **5.11** Set Configuration

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Description	Set the target biometric sensor's configuration
HTTP Method	POST
URL Template	/configure/{sessionId}
URL Parameters	{sessionId} (UUID, §3.2) Identity of the session requesting the configuration
Payload	Desired sensor configuration (Dictionary, §3.3)
Idempotent	Yes
Sensor Operation	Yes

# 5.11.1 Result Summary

success	status="success"
failure	status="failure"
	message*=informative message describing failure
invalidId	status="invalidId"
	badFields={"sessionId"} (StringArray, §3.6)
canceled	status="canceled"
canceledWithSensorFailure	status="canceledWithSensorFailure"
sensorFailure	status="sensorFailure"
lockNotHeld	status="lockNotHeld"
lockHeldByAnother	status="canceled"
initializationNeeded	status="initializationNeeded"
sensorBusy	status="sensorBusy"
sensorTimeout	status="sensorTimeout"
unsupported	status="unsupported"
	badFields={field names} (StringArray, §3.6)
badValue	status="badValue"
	<pre>badFields={"sessionId"} (StringArray, §3.6)   (or)</pre>
	status="badValue"
	badFields={field names} (StringArray, §3.6)
noSuchParameter	status="unsupported"
	badFields={field names} (StringArray, §3.6)

## 5.11.2 Usage Notes

The <u>set configuration</u> operation sets the configuration of a service's target biometric sensor.

## 5.11.2.1 Input Payload Information

The <u>set configuration</u> operation is the only operation that takes input within the body of the HTTP request. The desired configuration <u>must</u> be sent as a single, unnamed Dictionary. See §4.2 for information regarding configurations.

**EXAMPLE**: The following represents a 'raw' request to configure a service at http://10.0.0.2:7000/Sensor such that string1=value and integer1=1. (In this example, each value element contains fully qualified namespace information, although this is not necessary.)

POST http://10.0.0.2:7000/Sensor/configure/1678e0fa-b578-4234-bb59-6f2f92d7b80c HTTP/1.1

```
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            Content-Type: application/xml
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            Host: 10.0.0.2:7000
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            Content-Length: 351
1319
            Expect: 100-continue
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            <Dictionary xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"</pre>
            xmlns="http://nist.gov/itl/bws/ws-bd/L1/r0/"><item><key>string1</key><value
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1323
            xmlns:d3p1="http://www.w3.org/2001/XMLSchema" xsi:type="d3p
            1:string">value</value></item><item><key>integer1</key><value xmlns:d3p1="http://www.w3.org/2001
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            /XMLSchema" xsi:type="d3p1:int">1</value></item></Dictionary>
```

More information regarding the use of the xmlns attribute can be found in [XMLNS].

# 5.11.3 Unique Knowledge

The <u>set configuration</u> can be used to provide knowledge about unique characteristics to a service. Through <u>set configuration</u>, a client <u>may</u> provide implementation and/or service-specific parameter names and values that are not described in a conformance profile [SP500-288A].

#### 5.11.4 Return Values Detail

The <u>set configuration</u> operation *must* return a Result according to the following constraints.

#### **5.11.4.1 Success**

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Status Value	success
Condition	The service was able to successfully set the full configuration
Required Elements	status (Status, §3.9)
	the literal "success"
Optional Elements	None

#### 5.11.4.2 Failure

Status Value	failure
Condition	The service cannot set the desired configuration due to service (not target biometric sensor) error.
Required Elements	status (Status, §3.9) the literal "failure"
Optional Elements	message an informative description of the nature of the failure

Services *must* only use this status to report failures that occur within the web service, not the target biometric sensor (see §5.11.4.5, §5.11.4.6).

#### 5.11.4.3 Invalid Id

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Status Value	invalidId
Condition	The provided session id is not registered with the service.
Required Elements	status (Status, §3.9) the literal "invalidId"
	badFields (StringArray, §3.6) an array that contains the single field name, "sessionId"
Optional Elements	None

A session id is invalid if it does not correspond to an active registration. A session id may become 1338

unregistered from a service through explicit unregistration or triggered automatically by the service due to 1339

inactivity (§5.4.4.1). 1340

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#### 5.11.4.4 Canceled

Status Value canceled

**Condition** The set configuration operation was interrupted by a cancellation request.

Required Elements status (Status, §3.9)

the literal "canceled"

**Optional Elements** None

1342 See §5.16.2.2 for information about what may trigger a cancellation.

#### 5.11.4.5 Canceled with Sensor Failure

Status Value canceledWithSensorFailure

Condition The <u>set configuration</u> operation was interrupted by a cancellation request during

which the target biometric sensor experienced a failure

**Required Elements** status (Status, §3.9)

the literal "canceledWithSensorFailure"

**Optional Elements** message (xs:string, [XSDPart2])

an informative description of the nature of the failure

Services must return a canceledWithSensorFailure result if a cancellation request caused a failure within the

target biometric sensor. Clients receiving this result may need to perform initialization to restore full 1345

functionality. See §5.16.2.2 for information about what may trigger a cancellation. 1346

#### 5.11.4.6 Sensor Failure

Status Value sensorFailure

The configuration could not be set due to a failure within the target biometric Condition

**Required Elements** status (Status, §3.9)

the literal "sensorFailure"

**Optional Elements** message (xs:string, [XSDPart2])

an informative description of the nature of the failure

A sensorFailure status *must* only be used to report failures that occurred within the target biometric sensor, 1348 1349

not a failure within the web service (§5.11.4.2). Errors with the configuration itself should be reported via an

unsupported (§5.11.4.12), badValue (§5.11.4.13), or badValue status (§5.11.4.14). 1350

#### 5.11.4.7 Lock Not Held

lockNotHeld Status Value

The configuration could not be queried because the requesting client does not Condition

hold the lock.

Required Elements status (Status, §3.9)

the literal "lockNotHeld"

Optional Elements None

Sensor operations require that the requesting client holds the service lock. 1352

#### 5.11.4.8 Lock Held by Another 1353

Status Value lockHeldByAnother

The configuration could not be set because the lock is held by another client. Condition

Required Elements status (Status, §3.9)
the literal "lockHeldByAnother"

Optional Elements None

5.11.4.9 Initialization Needed

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Condition

Condition

The configuration could not be set because the target biometric sensor has not been initialized.

Required Elements

status (Status, §3.9)

the literal "initializationNeeded"

Optional Elements None

Services *should* be able to set the configuration without initialization; however, this is not strictly necessary. Similarly, clients *should* assume that setting configuration will require initialization.

### **5.11.4.10 Sensor Busy**

Status Value sensorBusy

Condition The configuration could not be set because the service is already performing a different sensor operation for the requesting client.

Required Elements status (Status, §3.9)

the literal "sensorBusy"

Optional Elements None

#### 5.11.4.11 Sensor Timeout

Status Value sensorTimeout

Condition The configuration could not be set because the target biometric sensor took too long to complete the request.

Required Elements status (Status, §3.9)

the literal "sensorTimeout"

Optional Elements None

A service did not receive a timely response from the target biometric sensor. Note that this condition is distinct from the client's originating HTTP request, which may have its own, independent timeout. (See A.2 for information on how a client might determine timeouts.)

#### 5.11.4.12 Unsupported

Status Value
Condition
The requested configuration contains one or more values that are syntactically and semantically valid, but not supported by the service.

Required Elements

status (Status, §3.9)
the literal "unsupported"

badFields (StringArray, §3.6)
an array that contains the field name(s) that corresponding to the unsupported value(s)

Optional Elements

None

Returning *multiple* fields allows a service to indicate that a particular *combination* of parameters is not supported by a service. See §5.1.2 for additional information on how services must handle parameter failures.

**EXAMPLE:** A WS-BD service utilizes a very basic off-the-shelf web camera with limited capabilities. This camera has three parameters that are all dependent on each other: ImageHeight, ImageWidth, and FrameRate. The respective allowed values for each parameter might look like: {240, 480, 600, 768}, {320, 640, 800, 1024}, and {5, 10, 15, 20, 30}. Configuring the sensor will return unsupported when the client tries to set ImageHeigth=768, ImageWidth=1024, and FrameRate=30; this camera might not support capturing images of a higher resolution at a fast frame rate. Another example is configuring the sensor to use ImageHeight=240 and ImageWidth=1024; as this is a very basic web camera, it might not support capture images of this resolution. In both cases, the values provided for each parameter are individually valid but the overall validity is dependent on a combination of parameters

### **5.11.4.13** Bad Value

Status Value	badValue
Condition	<ul> <li>Either: <ul> <li>(a) The provided session id is not a well-formed UUID, or,</li> <li>(b) The requested configuration contains a parameter value that is either syntactically (e.g., an inappropriate data type) or semantically invalid (e.g., a value outside of an acceptable range).</li> </ul> </li> </ul>
Required Elements	status (Status, §3.9) the literal "badValue"  badFields (StringArray, §3.6) an array that contains either
	(a) the single field name, "sessionId", or (b) the field name(s) that contain invalid value(s)
Optional Elements	None

Notice that for the <u>set configuration</u> operation, an invalid URL parameter or one or more invalid input payload parameters can trigger a badValue status.

See §5.1.2 for general information on how services must handle parameter failures.

### 5.11.4.14 No Such Parameter

Statu	s Value	noSuchParameter
Со	ndition	The requested configuration contains a parameter name that is not recognized by the service.
Required El	ements	status (Status, §3.9) the literal "noSuchParameter"
		badFields (StringArray, §3.6) an array that contains the field name(s) that are not recognized by the service
Optional El	ements	None

See §5.1.2 for general information on how services must handle parameter failures.

# 5.12 Capture

Description	Capture biometric data
HTTP Method	
URL Template	/capture/{sessionId}
URL Parameters	{sessionId} (UUID, §3.2) Identity of the session requesting the configuration
Input Payload	None
Idempotent	No
Sensor Operation	Yes

# 5.12.1 Result Summary

success	status="success" captureIds={identifiers of captured data} (UuidArray, §3.7)
failure	status="failure" message*=informative message describing failure
invalidId	status="invalidId" badFields={"sessionId"} (StringArray, §3.6)
canceled	status="canceled"
canceledWithSensorFailure	status="canceledWithSensorFailure"
sensorFailure	status="sensorFailure"
lockNotHeld	status="lockNotHeld"
lockHeldByAnother	status="lockHeldByAnother"
initializationNeeded	status="initializationNeeded"
configurationNeeded	status="configurationNeeded"
sensorBusy	status="sensorBusy"
sensorTimeout	status="sensorTimeout"
badValue	status="badValue"
	badFields={"sessionId"} (StringArray, §3.6)

## 5.12.2 Usage Notes

The <u>capture</u> operation triggers biometric acquisition. On success, the operation returns one or more identifiers, or <u>capture</u> ids. Naturally, the <u>capture</u> operation is <u>not</u> idempotent. Each <u>capture</u> operation returns unique identifiers—each execution returning references that are particular to that capture. Clients then can retrieve the captured data itself by passing a <u>capture</u> id as a URL parameter to the <u>download</u> operation.

Multiple *capture ids* are supported to accommodate sensors that return collections of biometric data. For example, a multi-sensor array might save an image per sensor. A mixed-modality sensor might assign a different capture id for each modality.

**IMPORTANT NOTE**: The <u>capture</u> operation <u>may</u> include some post-acquisition processing. Although post-acquisition processing is directly tied to the <u>capture</u> operation, its effects are primarily on data transfer, and is therefore discussed in detail within the <u>download</u> operation documentation (§5.13.2.2)

## **5.12.2.1 Providing Timing Information**

Depending on the sensor, a <u>capture</u> operation may take anywhere from milliseconds to tens of seconds to execute. (It is possible to have even longer running capture operations than this, but special accommodations

may need to be made on the server and client side to compensate for typical HTTP timeouts.) By design, there is no explicit mechanism for a client to determine how long a capture operation will take. However, services can provide "hints" to through capture timeout information (A.2.4), and clients can automatically adjust their own timeouts and behavior accordingly.

# 5.12.3 Unique Knowledge

As specified, the *capture* operation cannot be used to provide or obtain knowledge about unique characteristics of a client or service.

#### 5.12.4 Return Values Detail

The *capture* operation *must* return a Result according to the following constraints.

#### 5.12.4.1 Success

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Status Value
Condition
Required Elements
Status (Status, §3.9)
the literal "success"

captureIds (UuidArray, §3.7)
one more UUIDs that uniquely identify the data acquired by the operation

None

See the usage notes for *capture* (§5.12.2) and *download* (§5.13.2) for full detail.

#### 1408 **5.12.4.2 Failure**

Condition
The service cannot perform the capture due to a service (not target biometric sensor) error.

Required Elements

must be populated with the Status literal "failure"

Optional Elements

message
an informative description of the nature of the failure

Services *must* only use this status to report failures that occur within the web service, not the target biometric sensor (see §5.12.4.5, §5.12.4.6). A service may fail at capture if there is not enough internal storage available to accommodate the captured data (§A.3).

## 5.12.4.3 Invalid Id

Status Value
Condition
The provided session id is not registered with the service.

Required Elements
status (Status, §3.9)
the literal "invalidId"

badFields (StringArray, §3.6)
an array that contains the single field name, "sessionId"

Optional Elements
None

A session id is invalid if it does not correspond to an active registration. A session id may become unregistered from a service through explicit unregistration or triggered automatically by the service due to inactivity (§5.4.4.1).

See §5.1.2 for general information on how services must handle parameter failures.

#### 5.12.4.4 Canceled

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Status Value canceled
Condition The <u>capture</u> operation was interrupted by a cancellation request.

Required Elements status (Status, §3.9)
the literal "canceled"

Optional Elements None

See §5.16.2.2 for information about what may trigger a cancellation.

## 5.12.4.5 Canceled with Sensor Failure

Status Value	canceledWithSensorFailure
Condition	The <u>capture</u> operation was interrupted by a cancellation request during which the target biometric sensor experienced a failure
Required Elements	status (Status, §3.9)
	the literal "canceledWithSensorFailure"
Optional Elements	message (xs:string, [XSDPart2])
-	an informative description of the nature of the failure

Services *must* return a canceledWithSensorFailure result if a cancellation request caused a failure within the

target biometric sensor. Clients receiving this result may need to perform initialization to restore full

functionality. See §5.16.2.2 for information about what may trigger a cancellation.

#### 5.12.4.6 Sensor Failure

Status Value	sensorFailure
Condition	The service could perform the capture due to a failure within the target biometric
	sensor.
Required Elements	status (Status, §3.9) the literal "sensorFailure"
Optional Elements	message (xs:string, [XSDPart2]) an informative description of the nature of the failure

A sensorFailure status *must* only be used to report failures that occurred within the target biometric sensor, not a failure within the web service (§5.12.4.2).

#### 1426 **5.12.4.7** Lock Not Held

Status Value	lockNotHeld
Condition	The service could not perform a capture because the requesting client does not hold the lock.
Required Elements	status (Status, §3.9) the literal "lockNotHeld"
Optional Elements	None

Sensor operations require that the requesting client holds the service lock.

#### 5.12.4.8 Lock Held by Another

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Status Value	lockHeldByAnother
Condition	The service could not perform a capture because the lock is held by another client.
Required Elements	status (Status, §3.9) the literal "lockHeldByAnother"

### Optional Elements None

#### 5.12.4.9 Initialization Needed

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Status Value initializationNeeded

**Condition** The service could not perform a capture because the target biometric sensor has

not been initialized.

Required Elements status (Status, §3.9)

the literal "initializationNeeded"

Optional Elements None

Services *should* be able perform capture without explicit initialization. However, the specification recognizes that this is not always possible, particularly for physically separated implementations. Regardless, for

robustness, clients *should* assume that setting configuration will require initialization.

## 5.12.4.10 Configuration Needed

Status Value configurationNeeded

**Condition** The capture could not be set because the target biometric sensor has not been

configured.

Required Elements status (Status, §3.9)

the literal "configurationNeeded"

**Optional Elements** None

A service *should* offer a default configuration to allow capture to be performed without an explicit configuration. Regardless, for robustness, clients *should* assume that capture requires configuration.

#### **5.12.4.11 Sensor Busy**

Status Value sensorBusy

**Condition** The service could not perform a capture because the service is already performing

a different sensor operation for the requesting client.

**Required Elements** status (Status, §3.9)

the literal "sensorBusy"

**Optional Elements** None

### 5.12.4.12 Sensor Timeout

Status Value sensorTimeout

**Condition** The service could not perform a capture because the target biometric sensor took

too long to complete the request.

Required Elements status (Status, §3.9)

the literal "sensorTimeout"

**Optional Elements** None

A service did not receive a timely response from the target biometric sensor. Note that this condition is distinct from the client's originating HTTP request, which may have its own, independent timeout. (See §A.2 for information on how a client might determine timeouts.)

### 1441 **5.12.4.13** Bad Value

Status Value badValue

**Condition** The provided session id is not a well-formed UUID.

Required Elements status (Status, §3.9)

the literal "badValue"

# badFields (StringArray, §3.6) an array that contains the single field name, "sessionId"

Optional Elements None

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See §5.1.2 for general information on how services must handle parameter failures.

### 5.13 Download

Description	Download the captured biometric data
HTTP Method	GET
URL Template	/download/{captureId}
URL Parameters	{captureId} (UUID, §3.2) Identity of the captured data to download
Input Payload	None
Idempotent	Yes
Sensor Operation	No

### 5.13.1 Result Summary

success	status="success" metadata=sensor configuration at the time of capture (Dictionary, §3.3) sensorData=biometric data (xs:base64Binary)
failure	status="failure" message*=informative message describing failure
invalidId	status="invalidId" badFields={"captureId"} (StringArray, §3.6)
badValue	status="badValue" badFields={"captureId"} (StringArray, §3.6)
preparingDownload	status="preparingDownload"

### 5.13.2 Usage Notes

The <u>download</u> operation allows a client to retrieve biometric data acquired during a particular capture.

#### 5.13.2.1 Capture and Download as Separate Operations

WS-BD decouples the acquisition operation (capture) from the data transfer (<u>download</u>) operation. This has two key benefits. First, it is a better fit for services that have post-acquisition processes. Second, it allows multiple clients to download the captured biometric data by exploiting the concurrent nature of HTTP. By making <u>download</u> a simple data transfer operation, service can handle multiple, concurrent downloads without requiring locking (§2.5.5).

### 5.13.2.2 Services with Post-Acquisition Processing

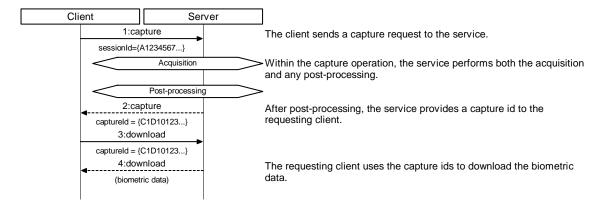
A service does *not* need to make the captured data available immediately after capture; a service may have distinct acquisition and post-acquisition processes. The following are two examples of such services:

**EXAMPLE**: A service exposing a fingerprint scanner also performs post processing on a fingerprint image—segmentation, quality assessment, and templatization.

**EXAMPLE**: A service exposes a digital camera in which the captured image is not immediately available after a photo is taken; the image may need to be downloaded from to the camera's internal storage or from the camera to the host computer (in a physically separated implementation). If the digital camera was unavailable for an operation due to a data transfer, a client requesting a sensor operation would receive a sensorBusy status.

The first method is to perform the post-processing within the <u>capture</u> operation itself. I.e., <u>capture</u> not only blocks for the acquisition to be performed, but also blocks for the post-processing—returning when the post-processing is complete. This type of capture is the easier of the two to both (a) implement on the client, and (b) use by a client.

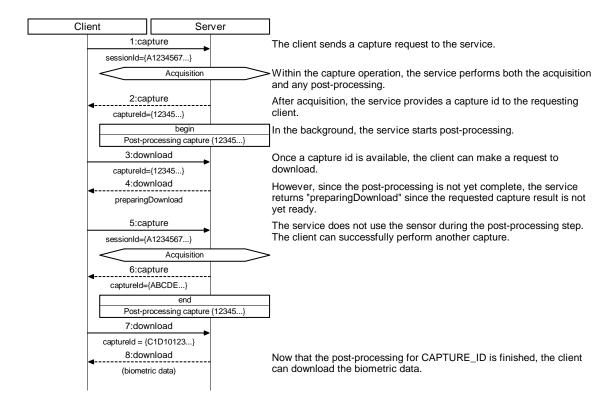
**EXAMPLE**: Figure 8 illustrates an example of a <u>capture</u> operation that includes post-processing. Once the post-processing is complete, capture ids are returned to the client.



**Figure 8**. Including post-processing in the capture operation means downloads are immediately available when capture completes.

In the second method, post-processing may be performed by the web service *after* the capture operation returns. Capture ids are still returned to the client, but are in an intermediate state. This exposes a window of time in which the capture is complete, but the biometric data is not yet ready for retrieval or download. Data-related operations (*download*, *get download info*, and *thrifty download*) performed within this window return a preparingDownload status to clients to indicate that the captured data is currently in an intermediate state—captured, but not yet ready for retrieval.

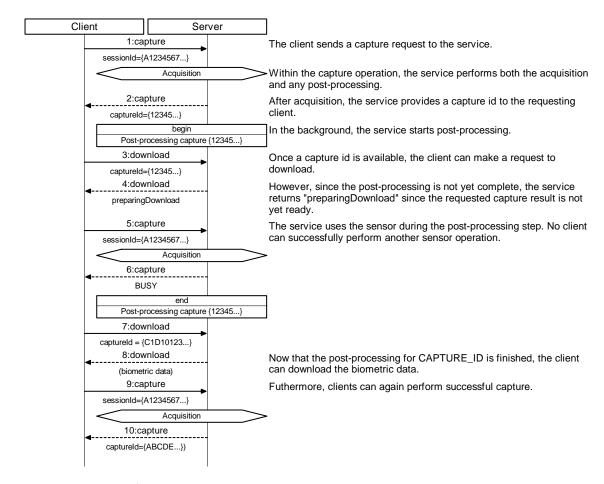
**EXAMPLE**: Figure 9 illustrates an example of a <u>capture</u> operation with separate post-processing. Returning to the example of the fingerprint scanner that transforms a raw biometric sample into a template after acquisition, assume that the service performs templitiazation after capture returns. During post-processing, requests for the captured data return preparingDownload, but the sensor itself is available for additional operations.



**Figure 9**. Example of capture with separate post-acquisition processing that does involve the target biometric sensor. Because the post-acquisition processing does not involve the target biometric sensor, it is available for sensor operations.

Services with an independent post-processing step *should* perform the post-processing on an independent unit of execution (e.g., a separate thread, or process). However, post-processing *may* include a sensor operation, which would interfere with incoming sensor requests.

**EXAMPLE**: Figure 10 illustrates another variation on a <u>capture</u> operation with separate post-processing. Return to the digital camera example, but assume that it is a physically separate implementation and capture operation returns immediately after acquisition. The service also has a post-acquisition process that downloads the image data from the camera to a computer. Like the previous example, during post-processing, requests for the captured data return preparingDownload. However, the sensor is *not* available for additional operations because the post-processing step requires complete control over the camera to transfer the images to the host machine: preparing them for download.



**Figure 10**. Example of capture with separate post-acquisition processing that does involve the target biometric sensor. Because the post-acquisition processing does not involve the target biometric sensor, it is available for sensor operations.

Unless there is an advantage to doing so, when post-acquisition processing includes a sensor operation, implementers should avoid having a capture operation that returns directly after acquisition. In this case, even when the capture operation finishes, clients cannot perform a sensor operation until the post-acquisition processing is complete.

In general, implementers *should* try to combine both the acquisition and post-acquisition processing into one capture operation—particularly if the delay due to post-acquisition processing is either operationally acceptable or a relatively insignificant contributor to the combined time.

A <u>download</u> operation <u>must</u> return failure if the post-acquisition processing cannot be completed successfully. Such failures cannot be reflected in the originating <u>capture</u> operation —that operation has already returned successfully with capture ids. Services <u>must</u> eventually resolve all <u>preparingDownload</u> statuses to success or failure. Through <u>get service info</u>, a service can provide information to a client on how long to wait after capture until a <u>preparingDownload</u> is fully resolved.

#### 5.13.2.3 Client Notification

A client that receives a preparingDownload must poll the service until the requested data becomes available. However, through *get service info*, a service can provide "hints" to a client on how long to wait after capture until data can be downloaded (§A.2.5)

### 5.13.3 Unique Knowledge

The <u>download</u> operation can be used to provide metadata, which may be unique to the service, through the metadata element. See §4 for information regarding metadata.

#### 5.13.4 Return Values Detail

The <u>download</u> operation *must* return a Result according to the following constraints.

#### 5.13.4.1 Success

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Status Value success

**Condition** The service can provide the requested data

**Required Elements** status (Status, §3.9)

the literal "success"

metadata (Dictionary, §3.3)

sensor metadata as it was at the time of capture

sensorData (xs:base64Binary, [XSDPart2])

the biometric data corresponding to the requested capture id, base-64

encoded

Optional Elements None

A successful download *must* populate the Result with all of the following information:

1. The status element *must* be populated with the Status literal "success".

- 2. The metadata element *must* be populated with metadata of the biometric data and the configuration held by the target biometric sensor at the time of capture.
- 3. The sensorData element *must* contain the biometric data, base-64 encoded (xs:base64Binary), corresponding to the requested capture id.

See the usage notes for both <u>capture</u> (§5.12.2) and <u>download</u> (§5.13.2) for more detail regarding the conditions under which a service is permitted to accept or deny download requests.

#### 5.13.4.2 Failure

Status Value	failure
Condition	The service cannot provide the requested data.
Required Elements	status (Status, §3.9)
	the literal "failure"
Optional Elements	message (xs:string, [XSDPart2])
-	an informative description of the nature of the failure

A service might not be able to provide the requested data due to failure in post-acquisition processing, a corrupted data store or other service or storage related failure.

#### 5.13.4.3 Invalid Id

Status Value	invalidId
Condition	The provided capture id is not recognized by the service.
Required Elements	status (Status, §3.9) the literal "invalidId"
	badFields (StringArray, §3.6) an array that contains the single field name, "captureId"

#### Optional Elements None

A capture id is invalid if it was not returned by a <u>capture</u> operation. A capture id may become unrecognized by the service automatically if the service automatically clears storage space to accommodate new captures (§A.3).

See §5.1.2 for general information on how services must handle parameter failures.

#### 5.13.4.4 Bad Value

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Status Value badValue

**Condition** The provided capture id is not a well-formed UUID.

Required Elements status (Status, §3.9)

the literal "badValue"

badFields (StringArray, §3.6)

an array that contains the single field name, "captureId"

Optional Elements None

See §5.1.2 for general information on how services must handle parameter failures.

#### 5.13.4.5 Preparing Download

Status Value preparingDownload

**Condition** The requested data cannot be provided because the service is currently

performing a post-acquisition process—i.e., preparing it for download

**Required Elements** status (Status, §3.9)

the literal "preparingDownload"

**Optional Elements** None

See the usage notes for both *capture* (§5.12.2) and *download* (§5.13.2) for full detail.

# 5.14 Get Download Info

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Description	Get only the metadata associated with a particular capture
HTTP Method	GET
URL Template	/download/{captureId}/info
URL Parameters	{captureId} (UUID, §3.2) Identity of the captured data to query
Input Payload	Not applicable
Idempotent	Yes
Sensor Operation	No

### 5.14.1 Result Summary

success	status="success" metadata=sensor configuration at the time of capture
failure	status="failure" message*=informative message describing failure
invalidId	status="invalidId" badFields={"captureId"} (StringArray, §3.6)
badValue	status="badValue" badFields={"captureId"} (StringArray, §3.6)
preparingDownload	status="preparingDownload"

## 5.14.2 Usage Notes

Given the potential large size of some biometric data the <u>get download info</u> operation provides clients with a way to get information about the biometric data without needing to transfer the biometric data itself. It is logically equivalent to the <u>download</u> operation, but without any sensor data. Therefore, unless detailed otherwise, the usage notes for <u>download</u> (§5.14.2) also apply to <u>get download info</u>.

### 5.14.3 Unique Knowledge

The *get download info* operation can be used to provide metadata, which may be unique to the service, through the metadata element. See §4 for information regarding metadata.

#### 5.14.4 Return Values Detail

The *get download info* operation *must* return a Result according to the following constraints.

#### 5.14.4.1 Success

Status Value	success
Condition	The service can provide the requested data
	status (Status, §3.9) the literal "success"
	metadata (Dictionary, §3.3) the sensor's configuration as it was set at the time of capture
Optional Elements	None

A successful *get download info* operation returns all of the same information as a successful *download* operation (§5.13.4.1), but without the sensor data.

#### 1558 **5.14.4.2 Failure**

Status Value failure

**Condition** The service cannot provide the requested data.

Required Elements status (Status, §3.9)

the literal "failure"

**Optional Elements** message (xs:string, [XSDPart2])

an informative description of the nature of the failure

A service might not be able to provide the requested data due to failure in post-acquisition processing, a corrupted data store or other service or storage related failure.

#### 5.14.4.3 Invalid Id

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Status Value invalidId

**Condition** The provided capture id is not recognized by the service.

**Required Elements** status (Status, §3.9)

the literal "invalidId"

badFields (StringArray, §3.6)

an array that contains the single field name, "captureId"

Optional Elements None

A capture id is invalid if it was not returned by a <u>capture</u> operation. A capture id may become unrecognized by the service automatically if the service automatically clears storage space to accommodate new captures (§A.3).

See §5.1.2 for general information on how services must handle parameter failures.

#### 5.14.4.4 Bad Value

Status Value badValue

**Condition** The provided capture id is not a well-formed UUID.

Required Elements status (Status, §3.9)

the literal "badValue"

badFields (StringArray, §3.6)

an array that contains the single field name, "captureId"

Optional Elements None

See §5.1.2 for general information on how services must handle parameter failures.

#### 5.14.4.5 Preparing Download

Status Value preparingDownload

**Condition** The requested data cannot be provided because the service is currently

performing a post-acquisition process—i.e., preparing it for download

**Required Elements** status (Status, §3.9)

the literal "preparingDownload"

Optional Elements None

See the usage notes for both *capture* (§5.12.2) and *download* (§5.13.2) for full detail.

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# 5.15 Thrifty Download

Description	Download a compact representation of the captured biometric data suitable for preview
HTTP Method	GET
URL Template	/download/{captureId}/{maxSize}
URL Parameters	{captureId} (UUID, §3.2) Identity of the captured data to download {maxSize} (xs:integer) Content-type dependent indicator of maximum permitted download size
Input Payload	None
Idempotent	Yes
Sensor Operation	No

## 5.15.1 Result Summary

success	status="success" metadata=minimal metadata describing the captured data (Dictionary, §3.3) sensorData=biometric data (xs:base64Binary)
failure	status="failure" message*=informative message describing failure
invalidId	status="invalidId"
badValue	status="badValue" badFields=either "captureId", "maxSize", or both (StringArray, §3.6)
unsupported	status="unsupported"
preparingDownload	status="preparingDownload"

# 5.15.2 Usage Notes

The <u>thrifty download</u> operation allows a client to retrieve a compact representation of the biometric data acquired during a particular capture. It is logically equivalent to the <u>download</u> operation, but provides a compact version of the sensor data. Therefore, unless detailed otherwise, the usage notes for <u>download</u> (§5.14.2) also apply to <u>get download info</u>.

The suitability of the <u>thrifty download</u> data as a biometric is implementation dependent. For some applications, the compact representation may be suitable for use within a biometric algorithm; for others, it may only serve the purpose of preview.

The content type of <u>thrifty download</u> operation <u>may</u> be different than that for download. (Notice that the specification does not have a separate operation for querying the content type of <u>thrifty download</u> operation sensor data). A service also <u>may</u> offer different major media types for its <u>download</u> and <u>thrifty download</u> operation.

**EXAMPLE**: A service exposing a fingerprint scanner may provide template data in a <u>download</u> operation, but still offer a visual thumbnail of the captured biometric with <u>thrifty download</u>.

For images, the maxSize parameter describes the maximum image width or height (in pixels) that the service may return; neither dimension may exceed maxSize. It is expected that servers will dynamically scale the captured data to fulfill a client request. This is not strictly necessary, however, as long as the maximum size requirements are met.

For non-images, the default behavior is to return unsupported. It is *possible* to use URL parameter maxSize as general purpose parameter with implementation-dependent semantics. (See the next section for details.)

## 5.15.3 Unique Knowledge

- The *thrifty download* operation can be used to provide knowledge about unique characteristics to a service.
- 1594 Through *thrifty download*, a service *may* (a) redefine the semantics of maxSize or (b) provide a data of a
- format that does not adhere to any conformance profile [SP500-288A].

#### 5.15.4 Return Values Detail

The *thrifty download* operation *must* return a Result according to the following constraints.

#### 5.15.4.1 Success

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Status Value success

**Condition** The service can provide the requested data

Required Elements status (Status, §3.9)

the literal "success"

metadata (xs:string, [XSDPart2])

a RFC2045/RFC2046 compliant xs:string [XSDPart2] describing the

captured data

sensorData (xs:base64Binary, [XSDPart2])

the biometric data corresponding to the requested capture id, base-64

encoded, scaled appropriately to the maxSize parameter.

Optional Elements None

For increased efficiency, a successful the <u>thrifty download</u> operation only returns the sensor data, and its associated content type.

#### 5.15.4.2 Failure

Status Value failure

**Condition** The service cannot provide the requested data.

**Required Elements** status (Status, §3.9)

the literal "failure"

Optional Elements message

an informative description of the nature of the failure

A service might not be able to provide the requested data due to a corrupted data store or other service or storage related failure.

#### 5.15.4.3 Invalid Id

Status Value invalidId

**Condition** The provided capture id is not recognized by the service.

**Required Elements** status (Status, §3.9)

the literal "invalidId"

badFields (StringArray, §3.6)

an array that contains the single field name, "captureId"

**Optional Elements** None

A capture id is invalid if it does not correspond to a capture operation. A capture id may become unrecognized 1605 by the service automatically if the service automatically clears storage space to accommodate new captures 1606 (§A.3).

See §5.1.2 for general information on how services must handle parameter failures. 1608

#### 5.15.4.4 Bad Value

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badValue Status Value

**Condition** The provided capture id is not a well-formed UUID.

**Required Elements** status (Status, §3.9)

the literal "badValue"

badFields (StringArray, §3.6)

an array that contains one or both of the following fields: "captureId" if the provided session id not well-formed

"maxSize" if the provided maxSize parameter is not well-formed

Optional Elements None

See §5.1.2 for general information on how services must handle parameter failures. 1610

#### **5.15.4.5 Unsupported** 1611

Status Value unsupported

**Condition** The service does not support thrifty download,

status Required Elements

must be populated with the Status literal "badValue"

badFields

must be populated with a StringArray (§3.6) that contains the single field name,

"maxSize"—i.e. "<element>maxSize</element>".

**Optional Elements** None

1612 Services that capture biometrics that are not image-based should return unsupported.

### 5.15.4.6 Preparing Download

Status Value	preparingDownload
Condition	The requested data cannot be provided because the service is currently
	performing a post-acquisition process—i.e., preparing it for download
Required Elements	None
Optional Elements	None

Like <u>download</u>, the availability of <u>thrifty download</u> data may also be affected by the sequencing of post-

acquisition processing. See §5.13.2.2 for detail.

## 5.16 Cancel

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Description	Cancel the current sensor operation
HTTP Method	POST
URL Template	/cancel/{sessionId}
URL Parameters	{sessionId} (UUID, §3.2) Identity of the session requesting cancellation
Input Payload	None
Idempotent	Yes
Sensor Operation	Yes

### 5.16.1 Result Summary

success	status="success"
failure	status="failure"
	message*=informative message describing failure
invalidId	status="invalidId"
lockNotHeld	status="lockNotHeld"
lockHeldByAnother	status="lockHeldByAnother"
badValue	<pre>status="badValue", badFields={"sessionId"}</pre>

## 5.16.2 Usage Notes

The <u>cancel</u> operation stops any currently running sensor operation; it has no effect on non-sensor operations. If cancellation of an active sensor operation is successful, <u>cancel</u> operation receives success result, while the canceled operation receives a canceled (or canceledWithSensorFailure) result. As long as the operation is canceled, the <u>cancel</u> operation itself receives a success result, regardless if cancellation caused a sensor failure. In other words, if cancellation caused a fault within the target biometric sensor, as long as the sensor operation has stopped running, the <u>cancel</u> operation is considered to be successful.

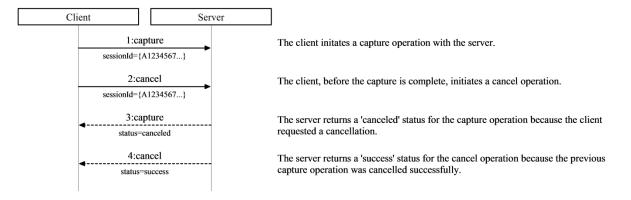


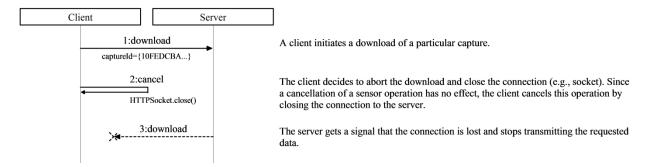
Figure 11. Example sequence of events for a client initially requesting a capture followed by a cancellation request.

All services *must* provide cancellation for all sensor operations.

#### **5.16.2.1 Canceling Non-Sensor Operations**

Clients are responsible for canceling all non-sensor operations via client-side mechanisms only. Cancellation of sensor operations requires a separate service operation, since a service may need to "manually" interrupt a

busy sensor. A service that had its client terminate a non-sensor operation would have no way to easily determine that a cancellation was requested.



**Figure 12.** Cancellations of non-sensor operations do not require a cancel operation to be requested to the service. An example of this is where a client initiates then cancels a download operation.

### **5.16.2.2 Cancellation Triggers**

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Canceling a sensor operation may be triggered by a *client* or by the *service* itself.

Typically, the client that originates the sensor operation to be cancelled also initiates the cancellation request.

Because WSBD operations are performed synchronously, cancellations are typically initiated on an separate unit of execution such as an independent thread or process.

Notice that the only requirement to perform cancellation is that the *requesting* client hold the service lock. It is *not* a requirement that the client that originates the sensor operation to be canceled also initiates the cancellation request.

Therefore, as discussed in it is *possible* that a client may cancel the sensor operation initiated by another client. This occurs if a peer client (a) manages to steal the service lock before the sensor operation is completed, or (b) is provided with the originating client's session id.

A service might also *self-initiate* cancellation. In normal operation, a service that does not receive a timely response from a target biometric sensor would return sensorTimeout. However, if the service's internal timeout mechanism fails, a service *may* initiate a cancel operation itself. Implementers should use this as a "last resort" compensating action.

### 5.16.3 Unique Knowledge

As specified, the *cancel* operation cannot be used to provide or obtain knowledge about unique characteristics of a client or service.

#### 5.16.4 Return Values Detail

The <u>cancel</u> operation <u>must</u> return a Result according to the following constraints.

#### 5.16.4.1 Success

Status Value	success
Condition	The service successfully canceled the sensor operation
Required Elements	status  must be populated with the Status literal "success"
	must be populated with the status illerat "success"

#### Optional Elements None

See the usage notes for *capture* (§5.12.2) and *download* (§5.13.2) for full detail.

#### 1659 **5.16.4.2 Failure**

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Status Value failure

**Condition** The service could not cancel the sensor operation

Required Elements status

must be populated with the Status literal "failure"

Optional Elements message

an informative description of the nature of the failure

Services *should* try to return failure in a timely fashion—there is little advantage to a client if it receives the cancellation failure *after* the sensor operation to be canceled completes.

#### 5.16.4.3 Invalid Id

Status Value invalidId

**Condition** The provided session id is not recognized by the service.

Required Elements status (Status, §3.9)

the literal "invalidId"

badFields (StringArray, §3.6)

an array that contains the single field name, "sessionId"

**Optional Elements** None

A session id is invalid if it does not correspond to an active registration. A session id may become unregistered from a service through explicit unregistration or triggered automatically by the service due to inactivity (§5.4.4.1).

See §5.1.2 for general information on how services must handle parameter failures.

#### 5.16.4.4 Lock Not Held

Status Value lockNotHeld

**Condition** The service could cancel the operation because the requesting client does not

hold the lock.

**Required Elements** status (Status, §3.9)

the literal "lockNotHeld"

**Optional Elements** None

Sensor operations require that the requesting client holds the service lock.

#### 5.16.4.5 Lock Held by Another

Status Value lockHeldByAnother

**Condition** The service could not cancel the operation because the lock is held by another

client.

Required Elements status (Status, §3.9)

the literal "lockHeldByAnother"

Optional Elements None

#### 1671 **5.16.4.6 Bad Value**

Status Value badValue

**Condition** The provided session id is not a well-formed UUID.

**Required Elements** status (Status, §3.9)

the literal "badValue"

badFields (StringArray, §3.6)

an array that contains the single field name, "sessionId"

Optional Elements None

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See §5.1.2 for general information on how services must handle parameter failures.

# **Appendix A** Parameter Details

- This appendix details the individual parameters available from a *get service info* operation. For each parameter, the following information is listed:
  - The formal parameter name
  - The expected data type of the parameter's value
  - If a the service is required to implement the parameter

#### A.1 Connections

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The following parameters describe how the service handles session lifetimes and registrations.

### A.1.1 Last Updated

Formal Name lastUpdated
Data Type xs:dateTime [XSDPart2]
Required Yes

This parameter provides a timestamp of when the service last *updated* the common info parameters (this parameter not withstanding). The timestamp *must* include time zone information. Implementers *should* expect clients to use this timestamp to detect if any cached values of the (other) common info parameters may have changed.

#### A.1.2 Inactivity Timeout

Formal Name inactivityTimeout

Data Type xs:nonNegativeInteger [XSDPart2]

Required Yes

This parameter describes how long, in *seconds*, a session may be inactive before it may be automatically closed by the service. A value of '0' indicates that the service never drops sessions due to inactivity.

Inactivity time is measured *per session*. Services *must* measure it as the time elapsed between (a) the time at which a client initiated the session's most recent operation and (b) the current time. Services *must* only use the session id to determine a session's inactivity time. For example, a service does not maintain different inactivity timeouts for requests that use the same session id, but originate from two different IP addresses. Services *may* wait longer than the inactivity timeout to drop a session, but *must not* drop inactive sessions any sooner than the inactivityTimeout parameter indicates.

#### A.1.3 Maximum Concurrent Sessions

Formal Name	maximumConcurrentSessions
Data Type	xs:positiveInteger [XSDPart2]
Required	Yes

This parameter describes the maximum number of concurrent sessions a service can maintain. Upon startup, a service *must* have zero concurrent sessions. When a client registers successfully (§5.3), the service increases

its count of concurrent sessions by one. After successful unregistration (§5.4), the service decreases its count of concurrent sessions by one .

# A.1.4 Least Recently Used (LRU) Sessions Automatically Dropped

Formal Name autoDropLRUSessions
Data Type xs:boolean [XSDPart2]

**Required** Yes

This parameter describes whether or not the service automatically unregisters the least-recently-used session when the service has reached its maximum number of concurrent sessions. If *true*, then upon receiving a registration request, the service may drop the least-recently used session if the maximum number of concurrent sessions has already been reached. If *false*, then any registration request that would cause the service to exceed its maximum number of concurrent sessions results in failure.

#### A.2 Timeouts

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Clients *should* not block indefinitely on any operation. However, since different services may differ significantly in the time they require to complete an operation, clients require a means to determine appropriate timeouts. The timeouts in this subsection describe how long a *service* waits until the service either returns sensorTimeout or initiates a service-side cancellation (§5.16.2.1). Services *may* wait longer than the times reported here, but, (under normal operations) *must not* report a sensorTimeout or initiate a cancellation before the reported time elapses. In other words, a client *should* be able to use these timeouts to help determine a reasonable upper bound on the time required for sensor operations.

Note that these timeouts do not include any round-trip and network delay—clients *should* add an additional window to accommodate delays unique to that particular client-server relationship.

#### A.2.1 Initialization Timeout

Formal Name initializationTimeout
Data Type xs:positiveInteger [XSDPart2]
Required Yes

This parameter describes how long, in milliseconds, a service will wait for a target biometric sensor to perform initialization before it returns sensorTimeout (§5.9.4.10) or initiates a service-side cancellation (§5.16.2.1).

#### A.2.2 Get Configuration Timeout

Formal Name getConfigurationTimeout

Data Type xs:positiveInteger [XSDPart2]

Required Yes

This parameter describes how long, *in milliseconds*, a service will wait for a target biometric sensor to retrieve its configuration before it returns sensorTimeout (§5.10.4.12) or initiates a service-side cancellation (§5.16.2.1).

#### A.2.3 Set Configuration Timeout

Formal Name setConfigurationTimeout

Data Type xs:positiveInteger [XSDPart2]

#### **Required** Yes

This parameter describes how long, *in milliseconds*, a service will wait for a target biometric sensor to set its configuration before it returns sensorTimeout (§5.11.4.11) or initiates a service-side cancellation (§5.16.2.1).

#### A.2.4 Capture Timeout

Formal Name captureTimeout

Data Type xs:positiveInteger [XSDPart2]

**Required** Yes

This parameter describes how long, in milliseconds, a service will wait for a target biometric sensor to perform

biometric acquisition before it returns sensorTimeout (§5.11.4.11) or initiates a service-side cancellation

1729 (§5.16.2.1).

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#### A.2.5 Post-Acquisition Processing Time

Formal Name postAcquisitionProcessingTime
Data Type xs:nonNegativeInteger [XSDPart2]

**Required** Yes

This parameter describes an upper bound on how long, *in milliseconds*, a service takes to perform post-

acquisition processing. A client *should not* expect to be able to download captured data *before* this time has

elapsed. Conversely, this time also describes how long after a capture a server is permitted to return

preparingDownload for the provided capture ids. A value of zero ('0') indicates that the service includes any

post-acquisition processing within the capture operation or that no post-acquisition processing is performed.

## A.2.6 Lock Stealing Prevention Period

**Required** Yes

This parameter describes the length, in *milliseconds*, of the lock stealing prevention period (§5.6.2.2).

### A.3 Storage

The following parameters describe how the service stores captured biometric data.

#### A.3.1 Maximum Storage Capacity

Formal Name maximumStorageCapacity

Data Type xs:positiveInteger [XSDPart2]

**Required** Yes

This parameter describes how much data, in bytes, the service is capable of storing.

### A.3.2 Least-Recently Used Capture Data Automatically Dropped

Formal Name lruCaptureDataAutomaticallyDropped

Data Type xs:boolean [XSDPart2]

**Required** Yes

This parameter describes whether or not the service can automatically deletes the least-recently-used capture
to stay within its maximum storage capacity. If true, the service may automatically delete the least-recently
used biometric data to accommodate for new data. If false, then any operation that would require the service
to exceed its storage capacity would fail.

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# **Appendix B** Content Type Data

# **B.1** Content Type

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This appendix contains a catalog of content types for use in conformance profiles.

# **B.2** Image Formats

Refer to **[CTypeImg]** for more information regarding a specific type.

image/bmp	Windows OS/2 Bitmap Graphics
image/jpeg	Joint Photographics Experts Group
image/png	Portable Network Graphics
image/tiff	Tagged Image File Format

## **B.3** Video Formats

Refer to [CTypeVideo] for more information regarding a specific type.

video/h264	H.264 Video Compression
video/mpeg	Moving Pictures Experts Group

### **B.4** General Biometric Formats

biometric/ansi-nist-itl-2000	American National Standard for Information Systems – Data Format for the Interchange of Fingerprint, Facial, & Scar Mark & Tattoo (SMT) Information [AN2K]
biometric/cbeff-2010	Common Biometric Exchange Formats Framework with Support for Additional Elements [CBEFF2010]

# **B.5** Modality-Specific Formats

biometric/iso-19794-2-05	Finger Minutiae Data [BDIF205]
biometric/iso-19794-3-06	Finger Pattern Spectral Data [BDIF306]
biometric/iso-19794-4-05	Finger Image Data [BDIF405]
biometric/iso-19794-5-05	Face Image Data [BDIF505]
biometric/iso-19794-6-05	Iris Image Data [BDIF605]
biometric/iso-19794-7-07	Signature/Sign Time Series Data [BDIF707]
biometric/iso-19794-8-06	Finger Pattern Skeletal Data [BDIF806]
biometric/iso-19794-9-07	Vascular Image Data [BDIF907]
biometric/iso-19794-10-07	Hand Geometry Silhouette Data [BDIF1007]

# **Appendix C** Pending Issues

- The following is a list of pending/open issues regarding the WS-BD specification.
- 1. It is assumed that messages are returned from the service in a single language. Integrated multilingual support might be supported by a special sensor service configuration operation, or, by supporting multiple languages within the messages returned by a service.
- 2. Should we allow for content negotiation?

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3. Should the LSPP be determined automatically, based on the longest running sensor operation?

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1783	

# **Appendix E** Revision History

- Draft 0—Initial release. Operations and data types are well defined, but detailed documentation is not yet complete. Appendixes (metadata, conformance, and security profiles) are not yet written.
- 1787 **Draft 1**—Second release (1 March 2011). Made significant improvements based on public comment.
- Removed 'Detailed Info' and augmented 'Get Content Type' into 'Get Download Info.' Detailed operation
- documentation is complete, but appendixes still need work.
- Draft 2—Third release. Made significant improvements based on comments provided by Department of
- Defense. Added section related to 'Metadata'. Modified WsbdResult to combine common fields into a single
- metadata field. Added WsbdRange and WsbdParameter types to the data dictionary.
- Draft 3—Fourth release. Removed *Wsbd* prefix from data elements and updated affected examples. Modified
- Range type to include value, now RangeOrValue.

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