Draft Process for Review and Updates to NICE Framework Data

Changes to the NICE Framework have historically been derived from stakeholder feedback received via public comment periods, subject matter expert consultations and events, and community feedback. The new draft NICE Framework Data Update Process continues to engage stakeholders throughout and is consultative with subject matter experts, extending that in a way that is more structured, transparent, agile, and responsive. Further, NICE will continue to request public comments, hold events, and consult with experts to ensure that the NICE Framework is meeting stakeholder needs in an effort to expand its usefulness, applicability, and adoption nationwide.

Updates to NICE Framework data (Competencies, Work Roles, and Task, Knowledge, and Skill statements) will seek to support the NICE Framework attributes of agility, flexibility, interoperability, and modularity while also offering users a process that will minimize disruption in extant applications of the NICE Framework. The draft NICE Framework Data Update Process is in alignment with NIST's approach to publication updates, founded on an open public comment model in order to garner feedback from the broad stakeholders that use NIST products – in the case of the NICE Framework, this includes federal, state, and local governments and tribal territories; private industry; academia; learners (students, job seekers, and employees); and international communities.

Below is an outline of the draft process presented in a question-and-answer format.

What is the proposed process?

To ensure that the NICE Framework is agile, flexible, modular, and interoperable, it is important that regular reviews and updates can be made to the NICE Framework data to respond to and anticipate changes in cybersecurity so that a capable and ready workforce is prepared to mitigate and address ongoing risks.

As such, the NICE Framework will follow the model of the NIST SP 800-53, Security and Privacy Controls for Federal Information Systems and Organizations, which launched a public comment site in 2021. It is further informed by the Department of Defense "DOD Cyber Workforce Framework Revision Process Guide" and was shared with key interagency stakeholders for their input and feedback prior to this public announcement. Finally, the development of this process is in alignment with the NICE Strategic Plan, Goal 4, Objective 3: "Establish processes for regularly reviewing, improving, and updating the NICE Framework."

Community members will be able to use the public comment site to submit proposed changes to NICE Framework data for consideration. The draft process described here is a preview of what will be in effect once a new system is available for public use (anticipated summer 2022).

Why is NICE proposing that the NICE Framework adopt a public comment process for reviewing and updating data?

NIST believes that robust, widely understood, and participatory development processes produce the strongest, most effective, most trusted, and broadly accepted standards and guidelines. The following principles guide NIST's standards and guidelines development:

- Transparency: All interested and affected parties have access to essential information regarding standards and guidelines-related activities throughout the development process.
- Openness: Participation is open to all interested parties. All stakeholders including security practitioners, researchers, standards developing organizations, and users – have an opportunity to be meaningfully involved in the standards and guidelines development process.
- Balance: NIST solicits input from a wide range of stakeholders representing government, industry, and academia to ensure that its standards are strong, practical, and meet the needs of the Federal Government as well as the broader user community.
- Integrity: NIST serves as an impartial technical authority when it is developing standards and guidelines.
- Technical Merit: NIST's decisions during the development of standards and guidelines are based on the technical merit of a proposal while being mindful of security, privacy, policy, and business considerations.
- Global Acceptability: While the statutory basis for NIST's work in risk management is the need for protection of non-national security federal information systems, NIST standards are the foundation of many information technology products and services that are developed and sold globally.
- *Usability:* NIST aims to develop risk management guidelines that help implementers create secure and useable systems that support business needs and better manage risk for systems and organizations.
- *Continuous Improvement:* NIST strives for ongoing engagement with the cybersecurity and privacy community to continuously improve our standards and guidelines.
- Innovation: As a scientific bureau within the U.S. Department of Commerce, NIST's mission is to promote U.S. innovation and industrial competitiveness by advancing measurement science, standards, and technology in ways that enhance economic security and improve our quality of life.

Who can submit updates for consideration?

Updates may be identified internally by NICE Program Office staff or may be proposed by private and public stakeholders, including government, industry, academia, learners, and others. The NICE Program Office will work to achieve consensus on the public and private sector input, balancing the need for both periodic updates based upon emerging needs and the desirability for a stable framework.

When will I be able to submit updates for consideration?

Once the NICE Framework Data Update site is launched, stakeholders will be able to provide input (a "proposal") to NIST at any time. Note that submission of a proposal does not guarantee

that NIST will include the proposal in a future comment period (as a "candidate") or release of the NICE Framework.

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Stakeholders will be able to view and provide comments on candidates (draft updates available for public comment) during defined comment periods. Comments on candidates are reviewed by NIST prior to posting.

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What is the difference between a Proposal and a Candidate?

A "proposal" is a submission for an update – including new data or enhancement, revision, or withdrawal of existing data.

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A "candidate" is a proposal that is available for public comment. Stakeholders will have the ability to review and provide feedback on draft candidates during defined comment periods.

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Note that submission of a proposal does not guarantee that NIST will include the proposal in a future comment period (as a "candidate"), and neither proposals nor candidates are guaranteed to be accepted as updated data for the NICE Framework.

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What kinds of updates can I submit for consideration?

Changes can be proposed for the following NICE Framework data: Competencies, Work Roles, and Task, Knowledge and Skill (TKS) statements. Proposed updates may take the form of:

- Administrative changes: These changes address minor administrative errors, including typographical and grammatical errors.
- Additions: New statements, Work Roles, or Competencies.
- Adjustments: Proposals to change existing data elements (e.g., revisions or expansions).
- Withdrawals: Proposals to retire existing data elements.

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What if I want to suggest an update on other NICE Framework material?

NICE will continue to accept suggestions for changes related to the NICE Workforce Framework for Cybersecurity (NIST Special Publication 800-181, Revision 1) and NICE Framework Categories as part of future Calls for Public Comments and informally via the niceframework@nist.gov email address.

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How will decisions regarding my submission be made?

120 As a NIST publication, updates to the NICE Framework will be approved by the NICE program 121 office after consultation with subject matter experts and stakeholders as needed. Because the 122 NICE Framework is a national framework, these stakeholders may represent federal 123 government as well as local and state government, private industry, academia, and learners 124 (students, job seekers, and employees).

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In addition to taking into consideration the NIST principles noted above, the following will also be taken into consideration when reviewing proposed updates:

• Whether the update is essential and has wide-ranging impact, versus a change to address the unique requirements of an organization or specific sector.

- How the update relates to existing content, including the extent of impact an update
 might make.
 - How updates align to the defined NICE Framework structure.
 - If Task, Knowledge, and Skill (TKS) statement updates adhere to the <u>Task, Knowledge</u>, Skill (TKS) Statements Authoring Guide for Workforce Frameworks.

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How will I know the status of my submission?

You will receive alerts throughout the process to let you know the status of your submission. This includes confirmation at the original time of submission, when a review of the submission has begun, and when a determination has been made. In addition, you can contact the NICE program office at any time with specific questions.

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How will I find out about approved updates?

Updates that are accepted will include an implementation timeline. A change log will be maintained and all updates will be communicated via the NICE Framework Resource Center and via the NICE Framework Users Group.

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How often will updates occur?

Administrative updates (e.g., fixing spelling errors) may occur at any time. Minor updates (e.g., adjustments to individual statements that do not have significant or widespread impact, or new content that is additive in nature) will be timed to be released together and may happen up to twice annually. Major updates (e.g., changes to existing Work Roles and their statements; withdrawals that have significant impact on remaining content, etc.) may occur as needed but not more frequently than annually.

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Can I continue to use earlier versions of data?

156 A detailed change log and unique identifiers will be used to maintain version control of the NICE 157 Framework data as it evolves. As such, earlier versions of content, including withdrawn content, 158 will still be available for use.