

Development of NFIQ 2.0

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http://www.nist.gov/itl/iad/ig/development_nfiq_2.cfm

September 17, 2013 Biometric Consortium



Outline

- » History + Background
- » Sponsors + Team Members
- » Architecture
- » Features
- » Machine Learning
- » NFIQ 2.0 prototype
- » NFIQ 2.0 Lite (Mobile)
- » Actionable quality
- » Relation to ISO/IEC 29794-4
- » Discussion



2004 - present

2004

- •Release of NFIQ 1.0
- •Novel definition of biometric quality
- performance related
- accepted by the community
- Interoperability
- uniform interpretation
- •tuned to a class of matcher
- Open source
- Extensively examined
- •by NIST and others
- •tools for quality summarization, slap, ...

.01 works

- •Workshop on March 6, 2010 (IBPC 2010)
- •NFIQ 2.0 wish-list as of March 2010
- •Several options for NFIQ 2.0 were discussed
- http://biometrics.nist.gov/ cs_links/ibpc2010/ options_for_NFIQ2.0.pdf
- •The community overwhelmingly recommended a new, open source, generalized version of NFIQ to be developed in consultation and collaboration with users and industry.
- •Same technical approach, but better, bigger, faster, etc.

2012 workshop

•Workshop on March 5, 2012 (IBPC 2012)

NFIQ 2.0 wish list as of March 2010 Components as of March 2012

- Community asked for:
- Actionable flags
- •providerID
- Versioning
- •Latent?



NFIQ 2.0 Community

Team Members

- ≫ NIST (US)
- BSI (Germany)
- BKA (Germany)
- Fraunhofer IGD
- MITRE (US)
- > Hochschule Darmstadt / CASED
- Secunet Security Networks AG
- ≫ NFIQ 2.0 Participants
- » ...and the whole biometrics community

Sponsors



Science and Technology







Team Members

US

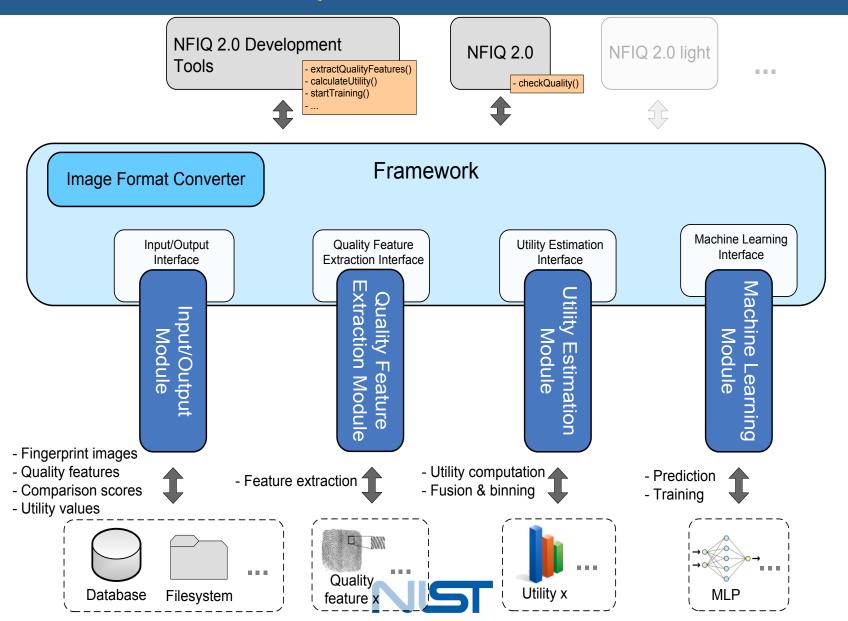
- » Elham Tabassi (NIST)
- » Patricia Flanagan (NIST)
- » Greg Fiumara (NIST)
- » Carol Nowacki, Carol (MITRE)
- » Adam Day (MITRE)
- » Marc Colosimo (MITRE)
- » Martin Olsen (HDA, NIST)

DE

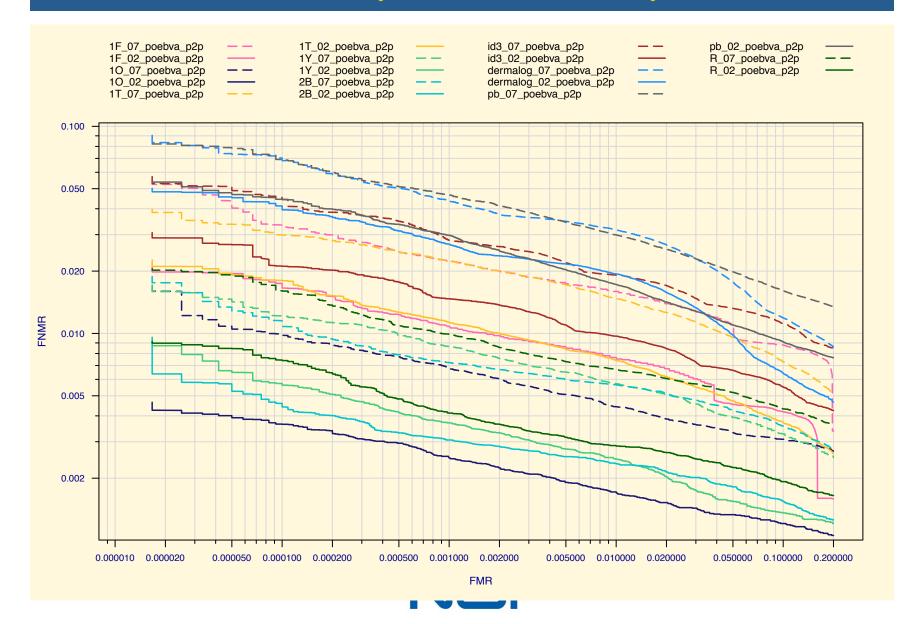
- » Christoph Busch (HAD)
- » Oliver Bausinger (BSI)
- » Johannes Merkle (SEC)
- » Michael Schwaiger (SEC)
- » Christopher Schiel (BKA)
- > Timo Ruhland (BKA)
- » Alexander Nouak (IGD)
- » Olaf Henniger (IGD)

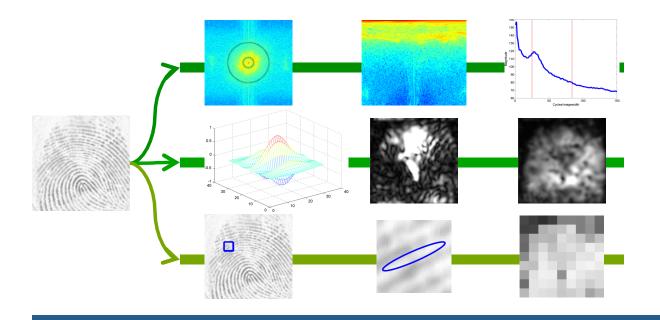


NFIQ 2.0 Framework



NFIQ 2.0 comparison score provider





NFIQ 2.0 FEATURES

NFIQ 1.0 features

Recommended Features in ISO/IEC 29794-4:2009 + our modifications Surveyed literature + out modifications

Open source FingerjetFx minutia extractor



NFIQ 2.0 features

Image/signal processing

- » Local clarity score
- » Ridge valley uniformity
- » Orientation certainty level
- » Orientation flow
- » Frequency domain analysis
- » Radial power spectrum
- » Gabor filters (several variants)

Minutiae based

- » FingerjetFx
 - Open source implementation from digitalPersona
 - Digitalpersona.com/fingerjetfx
- » Total count of minutia
- » Count of minutia in region of interest
 - Various selection of ROI

Standardized features allow for plug and play of feature computation implementations that are semantically conformant to the standard (i.e., ISO/IEC 29794-4 and ISO/IEC 19794-4).

Different implementations are distinguished via providerID.



~100 features ...

FJFXPos_OCL_MinutiaeQuality_0

Percentage of minutiae quality values (based on OCL value around each minutiae location) between 0 and 20

		FJFXPos OCL MinutiaeQuality 20	Percentage of minutiae quality values (based on OCL value around each minutiae location) between 20 and 40
Feature ID in Framework	Comments	FJFXPos OCL MinutiaeQuality 40	Percentage of minutiae quality values (based on OCL value around each minutiae location) between 40 and 60
NFIQ1_Feature_1	Original NFIQ1 Feature 1	FJFXPos OCL MinutiaeQuality 60	Percentage of minutiae quality values (based on OCL value around each minutiae location) between 60 and 80
NFIQ1_Feature_2	Original NFIQ1 Feature 2	FJFXPos OCL MinutiaeQuality 80	Percentage of minutiae quality values (based on OCL value around each minutiae location) between 80 and 100
NFIQ1_Feature_3	Original NFIQ1 Feature 3	FJFXPos OCL 4Blocks AverageMinQuality	Average of minutiae quality that was computed based on the mean of all OCL values around each minutiae location (4 blocks around
NFIQ1 Feature 4	Original NFIQ1 Feature 4	FJFXPos_Coherence_AvgMinQuality	Average of minutiae quality that was computed based on the coherence value of the orientation map field of the block in which the minutiae quality that was computed based on the coherence value of the orientation map field of the block in which the minutiae quality that was computed based on the coherence value of the orientation map field of the block in which the minutiae quality that was computed based on the coherence value of the orientation map field of the block in which the minutiae quality that was computed based on the coherence value of the orientation map field of the block in which the minutiae quality that was computed based on the coherence value of the orientation map field of the block in which the minutiae quality that was computed based on the coherence value of the orientation map field of the block in which the minutiae quality that was computed based on the coherence value of the orientation map field of the block in which the minutiae value of the orientation map field of the block in which the minutiae value of the orientation map field of the block in which the minutiae value of the orientation map field of the block in which the minutiae value of the orientation map field of the block in which the minutiae value of the orientation map field of the block in which the minutiae value of the orientation map field of the block in which the minutiae value of the orientation map field of the block in which the minutiae value of the orientation which was also at the orientation of the orientation map field of the block in which the minutiae value of the orientation map field of the block in which the minutiae value of the orientation map field of the block in which the minutiae value of the orientation map field of the block in which the minutiae value of the orientation map field of the block in which the minutiae value of the orientation map field of the block in which the minutiae value of the orientation map field of the block in which the minutiae value of the orientation which was a subj
NFIQ1 Feature 5	Original NFIQ1 Feature 5	FJFXPos CMEnh InhQual AvgMinQual	Average of minutiae quality that was computed based on the inhomogenety quality value of the enhanced contrast map
NFIQ1_Feature_6	Original NFIQ1 Feature 6	FJFXPos_MinutiaeFusion_1	Average of fused minutiae quality that was computed based on OCL. Mu, coherence values and enhanced constrast map values
NFIQ1 Feature 7	Original NFIQ1 Feature 7	FJFXPos AvgMinReliability QMEnh	Average of minutiae quality that was computed on the reliability value retrieved from the enhanced quality map
NFIQ1 Feature 8	Original NFIQ1 Feature 8	FJFXPos AvgMinReliability QMAdv	Average of minutiae quality that was computed on the reliability value retrieved from the advanced quality map
NFIQ1 Feature 9	Original NFIQ1 Feature 9	FJFXPos MinutiaeFusion 2	Average of fused minutiae quality that was computed based on OCL, Mu, coherence values, enhanced quality map zones and enhan
NFIQ1 Feature 10	Original NFIQ1 Feature 10	FJFXPos QualityMapEnh AvgMinQual	Average of minutiae quality that was computed based on the quality zones determined by the enhanced quality map
NFIQ1 Feature 11	Original NFIQ1 Feature 10	FJFXPos LCS AverageMinutiaeQuality	Average of minutiae quality that was computed based on block-wise LCS
NFIQ1 Time All	Speed computation of NFIQ1 features in ms	FJFXPos RVU AverageMinutiaeQuality	Average of minutiae quality that was computed based on block-wise RVU
FingerJetFX MinutiaeCount	Number of detected minutiae (no limitation as in original FJFX source code)	FJFXPos LowFlow AverageMinutiaeQuality	Average of minutiae quality that was computed based on block-wise values returned by the low flow map
FingerJetFX_MinutiaeCount FingerJetFX_MinutiaeQuality_0	Percentage of minutiae that have minutiae quality of 0 (= not calculated)	FJFXPos Time All	Speed computation of minutiae quality computation values
FingerJetFX_MinutiaeQuality_0 FingerJetFX MinutiaeQuality_1	Percentage of minutiae that have minutiae quality between 1 and 10	OCL	Orientation Certainty Level (OCL) of whole image
FingerJetFX_MinutiaeQuality_1 FingerJetFX MinutiaeQuality_2	Percentage of minutiae that have minutiae quality between 11 and 20	OCL Time	Speed computation of OCL computation
		QualityMap HighContrastBlocks	Number of blocks that have high contrast according to NFIQ1 low contrast map (re-implemented using OpenCV)
FingerJetFX_MinutiaeQuality_3	Percentage of minutiae that have minutiae quality between 21 and 30	QualityMap_Time	Speed computation of quality map computation (low contrast map, enhanced orientation map, high curve map)
FingerJetFX_MinutiaeQuality_4	Percentage of minutiae that have minutiae quality between 31 and 40	OrientationMap_Time	Speed computation of orientation map (without ROI filtering)
FingerJetFX_MinutiaeQuality_5	Percentage of minutiae that have minutiae quality between 41 and 50	OrientationMap ROIFilter Time	Speed computation of orientation map determination with ROI filtering
FingerJetFX_MinutiaeQuality_6	Percentage of minutiae that have minutiae quality between 51 and 60	QualityMapEnh Time	Speed computation of enhanced quality map computation (enhanced low contrast map, enhanced orientation map, low flow map, high
FingerJetFX_MinutiaeQuality_7	Percentage of minutiae that have minutiae quality between 61 and 70	QualityMapAdv Time	Speed computation of advanced quality map computation (enhanced low contrast map, enhanced orientation map, high curve map)
FingerJetFX_MinutiaeQuality_8	Percentage of minutiae that have minutiae quality between 71and 80	LowFlowMap Time	Speed computation of low flow map
FingerJetFX_MinutiaeQuality_9	Percentage of minutiae that have minutiae quality between 81 and 90	OrientationMap_ROIFilter_CoherenceSum	Sum of all blockwise coherence values based on orientation map computation (block size 16) with applied ROI filter of ImgProcROI n
FingerJetFX_MinutiaeQuality_10	Percentage of minutiae that have minutiae quality between 91 and 100	OrientationMap_ROIFilter_CoherenceRel	Relative number of all blockwise coherence values based on orientation map computation (block size 16) with applied ROI filter of Im
FingerJetFX_AverageMinutiaeQuality	Arithemtic mean (average) of FJFX quality value of all minutiae	OrientationMap_CoherenceSum	Sum of all blockwise coherence values based on orientation map computation (block size 16) of the whole image
FingerJetFX ROIBlockArea	Percentage of blocks that have at least one minutia in it (block size 32x32 pixels)	OrientationMap_CoherenceRel	Relative number of all blockwise coherence values based on orientation map computation (block size 16) of the whole image
FingerJetFX_ROIBlockAbs	Absolute number of blocks that have at least one minutia in it (block size 32x32 pixels)	QualityMap_Foreground	Number of foreground blocks based on the quality map computation (similar but not identical to NFIQ1 quality map with block size 8)
FingerJetFX MinCount COMMinRect200x200	Number of minutiae detected in rectangle of 200x200 pixels around centre of mass (based on minutiae locations)	QualityMap_RelCount_1	Relative number of quality map blocks that have an assigned value of 1 (similar but not identical to NFIQ1 quality map with block size
FingerJetFX MinCount COMMinRect300x200	Number of minutiae detected in rectangle of 300x200 pixels around centre of mass (based on minutiae locations)	QualityMap_RelCount_2	Relative number of quality map blocks that have an assigned value of 2 (similar but not identical to NFIQ1 quality map with block size
FingerJetFX MinCount COMMinCircle200	Number of minutiae detected in a circle of diameter 200 pixels around centre of mass (base on minutiae locations)	QualityMap_RelCount_3	Relative number of quality map blocks that have an assigned value of 3 (similar but not identical to NFIQ1 quality map with block size
FingerJetFX MinCount COMMinCircle250	Number of minutiae detected in a circle of diameter 250 pixels around centre of mass (base on minutiae locations)	QualityMap_RelCount_4	Relative number of quality map blocks that have an assigned value of 4 (similar but not identical to NFIQ1 quality map with block size
FingerJetFX MinCount COMGravRect200x200	Number of minutiae detected in rectangle of 200x200 pixels around centre of mass (based on grayvalues)	ContrastMapEnh_HighContrastBlocks	Number of high contrast blocks according to the computation results of the enhanced contrast map
FingerJetFX MinCount COMGrayRect300x200	Number of minutiae detected in rectangle of 300x200 pixels around centre of mass (based on grayvalues)	ContrastMapEnh_AvgInhomogenety	Average of block-wise inhomogenety values returned by enhanced contrast map
FingerJetFX MinCount COMGrayCircle200	Number of minutiae detected in a circle of diameter 200 pixels around centre of mass (base on grayvalues)	ContrastMapEnh_AvgSmoothness	Average of block-wise smoothness values returned by enhanced contrast map
FingerJetFX MinCount COMGravCircle250	Number of minutiae detected in a circle of diameter 250 pixels around centre of mass (base on gravyalues)	ContrastMapEnh_AvgUniformity	Average of block-wise uniformity values returned by enhanced contrast map
FingerJetFX Time All	Speed computation of FJFX feature extraction (of all features within this module, including COM and ROI based features) in ms	ContrastMapEnh_AvgQuality	Average of block-wise quality values based on the returned inhomogenety, uniformity and smoothness values of the enhanced contra
FingerJetFX_Time	Speed computation of FJFX minutiae extraction and ISO container parsing	ContrastMapEnh_Time	Speed computation of enhanced contrast map computation
Mu	Mu (= mean of all pixel values)	QualityMapEnh_HighFlowBlocks	Number of high flow blocks determined by the enhanced quality map (low flow map)
MMB	Mu Mu Block (MMB) (= mean of all blockwise mean intensity values)	QualityMapEnh_LowFlowBlocks	Number of low flow blocks determined by the enhanced quality map (low flow map)
Sigma	Will will block (white) (= mean of an blockwise mean intensity values) Sigma (= standard deviation of pixel values)	QualityMapEnh_Foreground	Number of foreground blocks based on the quality map computation (similar but not identical to NFIQ1 quality map with block size 8)
Mu Time	Speed computation of Mu feature	QualityMapEnh_RelCount_1 QualityMapEnh_RelCount_2	Relative number of enhanced quality map blocks that have an assigned value of 1 (similar but not identical to NFIQ1 quality map with
MMB Time	Speed computation of MMB feature Speed computation of MMB feature	QualityMapEnn_RelCount_2 QualityMapEnh RelCount 3	Relative number of enhanced quality map blocks that have an assigned value of 2 (similar but not identical to NFIQ1 quality map with
Sigma Time	Speed computation of Nimb leature Speed computation of Sigma feature	QualityMapEnn_RelCount_3 QualityMapEnh_RelCount_4	Relative number of enhanced quality map blocks that have an assigned value of 3 (similar but not identical to NFIQ1 quality map with
		QualityMapEnn_RelCount_4 QualityMapAdv Foreground	Relative number of enhanced quality map blocks that have an assigned value of 4 (similar but not identical to NFIQ1 quality map with Number of foreground blocks based on the quality map computation (similar but not identical to NFIQ1 quality map with block size 8)
ImgProcROIBlockArea	Percentage of ROI blocks in relation to all blocks of image (block size 32x32 pixels)	QualityMapAdv_Foreground QualityMapAdv_RelCount 1	Number of integround blocks based on the quality map computation (similar but not identical to NFLQ1 quality map with block size of Relative number of advanced quality map blocks that have an assigned value of 1 (similar but not identical to NFIQ1 quality map with a size of the number of advanced quality map before the number of the number of advanced quality map before the number of the num
ImgProcROIBlockAbs	Absolute number of ROI blocks in image (block size 32x32 pixels)	QualityMapAdv_RelCount_1 QualityMapAdv_RelCount_2	Relative number of advanced quality map blocks that have an assigned value of 2 (similar but not identical to NFIG1 quality map with Relative number of advanced quality map blocks that have an assigned value of 2 (similar but not identical to NFIG1 quality map with
ImgProcROIPixelArea	Percentage of ROI pixels in relation to total number of pixels of image	QualityMapAdv_RelCount_2 QualityMapAdv_RelCount_3	Relative number of advanced quality map blocks that have an assigned value of 2 (similar but not identical to NFIG1 quality map with Relative number of advanced quality map blocks that have an assigned value of 3 (similar but not identical to NFIG1 quality map with
ImgProcROIPixelAbs	Absolute number of ROI pixels in image	QualityMapAdv RelCount 4	Relative number of advanced quality map blocks that have an assigned value of 4 (similar but not identical to NFIG1 quality map with Relative number of advanced quality map blocks that have an assigned value of 4 (similar but not identical to NFIG1 quality map with
ImgProcROIArea_Mean	Mean value (= Mu) of ROI blocks only	LowFlowMap24 HighFlowBlocks	Number of high flow blocks determined by the low flow map (block size 24 x 24)
ImgProcROIArea_StdDev	Standard deviation (= sigma) of ROI blocks only	LowFlowMap24 Time	Number of high new blocks determined by the low map (block size 24 x 24) Speed computation of low flow map with block size 24 x 24
ImgProcROIArea_OCL	Orientation Certainty Level (OCL) feature value of ROI blocks only	LowFlowMap32 HighFlowBlocks	Number of high flow blocks determined by the low flow map (block size 32 x 32)
ImgProcROIArea_Time	Speed computation of ImgProcROI features	LowFlowMap32_Time	Speed computation of low flow map with block size 32 x 32
ImgProcROIArea_OCL_Time	Speed computation of ImgProcROIArea_OCL feature	Gab	Gabor feature
FJFXPos_Mu_AverageMinutiaeQuality	Average minutiae quality based on mean and stddev of pixel grayvalues (=Mu) of a 32x32 pixels block around minutiae location	GSh	Gabor Shen feature
FJFXPos_Mu_MinutiaeQuality_0	Percentage of Mu values (as defined above) that have value <= -0.5	LCS	Local Clarity Score (LCS) feature
FJFXPos_Mu_MinutiaeQuality_1	Percentage of Mu values (as defined above) that have value > -0.5 and <= 0	OCL_S	Orientation Certainty Level (OCL) feature based on Sobel filters
FJFXPos_Mu_MinutiaeQuality_2	Percentage of Mu values (as defined above) that have value > 0 and <= 0.5	OCL CD	Orientation Certainty Level (OCL) feature based on centered differences
FJFXPos_Mu_MinutiaeQuality_3	Percentage of Mu values (as defined above) that have value > 0.5	RVU_P	Ridge Valley Uniformity (RVU) feature with padding (block size 32)
FJFXPos_COMMin_MMB_224	MMB value of square (size 224x224 pixels, block size 32x32 pixels) around centre of mass (based on minutiae locations)	RVU_NP	Ridge Valley Uniformity (RVU) feature without padding (block size 32)
FJFXPos_OCL_AverageMinutiaeQuality	Average of minutiae quality that was computed based on the OCL value around each minutiae location	OF	Orientation Flow (OF) feature
,		RPS	Radial Power Spectrum (RPS) feature
		FDA	Frequency Domain Analysis (FDA) feature



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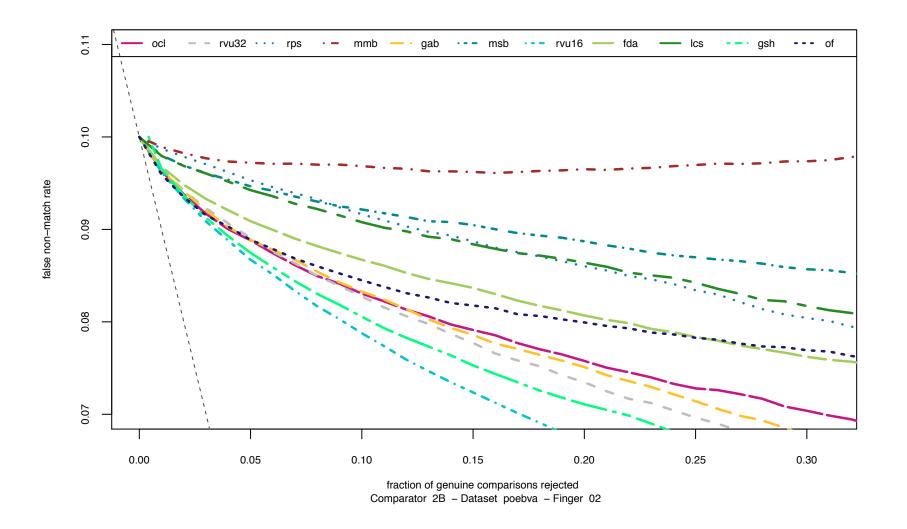
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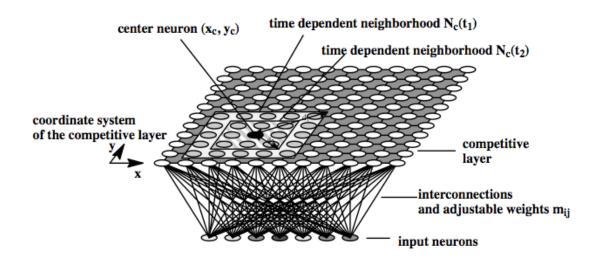
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NFIQ 2.0 :: performance per features







MACHINE LEARNING

We examined:
Random forest
Support vector machine
K-nearest neighbor



Machine Learning

Random Forest

- Ensemble classifier using stochastic process
 - Use vote to determine class memberships
 - Provides class probability in predictions
 - Analysis of features importance and their ranking
 - We used this to do our final feature selection

Two class prediction

- » High vs. Low performers
 - 1: High performers are images that result in high genuine scores
 - $> CDF^{-1}(0.95)$
 - 0: Low performers are images that result in false reject
 - Threshold at FMR=0.0001
 - Quality score is the probability that a given image belongs to class 1.
- » Map quality score to recognition rate.



Training

Features: image processing + #minutiae + minutiae quality ~5000 samples in each of the low and high performers classes 1000 trees in forest

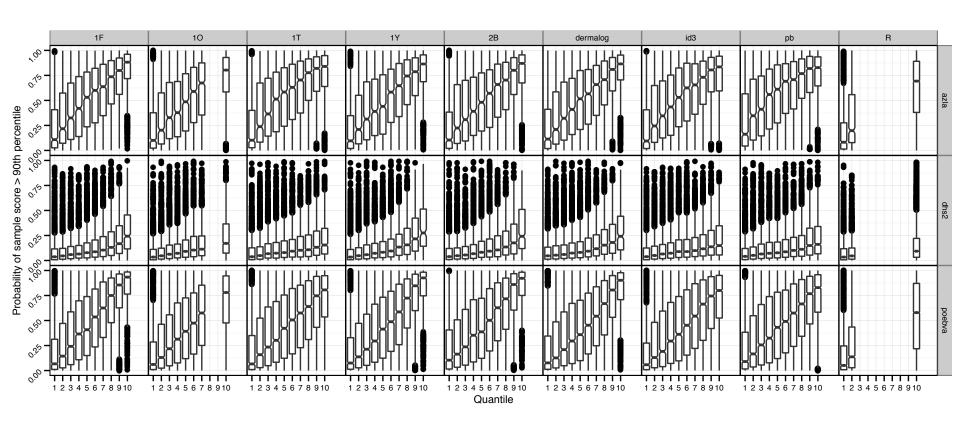
Test

30000 comparison scores

So, Does It Work?



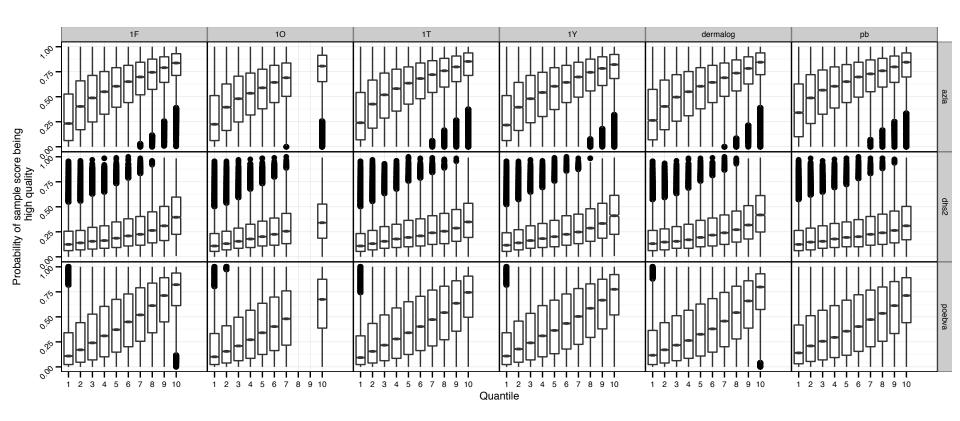
NFIQ 2.0 test –all features





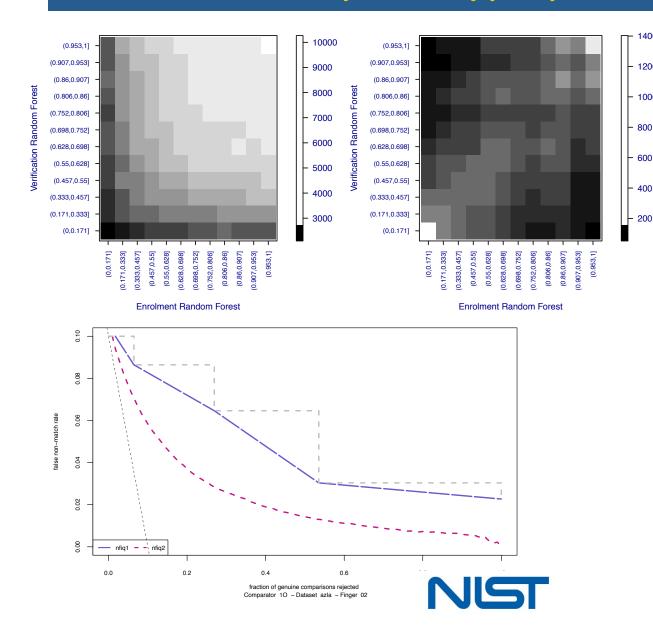
NFIQ 2.0 prototype

(current selecetion of features)





NFIQ 2.0 prototype performance



Features:

1400

1200

1000

800

600

200

Gabor **Gabor Shen** Local Clarity Score (LCS) **Orientation Certainty Level** (OCL) Ridge Valley Uniformity (RVU) w/o padding Ridge Valley Uniformity with padding Orientation Flow (OF) Radial Power Spectrum (RPS) Minutia count Minutiae quality based on Mu Minutia quality based on OCL ROI (foreground size)

ACTIONABLE QUALITY



Actionable quality

Feed back to user/operator

- > Wet / dry
 - High/low pressure
 - MS Thesis (M. Dusio, C. Busch)
- » Centeredness
 - Singularity detection
- » Incompleteness
 - Entropy of orientation flow

» Ghost images





Questions?

- » Sensor sensitivity?
- » Algorithm sensitivity?
- » Already covered by features?
- » Any addition or deletion?
 - Fingerness?
 - Alteredness?
 - correctness of phalanx?





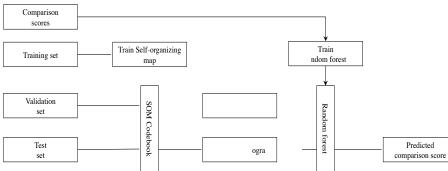
NFIQ 2.0 LITE (MOBILE)



NFIQ 2.0 Lite/Mobile

Requirements

- » Low computation complexity
 - processing power
 - Processing time
- » Therefore, feature computation not feasible!
- » Look up table?



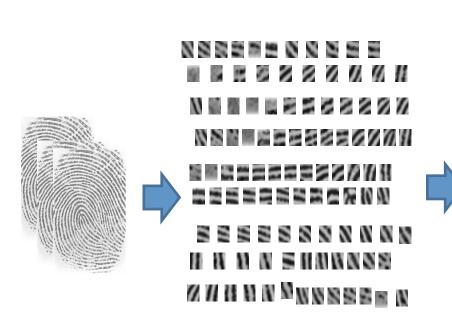
SOM

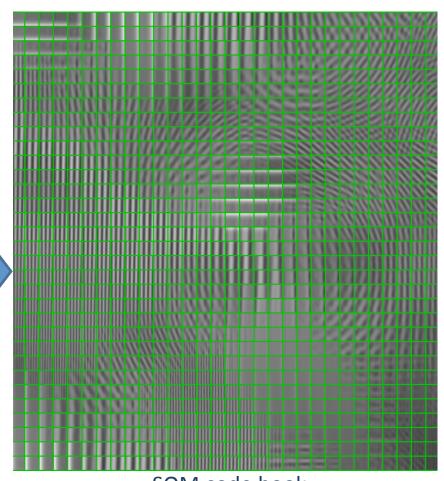
- » Unsupervised clustering (unlabelled training data)
- » Training phase
 - Iteratively present training vectors to build clusters (codebook vectors)
- » Prediction phase
 - Input vector is assigned a class based on distance to learned clusters
- » Topology preserving similar classes will have similar spatial locations in the map



Self organizing maps

M. Olsen, E. Tabassi, A. Makarov, C. Busch: "Self-Organizing Maps for Fingerprint Image Quality Assessment", in Proceedings of the 26th Conference on Computer Vision and Pattern Recognition (CVPR 2013), June 23-28, Portland, Oregon, (2013)

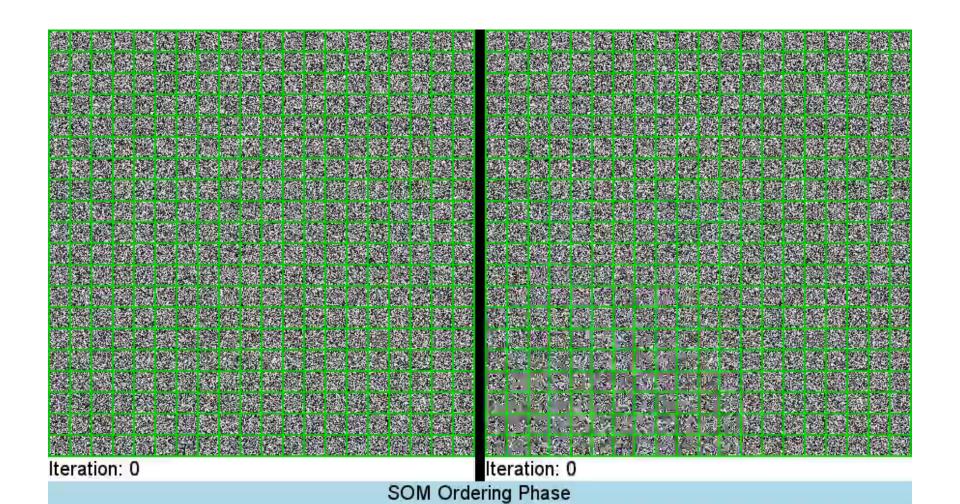




SOM code book



SOM unsupervised training





Self organizing maps for NFIQ2.0 Lite-1



Divide fingerprint image into blocks and look up nearest cluster to get a label



AAAAA

ABCDA

A E C D A

AECCA



Finger image is transformed into cluster histogram

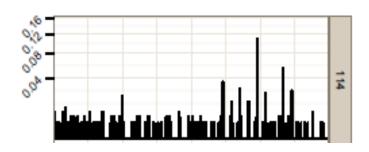


Quality Score



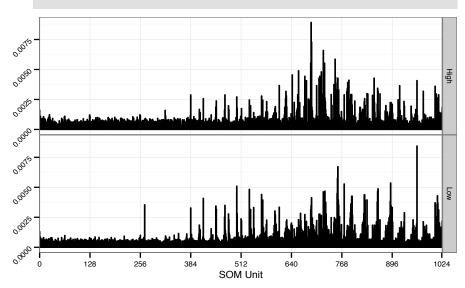
Random Forest

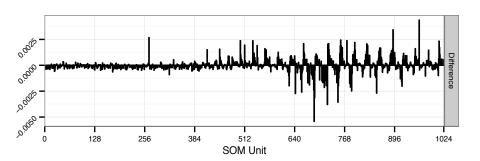




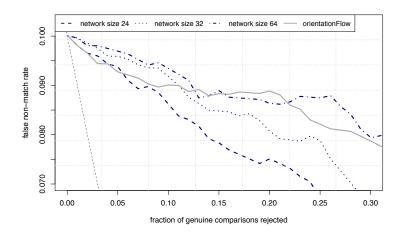
NFIQ 2.0 Lite prototype

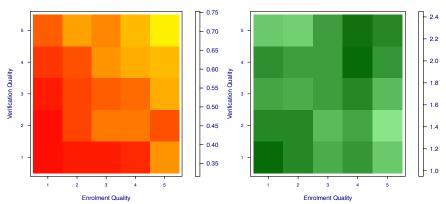
Features





performance







NFIQ 2.0 computation time

Lite

- » ~ 65 ms/image
 - PC 2.3 GHz Intel Core i7
 - 16 GB of memory.
 - network size of dim = 24
 - block size of n = 24
 - With gray scale normalization
- » ~ 82 ms/image.
 - PC 2.3 GHz Intel Core i7
 - 16 GB of memory.
 - network size of dim = 24
 - block size of n = 64
- This is prior to any code optimization

NFIQ 2.0

- » Feature computation time
 - » ~ 19.45 msec/image for OCL Expect about the same for other features
 - MacBook Air, Mid 2011
 - Processor: 1.7 GHz Intel Core i5 (dual core)
 - Memory: 4 GB 1333 MHz DDR3 (256 KB L2 cache, 3MB L3 cache)
 - Software: OS X 10.8.3 (12D78)
 - ~85 msec/image for Minutia based features
- This is prior to any code optimization



Current Status

Completed

- » Framework design
 - Modular, plug and play
- » Framework implementation
- Feature selection and prototype implementation complete
 - http://biometrics.nist.gov/cs_links/quality/ NFIQ_2/NFIQ-2_Quality_Feature_Defin-Ver05.pdf
- » Feature evaluation complete.
- Feature Implementation MATLAB to to C/C++
 - Thanks to FBI + MITRE
- Exploring machine learning
 - Random forest, SVM.
- Feature selection (almost contingent on their computation time).
- » Implementation of actionable flags for detection and mitigation of bad presentations
 - Incomplete finger (tip, etc.) + Wet / dry + Pressure

Underway

- » Finalizing training
 - After this workshop
- » NFIQ 2.0 Lite
 - Self organizing map
- Evaluation of Implementation of actionable flags for detection and mitigation of bad presentations
 - Incomplete finger (tip, etc.) + Wet / dry + Pressure
 - But, tricky since we do not have groundtruth for this.
- \rightarrow Mapping of NFIQ 2.0 \rightarrow NFIQ 1.0



NFIQ 2.0

Promises, promises

- » Improved feature
- » More level (0-100)
- » Faster, lighter
- » Actionable feedback
- » NFIQ 2.0 mobile
- » Slap
- » Better performance
- » Modular design
- » Calibration
- » Conformance testing

So far, we have achieved

- » Improved feature
- » Standard features
- » More level (0-100)
- » Faster we hope
- » Actionable feedback
- » Towards NFIQ Mobile
- **>>** --
- » Better performance we hope
- » Plug and play



Standardization - then

ISO/IEC IS 29794-1:2009

- » Information technology -Biometrics sample quality Part 1: Framework
- » Definitions
 - quality: "the degree to which a biometric sample fulfils specified requirements for a targeted application"
 - quality score: "a quantitative expression of quality"
 - utility: "the observed performance of a biometric sample or set of samples in one or more biometric systems"
- » Quality score from 0 to 100

5-byte Quality Block

description		size	valid values	notes
Number of Quality Blocks		1 byte	[0,255]	This field is followed by the number of 5-byte Quality Blocks reflected by its value (see Fehler! Verweisquelle konnte nicht gefunden werden.).
				A value of zero (0) means that no attempt was made to assign a quality score. In this case, no Quality Blocks are present.
	Quality Score	1 byte	[0,100] 255	0: lowest 100: highest 255: failed attempt to assign a quality score
Quality Block	Quality Algorithm Vendor ID	2 bytes	[1,65535]	Quality Algorithm Vendor ID shall be registered with IBIA as a CBEFF biometric organization. Refer to CBEFF vendor ID registry procedures in ISO/IEC 19785-2.
	Quality Algorithm ID	2 bytes	[1,65535]	Quality Algorithm ID may be optionally registered with IBIA as a CBEFF Product Code. Refer to CBEFF product registry



Standardization - now

ISO/IEC 29794-1:201X

- » Information technology -Biometrics sample quality Part 1: Framework
- » Definitions
 - Same as before, but allow for a vector of quality components
 - Goal: Actionable quality
- Each element of quality vector has a score from 0 to 100.

Vector of quality components

Tab	le 2	Dat	ta fie	lds
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		Description	Size	Valid	Notes		
		Description	OIL C	values	Notes		
		Number of Quality	1 byte	0 to 255	This field is followed by the number of 5-byte Quality Blocks reflected by its value.		
		Blocks (N)			A value of zero (0) means that no attempt was made to assign a quality score. In this case, no Quality Blocks are present.		
	Byte 1	Quality Indicator	1 byte	0 to 100 250 255	0 to 100: the encode value is the overall quality score of the representation. It should express the predicted recognition performance of a representation with higher values indicating better quality.		
Quality Block 1					250 (FA _{Hex}): a vector of quality metrics is encoded in bytes 6-N. 255 (FF _{Hex}), an attempt to calculate a quality score has failed		
Quality	Bytes 2-3	Quality Algorithm Vendor ID	2 bytes	1 to 65535	Quality Algorithm Vendor ID shall be registered with IBIA as a CBEFF biometric organization. Refer to CBEFF vendor ID registry procedures in ISO/IEC 19785-2.		
	Bytes 4,5	Quality Algorithm ID	2 bytes	1 to 65535	Quality Algorithm ID may be optionally registered with IBIA as a CBEFF Product Code. Refer to CBEFF product registry procedures in ISO/IEC 19785-2.		
	Bytes 6	– 5 x (Number o	of qualit	y blocks) exis	t only if quality indicator (Byte 1) is 250 (FA _{Hex}).		
	6	Overall quality score	1 byte	0 to 100	A quality score should express the predicted comparison performance of a representation. A quality score shall be encoded in one byte as an unsigned integer. Allowed values are 0 to 100 with higher values indicating better quality		
Quality Blocks 2-N	7	Number of quality vector elements	1 byte	Defined in each Part of this Standard	If the number of quality vector elements mod 5 is not equal to three then padding bytes should be added such that the length of the block is a multiple of five. This will ensure backward compatibility with the implementations conformant with ISO/IEC 29794-1:2009 and ISO/IEC 19794-x:2011. For example, if the number of quality vector elements is 14, 4 padding bytes shall be added so that the length of the image quality record is 25 = 4(padding) + 14(number of quality vector elements) + 7(as shown in rows 1-7).		
	8	Quality metrics			As defined in modality specific parts of this International Standard.		



Support standardization of finger image quality

ISO/IEC 29694-4

- Provide quantitative support to development of Information technology – Biometric sample quality – Part 4: Finger image
 - Currently at 2nd working draft
- » Contribute feature computation method + codes
 - Allows for plug-and-play of features for implementations that satisfy semantic conformance to the requirements of the ISO/IEC 29794-4 standard





NIST Biometric Quality Program

Push Towards Zero Error Biometrics

Strengthening Science

Failure
Analysis
Identifying the
likely causes of
recognition
error,
quantifying
their effect
and ways to
mitigate them.

Advancing metrology

Performance Evaluation Quantitative means of assessing performance of quality assessment algorithms (IREX II IQCE)

Developing Standards

Requirements

On image properties affecting performance, and on capture device

Developing Tool Box

Open source

Public domain Reference implementatio ns of quality assessment algorithm, iris segmentation

Best Practice Guidance

Instructional +

Guidance
Materials for
quality score
summarization
+ Best capture
practice +
example
images of
various quality

Enumerative Bibliography

Technical

Literature
Reports, white papers, publications relevant to biometric quality and iris image quality in particular

Coordination+ Collaborations

Workshops, Conferences Grants (WVU, NYU Poly)

Research

NIST IR 7155 ICIP 2005 NIST IR 7820

Evaluation

NIST IR 7820 PAMI 2007 ICPR 2010

Standard

ISO/IEC 29794 ISO/IEC 19794

Software

NFIQ 1.0 NFIQ 2.0 NIIQ 1.0

Report

NIST IR 7422 NIST IR 8XXX

Webpage

www.nist.gov/ itl/iad/ig/ bio_quality.cf m BQW 2006, 07 IBPC 2010, 12 NFIQ 2010,12

Thank You.

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Panel Discussion

- » Greg Cannon (CrossMatch)
- » John Dowden (NEC)
- » Anne Wang (3M Cogent)
- » Timo Ruhland (BKA)
- » Jean Christophe FONDEUR (MORPHO)
 - the main advantage of NFIQ –by far- is that it is universal and common to all, so I clearly recommend that we keep this universality for NFIQ 2 and hence have no option in the definition. NFIQ score on a given image should remain an absolute and universal value.

