

# Modeled Integrated Scattering Tool (MIST)

## Version 4.00

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The MIST program has been developed to provide users with a general application to model an integrated scattering system. The program performs an integration of the bidirectional reflectance distribution function (BRDF) over solid angles specified by the user and allows the dependence of these integrals on model parameters to be investigated. The models are provided by the SCATMECH library of scattering codes.

## 1. Introduction

Light scattering is widely used by scanning surface inspection tools to inspect materials, such as silicon wafers, flat panel display substrates, data storage media, and optics, for defects, particles, and surface roughness. These instruments often direct collimated or lightly-focused light at a sample and collect scattered light with one or more large optics. The optics collect light over significant solid angles in order to maximize sensitivity. When designing or calibrating these instruments, it helps to be able to predict the signal for different geometric conditions and model parameters.

The BRDF characterizes the directional dependence of the scattering by a material. The BRDF is given by

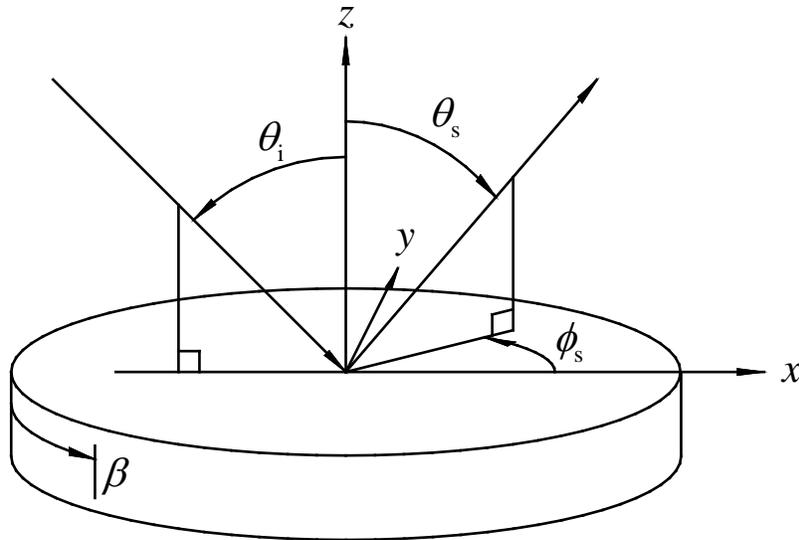
$$f_r(\theta_i, \theta_s, \phi_s) = \lim_{\Omega \rightarrow 0} \frac{\Phi_s}{\Phi_i \Omega \cos \theta_s}, \quad (1)$$

where  $\Phi_s$  is the power scattered into solid angle  $\Omega$ , centered on polar angle  $\theta_s$  and azimuth angle  $\phi_s$ , and  $\Phi_i$  is the power incident on the sample at an angle  $\theta_i$ . The angles  $\theta_i$ ,  $\theta_s$ , and  $\phi_s$  are defined in Fig. 1. The function  $f_r(\theta_i, \theta_s, \phi_s)$  also depends upon the polarization state of the incident light and the polarization sensitivity of the detection system. Any optic collecting light over a finite solid angle measures a reflectance given by

$$\rho(\Omega) = \int_{\Omega} f_r(\theta_i, \theta_s, \phi_s) \cos \theta_s \sin \theta_s d\theta_s d\phi_s. \quad (2)$$

The MIST program is designed to perform this integration using any of the BRDF models provided in the SCATMECH library and for geometries specified by the user. Furthermore, MIST allows the user to vary parameters in the model or in the definition of the solid angle, providing the user with the dependences on those parameters.

Besides using it as a design tool, one application of MIST is the development of calibration curves for an instrument. For example, the absolute response of an instrument to spherical particles can be accurately determined as a function of the particle size using the SCATMECH model **Bobbert\_Vlieger\_BRDF\_Model**. If a set of reference particles are used to calibrate the instrument, then these calibration curves can be used to interpolate (or even extrapolate) to other particle sizes. The advantage of using a model-based calibration curve, over using non-physically-based interpolation techniques, is that it is more accurate and the calibration should not change if the set of reference particles changes. Furthermore, a smaller number of reference particles are needed for calibration, and over sampling of the calibration curve provides information about uncertainties in the measurement and can compensate for uncorrelated uncertainties in the reference particle diameters.



**FIGURE 1** The scattering geometry and angle convention used by the calculations. The incident angle is  $\theta_i$ . The scattering direction is defined by polar angle  $\theta_s$  and azimuth angle  $\phi_s$ .

## 2. Installing MIST

The MIST software package is supplied in a self-extracting executable file (**MISTzip400.exe**). To install the software, the user should run **MISTzip400.exe** from a temporary directory. The user will be asked to choose a directory to extract the file.

## 3. Running MIST

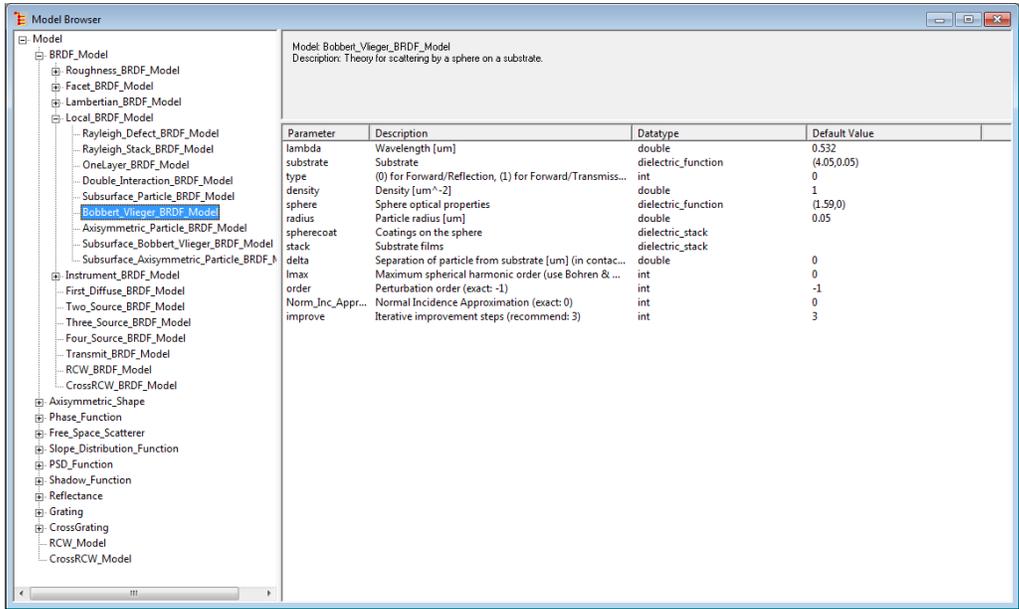
MIST is a 32-bit Windows\* application. To run MIST, find the folder containing the executable program and double-click on its icon. You can create a shortcut to the program by right-clicking on the icon, then choosing "Create Shortcut." The shortcut can then be moved to the Start Menu or the Desktop.

## 4. Model Browser

The Model Browser allows the user to view information about all the SCATMECH models and can be opened by selecting "Model Browser" from the menu. The window has three frames. The left frame provides a tree structure view of all of the SCATMECH model classes. The top right frame provides a synopsis of the model highlighted in the left frame. The bottom right frame provides a listing of all of the parameters, their descriptions, their data types, and their default values. More information about the models can be obtained from the SCATMECH documentation.

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\* Certain commercial products are identified in this documentation in order to describe the software adequately. Such identification is not intended to imply recommendation or endorsement by the National Institute of Standards and Technology, nor is it intended to imply that the products are necessarily the best available for the purpose.



## 5. MIST Document

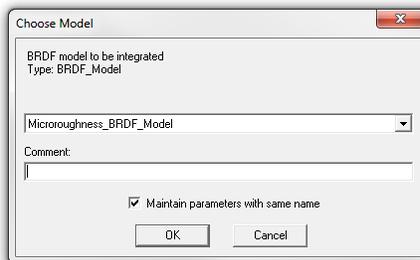
The MIST Document format is the preferred way to provide instructions for MIST to determine the integrated reflectance. A new document may be opened by selecting the menu item File→New. A saved document may be opened by selecting File→Open. A saved MIST Document will contain not only the instructions to generate the integrated reflectance, but also data from the last calculation. A document appears as a tree structure containing eight top level elements:

```
MODEL = ""
├── USER VARIABLES
├── REQUIRED VARIABLES
├── MODEL PARAMETERS
├── VARIED PARAMETERS
├── INTEGRALS
├── OUTPUTS
└── FILES
```

In the following subsections, each of these elements will be described in detail.

### 5.1 MODEL Element

The MODEL element defines which SCATMECH BRDF model will be evaluated during integration. If you double-click on it, or select the element and press enter, a dialog box will open, which will allow you to choose a model from the selection of available models and provide a comment for annotating the document:



After pressing the OK button, the document will show the new model name, and will provide the model parameters with their default values in the MODEL PARAMETERS section (described in Sec. 5.4 below):

```

MODEL = Microroughness_BRDF_Model
+ USER VARIABLES
+ REQUIRED VARIABLES
+ MODEL PARAMETERS
  - lambda = 0.532
  - substrate = (4.05,0.05)
  - type = 0
  - psd = ABC_PSD_Function
    - psd.A = 0.01
    - psd.B = 362
    - psd.C = 2.5

```

If the box labeled “Maintain parameters with same name” is not checked, then all parameters will be initialized with their default values. If the box is checked, any parameters that have already been given values will maintain those values.

## 5.2 USER VARIABLES Section

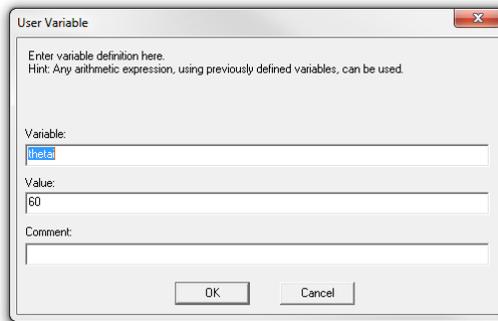
The USER VARIABLES section allows the user to define variables that can be used to make the calculation easier or more straightforward to the user. Some variables come predefined and are optional:

```

+ USER VARIABLES
  - pi = 4*atan(1)
  - deg = pi/180
  - thetai = 60
  - thetas = 0
  - inpol = 0

```

Other variables can be edited, added, removed, copied, or pasted by selecting any of the variables and right-clicking the mouse. The order of the variables may be changed by dragging any with the mouse or by selecting any and pressing CTRL and either the up button or the down button. If a new variable is being created or a current variable is being edited, then a dialog box will appear:



The variable name can be any alphanumeric string containing no whitespace. The value can be any arithmetic expression using previously defined variables. See Sec. 9 below for further description of expressions.

## 5.3 REQUIRED VARIABLES Section

The REQUIRED VARIABLES section allows the user to define five parameters that are required during the calculation of integrated reflectance:

```

+ REQUIRED VARIABLES
  - minsamples = 10
  - differential = (2*deg)^2
  - incidentangle = thetai*deg
  - incidentpol = [1,cos(2*inpol*deg),sin(2*inpol*deg),0]
  - rotation = 0*deg

```

The order of the required variables may be changed by dragging any with the mouse or by selecting any and pressing CTRL and either the up button or the down button. If the user double-clicks on any of these parameters, a dialog box will open, allowing the user to edit the parameter value. The interpretation of each variable is defined below:

**DIFFERENTIAL** – The approximate differential solid angle (in steradians) used during the integration. The smaller this value, the longer the calculation will take, but the more accurate the results will be. A helpful way to define this parameter to obtain a  $2^\circ \times 2^\circ$  grid is to set it to  $(2 * \text{deg})^2$ .

**MINSAMPLES** – The minimum number of samples for any integration. If the total solid angle of the integration is close to or smaller than **DIFFERENTIAL**, then the program will use a smaller differential solid angle. The default value of this parameter is 10.

**INCIDENTANGLE** – The angle of incidence ( $\theta_i$ , in radians).

**INCIDENTPOL** – The Stokes vector intensity for the incident light. The Stokes vector should have unit intensity in order for the results to be interpreted as a reflectance. See Sec. 6 for appropriate Stokes vectors. Note that the incident polarization for any specific integral can be overridden and that this parameter exists to maintain compatibility with previous versions.

**ROTATION** – The rotation angle (in radians) of the sample about the surface normal. If this parameter is zero, then the light is incident along the fiducial direction for the model. If the scattering model is for an isotropic surface, this parameter will have no effect.

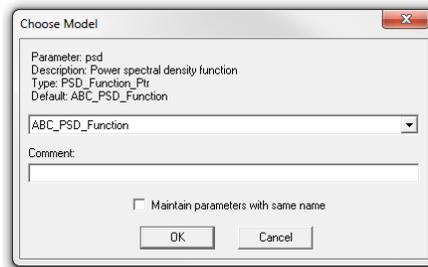
## 5.4 MODEL PARAMETERS Section

The MODEL PARAMETERS Section lists the parameters in the model, allowing the user to edit them:

```

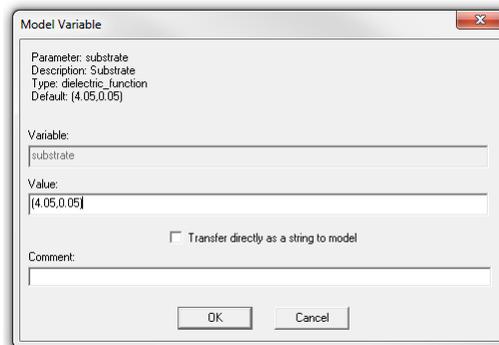
MODEL PARAMETERS
  substrate = [4.05,0.05]
  type = 0
  lambda = 0.532
  psd = ABC_PSD_Function
    psd.A = 0.01
    psd.B = 362
    psd.C = 2.5
  
```

Some parameters are models themselves and may have sub-parameters. For example, if the user double clicks on **psd** above, the following dialog box opens:



The user can then select among the possible models for that parameter. When the user presses OK, all of the sub-parameters are updated with their default values, unless the box is checked.

Double-clicking on other parameters will open the following dialog box:

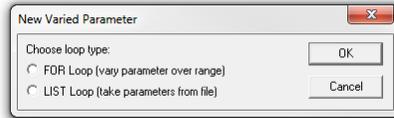


If the box marked “Transfer directly as a string to model” is not checked, then any arithmetic expression may be used for the value, using any parameters defined above it, and that parameter may be used in other expressions. Expressions can be used inside complex numbers (that is, as two expressions separated by a comma and surrounded by parentheses). Some parameters should not be evaluated or treated as variables, namely those that specify a filename, such as optical property information, or dielectric stack information. In that case, this box should be checked so that MIST does not attempt to evaluate it as an arithmetic expression.

## 5.5 VARIED PARAMETERS Section

The VARIED PARAMETERS section allows the user to specify variation of any parameter. There are two different types of varied parameter elements: the FOR loop and the LIST loop. The FOR loop allows a numeric parameter to be varied from a starting value to an ending value with an increment, while a LIST loop allows the user to specify a file that tabulates the parameter values for which the calculation is to be performed.

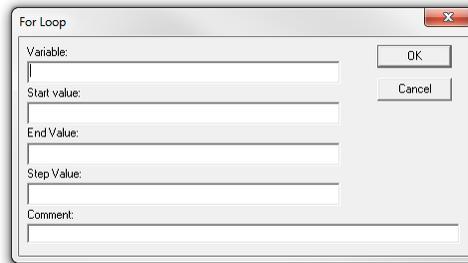
To add an element to this section, the user should select the VARIED PARAMETERS Section, right-click on the mouse, and select Add. A dialog box will open to allow the user to specify the loop type:



Multiple loops are treated as nested. The order of multiple loops may be changed by dragging the items in the tree menu. The first one listed is the most slowly varying parameter, while the last is the most rapidly changing parameter.

### 5.5.1 FOR loop

A FOR loop enables the user to specify the variable, its range, its increment, and a comment. If a FOR loop is chosen, a dialog box will appear:



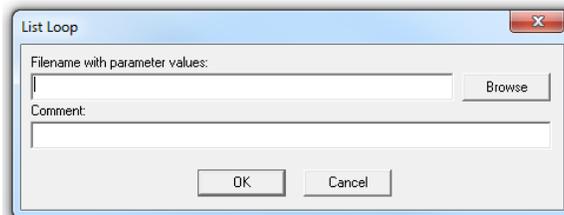
The variable may be any numeric variable defined in the USER VARIABLES, REQUIRED VARIABLES, or MODEL PARAMETERS sections. The loop will override any value previously defined for that variable. The start value, the end value, and the step value may each be any expression, using any defined variables.

After FOR Loops have been defined, they will be shown in the FOR LOOPS section of the tree menu. For example:



### 5.5.2 LIST loop

A LIST loop enables the user to specify a file that contains a list of parameters and the values that they should take. If a LIST loop is chosen, a dialog box will appear:



The file should be a text file containing whitespace-delimited columns. The first line should specify the variables that should be varied, and the remaining lines should contain the values that those variables should take. For example:

<b>thetai</b>	<b>thetas</b>
25	-10
25	0
25	10
30	20
30	30
30	40

In this example, six different combinations of **thetai** and **thetas** would be used. The variable(s) may be any variable defined in the USER VARIABLES, REQUIRED VARIABLES, or MODEL PARAMETERS sections. Furthermore, the file can contain expressions or string values. For example, the following will evaluate the model for four different materials:

```

$substrate
gold.txt
silicon.txt
silica.txt
tungsten.txt

```

Alternatively, the incident polarization and the polarization sensitivity can be varied (provided **scatterdpol** is defined):

```

incidentpol scatteredpol
(1,1,0,0) (0.5,0.5,0,0)
(1,-1,0,0) (0.5,-0.5,0,0)

```

After a LIST loop has been defined, it will show up in the VARIED PARAMETERS section of the tree menu. For example:

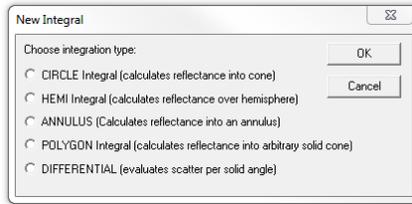
```

├─ VARIED PARAMETERS
│  └─ LIST filename.bt

```

## 5.6 INTEGRALS Section

The INTEGRALS section defines the integrals that will be evaluated by the program. There are five different types of integrals: HEMI, CIRCLE, ANNULUS, POLYGON, and DIFFERENTIAL. Each integral must be given a unique name. To add a new integral, the user must select the INTEGRALS section, right-click with the mouse, and select Add. A dialog box will open enabling the user to choose one of the five types of integrals:



Once the user has defined the integrals, they will be shown on the tree menu as such:

```

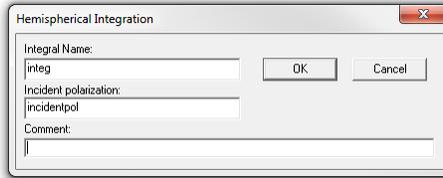
├─ INTEGRALS
│  └─ integ1 = CIRCLE [double click to view]
│     └─ integ2 = HEMI [double click to view]
│        └─ integ3 = ANNULUS [double click to view]
│           └─ integ4 = POLYGON [double click to view]
│              └─ integ5 = DIFFERENTIAL [double click to view]

```

Each integral type is described in the following subsections:

### 5.6.1 HEMI Integral

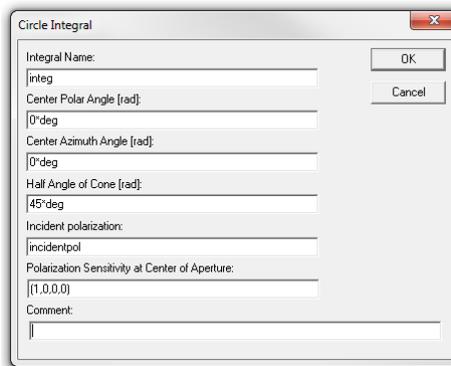
A HEMI integral calculates the reflectance over the entire hemisphere. It has no parameters, other than its name and incident polarization, and does not allow for any polarization sensitivity. The dialog box that opens when the user selects a HEMI integral is shown below:



Notice that, by default, the variable **incidentpol** is used as the incident polarization. Any Stokes vector may be substituted for **incidentpol**.

### 5.6.2 CIRCLE Integral

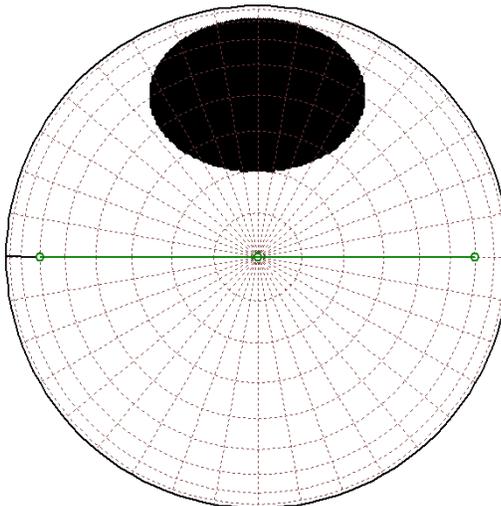
A CIRCLE integral integrates the reflectance into a right circular cone centered on a specified direction, having a specified half angle and polarization sensitivity. The polarization sensitivity applies to the center of the aperture. The polarization sensitivity at other points in the aperture is rotated in a manner appropriate for a paraxial optical element. The dialog box that opens when the user selects a CIRCLE integral is shown below:



All of the angles must be specified in radians. By default, the variable “deg” is defined to enable one to convert a value in degrees to one in radians. Thus, “**45\*deg**” is  $\pi/4$  radians.

Notice that, by default, the variable **incidentpol** is used as the incident polarization. Any other Stokes vector may be substituted for **incidentpol**. The polarization sensitivity should be set to a four-element Stokes vector.

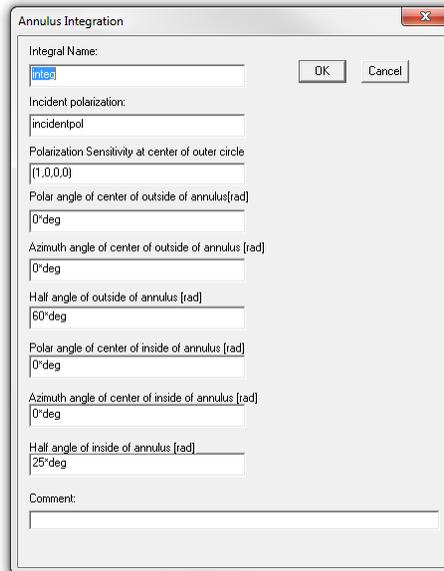
The following shows the integration area for a CIRCLE integral with a center polar angle of  $45^\circ$ , an azimuthal angle of  $90^\circ$ , and a half-angle of  $25^\circ$ :



The plane of incidence is the horizontal diameter across the circle.

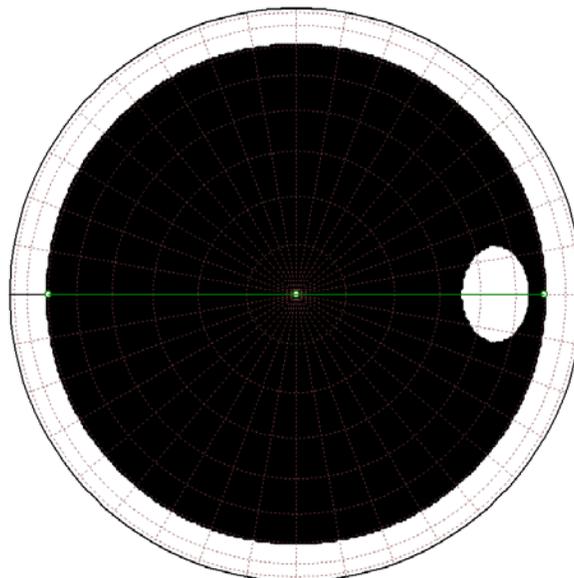
### 5.6.3 ANNULUS Integral

An ANNULUS integral calculates the reflectance over an annular aperture. The dialog box that opens when the user selects an ANNULUS integral is shown below:



The user selects a name for the integral, an incident polarization, a polarization sensitivity, polar and azimuthal angles for both the inner and outer circles, and the half angles for each of the circles. Notice that, by default, the variable **incidentpol** is used as the incident polarization. Any Stokes vector may be substituted for **incidentpol**. The polarization sensitivity should be set to a four-element Stokes vector.

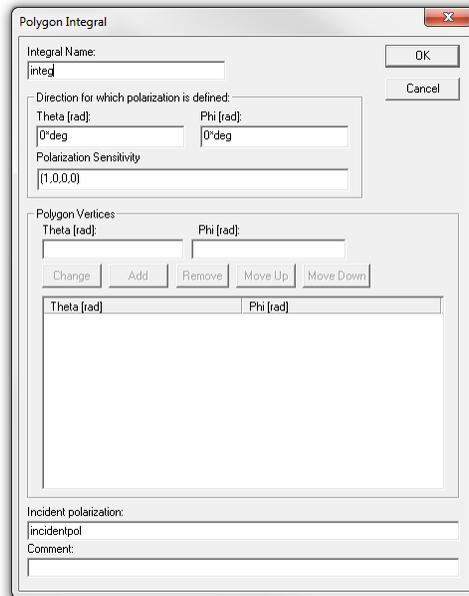
The following shows the integration area for an ANNULUS integral, where the outer circle has a polar angle of  $0^\circ$ , an azimuthal angle of  $0^\circ$ , and a half-angle of  $60^\circ$ , while the inner circle has a polar angle of  $45^\circ$ , an azimuthal angle of  $0^\circ$ , and a half angle of  $10^\circ$ :



If the inner circle is not entirely contained in the outer circle, the resulting shape will not represent an annulus.

### 5.6.4 POLYGON Integral

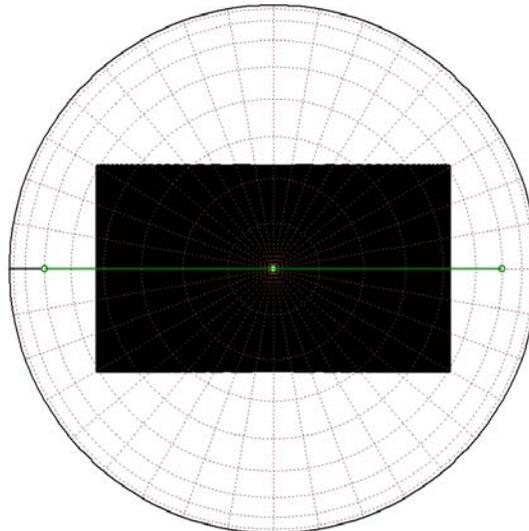
Any arbitrary shape may be specified using a POLYGON integral. When a user selects POLYGON integral, the following dialog box opens:



The user must choose a direction by which the polarization is defined and a sensitivity to polarization in that direction. The polarization sensitivity at other points in the aperture are rotated in a manner appropriate for a paraxial optical element whose axis is along the specified direction.

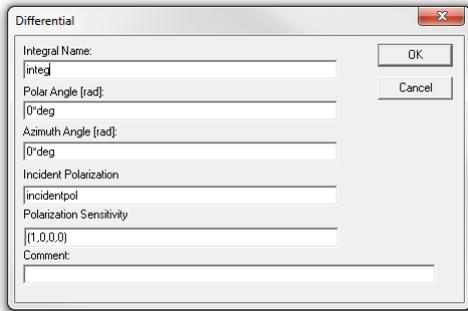
The vertices of the polygon can be entered by entering directions into the Theta and Phi edit boxes, and pressing the Add button. The direction will show up in the list box. Once in the list box, a particular direction can be highlighted and edited, removed, and moved up or down in the list.

Notice that, by default, the variable **incidentpol** is used as the incident polarization. Any Stokes vector may be substituted for **incidentpol**. The following is an example of an integration region with four vertices:



### 5.6.5 DIFFERENTIAL Integral

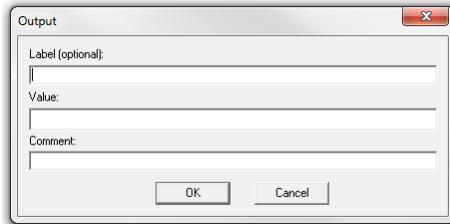
The DIFFERENTIAL integral is not a true integral. It evaluates the reflectance per solid angle in a specified direction, namely, the quantity  $f_r(\theta_1, \theta_s, \phi_s) \cos \theta_s$ . When a user specifies a DIFFERENTIAL integral, the following dialog box opens:



Notice that, by default, the variable **incidentpol** is used as the incident polarization. Any Stokes vector may be substituted for **incidentpol**. The polarization sensitivity should be set to a four-element Stokes vector.

### 5.7 OUTPUTS Section

The OUTPUTS section defines what quantities are stored and written to the output file for each set of integrals. Generally, they would include all of the integral values specified in the INTEGRALS sections, but may also include arithmetic combinations of them or other variables defined in the preceding sections. To choose a new output, the user selects the OUTPUTS section, right-clicks on the mouse, and selects New. The following dialog box will open:



The label is optional and refers to a new variable that will take on the output value. The value is any user variable, required variable, model parameter, integral, or any arithmetic combination of these. The output of the program (the results file) will consist of columns of values, beginning with the varied parameters and followed by the output values specified in the OUTPUTS section. If a label is given, the column will be labeled with it; otherwise, the column will be labeled with the expression used for the value.

After a number of elements have been added, the tree menu will show them:

```

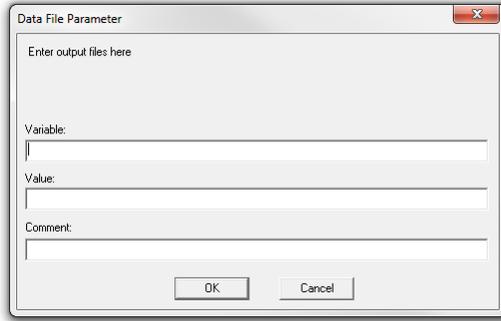
└─ OUTPUTS
   └─ integ1
      └─ integ2
         └─ sum = integ1+integ2
            └─ difference = integ1-integ2
               └─ ratio = difference/sum

```

In this example, the first two items did not have labels. Two of the items were given labels **sum** and **difference**, respectively. Finally, the fifth used the new variables **sum** and **difference**.

### 5.8 FILES Section

The FILES section allows the user to specify where results will be written and some formatting options. When one adds or edits an element in this section, a dialog box opens:



There are five different variables allowed in this section: **results**, **listing**, **samples**, **header**, and **format**. The **results** variable is used to specify the output data file. If **results** is not defined, MIST will use the project file name, appending it with **.dat**. The **listing** variable is used to specify a data file for other information that sometimes can help in debugging a simulation. If **listing** is not defined, MIST will use the project file name, appending it with **.lst**. The **samples** variable is used to specify a file that will contain every BRDF value evaluated during integration. If **samples** is not defined, no such file will be created. The **header** variable is used to define information that is placed at the top of the output data file. Multiple header variables (simply repeating the **header** definition) can be specified. Finally, the **format** variable can be used to specify an output format for the numerical values. The **format** variable is interpreted using the C printf rules. For example, “%12.8le\t” will print the value as a twelve-digit floating point number, with eight digits to the right of the decimal point, followed by a tab character.

## 6. Polarization

All of the BRDF models provided by the SCATMECH library return a Mueller matrix BRDF,  $\mathbf{f}_r$ , relating the scattered Stokes vector  $d\Phi_s$  to the incident Stokes vector power  $\Phi_i$ :

$$\Phi_s = \mathbf{f}_r \cdot \Phi_i \cos \theta_s \, d\Omega. \quad (3)$$

To obtain reflectance values, the incident Stokes powers should be normalized to unity. The following table describes some suitable incident polarizations:

Incident polarization	Interpretation
(1, 0, 0, 0)	unpolarized incident light
(1, 1, 0, 0)	s-polarized incident light, electric field perpendicular to the plane of incidence
(1, -1, 0, 0)	p-polarized incident light, electric field parallel to the plane of incidence
(1, 0, 1, 0)	45° polarized incident light
(1, 0, 0, 1)	left-circularly polarized incident light
(1, 0, 0, -1)	right-circularly polarized incident light
(1, cosd(2*inpol), sind(2*inpol), 0)	linearly polarized incident light at an angle <b>inpol</b> from s-polarized

To obtain the measured scattered power or the effective BRDF, the reflected Stokes vector is multiplied by a Stokes sensitivity vector  $\mathbf{S}$ :

$$\Phi_r = \mathbf{S} \cdot \Phi_r. \quad (4)$$

The Stokes sensitivity must reflect the loss that would be exhibited by a polarizer having the specific sensitivity. That is, a fully polarization sensitive detector will detect only half of unpolarized light incident upon it. Thus, the following table represents polarization sensitivities appropriate for use in MIST:

Polarization Sensitivity	Interpretation
(1,0,0,0)	insensitive to polarization
(0.5,0.5,0,0)	sensitive to only s-polarized light
(0.5,-0.5,0,0)	sensitive to only p-polarized light
(0.5,0,0.5,0)	sensitive to only 45° polarized light
(0.5,0,0,0.5)	sensitive to only left-circularly polarized light
(0.5,0,0,-0.5)	sensitive to only right-circularly polarized light
$(1/2, \cosd(2*inpol)/2, \sind(2*inpol)/2, 0)$	sensitive to linearly polarized light at an angle <b>inpol</b> from s-polarized

## 7. Running the Simulation

To begin a simulation, the user should select Simulate from the main menu. A progress bar will appear:

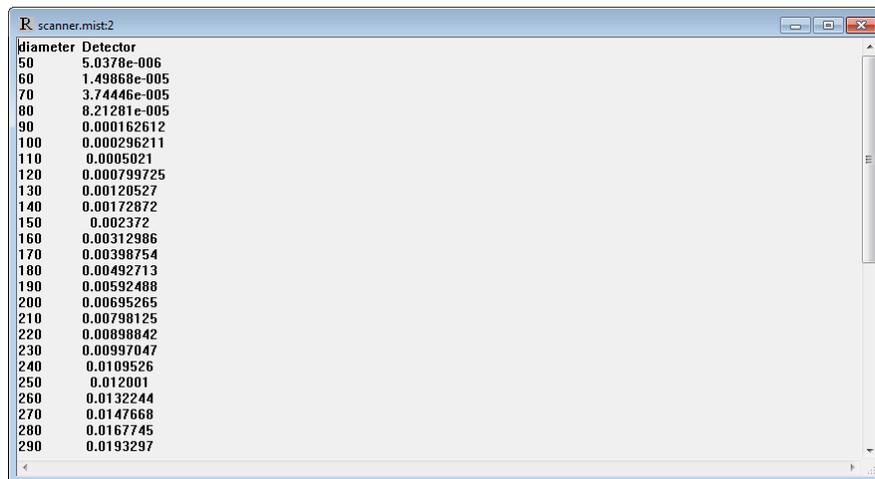


The user may cancel a simulation by pressing the Cancel button.

## 8. Viewing the Results

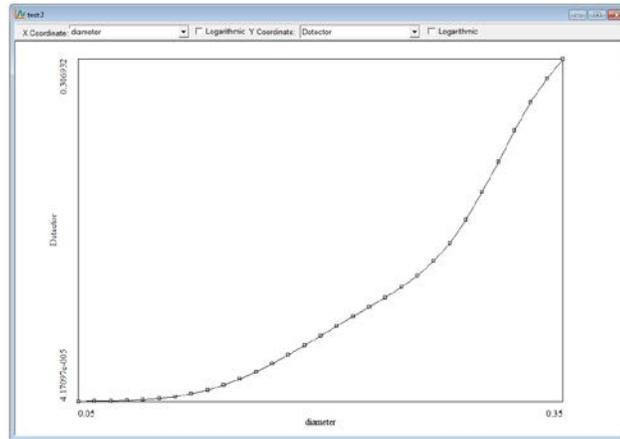
There are three different ways to view the output. First, one can use a separate spreadsheet application to read the output file (named by the document name with the extension **.dat**, or that specified by the **results** parameter). Secondly, one can view the contents of this file in a text window supplied by MIST. Finally, a rudimentary graph can be displayed, showing the outputs as a function of the parametric variables.

To view the contents in a text window, select View→View Results (Text) from the main menu. This view is useful when one wants to see the specific numbers:



One can use this window to copy and paste into other spreadsheet applications.

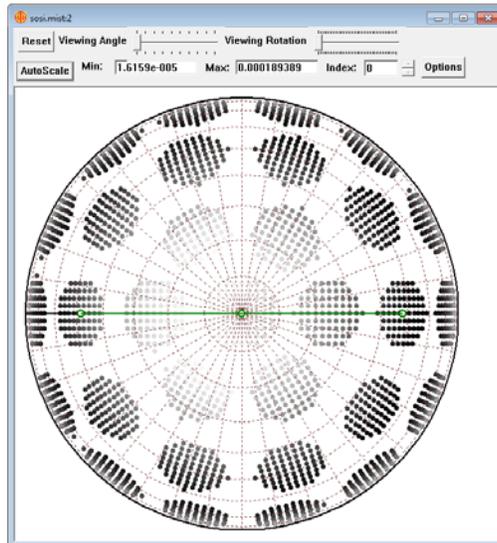
To view a graph of the results, select View→View Results (Graph) from the main menu. A window opens up showing the data as a function of the variable(s) defined in the FOR loops.



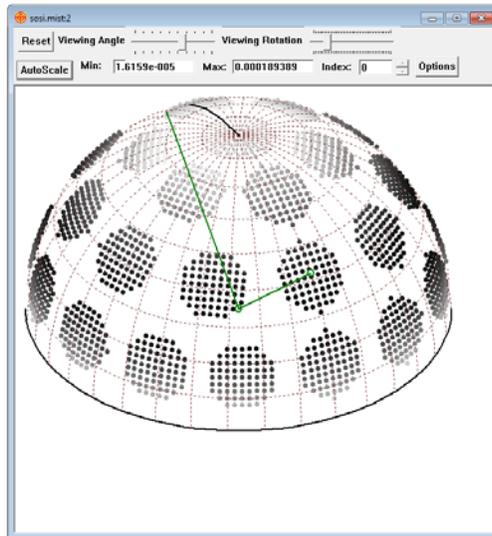
The results may be plotted against linear or logarithmic axes. For more advanced graphing and control over axes and labels, other spreadsheet applications can be used.

## 9. Viewing the Sampled Points

It is often useful to view the sampled points. For example, one can check to see that the integration region matches that which is anticipated. Secondly, one might wish to see where the intensity is strongest on a detector, and where it is weakest. Finally, one might wish to visualize the scattering function over the entire hemisphere. For these reasons, MIST provides a view of the scattering hemisphere with the integrations points shown with their intensities. To view the sampled points, select View→View Samples (Graph) from the main menu. A window will appear:



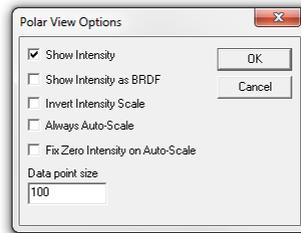
By default, the integration points are shown over the scattering hemisphere as viewed from the surface normal. By sliding the viewing angle and the viewing rotation slider bars, the direction over which the hemisphere is viewed can be changed:



The incident plane is shown with a dark curve starting at the surface normal. The incident and specular beams are shown with green line segments, and the points that the incident and specular beams intersect the hemisphere and the sample are shown as circles.

Index refers to the step index of the FOR loop. By changing the index, one can view the intensity or the shape of the integration domain as a function of the varying parameter.

By pressing the Options button, some parameters used for displaying the sampled points can be changed:



**Show Intensity**—Checking this box will show the integration points with points whose gray scale depends upon the intensity, while un-checking it will show the integration points in black.

**Show Intensity as BRDF**—Checking this box will show the gray scale (if the Show Intensity box is checked) corresponding to BRDF, while un-checking it will show it corresponding to differential reflectance (product of BRDF and the cosine of the angle).

**Invert Intensity Scale**—Checking this box will make the gray scale whiter when the intensity is higher, while un-checking it will show the more intense points as darker.

**Always Auto-Scale**—Checking this box will ensure that anytime the image changes (due to calculation or looking at a different step), that the results will be auto-scaled over the range of intensities.

**Fix Zero Intensity on Auto-Scale**—Checking this box will set the lowest intensity scale to zero, while un-checking it will set the bottom of the scale to the lowest intensity shown.

**Data point size**—This parameter sets the size of the circles used to show the integration points. By default, this value is 100. One can effectively show a map of intensity by setting this number so that the points fill the gaps between adjacent points.

## 10. Expressions

All numeric parameters in MIST are treated as expressions which are evaluated each time they are needed. These expressions can use any of the binary arithmetic operators listed below, listed in order of precedence, with each row indicating items having the same precedence:

<b>x<sup>y</sup></b>	power				
<b>x*y</b>	multiplication	<b>x/y</b>	division		
<b>x+y</b>	addition	<b>x-y</b>	subtraction		
<b>x&gt;y</b>	greater than	<b>x&lt;y</b>	less than	<b>x=y</b>	equal to
<b>x&amp;y</b>	and	<b>x y</b>	or		

Parentheses can be used and nested to any degree. The table below lists functions that can be used in the expressions:

<b>exp(x)</b>	exponential	<b>log(x)</b>	natural logarithm
<b>log10(x)</b>	base-10 logarithm	<b>sqrt(x)</b>	square root
<b>sin(x)</b>	sine	<b>sind(x)</b>	<b>sin(x*pi/180)</b>
<b>cos(x)</b>	cosine	<b>cosd(x)</b>	<b>cos(x*pi/180)</b>
<b>tan(x)</b>	tangent	<b>tand(x)</b>	<b>tan(x*pi/180)</b>
<b>asin(x)</b>	arcsine	<b>asind(x)</b>	<b>asin(x)*180/pi</b>
<b>acos(x)</b>	arccosine	<b>acosd(x)</b>	<b>acos(x)*180/pi</b>
<b>atan(x)</b>	arctangent	<b>atand(x)</b>	<b>atan(x)*180/pi</b>
<b>sinh(x)</b>	hyperbolic sine	<b>cosh(x)</b>	hyperbolic cosine
<b>tanh(x)</b>	hyperbolic tangent	<b>atan2(y,x)</b>	polar angle of the point (x,y)
<b>atan2d(y,x)</b>	<b>atan2(y,x)*pi/180</b>	<b>abs(x)</b>	absolute value
<b>not(x)</b>	1, if x zero, 0 otherwise	<b>min(x,y)</b>	minimum
<b>max(x,y)</b>	maximum	<b>or(x,y)</b>	<b>x y</b>
<b>nor(x,y)</b>	not or	<b>and(x,y)</b>	<b>x&amp;y</b>
<b>nand(x,y)</b>	not and	<b>resqrt(x,y)</b>	real part of <b>sqrt(x+i*y)</b>
<b>imsqrt(x,y)</b>	imaginary part of <b>sqrt(x+i*y)</b>	<b>resqr(x,y)</b>	real part of <b>(x+i*y)^2</b>
<b>imsqr(x,y)</b>	imaginary part of <b>(x+i*y)^2</b>	<b>gt(x,y)</b>	<b>x&gt;y</b>
<b>lt(x,y)</b>	<b>x&lt;y</b>	<b>ge(x,y)</b>	<b>x&gt;=y</b>
<b>eq(x,y)</b>	<b>x=y</b>	<b>ne(x,y)</b>	<b>x!=y</b>
<b>if(x,y,z)</b>	if (x) then y, else z		

Table lookup can be accomplished using the **@filename(x)** and **@filename(x,i)** functions. If the first is called, then the program will assume the first two columns of the file **filename** contains an interpolation table. If the second is called, the program will use the first and **i**-th columns for the lookup table.

## 11. Integration Method and Accuracy

While most of the BRDF models contained in the SCATMECH library are based upon physical principles, many are approximations that have a limited range of accuracy. It is up to the user to assess the accuracy of each model to determine if the results are of quantitative value or are just qualitative guides to data trends.

In order to facilitate the integration of Eq. (2), it helps to make a change of variables, so that the coverage of sampled points is more uniform over the scattering hemisphere. There are a number of options for such a change of variables. If we let  $\xi_1 = \theta_s \cos \phi_s$  and  $\eta_1 = \theta_s \sin \phi_s$ , then Eq. (2) becomes

$$\rho(\Omega) = \int_{\Omega} f_r(\theta_i, \theta_s, \phi_s) \cos \theta_s \sin \theta_s d\xi_1 d\eta_1. \quad (5)$$

Versions of MIST before 4.00 used Eq. (3) for the integration. However, the change of variables  $\xi_2 = \sqrt{1 - \cos \theta_s} \cos \phi_s$  and  $\eta_2 = \sqrt{1 - \cos \theta_s} \sin \phi_s$  allows for a uniform coverage of sampled points in solid angle. In that case, Eq. (2) becomes

$$\rho(\Omega) = 2 \int_{\Omega} f_r(\theta_i, \theta_s, \phi_s) \cos \theta_s d\xi_2 d\eta_2. \quad (6)$$

The change of variables  $\xi_3 = \sin \theta_s \cos \phi_s$  and  $\eta_3 = \sin \theta_s \sin \phi_s$  allows for uniform coverage of sampled points in *projected* solid angle,  $\cos \theta_s d\Omega$ ; in this case, Eq. (2) becomes

$$\rho(\Omega) = \int_{\Omega} f_r(\theta_i, \theta_s, \phi_s) d\xi_3 d\eta_3. \quad (7)$$

MIST Version 4.00 now uses Eq. (4) by default, but allows the user to use any of Eqs. (3) – (5), with a uniformly sampled grid or with Monte Carlo sampling.

Any numerical integration introduces errors from the finite sampling of the integrand. For two-dimensional integration with a fixed sampling grid and a complex boundary, these errors are compounded by the sampling at the edges. For this reason, integration is performed twice, once with the desired model, yielding  $\rho(\Omega)$ , and once with a perfectly reflecting diffuser (PRD), yielding  $\rho_{\text{PRD}}(\Omega)$ . The projected solid angle  $\Omega_{\text{proj}}$  is also calculated from the nominal boundary. Since  $\rho_{\text{PRD}}(\Omega) = \Omega_{\text{proj}} / \pi$ , the results of  $\rho(\Omega)$  are adjusted by the factor  $\Omega_{\text{proj}} / [\pi \rho_{\text{PRD}}(\Omega)]$ , where  $\rho_{\text{PRD}}(\Omega)$  is determined numerically using the same sampled points and  $\Omega_{\text{proj}}$  is determined from a line integral around irregular boundaries,

$$\Omega_{\text{proj}} = \int_{\Omega} d\xi_3 d\eta_3 = \oint_{\partial\Omega} (\eta_3 d\xi_3 - \xi_3 d\eta_3), \quad (8)$$

or, using an exact expression for circular boundaries.

Aside from the intrinsic accuracy of the particular model, the accuracy of the integration depends upon the values of the variables **SOLIDANGLE** and **MINSAMPLES**, any structure in the scattering within the integration solid angle, and the shape of the integration solid angle. The program chooses the number of sampled points by dividing the solid angle of a right circular cone that circumscribes the integration solid angle by the variable **SOLIDANGLE**, assuring that this value is above **MINSAMPLES**. It then samples directions on a square grid within this right circular cone. If the point is outside of the integration solid angle, it returns zero intensity for that direction. The results are adjusted by the ratio of the calculated projected solid angle (as determined by the sampled points) and the actual projected solid angle of the desired shape, as determined by a path integral around the perimeter.

If the user creates a variable **integrationmode**, the integration method can be set. The following table gives the permitted values and their meaning:

<b>integrationmode</b>	Interpretation	Normalization
1	Use Eq. (3), sampling $\xi_1$ and $\eta_1$ on a uniform grid	Normalize to PRD
2 (default)	Use Eq. (4), sampling $\xi_2$ and $\eta_2$ on a uniform grid	Normalize to PRD
3	Use Eq. (5), sampling $\xi_3$ and $\eta_3$ on a uniform grid	Normalize to PRD
4	Use Eq. (3), sampling $\xi_1$ and $\eta_1$ with uniform Monte Carlo sampling	Normalize to PRD
5	Use Eq. (4), sampling $\xi_2$ and $\eta_2$ with uniform Monte Carlo sampling	Normalize to PRD
6	Use Eq. (5), sampling $\xi_3$ and $\eta_3$ with uniform Monte Carlo sampling	Normalize to PRD
11	Use Eq. (3), sampling $\xi_1$ and $\eta_1$ on a	Not normalized

	uniform grid	
12	Use Eq. (4), sampling $\xi_2$ and $\eta_2$ on a uniform grid	Not normalized
13	Use Eq. (5), sampling $\xi_3$ and $\eta_3$ on a uniform grid	Not normalized
14	Use Eq. (3), sampling $\xi_1$ and $\eta_1$ with uniform Monte Carlo sampling	Not normalized
15	Use Eq. (4), sampling $\xi_2$ and $\eta_2$ with uniform Monte Carlo sampling	Not normalized
16	Use Eq. (5), sampling $\xi_3$ and $\eta_3$ with uniform Monte Carlo sampling	Not normalized

## 12. Examples and Other Notes

### 12.1 Specifying material properties

In all of the examples, complex material indices of refraction are specified by constant values. The SCATMECH library, however, allows the use of external files which contain the optical constants as functions of wavelength. Such a file should be a text file containing three tab-delimited columns, in which the first column represents the wavelength, the second column the index of refraction, and the third column the absorption coefficient. The optical properties of the substrate, for example, can then be specified by the following model parameter declaration

```
MODEL PARAMETERS
  $substrate = silicon.txt
```

The “Transfer directly as a string to model” box must be checked, or MIST will try to interpret “silicon.txt” as a numeric expression. The dollar sign (\$) in front of the parameter name indicates that the string will be sent directly to the model. In this manner, the VARIED PARAMETERS section statement

```
VARIED PARAMETERS
  FOR lambda FROM 0.2 TO 0.8 BY 0.02
```

will allow the reflectance to be calculated over a variety of wavelengths even though the optical properties of the substrate are wavelength dependent.

### 12.2 Calculating BRDF

MIST can be used to calculate BRDF by using a DIFFERENTIAL integral, then dividing the result by  $\cos(\theta_s)$ :

```
VARIED PARAMETERS
  FOR thetas FROM -89 TO 89 BY 1
INTEGRALS
  reflectance = DIFFERENTIAL (double click to view)
OUTPUTS
  BRDF = reflectance/cos(thetas*deg)
```

The example code `brdf.mist` can serve as a template for BRDF calculations.

### 12.3 Calculating Stokes Vector BRDF

Stokes vector BRDF may be calculated using four differential integrals, each with sensitivity to a different element:

```

VARIED PARAMETERS
├── FOR thetas FROM -89 TO 89 BY 1
├── INTEGRALS
│   ├── ref_I = DIFFERENTIAL (double click to view) ; Polarization sensitivity: [1,0,0,0]
│   ├── ref_Q = DIFFERENTIAL (double click to view) ; Polarization sensitivity: [0,1,0,0]
│   ├── ref_U = DIFFERENTIAL (double click to view) ; Polarization sensitivity: [0,0,1,0]
│   └── ref_V = DIFFERENTIAL (double click to view) ; Polarization sensitivity: [0,0,0,1]
├── OUTPUTS
│   ├── BRDF_I = ref_I/cos(thetas*deg)
│   ├── brdf_Q = ref_Q/ref_I
│   ├── brdf_U = ref_U/ref_I
│   └── brdf_V = ref_V/ref_I

```

Here, the second, third, and fourth elements are given normalized to the first. The example code `stokes_brdf.mist` can serve as a template for Stokes vector BRDF calculations.

## 12.4 Calculating Mueller Matrix BRDF

Mueller matrix measurements can be obtained by assigning the incident polarization and the polarization sensitivity for each integral:

```

VARIED PARAMETERS
├── FOR thetas FROM -89 TO 89 BY 1
├── INTEGRALS
│   ├── ref00 = DIFFERENTIAL (double click to view) ; Incident polarization = [1,0,0,0], Sensitivity = [1,0,0,0]
│   ├── ref01 = DIFFERENTIAL (double click to view) ; Incident polarization = [0,1,0,0], Sensitivity = [1,0,0,0]
│   ├── ref02 = DIFFERENTIAL (double click to view) ; Incident polarization = [0,0,1,0], Sensitivity = [1,0,0,0]
│   ├── ref03 = DIFFERENTIAL (double click to view) ; Incident polarization = [0,0,0,1], Sensitivity = [1,0,0,0]
│   ├── ref10 = DIFFERENTIAL (double click to view) ; Incident polarization = [1,0,0,0], Sensitivity = [0,1,0,0]
│   ├── ref11 = DIFFERENTIAL (double click to view) ; Incident polarization = [0,1,0,0], Sensitivity = [0,1,0,0]
│   ├── ref12 = DIFFERENTIAL (double click to view) ; Incident polarization = [0,0,1,0], Sensitivity = [0,1,0,0]
│   ├── ref13 = DIFFERENTIAL (double click to view) ; Incident polarization = [0,0,0,1], Sensitivity = [0,1,0,0]
│   ├── ref20 = DIFFERENTIAL (double click to view) ; Incident polarization = [1,0,0,0], Sensitivity = [0,0,1,0]
│   ├── ref21 = DIFFERENTIAL (double click to view) ; Incident polarization = [0,1,0,0], Sensitivity = [0,0,1,0]
│   ├── ref22 = DIFFERENTIAL (double click to view) ; Incident polarization = [0,0,1,0], Sensitivity = [0,0,1,0]
│   ├── ref23 = DIFFERENTIAL (double click to view) ; Incident polarization = [0,0,0,1], Sensitivity = [0,0,1,0]
│   ├── ref30 = DIFFERENTIAL (double click to view) ; Incident polarization = [1,0,0,0], Sensitivity = [0,0,0,1]
│   ├── ref31 = DIFFERENTIAL (double click to view) ; Incident polarization = [0,1,0,0], Sensitivity = [0,0,0,1]
│   ├── ref32 = DIFFERENTIAL (double click to view) ; Incident polarization = [0,0,1,0], Sensitivity = [0,0,0,1]
│   └── ref33 = DIFFERENTIAL (double click to view) ; Incident polarization = [0,0,0,1], Sensitivity = [0,0,0,1]
├── OUTPUTS
│   ├── BRDF00 = ref00/cos(thetas*deg)
│   ├── brdf01 = ref01/ref00
│   ├── brdf02 = ref02/ref00
│   ├── brdf03 = ref03/ref00
│   ├── brdf10 = ref10/ref00
│   ├── brdf11 = ref11/ref00
│   ├── brdf12 = ref12/ref00
│   ├── brdf13 = ref13/ref00
│   ├── brdf20 = ref20/ref00
│   ├── brdf21 = ref21/ref00
│   ├── brdf22 = ref22/ref00
│   ├── brdf23 = ref23/ref00
│   ├── brdf30 = ref30/ref00
│   ├── brdf31 = ref31/ref00
│   ├── brdf32 = ref32/ref00
│   └── brdf33 = ref33/ref00

```

The example code `mueller_brdf.mist` can serve as a template for Mueller matrix BRDF calculations.

## 12.5 Use of Local BRDF Models

Any of the SCATMECH models which inherit the class `Local_BRDF_Model` (such as `Bobbert_Vlieger_BRDF_Model` or `Rayleigh_Defect_BRDF_Model`) internally calculate the differential scattering cross-section (DSC) before converting it to BRDF. The class `Local_BRDF_Model` uses the parameter `density` to convert the DSC to BRDF. If one is interested in the integrated cross section for an isolated particle or defect, then one should set this parameter by

```

MODEL PARAMETERS
├── density = cos[incidentangle]

```

If, instead, one is interested in an effective reflectance, when the cross sectional beam area is `area`, then one should set this parameter by

```

MODEL PARAMETERS
├── density = cos[incidentangle]/area

```

## 12.6 Calculated Differential Scatter Cross Section for Isolated Particles

While MIST was not designed with isolated particles in mind, with a little insight and knowledge of the SCATMECH models, it can effectively be used for that. Any of the models inheriting `Local_BRDF_Model` will predict the scatter from the associated isolated particle, provided the substrate optical properties are set to `(1,0)`. Using normal incidence, one can evaluate scatter in the forward

scattering hemisphere by using **type=1** and in the backscattering hemisphere by using **type=0**. As described in the previous section, one would set **density=1**, and the differential reflectance will correspond to the differential scattering cross section. The example code in **particle.mist** illustrates this procedure.

## 12.7 Calculating Integrated Cross Section for Particles on Surfaces

One application of MIST is to develop response curves for light-scattering-based particle scanners. These devices have a relatively collimated beam incident on a sample and collect scattered light over a relatively large solid angle. The scattered signal is often attributed to the presence of particles, and the signal can be correlated with the size of the particle. It is often useful to estimate the signal that one would expect for different sphere sizes. The example code **scanner.mist** is an example of such a calibration, using the Bobbert-Vlieger theory for scattering by a sphere on a surface. The code integrates the integrated cross section between polar angles **narrow = 25°** and **wide = 70°** for particle diameters from 0.05  $\mu\text{m}$  to 0.500  $\mu\text{m}$ .

## 12.8 Calculating Diffraction Efficiency for a Grating

Version 6.00 of SCATMECH added Rigorous Coupled Wave (RCW) analysis of gratings to its suite of capabilities, through the classes **RCW\_Model** and **Grating**. Because gratings inherently have BRDFs that behave like delta functions, and because delta functions cannot be numerically integrated, the class **RCW\_BRDF\_Model** was created, which casts the theory into a form acceptable to MIST. In short, it spreads each of the diffraction peaks into a finite cone with a half-angle given by the parameter **alpha**. Version 7.00 of SCATMECH added RCW code for cross gratings, though the classes **CrossRCW\_Model** and **CrossGrating**. In a similar fashion, the class **CrossRCW\_BRDF\_Model** was created to allow its use by MIST.

To calculate diffraction efficiency using MIST, one can start by examining the example code **grating.mist**. In order to detect a specific diffraction order, we need to calculate at what angles it will occur:

```

thetai = 70 ; Incident Angle in degrees
inpol = 45 ; 0 for s, 90 for p
period = 1 ; Period of the grating
wavelength = 0.532 ; Wavelength of the light in vacuum
grating_rotation = 0 ; Rotation angle of grating in degrees
n = -1 ; Diffraction order
k.x = sind(thetai)+n*wavelength/period*cosd(grating_rotation) ; Diffraction equation for x
k.y = n*wavelength/period*sind(grating_rotation) ; Diffraction equation for y
thetas = asind(sqrt(k.x^2+k.y^2)) ; Polar angle of diffraction
phis = atan2d(k.y,k.x) ; Azimuthal angle of diffraction

```

It then calculates the diffraction efficiency by evaluating the effective BRDF in the diffracted direction (given by **thetas** and **phis**), correcting for the solid angle over which the light is spread:

```

INTEGRALS
I = DIFFERENTIAL (double click to view) ; Polarization sensitivity: (1,0,0,0)
Q = DIFFERENTIAL (double click to view) ; Polarization sensitivity: (0,1,0,0)
U = DIFFERENTIAL (double click to view) ; Polarization sensitivity: (0,0,1,0)
V = DIFFERENTIAL (double click to view) ; Polarization sensitivity: (0,0,0,1)
OUTPUTS
RI = I*pi*alpha^2
RQ = Q*pi*alpha^2
RU = U*pi*alpha^2
RV = V*pi*alpha^2

```

## 13. Legal Disclaimer

The MIST and SCATMECH software packages were developed at the National Institute of Standards and Technology by employees of the Federal Government in the course of their official duties. Pursuant to Title 17 Section 105 of the United States Code this software is not subject to copyright protection and is in the public domain. MIST and SCATMECH are experimental systems. NIST assumes no responsibility whatsoever for its use by other parties, and makes no guarantees, expressed or implied, about its quality, reliability, or any other characteristic. We would appreciate acknowledgment if the software is used. This software can be redistributed and/or modified freely provided that any derivative works bear some notice that they are derived from it, and any modified versions bear some notice that they have been modified.

## **14. Registering MIST**

Registration of the MIST program is voluntary and enables you to be notified when new versions are released. It also enables you to provide feedback that helps us improve the library and its documentation. To register, send an e-mail message to [thomas.germer@nist.gov](mailto:thomas.germer@nist.gov), indicating your interest in registering your copy of the software.

## **15. Version History**

### **15.1 Version 1.00**

Version 1.00, the original version of MIST, was released in June 2004.

### **15.2 Version 2.00**

Version 2.00 was released in July 2005 and represented a major upgrade. The program was changed to include a graphical user interface and was linked with SCATMECH version 5.00.

### **15.3 Version 2.10**

Version 2.10 was released in August 2005 and represents a minor bug fix to MIST and SCATMECH. The rotation of the polarization sensitivity over a finite solid angle was miscalculated in previous versions. This version was linked with SCATMECH version 5.01.

### **15.4 Version 2.20**

Version 2.20 was released in July 2006 and represents a minor bug fix to MIST and SCATMECH. Prefix negative signs in some expressions were not handled correctly. This version was linked with SCATMECH version 5.02.

### **15.5 Version 2.30**

Version 2.30 was released in August 2006 and represents a minor bug fix to MIST and SCATMECH. The BRDF sampling file capability was restored, and file writing was significantly sped up. This version was linked with SCATMECH version 5.03.

### **15.6 Version 3.00**

Version 3.00 was released in December 2007 and includes minor changes to MIST and compilation with a new version of SCATMECH. This version was linked with SCATMECH version 6.00. The main change to the MIST code is that it now includes the ability to rename the outputs.

### **15.7 Version 3.01**

Version 3.01 is a service update, incorporating a minor SCATMECH change. This version was linked with SCATMECH version 6.01.

### **15.8 Version 4.00**

Version 4.00 added the ability for each integral to have its own incident polarization.

Alternative methods for integration were added, and the default was changed to integrate more uniformly over solid angle.

The ANNULUS integral was added.

Copy and paste capabilities were improved. Entire sections can be copy and pasted. When the model is changed, the user has the option to maintain the assigned values of identically-named parameters.

The polarization sensitivity parameter of the integrals was changed from a dropdown list to a simple string variable.

This version was linked with SCATMECH version 7.00.