MBE 2010
Portal Track
Guideline for Participants

7 April 2010

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1   Introduction

This document specifies the rules and guidelines for executables that are submitted to the MBE 2010 Portal Track. There will be four main experiments in the MBE 2010 Portal Track: Face, Face NIR, Iris, and Fusion. The Face experiment will match face still images against high definition (HD) video sequences. Face NIR will match face still images against near infrared (NIR) video sequences. Iris will match iris still images against iris still images and iris still images against NIR video sequences. Fusion will match face and iris still images against HD and NIR video sequences. All experiments will allow for performance to be measured in 1-1 and 1-many matching. All executable submissions must be capable of 1-1 matching, 1-many matching is optional. All executable submissions will also have the option to include a quality measure in the algorithm.

Section 2 discusses the MBE 2010 Portal Track test environment. MBE 2010 Portal Track participants must ensure that their applications are compliant with these requirements. Instructions for creating executables, including naming convention, calling signatures and arguments, and packing applications, are described in Section 3. Format specifications for MBE 2010 Portal Track files, such as parameters files, signature set (*Sigset*) and similarity matrices, are described in Section 4. Section 5, answers Frequently Asked Questions. Topics not covered in this document should be directed to the MBE 2010 Liaison.

2   MBE 2010 Portal Track Test Environment

2.1   Computers Configuration

The intended hardware for the MBE 2010 Portal Track is Intel-based servers. All submitted executables will be transferred to the MBE 2010 Portal Track servers and executed using the directory structures and protocol described below. The MBE 2010 Portal Track computing environment puts the following requirements on your executable:

- A separate executable must be submitted for each experiment type.

- Executables must be able to be installed multiple times on (potentially) multiple servers.

- Executables must run on a stand-alone machine that does not have internet access during the MBE 2010 Portal Track evaluations. Please ensure that your executables can be installed and executed without access to the internet.

- All libraries (static or dynamic) used for the executable must be included in the application package. DO NOT ASSUME THAT ANY STATIC OR DYNAMIC LIBRARIES EXIST ON THE MBE 2010 PORTAL TRACK SYSTEMS. It is recommended that you verify that you are not using any non-standard libraries by wiping a system clean (i.e. reformatting the disk drive), reinstalling the operating system and then verifying that your executable runs with no additional libraries.

2.2   Operating Systems

The MBE 2010 Portal Track servers will have either the Windows XP Professional or the Linux operating system (OS). Both OS will be 32-bit variant. The targeted versions of the operating systems are Windows XP Professional with Service Pack 3 and Fedora 12.

2.3   Directory Structure of Application

Each MBE 2010 Portal Track participant must utilize the directory structure shown in Figure 1. This directory structure will be repeated for each installation of the executable. It is important that participants make no assumptions as to the disk drive or parent directory that this directory structure is installed in. Thus, participants should not assume that the top-level directory is on the *C:* drive or in */home/*.

The name of the top level directory must correspond to the name of the executable as specified in *Section 3.1.* All other directories will be below the top level directory. MBE 2010 Portal Track participants will not have access privileges to any directory outside of this directory hierarchy. For example, participants will NOT be able to write temporary files to *C:\temp*, */tmp/* or */usr/tmp*.

- Executables must be installed to the *executable_name/bin/* directory.

- All experiments (and thus executables) will be instantiated from the top level (*executable_name/*) directory. Thus, a typical calling syntax will be of the following form:

<p style="text-align:center">bin/executable_name <argument_list></p>

- All scripts, data, etc. that an executable needs should be stored in the *executable_name/bin/* directory structure.

- All libraries needed by executables should be installed in either the *executable_name/bin/* or the *executable_name/lib/* directory.

- After installation, both the *executable_name/bin/* and the *executable_name/lib/* directories will be made read and execute only. If a subdirectory structure is needed, then it should be created by the installation process. After the executable installation process is complete, the *executable_name/bin/* and *executable_name/lib/* directory structures cannot be modified.

- All required outputs (i.e. similarity matrices) generated during experiment execution will be written to the *executable_name/output* directory that is specified in the executable calling signature. Typically, this will be the *executable_name/output/* directory. However, participants should not hardcode the name of the output directory rather they should write their output to the file specified in the calling signature. Note, output files names may be specified as either absolution filenames (e.g. c:/MBE/MyExecutable/output/sim_matrix.mtx) or relative filenames (e.g. output/sim_matrix.mtx). Regardless of how it is specified, users will be given write permission to the *executable_name/output/* and/or appropriate directory.

- Partial and/or temporary results can be stored in the *executable_name/temp/* directory. Users will be will be given both read and write privileges to the *executable_name//temp/* directory given. It is also permissible for them to create and populate other subdirectories within the top-level *executable_name/temp/* directory. At the completion of an experimental run, the contents of the executable_name/temp/ directory structure maybe erased at the MBE 2010 evaluation team's discretion. Alternatively, the team may decide to reuse biometric samples during a sequence of experimental runs. To expedite processing, templates should be stored in the *executable_name/temp/* directory structure and reused where applicable. However, the code should be designed to handle cases were the templates are erased prior to the experiment. Partial results can also be stored in the *executable_name/temp/* directory. It is important to note that files output to the temporary directory may be deleted prior to subsequent runs of experiments.

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- Images will be stored outside of the directory structure shown in Figure 1. Users will be given either the absolute or the relative path to the images via the *image_directory* parameter (see Section 0).
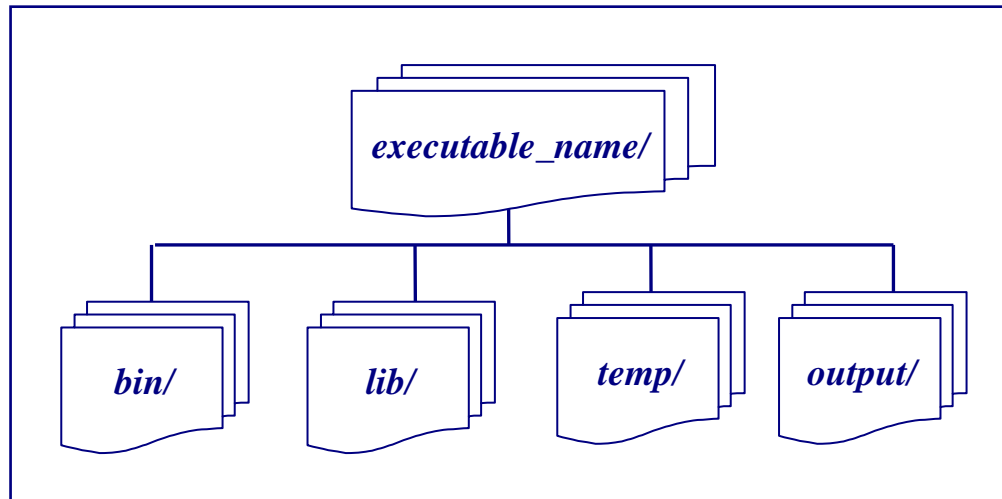
Figure 1 MBE 2010 Portal Track Directory Structure

3 Creating Executables

3.1 *1-1* versus *1-Many* Executables

MBE 2010 Portal Track will evaluate both *1-1* and *1-many* executables. *1-1* (or verification) executables must produce the same score when comparing sample *i* to sample *j* independent of the size and/or composition of the target and query sets. *1-many* (or identification) algorithms can normalize scores based on the composition of the target set. For *1-many* tests, we will ensure that each signature (subject) is only in the target set at most one time.

MBE 2010 Portal Track will enforce a set of independence requirements for *1-1* submittals. These requirements ensure that submitted *1-1* executables produce the same scores in batch mode that they produce when executed in a one-to-one mode. To this end, a set of validation tests will be used to confirm the independence of each *1-1* executable. Each submitted *1-1* executable must pass these tests to confirm that it satisfies the independence requirements.

1-1 executables are required for all submittals. *1-many* executables are optional. If a MBE 2010 Portal Track participant only submits a (validated) *1-1* executable, the executable will be used

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for both *1-1* and *1-many* experiments. However, *1-many* executables cannot be used for *1-1* experiments.

Please review the naming convention in the next section to properly denote the intended matching type of your submittal.

3.2   Robustness to Image Quality

Submitted executables must be able to process biometric samples (still images and video sequences) of varying quality without failure. More specifically, all executables must create a similarity score for all specified comparisons – even if the executable fails to create a template for the biometric sample. Incomplete similarity matrices will cause inaccurate accuracy scores to be calculated during the evaluation. It is recommended that a descriptive message be written to the log file when an executable has problems with a biometric sample.

3.3   Executable Naming Convention

This section specifies the naming conventions for MBE 2010 Portal Track submittals. The name of all MBE 2010 Portal Track executables are consistent in that they consist of a base name, followed by an underscore, followed by the experiment name, followed by an underscore, followed by the matching type, followed by an underscore, followed by the algorithm version. The typical naming syntax is:

basename_experimentType_matchingType_algorithmVersion

For example:

NIST_Face_1-N_1

Substrings	Allowed Values	Comment
basename	(to be emailed)	Unique name given to each participant via an e-mail at registration.
experimentType	Face FaceNIR Iris Fusion	Face: Face Visible Still to Visible Video FaceNIR: Face Visible Still to NIR Video Sequence Iris: Iris NIR Still to NIR Video Sequence Fusion: Face Visible Still + Iris NIR Still to

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Substrings	Allowed Values	Comment
		Visible Video + NIR Video Sequence
matchingType	1-1	1 to 1 (verification) or
	1-N	1 to Many (identification)
algorithmVersion	1 or 2	The first submittal of an algorithm should be labeled 1 the second 2 (if applicable).

Table 1 Executable Naming Convention

- The base name, experiment name, matching type and the algorithm version are separated by underscores.

- Base names will be unique to each participant. Participants will receive an e-mail from the MBE 2010 Liaison that specifies the base name that they should use with all of their executables and corresponding top-level directories.

- The experiment type corresponds to the modalities to which the algorithm is applicable. The MBE 2010 Portal Track will consist of four experiment types. Visible face still images compared to visible video will be denoted "Face". Visible face still images compared to NIR video sequences will be denoted "FaceNIR". Iris NIR stills compared to NIR video sequences will be denoted "Iris". The final experiment type considered the fusion of visible face still images and iris NIR stills compared to visible video paired with NIR video sequences. This experiment type is denoted "Fusion".

- The "Iris" experiment will include cross –sensor performance evaluations. Iris images will be acquired by a LG2200, a LG4000, and Iris on the Move (IoM) sensors. Here, iris NIR still images taken from the LG2200 will be compared to images from the LG4000 sensor.

- Participants can submit up to two executables representing different algorithms for a given experiment type/matching type combination. If a second executable is submitted, then an explanation should be provided that explains the differences between the executables (e.g. submittal 1 is optimized for high-resolution images while submittal 2 is optimized for low resolution/quality images). The version of multiple algorithms must be indicated in the executable name as described above. Please note that we recommend that participants label the algorithm version of their primary submittal as "_1".

3.3.1 1-1 Matching Executables

Experiment	Executable Name
Face	*basename*_Face_1-1_*algorithmVersion*
Face NIR	*basename*_FaceNIR_1-1_*algorithmVersion*
Iris	*basename*_Iris_1-1_*algorithmVersion*
Fusion	*basename*_Fusion_1-1_*algorithmVersion*

3.3.2 1-many Matching Executables

Experiment	Executable Name
Face	*basename*_Face_1-many_*algorithmVersion*
Face NIR	*basename*_FaceNIR_1-many_*algorithmVersion*
Iris	*basename*_Iris_1-many_*algorithmVersion*
Fusion	*basename*_Fusion_1-many_*algorithmVersion*

3.4   Executable Calling Signatures & Arguments

3.4.1 Calling Signatures

All MBE 2010 Portal Track executables will be called from the command line. The calling signature for MBE 2010 Portal Track executables is:

executableName   parameter_file   image_directory   target_sigset   query_sigset   similarity_file

3.4.2 Arguments

Table 2   describes the arguments used above in the calling signatures for the executables. It is important to note that all filenames will be relative to the working directory from which the program is instantiated.

Parameter name	Type	Format	Description
parameter_file	Input	XML	An XML document that specifies experiment description information, configuration parameters and the name of metadata files.
image_directory	Input	string	The relative path to the image (data) directory
target_sigset	Input/ Output	Sigset	The name of the target signature set. This document will contain a list of the target images. Quality scores for the target signatures can be written to a copy of this sigset in the output directory.
query_sigset	Input/ Output	Sigset	The name of the target signature set. This document will contain a list of the query images. Quality scores for the query signatures can be written to a copy of this sigset in the output directory.
similarity_file	Input/ Output	Similarity Matrix	The name of the similarity file. This will be the primary output data structure.

<div align="center">

Table 2 **Descriptions of Executable Arguments**

</div>

Remarks

- A file name may be specified with either an absolution path (e.g. C:\MBE\MyExecutable\output\similarity.mtx) or a relative path (e.g. output\similarity.mtx) from the current directory.

- Filenames and directory names will not contain whitespace characters. We will use an underscore or an uppercase letter to separate words in filenames.

- For algorithms that create quality information (scores), users can create a copy of the target_sigset and the query_sigset in the *output/* directory.

3.4.3 Image Directory

The image directory is a string that specifies the absolute or relative path to the directory that contains images. This string should be appended to each of the data files listed in the signature sets. For examples, if the parameter *image_directory* is '..\images\' and the sigset refers to an image named '2D_Visible\0023d1.mpg', you should process the image in the file '..\images\2D_Visible\0023d1.jpg'. Please note that the value of the *image_directory* argument will be consistent with the underlying operating system. Thus, '..\images\' and '../images/' would be provided for Windows and Linux operating system respectively.

3.5 Packaging & Installing Your Application

Executables can be submitted on a CD, DVD or USB stick. Executables should be packaged to ensure that the MBE 2010 evaluation team can easily and correctly install your submittal on the MBE 2010 Portal Track test systems. Two types of installation processes are acceptable. Your submittal must have either an installation program that performs all files extractions and configurations or your submittal must be installable via direct copy of the content of your disk (CD, DVD, or USB) to the corresponding directory on the target platforms. In the latter case, installation should not require any additional configuration steps. In any event, the MBE 2010 evaluation team will ensure that the directories have the appropriate access privileges as detailed in Section 2.3.

3.5.1 Installation Utility Method

You must provide an installation program (or script) if setup and configuration of your submittals requires steps other than simple copying of files and setting of directory permissions. In this case, each executable must have its own installation program (or script). The top level directories on the disk (CD, DVD, or USB) must correspond to the names of the executables as specified in Section 3.1 of this document. Inside of each top level directory, there should be a clearly named install executable file (e.g. install.bat, install.exe, install.sh, etc). Your install program must be executable directly from your disk (CD, DVD, or USB) (i.e. the install program copies all necessary files to a user supplied directory) or it must be executed after the entire content of the disk (CD, DVD, or USB) is copied to the target system. There should be a readme.txt file in each directory that specifies whether the install program should be run directly from the disk (CD, DVD, or USB) or whether the files should be copied to the home directory prior to running the install program. Upon execution, this install file should copy all necessary files (executables, libraries, parameter files) to the appropriate directory on the target platform. The install file should also perform any necessary configuration. Other than execution of the install file and setting of directory permissions, no additional setup steps should be necessary to run the executables on the target system.

3.5.2 Copy Directory Method

In this method, participants must place all files necessary to execute their submittals in a directory structure that mimics the directory structure specified in Section 2.3. Specifically, the top level directories on the disk (CD, DVD, or USB) must correspond to the names of the executables as specified in Section 3.1 of this document. There should be a *bin/* directory and a *lib/* directory directly beneath each top level directory. The content of the *bin/* and *lib/* directories will be copied to the corresponding directory on the target platform. No additional setup (other than setting of directory permissions) should be necessary to run the executables on the target system. There should also be a *readme.txt* in each directory that describes the contents of the directory.

4   MBE File Formats

All MBE 2010 Portal Track submittals will be required to process several standard file formats. The use of standard formats is necessary to enable systematic test and evaluation of the executables on a common set of images using validated test protocols.

4.1   Parameter Files

4.1.1 Overview

Parameter files are XML documents that provide experiment description information and configuration values for executables. To simplify their processing, configuration values will always be specified via the values of attributes in the parameter file.

4.1.2 Structure

While the precise content of the Parameter files has not been determined, the structure will be similar to the example shown below in **Figure 2**. In this example, elements are depicted blue (capitalized), attributes are red (lowercase) and attribute values are black (uppercase and in quotes). The *Experiment* element is the outer element. It has one attribute named *type* with value "1-many". The *Experiment* element has three child elements *Target, Query* and *LogFile*. Both the *Target* and *Query* elements have four attributes: spectrum, *pose*, *min_recordings* and *max_recordings*. The *LogFile* element have on attribute named *name*.

```
<?xml version="1.0"?>
<Experiment name="Face" type="1-many" >
 <Target spectrum="Visible" min_recordings="1" max_recordings="1" pose="Varied" />
 <Query spectrum="NIR" min_recordings="1" max_recordings="1" pose="Varied" />
 <LogFile name="1_to_many_logfile.txt" />
</Experiment>
```

Figure 2 Example of a Parameter File

The parameters and their allowed values are described below in Table 3.

Attribute	Type	Allowed Values	Comments
name (Experiment)	String		The text of this string provides a name for the experiment. This attribute is not needed by users.
type	String	1-1 1-many	The value of this attribute specifies whether the algorithm should perform *1-1* (verification) of *1-many* (identification) matching. Identification algorithms can optionally use score normalization. Note: *1-1* algorithms can be used on both *1-1* and *1-many* experiments while *1-many* algorithms can only be used on *1-many* experiments.
spectrum	enumerated	Visible NIR	The enumerated values of this attribute specify the electromagnetic spectrum of the images.
capture	enumerated	Controlled Uncontrolled	The enumerated values of this attribute specify whether the images were captured under controlled or uncontrolled conditions.
min_recordings	integer	>0	This integer specifies the minimum number of images that will be associated with a signature. This value will be 1 for all experiments in the portal track since all signatures will have precisely one image.
max_recordings	integer	>0	This integer specifies the maximum number of images that will be associated with a signature. This value will be 1 for all experiments in the portal track since all signatures will have precisely one image.

Attribute	Type	Allowed Values	Comments
pose	string	Frontal Nonfrontal Varied	Pose variation of the biometric signatures in the sig-set.
name (LogFile)	string	Filename	All logging information generated by the program should be written to a file with this name in the output directory.

<div align="center">**Table 3 Description of Elements a Parameter File**</div>

Please note that not all parameters will be provided for a given experiment.

4.1.3 Parsing

Due to their simple structure, Parameter files are readily parsed with any XML or XPath parser. Source implementation of C++ and Java classes for parsing Parameter files are provided in the BEE (Biometric Experimentation Environment) distribution. These classes, which use the XPath parser, are available to MBE 2010 Portal Track participants. Parsers written in other languages (e.g. C#) will not be made available. However, users should be able to easily create parsers in other languages using the C++ classes as a guide.

4.1.4 Writing

MBE 2010 Portal Track participants will not be required to write (output) Parameter files.

4.2 Log Files

Each executable should produce a log file. The name (and directory) for the log file will be provided in the parameter file via the *name* attribute of the *LogFile* element. The log file should provide sufficient information so that the MBE 2010 evaluation team (with limited support from the submitter) can easily and quickly troubleshoot submitted executables. Please note that the MBE 2010 evaluation team we will have limited time to troubleshoot individual submittals because of the large number of submittals and scheduling. This is it important that each submittal provide detailed debug information in the log file. For example, consider the case where the algorithm is unable to find the image specified in the signature set. A useful log entry will specify both the error type and the full name of the image (i.e. "*** Error – unable to open ..\MBE\images\IR-video\00056d123.ts ***").

4.3 Signature Sets (*Sigsets*)

4.3.1 Overview
Signature Sets (Sigsets) are the primary input structure for the MBE 2010 Portal Track. They will be used to specify the files in the Target and/or Query sets.

4.3.2 Structure
The Signature Set document will provide a list of images. XML will be used because its hierarchical structure facilitates a flexible representation of the relationships between subjects, sessions, sensors and files. Specifically, the Signature Set will consist of a list of *Signature* (subjects) element. Each *Signature* element will contain one or more *Presentation* child elements that correspond to capture sessions. Each *Presentation* element will contain one or more *Component* elements that correspond to a sensor. Lastly, each *Component* will have one or more *Data* elements that correspond to a file.

Figure 3 and Figure 4 illustrate the general Signature Set structure and the simple Signature Set structure, respectively, with elements depicted blue, attributes depicted red (lowercase) and attribute values depicted black (uppercase and in quotes).

The first example in Figure 3 has three **biometric-signature** elements and represents three subjects. The signatures are named "S5288", "S5156", and "S5304". Each signature has one **presentation**, and each **presentation** has four attributes: **name**, **modality**, **file-name**, **and file-format**. For instance, the signature "S5288" has a presentation labeled "S5288", same as the signature name. And the presentation consists of a visible video sample stored in the MPEG-TS file "vf/5288d1.ts".

```
<?xml version="1.0" encoding="UTF-8"?>
<biometric-signature-set  xmlns="http://www.bee-biometrics.org/schemas/sigset/0.1"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:schemaLocation="http://www.bee-biometrics.org/schemas/sigset/0.1
    http://www.bee-biometrics.org/schemas/sigset/0.1/simple.xsd">
  <biometric-signature name="S5288" >
    <presentation name="S5288" modality="Face" file-name="vf/5288d1.ts" file-format="MPEG-TS" />
  </biometric-signature>
  <biometric-signature name ="S5156" >
    <presentation name ="S5156" modality="Face" file-name="vf/5156d3.ts" file-format="MPEG-TS"/>
  </biometric-signature>
  <biometric-signature name ="S5304" >
    <presentation name ="S5304" modality="Face" file-name="vf/5304d7.ts" file-format="MPEG-TS"/>
  </biometric-signature>
</biometric-signature-set>
```

Figure 3 Example of a Simple Signature Set

The second example in Figure 4 has two **complex-biometric-signature** elements and thus represents two subjects. The **complex-biometric-signatures** are named "**nd1S04327**" and "**nd1S04385**". Both **complex-biometric-signatures** consist of a single **complex-presentation** that represents a single visit (session) of the subject. The **complex-presentations** have the same label as the parent **complex-biometric-signature**. (Note: While **complex-presentations** grouped under a single **complex-biometric-signature** are guaranteed to correspond to the same subject, separate **complex-biometric-signatures** can also correspond to the same subject.) Both **complex-presentations** have two **presentation-component**s (separate sensors). The **presentation-components** are labeled **"Face_HD"** and **"Face_NIR"**. The **presentation-components** consists of a single HD face video sample stored in the MPEG-TS file format and a NIR face video stored in the AVI file format. The files in **complex-presentation** **"nd1S04327"**are named **"FaceVisibleVideo/04327v1134.ts"** and **"FaceNIRVideo/04327v1133.avi"** respectively.

```xml
<?xml version="1.0" encoding="UTF-8"?>
<biometric-signature-set  xmlns="http://www.bee-biometrics.org/schemas/sigset/0.1"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:schemaLocation="http://www.bee-biometrics.org/schemas/sigset/0.1
    http://www.bee-biometrics.org/schemas/sigset/0.1/general.xsd">
  <complex-biometric-signature name="nd1S04327" >
   <complex-presentation name="nd1S04327" modality="Face" >
    <presentation-component name="Face_HD" >
     <data file-name="FaceVisibleVideo/04327v1134.ts" file-format="MPEG2-TS" />
    </presentation-component>
   </complex-presentation>
   <complex-presentation name="nd1S04327" modality="Face_NIR" >
    <presentation-component name="Face_NIR" >
     <data file-name="FaceNIRVideo/04327v1133.avi" file-format="avi" />
    </presentation-component>
   </complex-presentation>
  </complex-biometric-signature>
  <complex-biometric-signature name="nd1S04385" >
   <complex-presentation name="nd1S04385" modality="Face" >
    <presentation-component name="Face_HD" >
     <data file-name="FaceVisibleVideo/04385v1302.ts" file-format="MPEG2-TS" />
    </presentation-component>
   </complex-presentation>
   <complex-presentation name="nd1S04385" modality="Face_NIR" >
    <presentation-component name="Face_NIR" >
     <data file-name="FaceNIRVideo/04385v1299.avi" file-format="avi" />
    </presentation-component>
   </complex-presentation>
  </complex-biometric-signature>
 </biometric-signature-set>
```

Figure 4 Example of a Complex Signature Set

4.3.3 Parsing

Signature sets are difficult to parse due to their rich hierarchical structure. Fortunately, C++ and

Java classes for parsing Similarity Matrices are provided in the BEE (Biometric Experimentation

Environment) distribution. These classes are available to MBE 2010 Portal Track participants.

We also provide examples for the use of these parsers. It is important to note that some of the

BEE examples assume the simplified Sigset structure in which each Signature has precisely one

Presentation, each Presentation has precisely one Component and each Component has precisely

one Data member. Care should be used which using this simplified version of the Similarity

structure. Parsers are not provided for other languages (e.g. C#). However, users should be able

to create a Java wrapper that parses the Signature Set and passed the appropriate data structures to this executables.

4.3.4 Writing

Like most XML documents, Signature sets are easier to write than they are to parse. Thus, users can either use the C++ and Java classes supplied in BEE to create Signature Sets or output them directly. We recommend that the supplied classes be used because they have been rigorously tested and can easily be made compliant with changes in the schema for Signature Sets.

4.4 Similarity Matrices

4.4.1 Overview

Similarity Matrices are the primary output structures of recognition algorithms in MBE 2010 Portal Track. They consist of a header that specified the type and dimension of the contained data and the $N \times M$ scores from the biometric algorithm. It is important to note that executables must create a similarity score for all specified comparisons – even if the executable fails to create a template for the biometric sample.

4.4.2 Structure

The Similarity Matrix is similar to many image files in that it contains a textual header followed by a binary representation of an $N \times M$ data structure. The structure of the header is depicted below in Figure 5. Here, we see that the header consist of four lines. The first line must contain the either the character 'D' (for distance matrix) or the character 'S' (similarity matrix) followed by the character '2'. The second and third lines should contain the name of the target and query signature set respectively. The target and query name should be the same as they were specified in the call to the matching executable. The fourth line should contain the characters 'MF', a space, the number of signatures in the query sigset, a space, the number of signatures in the target sigset, a space and the integer 0x12345678 written in binary format. All four lines in the header should be terminated by a carriage return (CR) character. Table 4 describes the elements in the similarity header.

```
D2
BEE_DIST/signature_sets/MBE_Exp_1.0_Target.xml
BEE_DIST/signature_sets/MBE_Exp_1.0_Query.xml
MF 1425 1425 xV4
```

Figure 5 Example of the Similarity Matrix Header

Name	Format	Separator	Comments
Storage Type	Character 'S' or 'D'	none	Specified similarity scores, 'S', or distance measures 'D'.
Version	The character '2'	CR[1]	The value '2' corresponds to the version of similarity matrix.
Target name	string	CR (0x0d)	This string should be the same as the name of the target sigset provided to the matching algorithm.
Query name	string	CR (0x0d)	This string should be the same as the name of the query sigset provided to the matching algorithm.
Format	The characters 'MF'	space (0x20)	The values correspond to a matrix, 'M', containing float, 'F', values.
Rows	integer	Space (0x20)	The number of signatures in the query set.
Cols	integer	Space (0x20)	The number of signatures in the target set.
Magic number	0x12345678 (4-bytes binary)	CR (0x0d)	This binary value is used to check for Endian (byte swapping).

Table 4 Description of Elements in the Similarity Header

The scores are written to the file immediately following the header. These should be $N \times M$ 4-byte binary floating point values. Here, N is the number of signatures in the query set and M is the number of signatures in the target set. Thus, the first M values correspond to comparing the first query signature (sample) to each of the target signatures (samples). There must **not** be any white space characters separating scores (or lines) in the body of the Similarity Matrix.

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[1] CR: the ASCII carriage return character (0x0d).

4.4.3 Parsing

C++ and Java classes for parsing Similarity Matrices are provided in the BEE (Biometric Experimentation Environment) distribution. These classes will are available to MBE 2010 Portal Track participants. Parsers written in other languages (e.g. C#) will not be made available. However, users should be able to easily create parsers in other language using the C++ classes as guides.

4.4.4 Writing

C++ and Java classes for writing Similarity Matrices are provided in the BEE (Biometric Experimentation Environment) distribution. These classes are available to MBE 2010 Portal Track participants. Parsers written in other languages (e.g. C#) will not be made available. However, users should be able to easily write Similarity Matrices in other language using the C++ classes as guides.

4.5 Imagery

4.5.1 Overview

MBE 2010 Portal Track participants will be required to process both still frame images and video sequences. Still frame images will be in the JPEG (.jpg), the JPEG 2000 (.jp2) or the Tiff (.tiff) format. Video sequences will be in the AVI (.avi), or the MPEG-TS (.ts) format.

4.5.2 Structure

The structure of JPEG, JPEG 2000, Tiff, MPEG-TS, and AVI files are published as ISO standard and/or are readily available on the internet. Additionally, information reading these formats is available in ISO specifications.

4.5.3 Parsing

Libraries containing parsers for JPEG, JPEG 2000, Tiff, AVI and the MPEG formats are readily available as freeware, shareware and commercially.

4.5.4 Writing

MBE 2010 Portal Track participants will not be required to write (output) imagery and therefore are not required to create images in the JPEG, JPEG 2000, Tiff, AVI, or MPEG formats.

5 FAQs

Q1: Should I assume that my executable will run in the *bin/* directory?

A1: No. The executable will be run from the top-level directory of our package. The name of the executable will be specified relative to this directory.

Q2: Which libraries (.dll and .lib) should be included in the submission?

A2: All libraries (static and dynamic) that are not part of the underlying operating system and are necessary to run your application must be included in the submission

Q3. How can I ensure my executable is not dependent on any libraries installed on my machine that will not be on the MBE 2010 Portal Track systems?

A3. We recommend that prior to submitting your application, you wipe a system clean (e.g. reformat the HDD), install the OS and then install your application and execute it.

Q4: In which directory should DLLs be placed?

A4: DLLs should be placed in either the bin/ directory or in the *lib/.*

Q5: Will the MBE 2010 Portal Track filenames and/or directory names contain blanks (or whitespace)?

A5: No. We will not utilize any filenames or directory names that contain whitespace characters. Our naming convention uses an underscore or an uppercase character to separate words within filenames.

Q6: Can I submit the same algorithm for both *1-1* and *1-many* tests.

A6: Yes. While an algorithm that parses 1-1 tests is must be submitted for the experiment, submittal of an algorithm for processing 1-many tests is optional. Refer to Table 1 for details as to how submittal will be used.

Q7: What kind of information should be written to the log file?

A7: A log file output should include information that will help to determine the cause of a potential interruption of execution. It should be informational enough to indicate why and where the executable stopped. For example, if a file was not found, a good log output will state: "*** ERROR – the image file filename_including full_path was not found. ***"

Q8. What are some of the common reasons that executable failed during previous evaluations?

Q8. Common causes of execution interruption include:

- *Missing libraries necessary to run the executable;*
- *The executable could not locate the specified file;*
- *The executable could not open the specified file;*
- *The executable locks up, crashes or throws an exception while processing a sample (i.e. image or video);*
- *An executable could not create a template from the specified file (e.g. insufficient quality);*
- *The executable has a memory leak and used all available system memory;*
- *The executable tried to access a directory that it does not have read/write permission;*
- *The executable does not accept the specified arguments;*
- *Input or output directories or filenames are hardcoded in the executable and thus are not read properly from the command line.*

Q9: What should my executable do if it cannot create a template for a given biometric sample (image)?

A9: Submitted executables must create a similarity score for all specified comparisons – even if the executable fails to create a template for the biometric sample. Incomplete similarity matrices will cause inaccurate accuracy scores to be calculated during the evaluation.

Q10: Can I submit multiple algorithms for a given experiment type?

A10: Yes. In addition to being able to submit both a 1-1 and a 1-many algorithm, participants can submit up to two executable for a given experiment type/matching type combination. For

instance, participants can submit two "Face_1-1" executables and a "Face_1-many" executable. If a second executable is submitted for an experiment type/matching type combination, then an explanation must to be provided describing the reason for multiple executables (e.g. submittal 1 is optimized for high-resolution images while submittal 2 is optimized for low resolution/quality images). The version of multiple algorithms must be indicated in the executable name as described in Section 3.1 (e.g. the "Face_1-1" executables should be labeled "Face_1-1_1"and "Face_1-1_2" executables respectively). We recommend that participants label the algorithm version of their primary submittal as "_1".

Q11: Do I have to participate in all five of the experiment types?
A11: No, you can submit algorithms to any one or more of the five experiment types.

Q12: What are the experiment types for the portal evaluations?
A12: The MBE 2010 Portal Track will consist of four experiment types. Visible face still images compared to visible video will be denoted "Face". Visible face still images compared to NIR video sequences will be denoted "FaceNIR". Iris NIR stills compared to NIR Video will be denoted "Iris". The final experiment type considered the fusion of visible face still images and iris NIR stills compared to visible video paired with NIR video sequences. This experiment type is denoted "Fusion". Raw video sequences in the MBE 2010 Portal Track will be in the JPEG, JPEG 2000, AVI, DV or MPEG-TS file formats.

Q13: Does the Portal Track use the complex signature set structure shown in Figure 4? If not, why was it included?
A13: Yes, the signature sets for the "Fusion" experiments will contain two presentations per signature. Specifically, each target signatures will have both a face visible still image and an iris NIR stillwhile each query signature will have a visible video and a NIR video.

Q14: What format will the input (raw) images be in?
A14: MBE 2010 Portal Track participants will be required to process both still frame images and video sequences. Face still frame images will be in either the JPEG (.jpg) or the JPEG 2000

(.jp2) format. Iris still frame images will be in the JPEG (.jpg) or the TIFF (.tiff) format. Visible video will be in the MPEG-TS (.ts) format. NIR video will be in the AVI (.avi) format.

Q15: Can I submit a draft version of my executable prior to the final submittal date so that the MBE 2010 evaluation team can confirm compliance with the MBE 2010 Portal Track guidelines?

A15: Yes. MBE 2010 Portal Track participants may submit their executables two weeks prior the final due day for compliance verification. The sole purpose of pre-submittal is to ensure that the executables followed the rules in this document. The MBE 2010 Portal Track evaluations will be performed on the final submittals - not the pre-submittal.

Q16: What sensor will be used to capture the iris NIR still images?

A16: The iris NIR still images will be from either the LG2200 or the LG4000 sensor. Some experiments will involve cross-sensor performance evaluation where the target signatures are from one sensor and the query signatures are from the other sensor.

Q17: What is the difference between Face Visible Video and the Visible Video?

A17: The face visible video are still or video images that are focused on the subject's face. The visible video usually contains a larger view of the subject's body that is not necessarily centered on the subject's face.

Q18: The Video Track Application and the Portal Track Application document each specify a deadline for the receipt of the signed application and of the executables. Do those deadlines really refer to the arrival at NIST or do they refer to the shipping date?

A18: The 31 March 2010 for the Video Track and 12 April 2010 date for the Portal Track refer to the shipping date. Please e-mail mbe2010@nist.gov that you have shipped a submission. This will allow us to confirm receipt of the submission or let you know that we have not received your submission.

Q19: Clause 5.7 of the Video Track Application and the Portal Track Application document states that "Participants acknowledge that any test details and/or modifications that are provided

in the MBE 2010 website supersede the information on this application." Since the website is subject to change, does the clause refer to a certain state of it? Otherwise, could you please elaborate on what kind of additions and modifications this clause is meant to cover? In the Still Face Track Application document, the reference to the website in the corresponding clause was removed. Will you modify the Video Track Application and the Portal Track Application documents in an analogous way?

A19: The Video Track Application and the Portal Track Application will be modified to reference. The document will now reference the MBE 2010 Video Guidelines for Participants version on Monday 29 March 2010 or MBE 2010 Portal Guidelines for Participants version on Wednesday 7 April 2010.

Q20: Will always at most one face be visible in every still image and every frame of every video?

A20: Since the video sequences were acquired in atria and outdoors, there are video sequences with people in the background. The person to be recognized is the largest and most prominent person in the video sequence. All video sequences in the MBE 2010 Video Track are being visually inspected. Since the still images with uncontrolled illumination were acquired in atria and outdoors, there are still images with people in the background. The person to be recognized is the largest and most prominent person in the still image.

Q21: Could you please provide some information on the appearance variations to be expected in the still images and the videos to be used in the Video Track and the Portal Track? Are the images and videos from the MBGC Video Challenges and Portal Challenges representative for those to be used in the Video Track and Portal Track, respectively?

A21: The still images and video sequences in the MBGC Video and Portal Tracks are representative of those in the MBE 2010 Video and Portal Tracks. The video sequences in the MBGC Video Track were acquired in high definition and standard definition. In addition to this mode, the MBE 2010 Video Track will contain video sequences acquired with the commercial hand held video camera; e.g., the Flip or KODAK Digital Video Cameras.

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Q22: What will be the range of face sizes (e.g. in terms of distance between the eye centers) in the still images and the videos to be used in the Video Track and Portal Track, both absolute (in pixels) and relative to the image/video dimensions?

A22: The still images and video sequences in the MBGC Video and Portal Tracks are representative of those in the MBE 2010 Video and Portal Tracks. The video sequences in the MBGC Video Track were acquired in high definition and standard definition. In addition to this mode, the MBE 2010 Video Track will contain video sequences acquired with the commercial hand held video camera; e.g.., the Flip or KODAK Digital Video Cameras.

Q23: Will a signature set refer to data of the same kind only, i.e. only to videos or only to still images, or can both kinds of data occur in an individual signature set?

A23: Signature sets will only consist only of still images or consist only of video sequences. Signature sets will not contain a mixture of still images and video sequences.

Q24: In a subset of the MBGC still images and videos, the face was not upright but rotated by 90 degrees. Will such images and videos also occur in the Video Track and/or Portal Track? If yes, will this property be revealed somehow, e.g. in the signature set?

A24: The experiment parameter file contains a pose attribute that can talk values frontal, non-frontal, and varied. For video, frontal means that the sequences contain frames with frontal faces; e.g., walking sequences from the MBGC Video Track. For video, non-frontal means that the sequences do not contain frames with frontal faces; e.g., activity sequences from the MBGC Video Track. The MBE 2010 Video Track, signatures sets will either be frontal or non-frontal. In the Video Track, all video sequences will be in the standard orientation. In the portal track, all HD video sequences will be rotated by 90 degrees as in the MBGC Portal Track.

Q25: A subset of the MBGC videos were encoded with an aspect ratio that was different from the true one. Will such videos also occur in the Video Track and/or Portal Track? If yes, will this property be revealed somehow, e.g. by providing the true aspect ratio in the signature set?

A25: The encoding of the aspects ratios in the MBGC video is representative of the aspect ratio encoding in the MBE 2010 videos. The state of the encoding will not be provided to participants.

Q26: What are the exact strings that can occur as values for the attribute "file-format" in the signature sets?

A26: The "file-format" attribute may have values "avi", "jpeg", "jpeg2000", "mpeg4", "MPEG-TS", "MPEG2-TS", or "tiff".

Q27: What video compression formats will be used within the container formats AVI and MPEG-TS? Will they be the same as in the MBGC?

A27: The avi files will have either the Y800 or the FFMpeg MPEG-4 codec. The MPEG-TS will have the MPEG-1 codec and the MPEG2-TS files will have the MPEG-2 codec. These are the same codec used in the MBGC.

Q28: Section 3.3.2 of the Portal Track guideline document contains a table showing the structure of the names for the 1-many matching executables. The name templates in that table contain the substring "1-many". Can we assume that this is an error and that the substring should actually read "1-N", as specified in Table 1?

A28: Table 1 is correct. The name of 1-many executables will contain the substring "1-N".

Q29: According to Table 2 and the remarks in section 3.4.2 of the Portal Track guideline document, executables may write a copy of the input signature sets, with quality scores included, to the directory "output". What filenames should be used for those copies? How should the quality scores be recorded in the signature sets?

A29: The copy of the signature sets containing quality information should have the string "_qual" appended to the basename of the of the original sigset name. For example, if the name of the original sigset is "my_xml/original_sigset.xml" the quality sigset should be named "my_xml/original_sigset_qual.xml".

Q30: How should the quality scores be added to the signature sets? Maybe by using some special signature attribute?

A30: Please follow the quality signature set formed used in ICE 2006.

Q31: Table 2 in the guideline document specify "Input/Output" as type of the parameter similarity_file. Can we assume that this is an error and that the type should actually be "Output"?
A31: The similarity file is an Output parameter.

Q32: Table 3 in the guideline document lists the attribute "capture", but it does not occur in the parameter file example in Figure 2. Will that attribute be included in the actual parameter files used for the MBE?
A32: No. The capture attribute will not be used.

Q33: What is the maximal amount of virtual memory that can be occupied by a process running an executable?
A33: The virtual address space of applications is limited to 2 GB.

Q34: What is the maximal number of frames per video? In the 1-many experiments, what is the maximal total number of frames in a gallery of videos?
A34: The video sequences in the MBGC Video Track are representative of the length of the video sequences in the MBE 2010 Video Track. The majority of the video will contain less than 1000 frames.

Q35: Will the faces in the still images in the Video and Portal tracks be in portrait or landscape mode?
A35: The faces in the still images could be in either portrait or landscape mode. The orientation for portrait and landscape mode will be the same as in the MBGC.

Q36: MBE2010 Still Face Track specified what machines will be used for testing the submitted executables/libraries. However, no such information is available for the Portal Track (the only available information is that 32bit OS will be used). Using several threads for computation can speed up evaluation several times. Can our executable use all available server resources for performing its task? In that case it would be good to know the limit of RAM, HDD space, number of logical processors, etc.
A36: Only one executable will be run at a time on the workstation. Therefore, each executable will have access to all systems resources within the constraints of the operating system. The workstations will have a single logical processor and 4GB of physical memory but the OS will limit each executable to 2GB. We expect that the amount of HDD required for an executable (other than the space required by the output data types) will be no more than a few times the size

of a single input image sample. Please let us know if your executable required more HDD space and why.

Q37: Please clarify which image/video formats will be used in the experiments. Section 4.5.1 specifies that only JPEG (.jpg), JPEG 2000 (.jp2), Tiff (.tiff), AVI (.avi), and MPEG-TS (.ts) formats will be used. However, FAQ A12 adds DV video format.
A37: While the DV format was used in MBGC, it will not be used in the MBE.

Q38: Do you think it is safe to provide "biometric-signature/presentation name", which refer to person's unique identifier, in sigsets?
A38: The biometric-signature/presentation names will be randomized in the signature sets used for the tests. Specifically, two images of the same person will not have the same id.

Q39: MBE2010 Still Face Track specified what machines will be used for testing the submitted executables/libraries. However, no such information is available for the Portal Track (the only available information is that 32bit OS will be used). Using several threads for computation can speed up evaluation several times. Can our executable use all available server resources for performing its task? In that case it would be good to know the limit of RAM, HDD space, number of logical processors, etc.
A39: Only one executable will be run at a time on the workstation. Therefore, each executable will have access to all systems resources within the constraints of the operating system. The workstations will have a single logical processor and 4GB of physical memory but the OS will limit each executable to 2GB. We expect that the amount of HDD required for an executable (other than the space required by the output data types) will be no more than a few times the size of a single input image sample. Please let us know if your executable required more HDD space and why.

Q40: In section 3.3 of guidelines it is said: "The "Iris" experiment will include cross–sensor performance evaluations. Iris images will be acquired by a LG2200, a LG4000, and Iris on the Move (IoM) sensors. Here,

iris NIR still images taken from the LG2200 will be compared to images from the LG4000 sensor." Does it mean that images from IoM sensor will not be used in experiments for matching irises?

A40: In the MBE 2010 Portal Track, images from the following sensors will be matched: LG2200 to LG2200, LG4000 to LG4000, LG2200 to LG4000, LG4000 to LG2200, LG2200 to IoM NIR, and LG4000 to IoM NIR.

Q41: Does the Face NIR (NIR video sequences) actually refer to video sequences from IoM sensor?

A41: Yes.

Q42: Please clarify which image/video formats will be used in the experiments. Section 4.5.1 specifies that only JPEG (.jpg), JPEG 2000 (.jp2), Tiff (.tiff), AVI (.avi), and MPEG-TS (.ts) formats will be used. However, FAQ A12 adds DV video format.

A42: While the DV format was used in MBGC, it will not be used in the MBE.

Q43: Please clarify whether the following is correct:

Face experiment:

 Target sigset will contain JPEG or JPEG2000 images.

 Query sigset will contain MPEG-TS videos.

FaceNIR experiment:

 Target sigset will contain JPEG or JPEG2000 images.

 Query sigset will contain AVI videos (captured with IoM sensor).

Iris experiment:

 Target sigset will contain JPEG or TIFF images.

 Query sigset will contain AVI videos (captured with IoM sensor).

Fusion experiment:

 Target sigset will combine simple presentations of target sigsets

from Face and Iris experiments into complex presentations.

 Query sigset will combine simple presentations of query sigsets

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from Face and Iris experiments into complex presentations.

A43: Yes.

Q44: In section 3.3 of guidelines it is said: "iris NIR still images

taken from the LG2200 will be compared to images from the LG4000

sensor". But there is no such experiment where iris images are compared

to iris images.

A44: All the above cases fall into the iris matching experiments of the MBE 2010 Portal Track,

see section 2.4.3 of the MBE 2010 Portal Track Application.

Q45: The MBGC contained an experiment that matched video from an LG2200. Will the MBE

2010 Portal Track include experiments use video from the LG2200?

A45: The MBE 2010 Portal Track will not use video sequences acquired by the LG2200.

Q46: In the MBE 2010 Portal Track, what is maximum number of biometric recordings

referenced in a signature set?

A46: In the MBE 2010 Portal Track, the maximum number of biometric recordings referenced in

a signature set is 5,000. Please note, a signature set contains a list of biometric sample. A

biometric sample in turn consists of a set of biometric recordings, where a recording could be

still images or video sequences. Examples: 1) when matching a single still face image, each

biometric sample consists of one recordings; 2) in a fusion example a biometric sample could

consists of two recordings; e.g., a HD video recording and a NIR video recording; 3) in a video

matching experiment, each biometric sample could consist of one video recording; and 4) in a

matching experiment with multiple still images per person, each biometric sample will consist of

multiple recordings where each recording is a still image.