NIST Workshop on Cement Materials Characterization, Oct. 30 - Nov. 1, 2018

#### X-Ray Powder Diffraction and Rietveld Analysis with Applications to Cementitious Materials – II











# Optimizing Data Collection, and Sources of Error





#### Measurement considerations

### Recall: Four parameters of special interest in an XRD Pattern

- Position of the diffraction peaks
- Resolution of diffraction peaks
- Peak intensities
- Intensity distribution as a function of 2θ angle



This requires accurate representation of the inherent scattering from the crystal lattices of all crystallites and phases present.





#### Measurement considerations

What is the optimum instrument configuration?

- choice of x-ray tube
- incident and diffracted beam optics
- low- or high-resolution

Collect data appropriate to the task at hand:

- qualitative or quantitative phase analysis
- unit cell determination / indexing
- solution of an unknown crystal structure
- refinement of a partially known structure





### Sources of Error

- Measurement-dependent:
  - Resolution / step size
  - Counting statistics
- Specimen-dependent:
  - Particle-size and statistics
  - Preferred orientation
  - Absorption effects
  - Sample displacement
  - Phase composition (noncrystalline present?)
- Instrument-dependent
- Sample preparation (Paul S.)





#### Measurement considerations

What angular range must be covered?

✓ most intense lines of all phases should be measured

What step size must be used?

✓ step size  $\approx$  FWHM / 5 (minimum)

What count time should be used?

✓ ~10000+ counts in the main peak(s)





#### **Measurement considerations**

### Data Collection: Step size example



Peak is 0.167° (~0.2°) FWHM

- @ 0.02° step-1 = 10 steps across peak (above FWHM)
- @ 0.03° step-1 = 7 steps across peak (above FWHM)
- @ 0.04° step-1 = 5 steps across peak (above FWHM)





#### **Measurement considerations**

### Data Collection: Step size example (FWHM = $0.167^{\circ}2\theta$ )



@ 0.04° step<sup>-1</sup> = 4 steps across
peak (above FWHM)

0.015° step<sup>-1</sup> = 10 steps across peak (above FWHM)

### Which is better????





#### Measurement considerations

### **Counting Statistics**

The magnitude of statistical counting error depends only on the *total number of counts* received at the detector.

	<u>Desired σ(%)</u>	<u>N Required</u>
Std. Dev. = $\sqrt{Counts}$	0.2	250,000
	0.4	62,500
	0.6	27,790
$F_{x}$ 1: Poak intensity - 100 counts	0.8	15,625
LX T. Feak intensity = 100 counts	1.0	10,000
$\sqrt{100} = 10 \implies$ Std. Err. = 10 / 100 = 10%	1.5	4,444
	2.0	2,500
	3.0	1,111
Ex 2: Peak intensity = 10000 counts	4.0	625
	5.0	400
$\sqrt{10000} = 100 \implies$ Std. Err. = 100 / 10000 = 1%	)	





#### Measurement considerations

#### Sample length and thickness requirements

The sample must be equal to or longer than the spread of the incident beam at the lowest diffraction angle used.

 Make sure that you never over-irradiate the sample at low 2Theta angles...



... it will give a very high background!





#### Measurement considerations

#### Sample length and thickness requirements

The sample must be equal to or longer than the spread of the incident beam at the lowest diffraction angle used.



### Therefore, select the proper fixed divergence slit





#### **Measurement considerations**

#### Divergence slits and peak intensities







### Measurement considerations

Sample illuminated length as a function of divergence slit and start angle (240 mm radius goniometer)



5





Start angle, °20

10

8

9





2

3

### Sources of Error

- Measurement-dependent:
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#### **Specimen-dependent considerations**

#### Particle-size, particle statistics, and relative intensities

Intensity Measurements on Different Size Fractions of <325-Mesh Quartz Powder (after Klug and Alexander [1974], p. 366)

Specimen No.	15-50μ Fraction	5-50μ Fraction	5- 15μ Fraction	<5µ Fraction
1	7.612	8.688	10.841	11.055
2	8.373	9.040	11.336	11.040
3	8.255	10.232	11.046	11.386
4	9.333	9.333	11.597	11.212
5	4.823	8.530	11.541	11.460
6	11.123	8.617	11.336	11.260
7	11.051	11.598	11.686	11.241
8	5.773	7.818	11.288	11.428
9	8.527	8.021	11.126	11.406
10	10.255	10.190	10.878	11.444
Mean area	8.513	9.227	11.268	11.293
Mean deviation	1.545	0.929	0.236	0.132
Mean % deviation	18.2	10.1	2.1	1.2

National Institute of Standards and Technology U.S. Department of Commerce Large particle size (>50µ) can lead to *poor particle statistics* and irreproducible *relative* intensities.



Reproducible intensities from pure quartz powders have been shown to be obtainable with Cu-K $\alpha$  radiation only when size fractions <15 $\mu$  were used.



### **Specimen-dependent considerations**

### Particle-size, particle statistics, and relative intensities

Only with sufficiently small particle-size will the theoretical relative intensity distribution of all diffraction lines from each mineral be present.

 With only large particles, there is an inadequate representation of all lattice planes, alignments, and orientations.





### **Specimen-dependent considerations**

### Particle-size, particle statistics, and relative intensities

<325-mesh (47 $\mu$ ) powders may not be sufficiently fine for anything but qualitative measurements.



 $10\mu$  powders are suitable for applications where a few percent error can be tolerated.

✓ Micronize important samples, preferably by wet milling.





#### **Specimen-dependent considerations**

Preferred orientation: intensity artifact







### **Specimen-dependent considerations**

### Preferred orientation: intensity artifact







#### **Specimen-dependent considerations**

### Preferred orientation: intensity artifact

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#### **Specimen-dependent considerations**

### Preferred orientation: intensity artifact



### Specimen-dependent considerations

### Microabsorption

The loss (attenuation) of scattered intensity inside a particle. If this loss is different between two phases, the quantitative phase analysis will be affected.

--- Brindley (1945)

- Depends on grain diameter and linear absorption coefficient, μD
- Mitigate absorption contrast by grinding to particle size <1µ</li>
- Can be ignored if the product µD is equal for all phases
- Apply Brindley correction during Rietveld
- Use appropriate wavelength for the experiment





### **Specimen-dependent considerations**

### Microabsorption

Quantity:intensity relationships are seldom linear for highly variable mixtures!!!

Mass Absorption Coefficients (MAC) For Selected Elements (with  $CuK\alpha$  radiation)

Element	MAC
AI	50.2
Si	65.3
K	148
Ca	171
Fe	304







### **Specimen-dependent considerations**

### Microabsorption

Quantity:intensity relationships are seldom linear for highly variable mixtures!!!







#### **Specimen-dependent considerations**

Sample (height) displacement

Displacement error (or transparency) in focusing geometry:

Sample stage and/or sample above or below diffraction plane: peaks are displaced from original position by







### **Specimen-dependent considerations**

### Sample (height) displacement



#### **Reflection (focusing): flat samples**

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Intensity, resolution, and peak position are height dependent



#### Parallel Beam: uneven surfaces

Resolution limited; intensity is height dependent



#### Instrument-dependent considerations

### Summary of positional errors as a function of $2\theta$



- $\alpha_{1-2} = \alpha_1$  shift due to  $\alpha_2$
- AD = Axial Divergence
- DE = 100 mm sample displ.
- FS = Flat sample
- R = -1 mm rec. slit position
- $T = 100 \text{ cm}^{-1}$  transparency
- Z= 0.02° zero angle offset









### Established methods of phase analysis in the cement industry

#### 1. Microscopy / Point Counting

- Accurate, but time-intensive, subjective, and interstitial phases cannot be easily distinguished; limited use for plant control
- 2. Bogue Method
  - Gives theoretical (potential) compositions based on calculation from elemental analysis (with inherent limitations)
- 3. XRD Analysis
  - ✓ Actual (not Bogue) clinker phase composition
  - Determination of free lime (CaO and Ca-sulfates)
  - Limited historical use, but many modern benefits





Established methods of phase analysis in the cement industry

The Bogue Method

Assumption 1: The composition of clinker phases are chemically pure (ideal stoichiometry); weight % can be calculated based on elemental analysis.

**Fact:** Actual clinker composition typically differs appreciably from that of pure C3S, C2S, C4AF, and C3A compounds.

#### Example: Alite (C3S)

 $(Ca_{0.98}Mg_{0.01}AI_{0.067}Fe_{0.00333})_3 (Si_{0.97}AI_{0.03}) O_5$ 

VS.



(also large AI/Fe variation in ferrites)





Established methods of phase analysis in the cement industry

The Bogue Method

**Assumption 2:** The clinker melt crystallizes to form solid phases under conditions of thermodynamic equilibrium at high temperature.

**Fact:** Equilibrium conditions are rarely achieved in industrial production and therefore ideal (pure) phases seldom result.

**Assumption 3:** Measured concentrations of CaO are all attributable to C3S, C2S, C4AF, or C3A.

**Fact:** Bogue calculations are typically not corrected for free lime and minor phases are ignored





Established methods of phase analysis in the cement industry

The Bogue Method

Additionally:

- No information is provided about polymorphs (e.g., o-C3A vs c-C3A)
- Elemental data poorly correlated with setting time, and strength
- ✓ Minor phases (e.g., alkalis) are neglected





Established methods of phase analysis in the cement industry

### The Bogue Method

Phase Analysis of RM 8486 by QXRD, Microscopy, and Calculation from Oxide Analysis

-- P. Stutzman



#### **Bogue:**

- C<sub>3</sub>S overestimated
- C<sub>2</sub>S underestimated
- C<sub>3</sub>A overestimated
- MgO ignored





Established methods of phase analysis in the cement industry

'Traditional' XRD methods: Free lime by calibration







Established methods of phase analysis in the cement industry

'Traditional' XRD methods: Free lime by calibration

Can be very accurate.....

Titration *can be* highly variable.







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Established methods of phase analysis in the cement industry

'Traditional' XRD methods: Free lime by calibration




#### Established methods of phase analysis in the cement industry

#### 'Traditional' XRD methods: Free lime by calibration







#### Established methods of phase analysis in the cement industry

'Traditional' XRD methods: Limitations

Classical methods for clinker/cement analysis are limited by:

- Substantial peak overlap among major phases
- Peak position and/or intensity shifts
- ✓ Calibration standards are unstable, difficult to obtain, or impure





Established methods of phase analysis in the cement industry

'Traditional' XRD methods: Limitations

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Established methods of phase analysis in the cement industry

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#### The Rietveld Method

Rietveld (1969) developed a means to refine crystal structure information for powder (neutron) diffraction data:







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 Using 'initial' crystal structures and profile parameters, a simulated diffraction pattern is calculated, and







#### The Rietveld Method

Rietveld (1969) developed a means to refine crystal structure information for powder (neutron) diffraction data:

- Using 'initial' crystal structures and profile parameters, a simulated diffraction pattern is calculated, and
- Minimizing the difference between the simulated pattern and the measured pattern by a least squares process.





### The Rietveld Method

#### Advantages

- Models each phase independently ... overlapped peaks and complex mixtures can be analyzed,
- Is not limited by the unavailability of suitable calibration standards,
- ✓ Can refine site occupancies (solid-solution effects),
- Can model and correct orientation and other errors,
- Can calculate amorphous content





#### The Rietveld Method

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Rietveld uses all peaks and the complete profile (all data points) for the analysis





#### The Rietveld Method: Required input

Structural parameters: Crystal structures for calculation of the diffraction pattern







The Rietveld Method: Required input

Halite: Face-Centered Cubic Structure (FCC)



CI	Na <sup>+</sup>	
(0, 0, 0)	(1/2, 1/2, 1/2)	
(1/2, 1/2, 0)	(0, 0, 1/2)	
(1/2, 0, 1/2)	(0, 1/2, 0)	
(0, 1/2, 1/2)	(1/2, 0, 0)	





#### The Rietveld Method: Required input

#### **Overview**

- 1. Accurate phase ID is required
- 2. Input crystal structures for each phase
- 3. A calculated pattern for all phases is generated from the crystal structure data.





#### The Rietveld Method: Required input

#### Overview

- 1. Accurate phase ID is required
- 2. Input crystal sructures
- 3. A calculated pattern for all phases is generated from the crystal structure data.
- 4. The calculated pattern is fit to the raw data ('refined') by modifying the appropriate:
  - unit cell parameters,
  - ✓ scale factor,
  - ✓ peak shape and profile parameters, *etc*.





#### The Rietveld Method: Example

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#### A Refined Cement Sample 'A' with Quantitative Data Shown





#### The Rietveld Method: Examples

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#### A Refined Cement Sample 'A' with Quantitative Data Shown





#### The Rietveld Method: Example

#### A Refined Cement Sample 'A' with Quantitative Data Shown





The Rietveld Method: Refinement procedures

The starting model consists of :

Global parameters: affect the entire pattern, regardless of phase(s)
 Structure parameters: describe the crystallographic parameters
 Profile parameters: describe the width and shape of diffracted peaks





#### The Rietveld Method: Refinement procedures

- 1. Global Parameters (Phase independent)
- Pattern background
  - Typically refinement of some polynomial function, or
  - Chebechev II, or
  - Manually fit (user-defined background)
- Error correction
  - Zero point (OR sample displacement)
  - Absorption
  - Extinction
- ✓ Wavelength





#### The Rietveld Method: Refinement procedures

- 2. Structure Parameters (Phase specific)
- ✓ Space group
- ✓ Lattice parameters
- Atom co-ordinates
- Site occupation factors
- Displacement factors (temperature factors) --



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Pattern List Scan List				st Scan List	Peak	List	Anchor Scan Data	Object Inspect	
Quantification Refinement C				on Refinement C	ontrol	Structure	e Plot Fourier Map	Distances and Ang	
	Name				Info	Refine	Value	Deviation	
Þ	🛨 🔄 Global Parameters			bal Parameters					
	=	È	C3	S		~			
	Scale factor			ale factor		~	0.000004	0.000000	
	Prefered Orientation			fered Orientation	1.00 0		0.961730	0.002695	
	B overall			verall			0.000000	0.00000	
	Extinction			inction			0.00000	0.00000	
			Fla	t Plate Absorption			0.00000	0.00000	
			Por	rosity			0.00000	0.00000	
			Ro	ughness			0.00000	0.00000	
	+ 🔤 Unit Cell			Unit Cell	C1m1				
	- 😡 Atomic coordinates			Atomic coordinates					
			-	CA1	2a				
				X			0.007490	0.00000	
				Y			0.00000	0.00000	
				Z			0.008980	0.00000	
				B isotropic			4.000000	0.00000	
				Occupancy			0.500000	0.000000	
/	📕 🛨 🛛 🗕 🗡								
			+	CA2	2a				
			+	CA3	2a				
			+	CA4	2a				
			+	CA5	2a				
			+	CA6	2a				
			+	CA7	2a				
			+	CA8	2a				
			+	CA9	2a				
			+	CA10	2a				

Partial list of atom positions for a C3S structure





#### The Rietveld Method: Refinement procedures

- 3. Profile Parameters (Phase specific)
  - Peak shape will change peak intensities
    - Pseudo-Voight profile function (refinable Gaussian or Lorentzian profile contributions)
  - Peak width
    - Cagliotti function (FWHM calculation)
  - ✓ Peak asymmetry
  - Anisotropic broadening

#### e.g., how the data is distributed around the peaks





#### The Rietveld Method: Refinement procedures

#### Instrument resolution and contribution to peak broadening



H<sub>k</sub> is the Cagliotti function where u, v and w are refinable parameters:

 $H_k = \left(U\tan^2\theta + V\tan\theta + W\right)^{1/2}$ 





The Rietveld Method: Refinement procedures

- 4. Miscellaneous Parameters
  - ✓ Scale factor: ~proportional to weight % of phase
  - Preferred orientation
  - Absorption correction
  - Extinction correction
  - ✓ etc.





#### The Rietveld Method: Algorithms

We can calculate the net intensity of a diffraction pattern,  $Y_{ic}$ , at each point *i* in the pattern according to:

$$\boldsymbol{Y}_{ic} = \boldsymbol{Y}_{ib} + \sum_{p} \sum_{k=k_1^p}^{k_2^p} \boldsymbol{G}_{ik}^p \boldsymbol{I}_k$$

Where:

 $Y_{ib}$  = the intensity of the background at point *i* in the pattern,

 $G_{ik}$  = the normalized peak profile function,

 $I_k$  = the intensity of Bragg reflection *k*, and

 $K_1 - K_2$  = reflections contributing to the *i*<sup>th</sup> point in the pattern.

(subnote 'c' stands for calculated and superscript 'p' stands for possible phases present)

- or, in plain English -

The intensity at any given point in the diffraction pattern is equal to the Background Contribution + Profile Shape + Bragg Peak Intensity for all possible phases and peak shapes.





#### The Rietveld Method: Algorithms

The intensity  $I_k$  is given by the expression:

$$\boldsymbol{I}_{hkl}=\left|\boldsymbol{F}_{hkl}\right|^2$$

- OR -

$$\boldsymbol{I}_{k} = \boldsymbol{S}\boldsymbol{M}_{k}\boldsymbol{L}_{k} |\boldsymbol{F}_{k}|^{2} \boldsymbol{P}_{k}\boldsymbol{A}_{k}\boldsymbol{E}_{k}$$

Where

S = Scale factor

 $M_k$  = Reflection multiplicity,

- L<sub>k</sub> = Lorentz-Polarization factor
- $P_k$  = Preferred orientation
- $A_k = Absorption$
- $E_k = Extinction$
- F = Structure Factor





#### The Rietveld Method: Algorithms

The Structure Factor  $F_k$  is given by the expression:

$$F_{k} = \sum_{j=1}^{n} f_{j} \exp\left[2\pi i \left(h_{r}^{t} r_{j} - h_{k}^{t} B_{j} h_{k}\right)\right]$$

Where:

 $N_i$  is the site occupancy factor (0,1) for the jth atom,

f<sub>i</sub> is the atomic scattering factor for the jth atom,

h, k, and I are the Miller indices, and  $x_j$ ,  $y_j$ , and  $z_j$  are the positional coordinates for the jth atom in the unit cell.





The intensity of an x-ray peak is a function of the type and position of the atoms in the unit cell – *i.e.*, electron density and therefore scattering power ('Form Factor, f)

#### Amplitude

- >Amplitude f<sub>i</sub> of a scattered wave
  - represents the scattering power of an atom
  - varies with (sin θ)/λ
  - for 29 = 0 : f<sub>i</sub> = number of electrons of the scattering atom

Reduces the intensities of peaks at higher angles.





Na<sup>+</sup> : 10 electrons: f Na<sup>+</sup>=10 (9 =0) Cl<sup>-</sup> : 18 electrons: f Cl<sup>-</sup> = 8 (9 =0)

NaCl-structure





#### The Rietveld Method: Algorithms

Rietveld scale factor  $\infty$  wt.% phase present in the sample:

The weight fraction of phase p can then be derived as:

$$W_p = rac{\left(SZMV
ight)_p}{\displaystyle\sum_i \left(SZMV
ight)_i}$$
 analyte phase analyte phases

where:

 $W_{\rm p}$  is the weight percent of phase p

S is the refined Rietveld scale factor,

Z is the number of formula units per unit cell

M is the mass of the formula unit,

V is the volume of the unit cell.





The Rietveld Method: Agreement indices and quality of the refinement

- 1. Best method is to evaluate the difference plot,
- 2. Agreement indices or 'R' values are the quantities that are minimized during the refinement.

Weighted profile R-factor (R<sub>wp</sub>) is perhaps the most useful:

R<sub>wp</sub> is a measure of the fit between the observed and calculated patterns

 $R_{exp}$  reflects the quality (statistics) of the data.

 $X^2 = Rwp/Rexp = GOF$ 

$$\mathbf{R}_{wp} = \left[\frac{\sum_{i}^{N} \mathbf{w}_{i} (\mathbf{Y}_{io} - \mathbf{Y}_{ic})^{2}}{\sum_{i}^{N} \mathbf{w}_{i} \mathbf{Y}_{io}^{2}}\right]^{\frac{1}{2}}$$
$$\boldsymbol{R}_{exp} = \left[\frac{\boldsymbol{N} - \boldsymbol{P}}{\sum_{i}^{N} \boldsymbol{w}_{i} \boldsymbol{y}_{i} (obs)^{2}}\right]^{\frac{1}{2}}$$





#### The Rietveld Method: Agreement indices and quality of the refinement

'Independent calibration': Why it's needed

Rietveld is very precise, but in order to obtain the most accurate absolute concentrations, it is best to obtain independent characterization data for construction of control files to obtain true, 'referenced' phase concentrations.







# **Additional Rietveld Examples**





#### The Rietveld Method: Additional examples



Cement / Fly Ash blend with 24% rutile added as internal standard for amorphous calculation







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#### The Rietveld Method: Additional examples



Selective extraction of interstitial phases (red) and silicates (blue). Bulk sample is shown in green.









#### The Rietveld Method: Refinement strategies and tips

What parameters *can* be refined?

- Lattice parameters
- Atomic positions
- Site occuancy
- Isotropic temperature factor (B)
- Profile parameters (*u*, *v*, *w*, & other peak shape parameters)
- Preferred orientation
- Background contribution
- Zero point (or sample height)





#### The Rietveld Method: Refinement strategies and tips

What parameters *should* be refined (for QPA)?

- Scale factor all phases
- Lattice parameters *all phases* (or at least major phases)
- Atomic positions *never*
- Site occupation rarely (only if needed)
- Temperature factors rarely (never)
- Profile parameters *major phases only*
- Preferred orientation as needed, constrained to direction
- Background contribution *always* (noncrystalline?...)
- Zero point (or sample height) *always*




## Summary

## **Rietveld analysis provides:**

- Refined unit cell dimensions phase chemistry and solid solutions (zoning)
- Crystal structure parameters/atom site occupancy and structural disorder
- Peak width and shapes coherent domain size (*i.e.*, crystallite size)
- Preferred orientation crystal texture
- Background modeling characterization of amorphous material,
- Determination of polymorphic forms,
- Independent measure of CaO, Ca(OH)<sub>2</sub>, CaCO<sub>3</sub>, etc.
- AND...

## ...Standardless quantification (wt %) for each phase





## Thank-you!

WHAT ON EARTH AM I DOING IN HERE ON THIS BEAUTIFUL DAY?" THIS IS THE ONLY LIFE I'VE GOT!"



