

# **Carbon nanotube metrology for science and manufacturing**

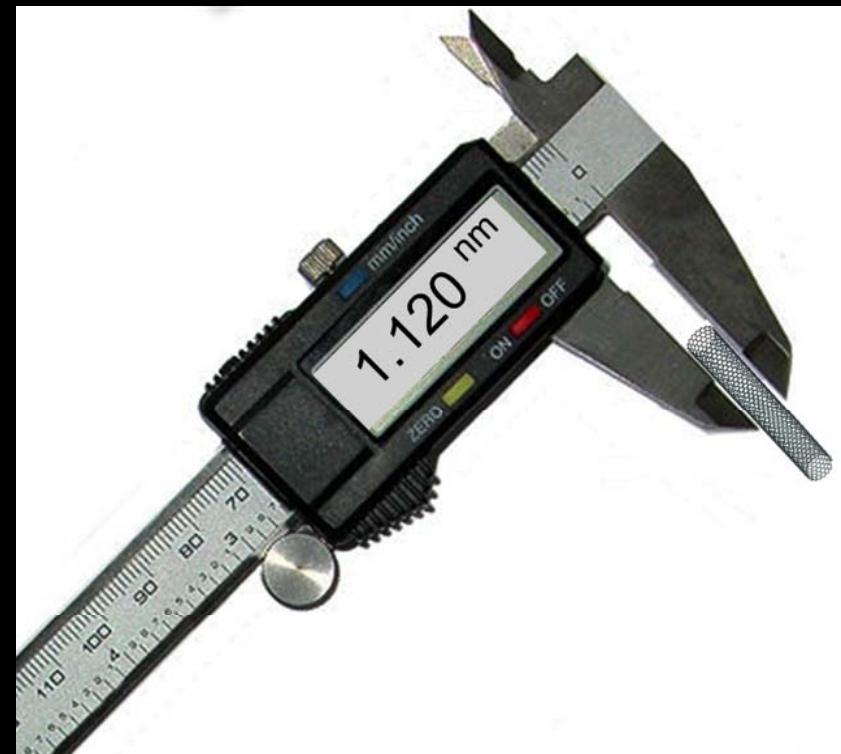
**John Hart**

University of Michigan

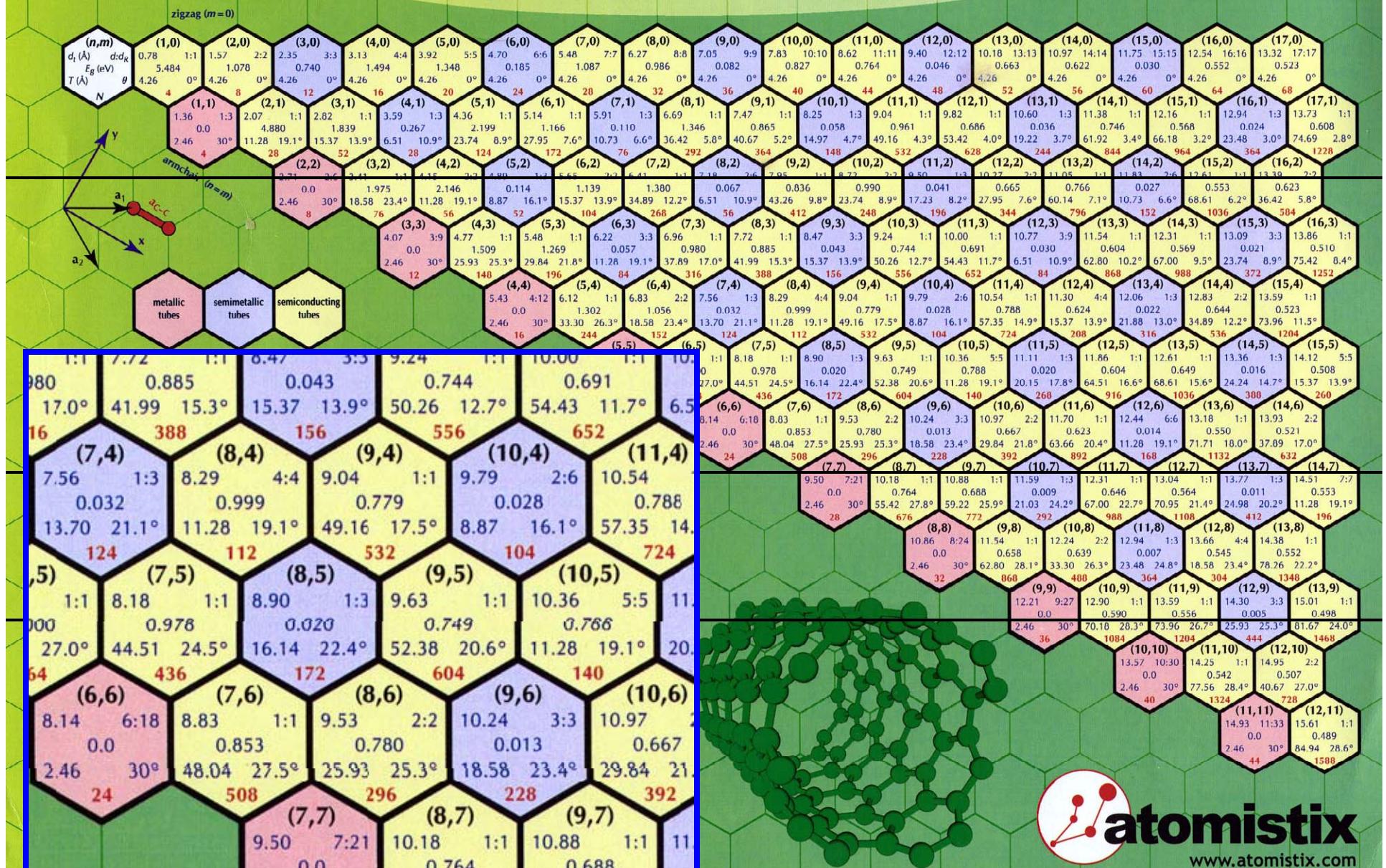
[ajohnh@umich.edu](mailto:ajohnh@umich.edu)

[www.mechanosynthesis.com](http://www.mechanosynthesis.com)

February 28, 2011



# Periodic Table of Carbon Nanotubes

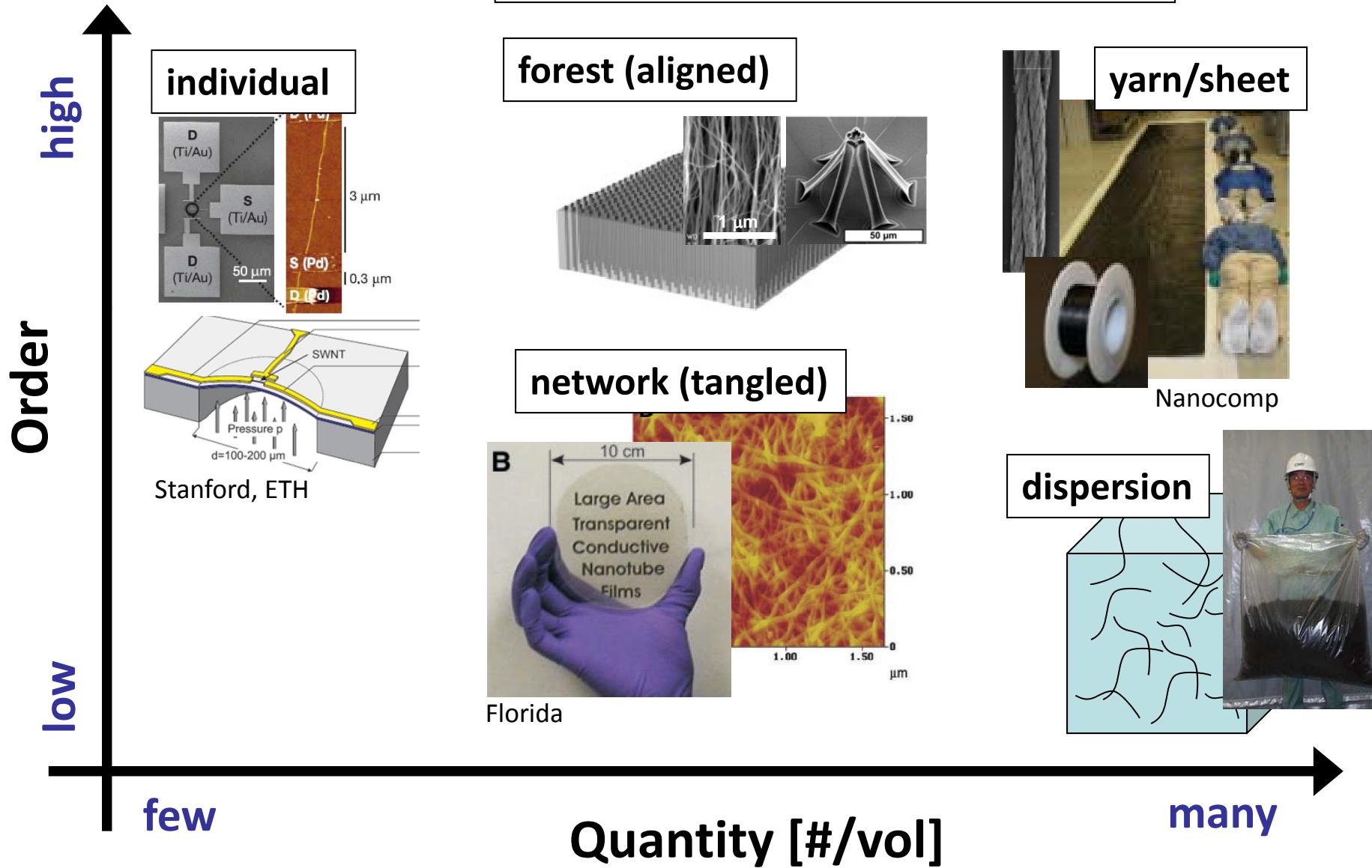


**atomistix**  
www.atomistix.com

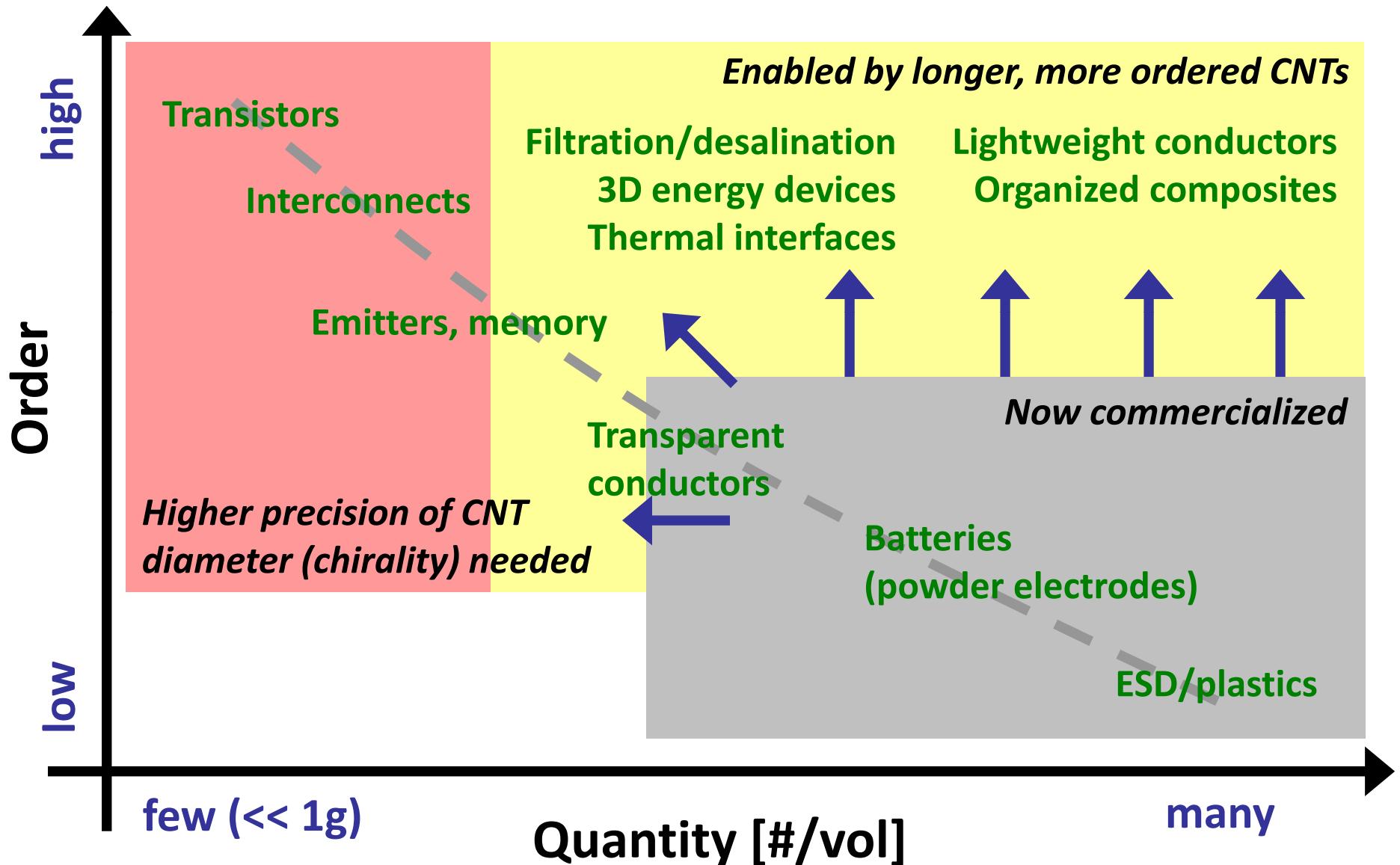
# Configurations



Order = quality, purity, alignment  
Quantity = #/volume



# Applications



## **The 4th Carbon Nanotube Workshop at NIST: Control and Measurement of Chirality**

**September 23<sup>rd</sup> and 24<sup>th</sup> 2010**

**Hosted by the National Institute of Standards and Technology  
Gaithersburg, MD 20899**

### **Organizing Committee**

Stephen Freiman

Jeffrey A. Fagan

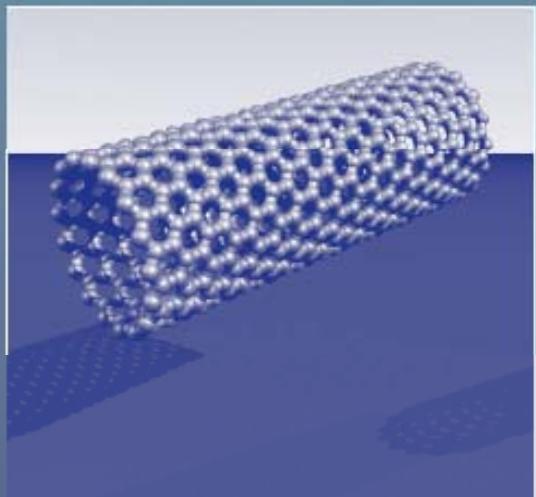
Stephanie Hooker

Kalman B. Migler

Angela R. Hight Walker

Ming Zheng

# Measurement Issues in Single Wall Carbon Nanotubes



Stephen Freiman  
Stephanie Hooker  
Kalman Migler  
Sivaram Arepalli



National Institute of  
Standards and Technology  
U.S. Department of Commerce

960-19

Special  
Publication  
960-19

NIST Recommended Practice Guide

Special Publication 960-19

# Measurement Issues in Single Wall Carbon Nanotubes

Edited by:  
Stephen Freiman  
Stephanie Hooker  
Kalman Migler

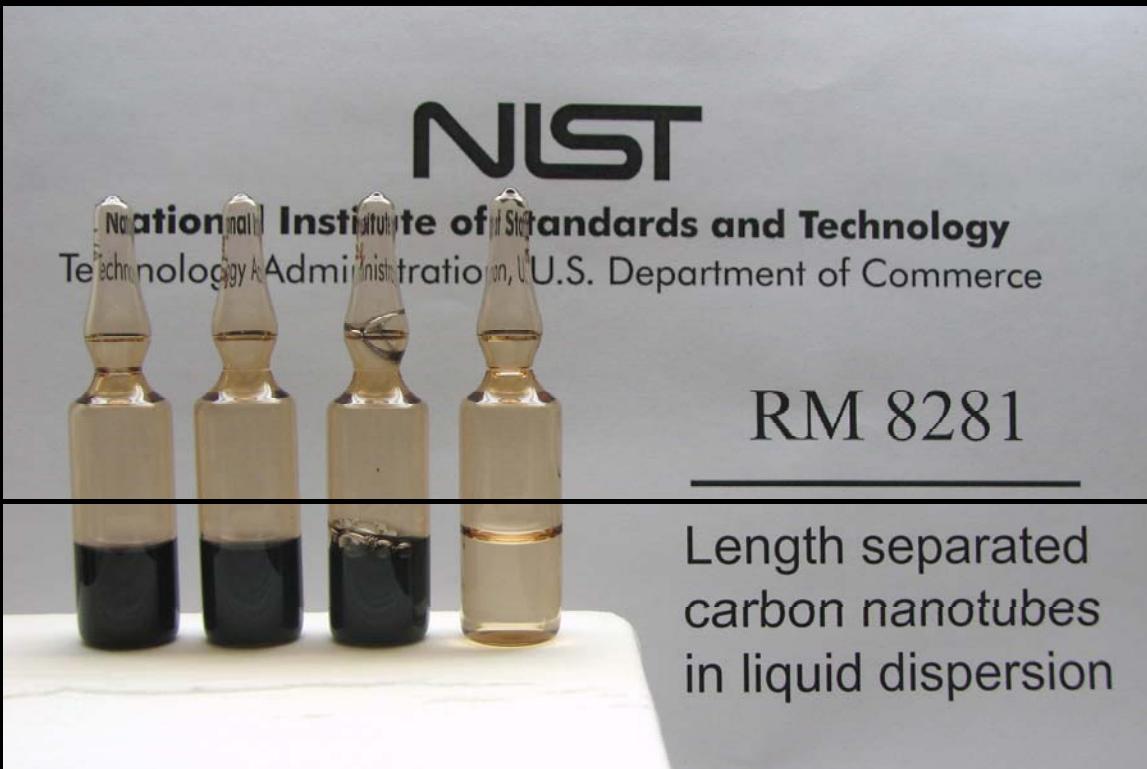
NIST Materials Science and  
Engineering Laboratory

and  
Sivaram Arepalli  
NASA-JSC

March 2008



U.S. Department of Commerce  
Carlos M. Gutierrez, Secretary  
National Institute of Standards and Technology  
Dr. James M. Turner, Acting Director and Deputy Director



RM 8281 is a set of dispersed nanotube populations with different average lengths; the set includes a long, medium and short fraction, as well as a 1 % (mass/volume) surfactant blank. A set contains a sealed, sterilized, ampule (~2.6 mL) of each component. These sets were produced using centrifugation based separation of a common parent dispersion produced from SRM 2483. Applications of these materials include fundamental research, instrument calibration, and EHS applications.

[http://www.nist.gov/mml/polymers/complex\\_fluids/nanotube-reference-materials.cfm](http://www.nist.gov/mml/polymers/complex_fluids/nanotube-reference-materials.cfm)

[http://www.nist.gov/mml/polymers/complex\\_fluids/4th-carbon-nanotube-workshop.cfm](http://www.nist.gov/mml/polymers/complex_fluids/4th-carbon-nanotube-workshop.cfm)



# CNT material measurements

## ■ Structure

- Diameter and chirality      [TEM, AFM, Raman, Photoluminescence](#)
- Length                          [TEM, SEM](#)
- Quality (= defect density)    [Raman, TEM, TGA](#)

## ■ Morphology

- Bundling                        [SEM, TEM](#)
- Alignment                      [Optical polarization,](#)
- Connectivity/ends             [X-ray scattering](#)

## ■ Chemistry

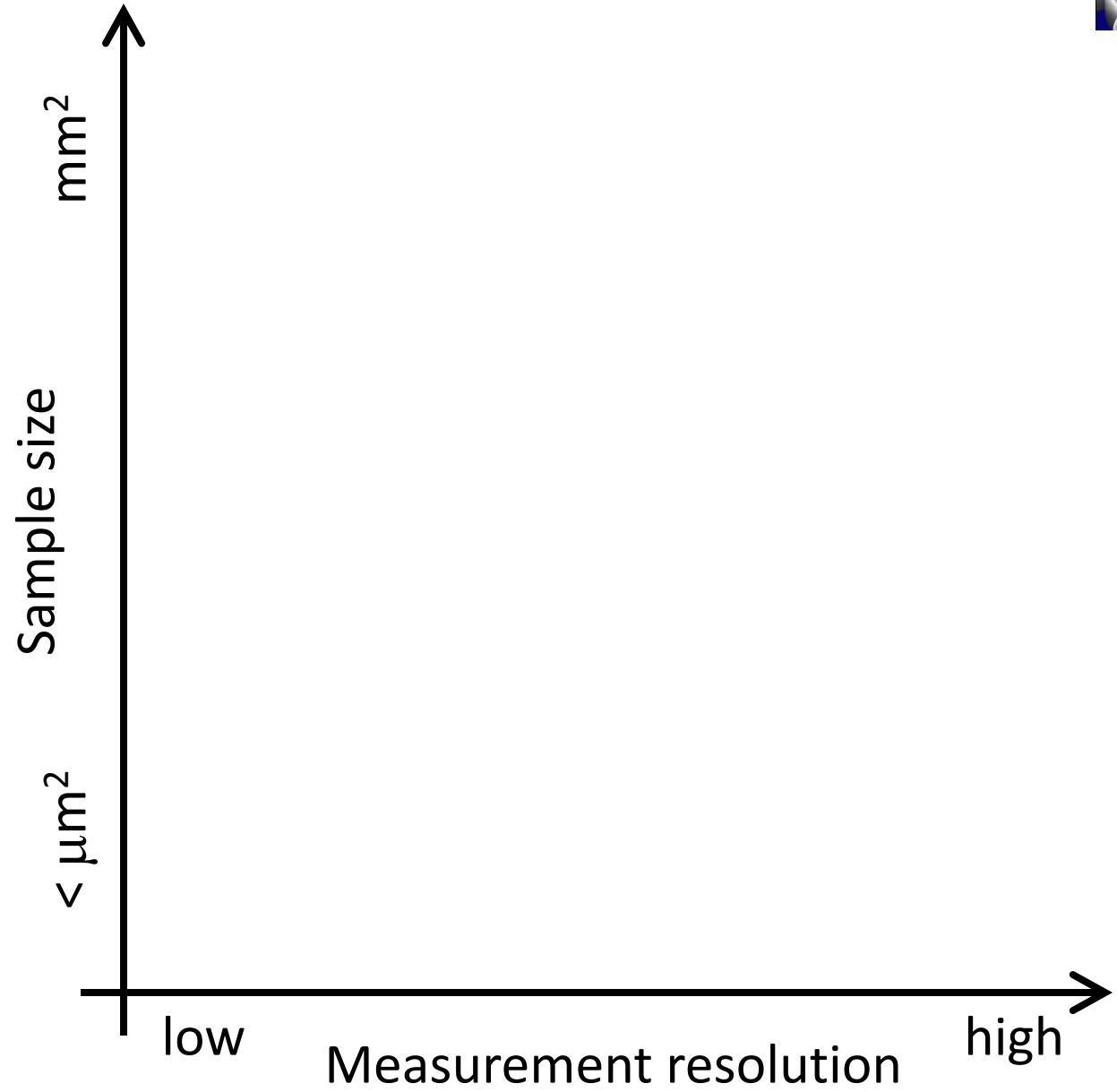
- Purity; residual catalyst    [TGA](#)
- Functionalization            [IR spectroscopy](#)
- Interaction with surroundings (e.g., in composites)



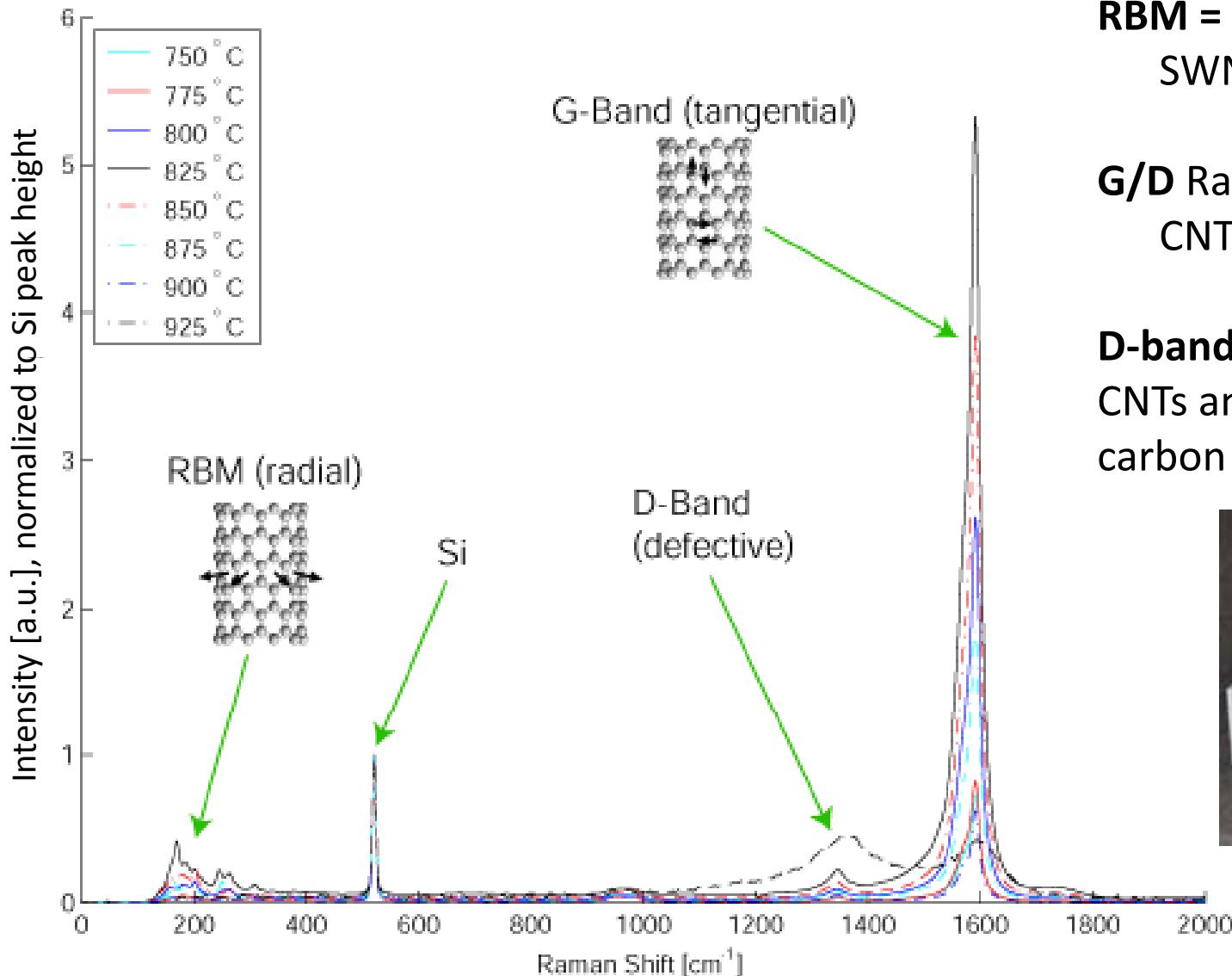


*ensembles*  
*(films, fibers,*  
*forests)*

*individual CNTs*



# Typical CNT film Raman spectrum



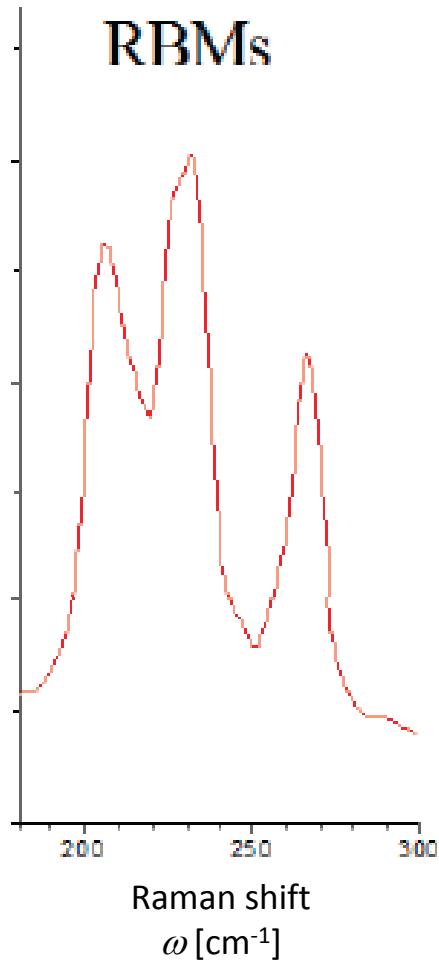
**RBM** =  
SWNT diameter

**G/D Ratio** =  
CNT quality

**D-band** = Defects in  
CNTs and defective  
carbon on substrate



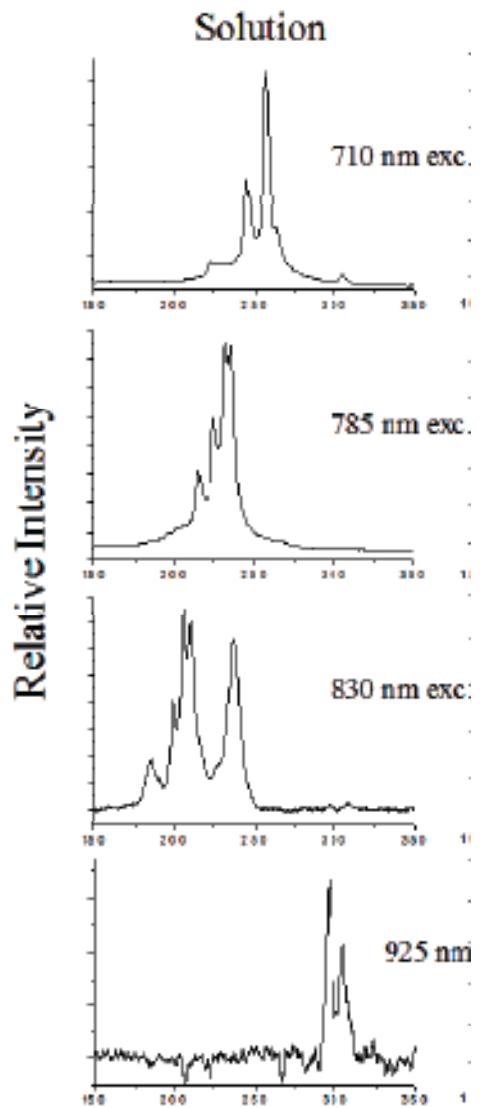
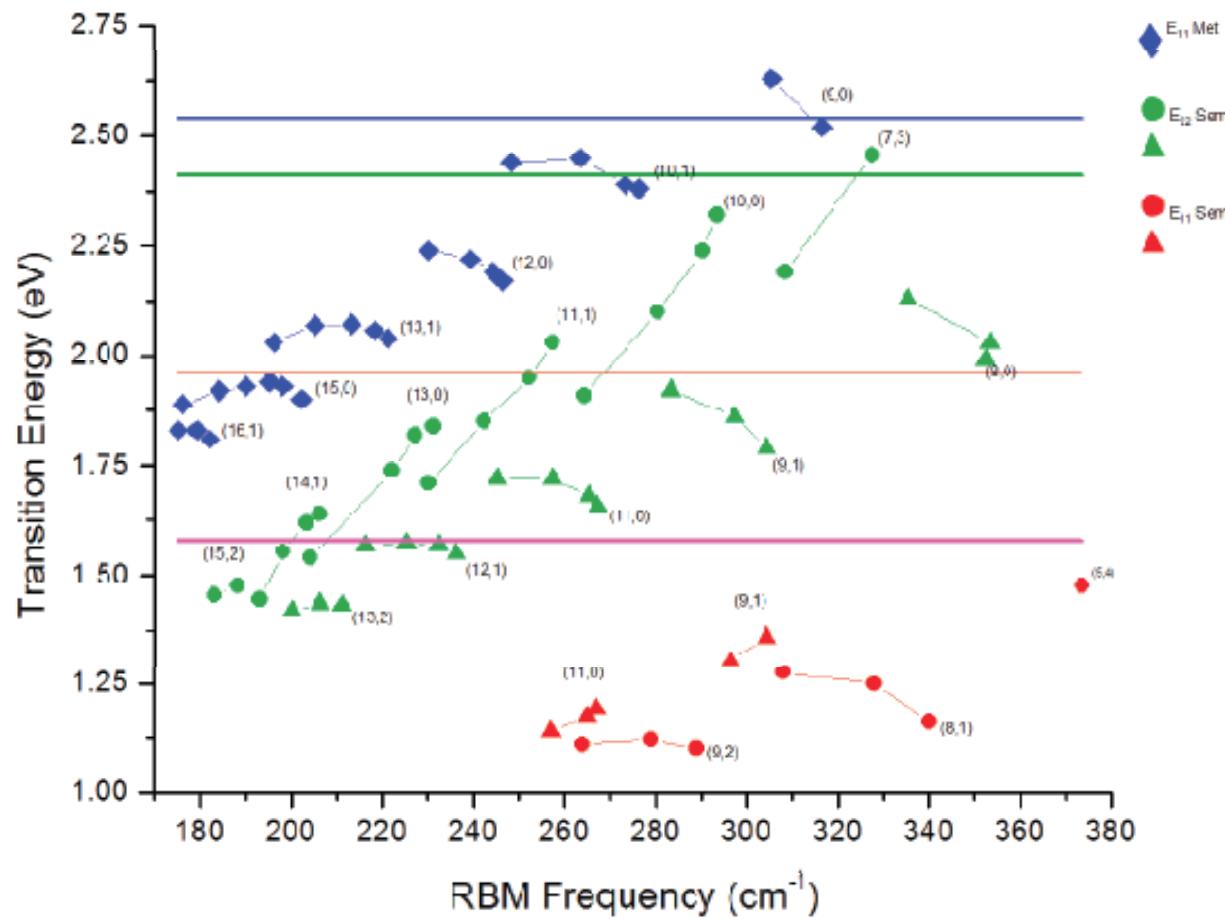
[lambdasolutions.com](http://lambdasolutions.com)



$$\omega \cong 220 / d + 10$$

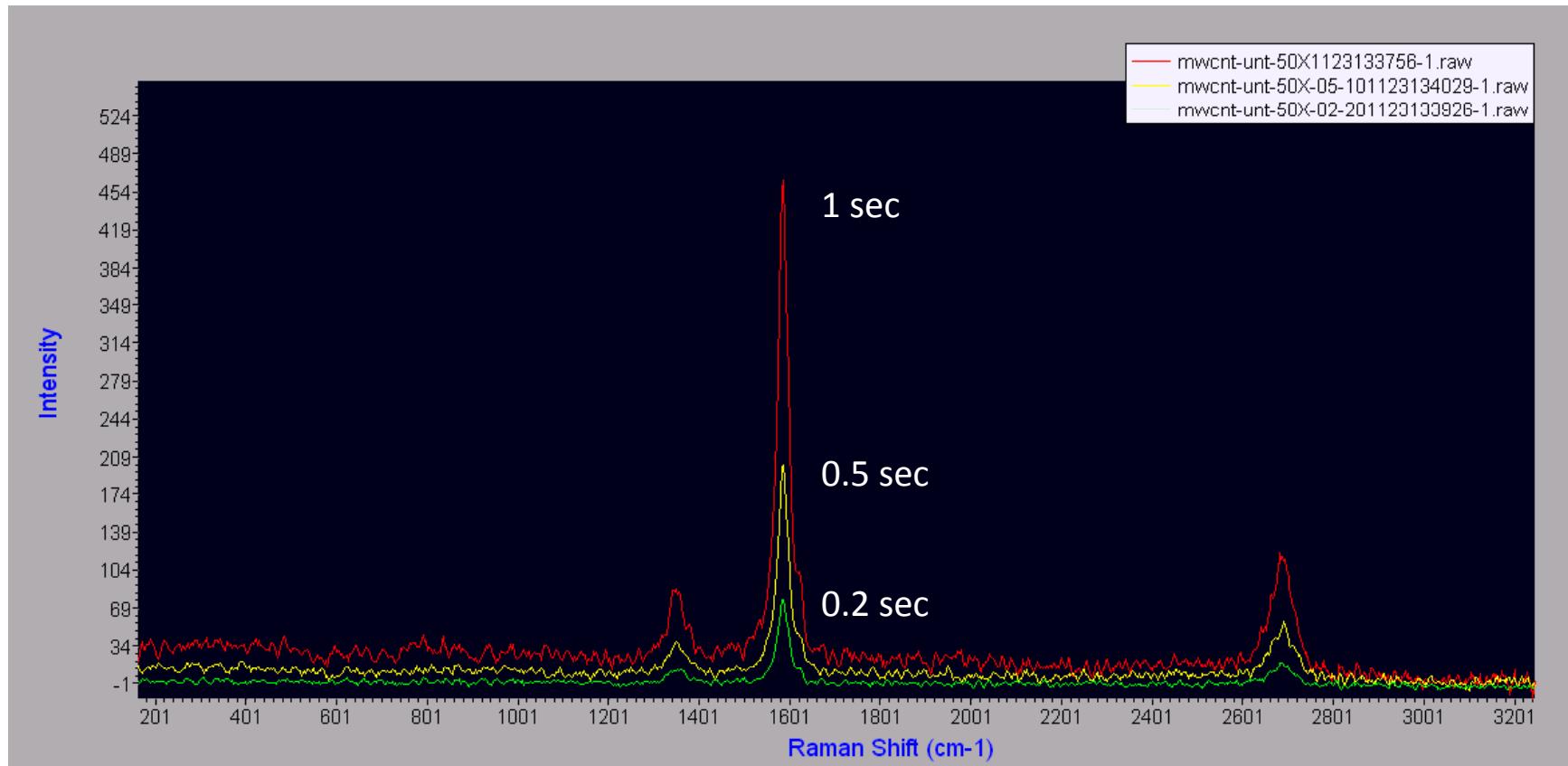
$$d \cong \frac{220}{\omega - 10}$$

# The Kataura plot: visibility vs. laser energy



**Figure 4.3.** Experimentally determined Kataura plot for SWNTs in sodium dodecyl sulfate (SDS) solution. The colored horizontal bars represent different common laser energies (blue: 488 nm, green: 514.5 nm, red: 632.8 nm, magenta: 785 nm). Data points are grouped according to common  $2n+m = \text{constant}$  families, with the near zig-zag terminus of each family identified. For semiconducting tube types, circles represent chiralities with  $\text{mod}(n-m, 3) = -1$ , while triangles represent chiralities with  $\text{mod}(n-m, 3) = +1$ . Experimental data obtained from (11-14).

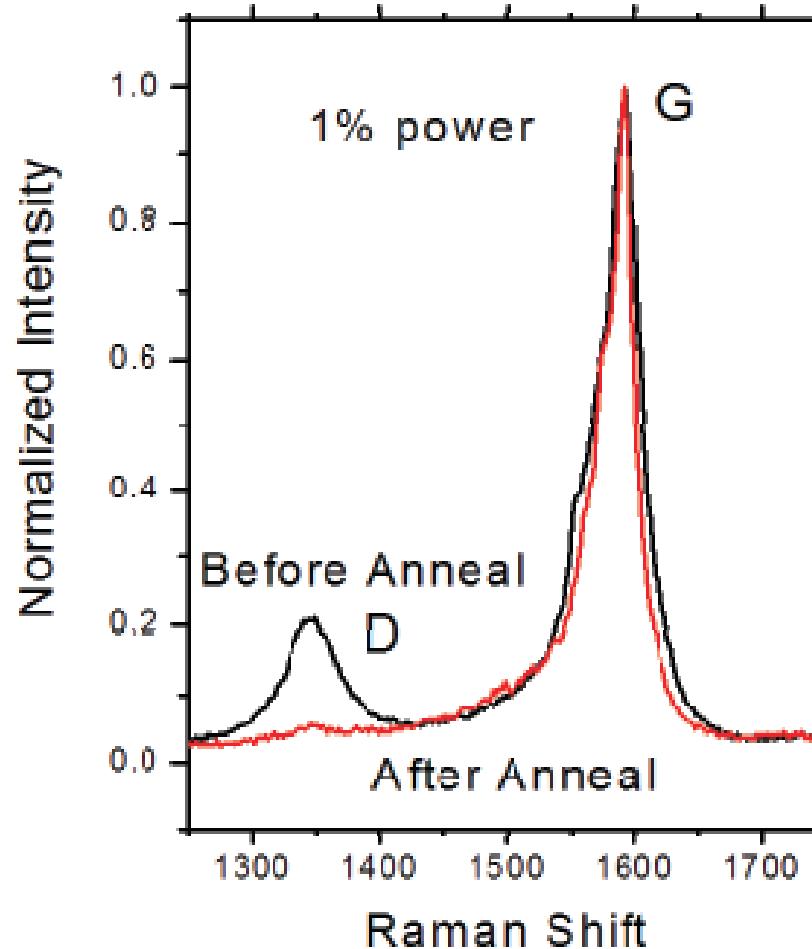
# MWNT spectra – effect of collection time



→ Improvements in detectors, control of laser power



# G/D ratio as a measure of quality

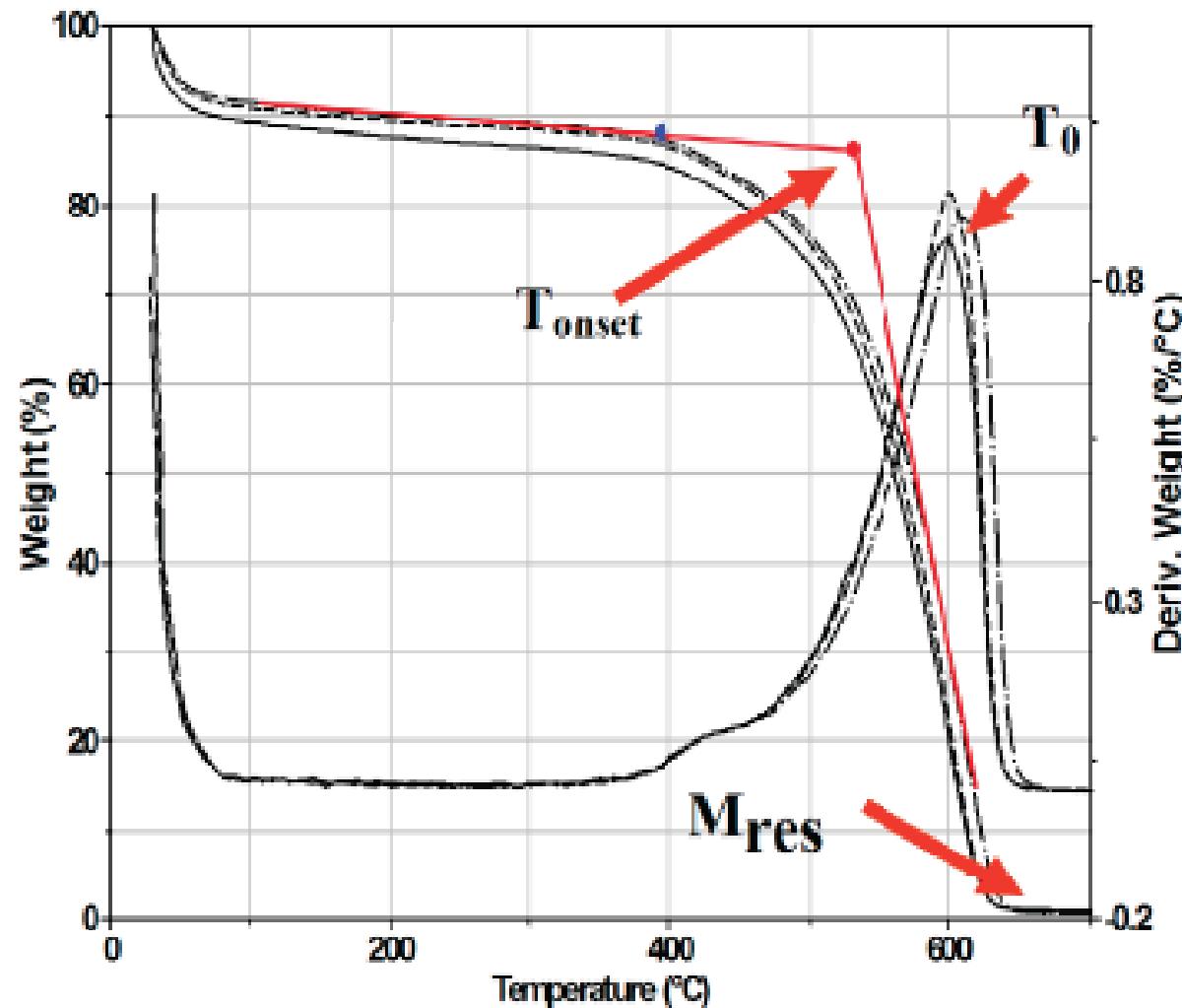


Example:  
Annealing of a  
DWNT powder  
reduces G-band  
peak intensity  
and width

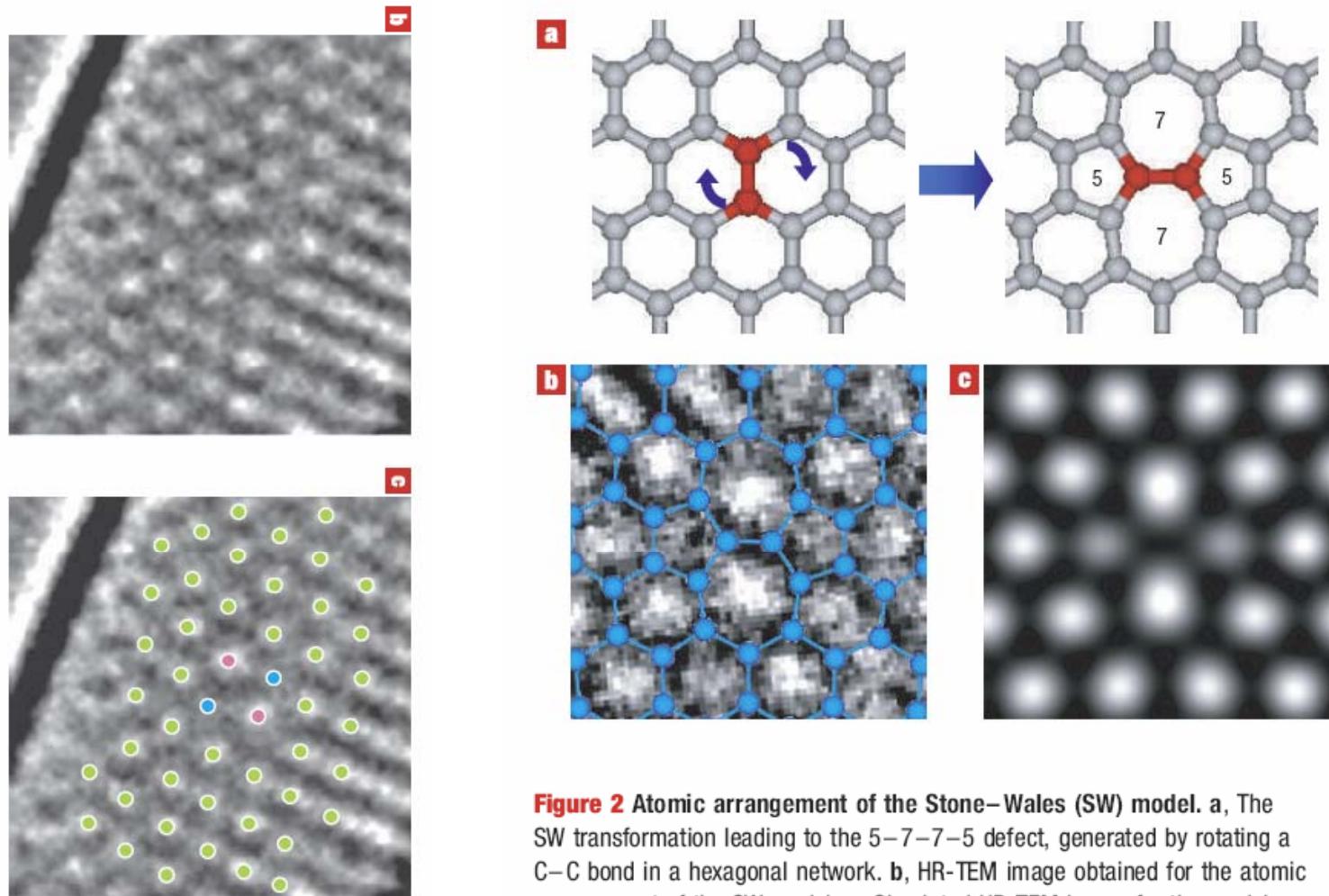
- High-quality samples:  $G/D = 10-100$



# Measuring purity by thermogravimetric analysis (TGA)



# Identification of defects in TEM

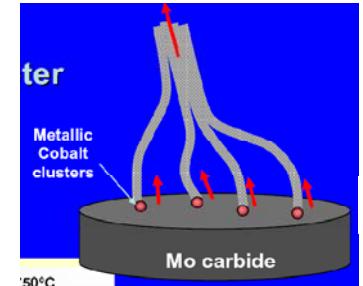


**Figure 2** Atomic arrangement of the Stone–Wales (SW) model. **a**, The SW transformation leading to the 5–7–7–5 defect, generated by rotating a C–C bond in a hexagonal network. **b**, HR-TEM image obtained for the atomic arrangement of the SW model. **c**, Simulated HR-TEM image for the model shown in **b**.

# Growth/processing advances help metrology



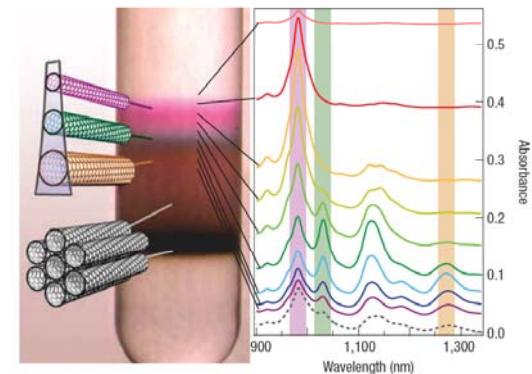
- Precise control of catalyst size and composition
  - Growth of narrow chirality distributions



Resasco, SWeNT

- CNT separations by diameter, chirality, and length

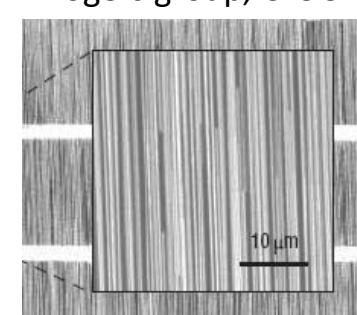
- Ultracentrifugation
- Gel electrophoresis
- DNA wrapping/functionalization



Hersam group, Northwestern

- Directed placement of CNTs on substrates

- Aligned (vertical, horizontal) growth
- Dielectrophoresis



Rogers group, UIUC

- Understanding of how dispersion methods modify CNT quality, bundling, length



# Challenges in overcoming CNT growth limits

- How is carbon incorporated into growing CNTs?
- What determines CNT chirality?
  - When is it established?
  - What causes chirality changes?
- What limits CNT growth rate and length?
- How do interactions among CNTs affect collective growth and assembly?

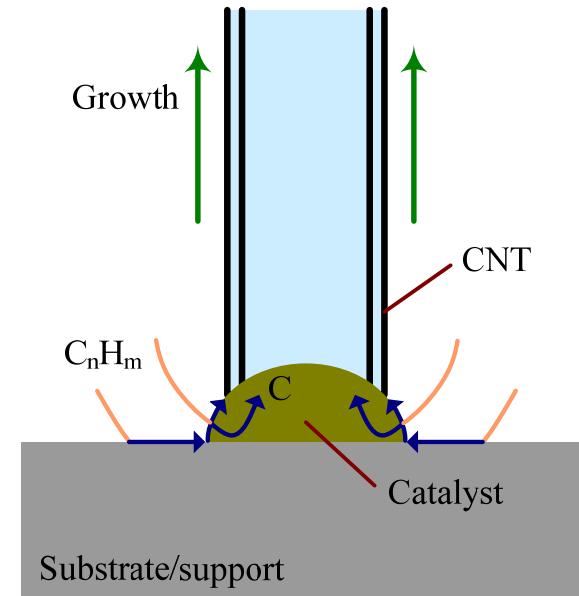
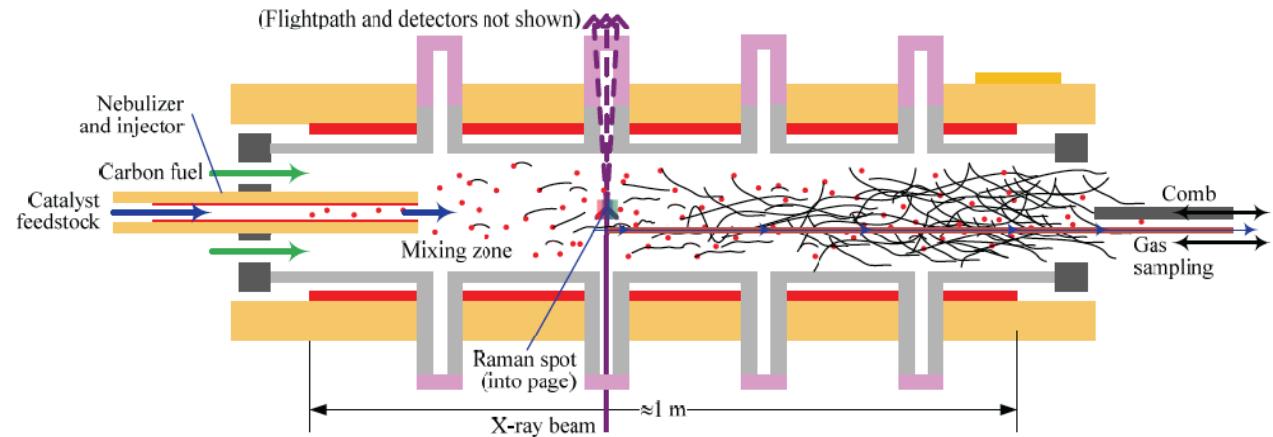
→ Can CNTs be grown to indefinite length?

→ What are the limits of alignment and density?

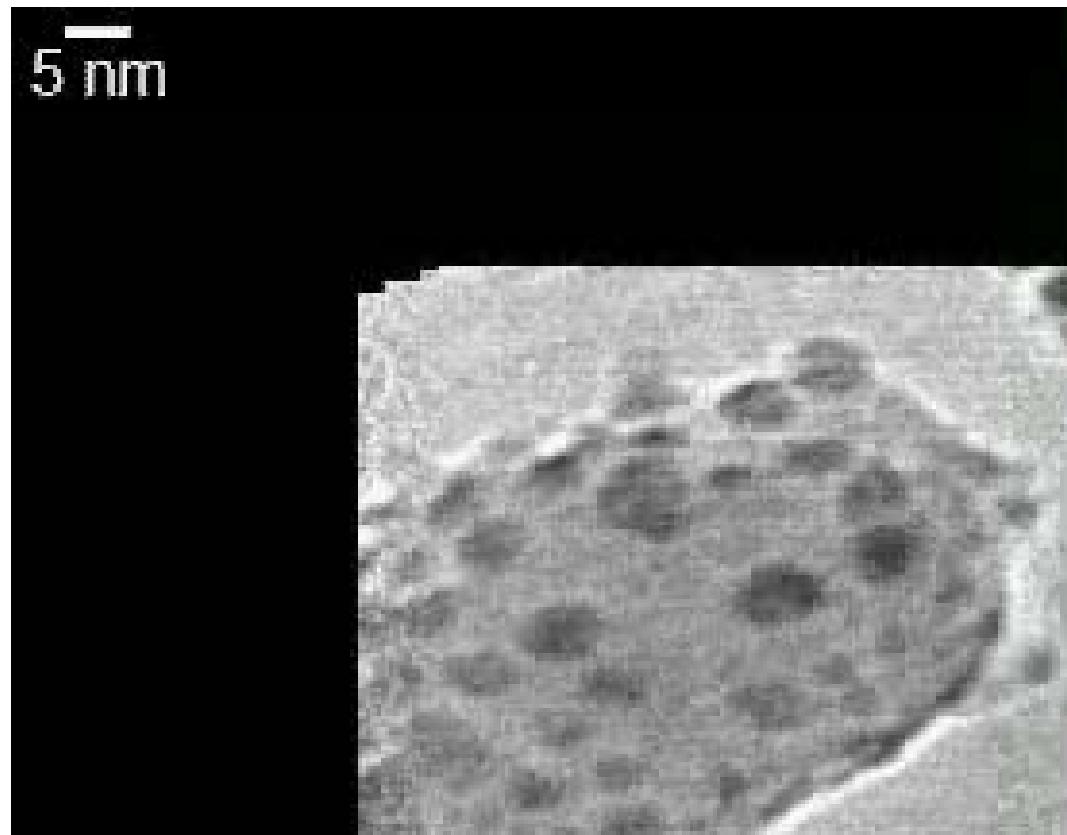
# CNT process metrology



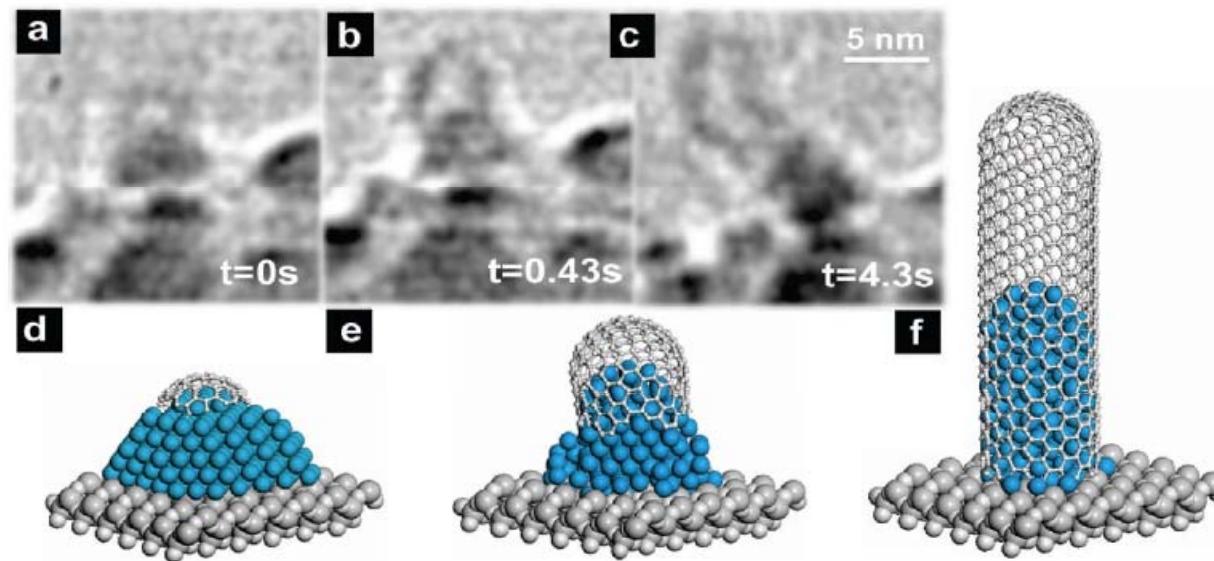
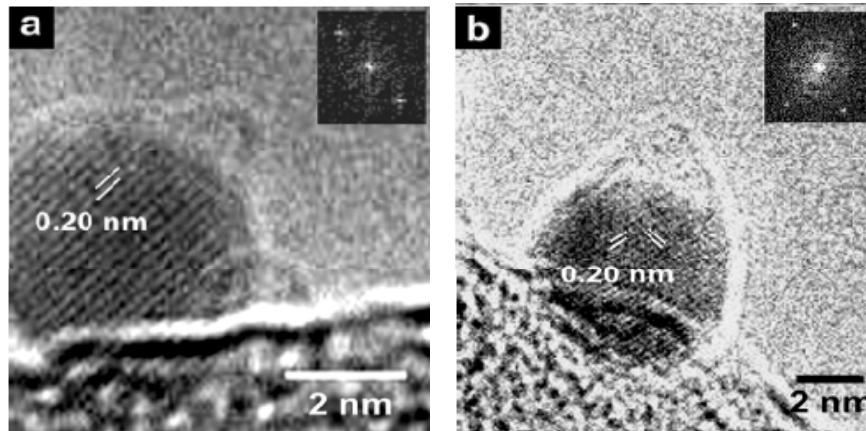
- Catalyst
  - Size (and distribution)
  - Chemical state
  - Composition
- Gas chemistry
  - Hydrocarbons
  - Hydrogen
  - Oxygen and water
- Temperatures and flows
- How the CNTs evolve *in situ*



# Watching SWNT nucleation in TEM

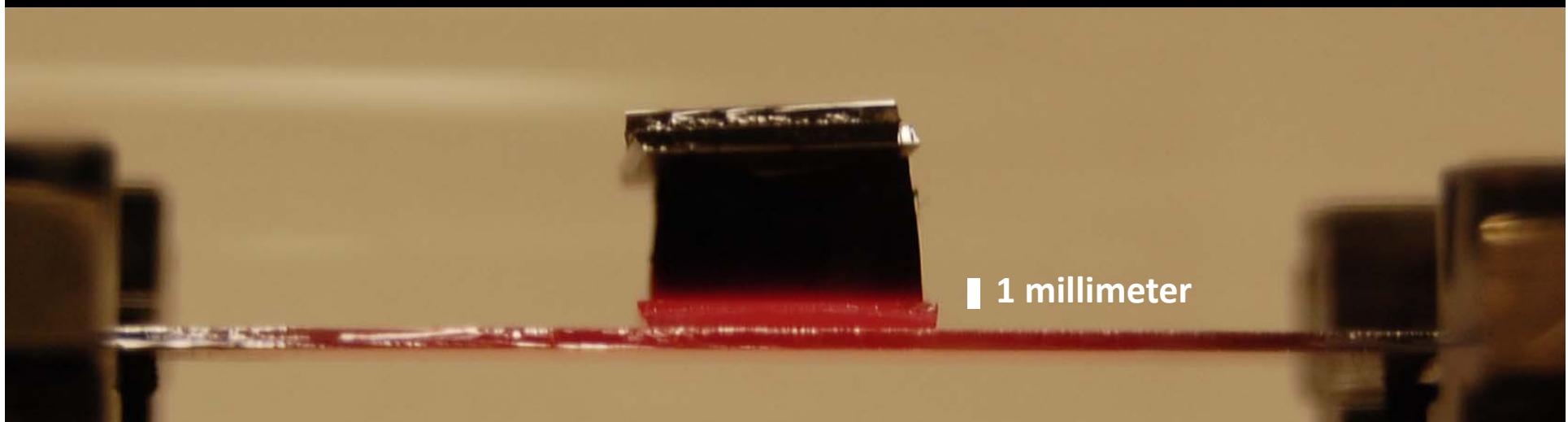


# Watching SWNT nucleation in TEM



**Figure 7.** (a–c) ETEM image sequence of Ni-catalyzed CNT root growth recorded in  $8 \times 10^{-3}$  mbar C<sub>2</sub>H<sub>2</sub> at 615 °C (extracted from Supporting Information video S2). The time of the respective stills is indicated. (d–f) Schematic ball-and-stick model of different SWNT growth stages.

# Problem: CNT growth is a “black box”



Meshot, Plata, Tawfick, Zhang, Verploegen, Hart. *ACS Nano* 3(9):2477-2486, 2009.  
Hart and Slocum, *J. Phys. Chem. B* 110:8250-7, 2006.  
Hart, van Laake, Slocum, *Small* 3(5):772-777, 2007.

# CNT forest: a model system to understand population dynamics during growth



## 1. Catalyst preparation and pre-treatment

- deposit thin film
- establish chemical state (e.g.,  $\text{Fe}_2\text{O}_3 \rightarrow \text{Fe}$ )
- establish particle size

## 2. Nucleation

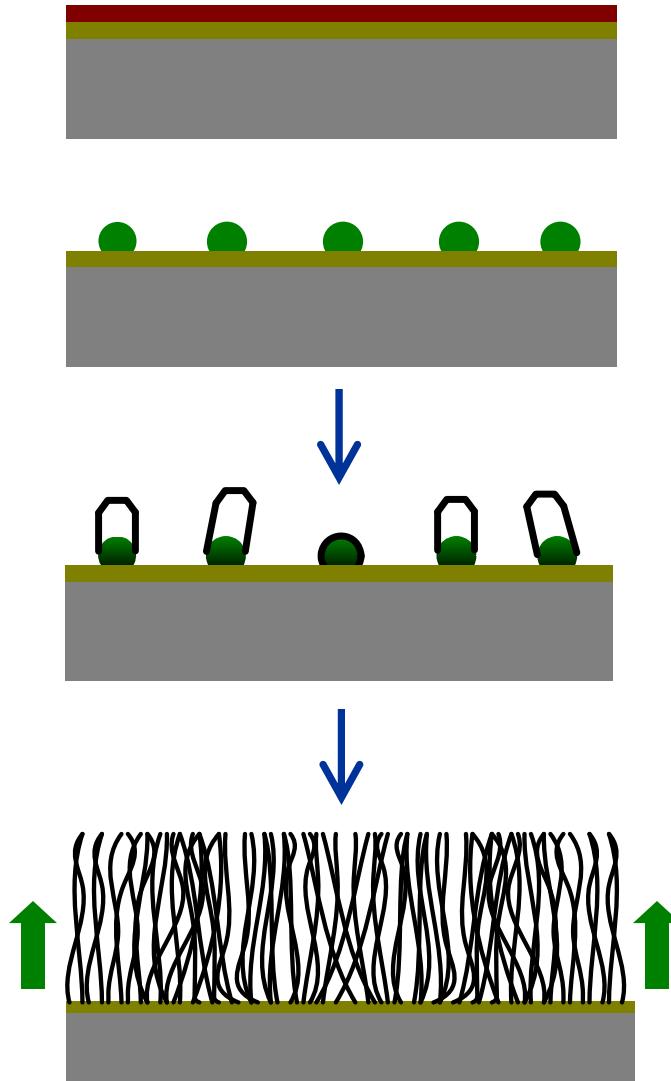
- create cap and determine CNT structure
- maximize yield and uniformity

## 3. Growth

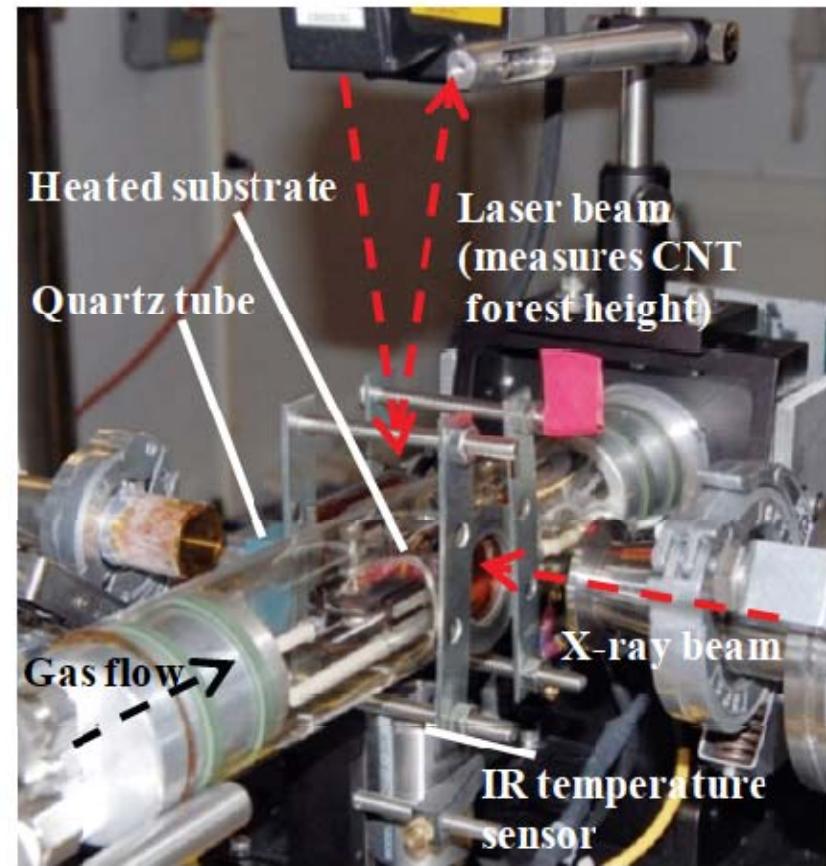
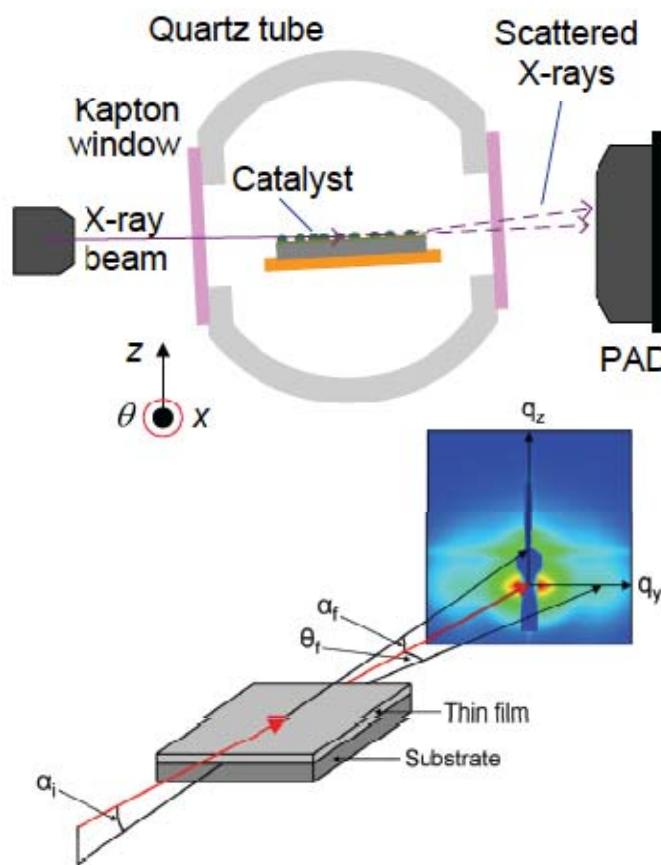
- control carbon “construction”
- maintain uniformity (diameter, density)

## 4. Termination

- maximum height = 1-20 mm ... *why?*



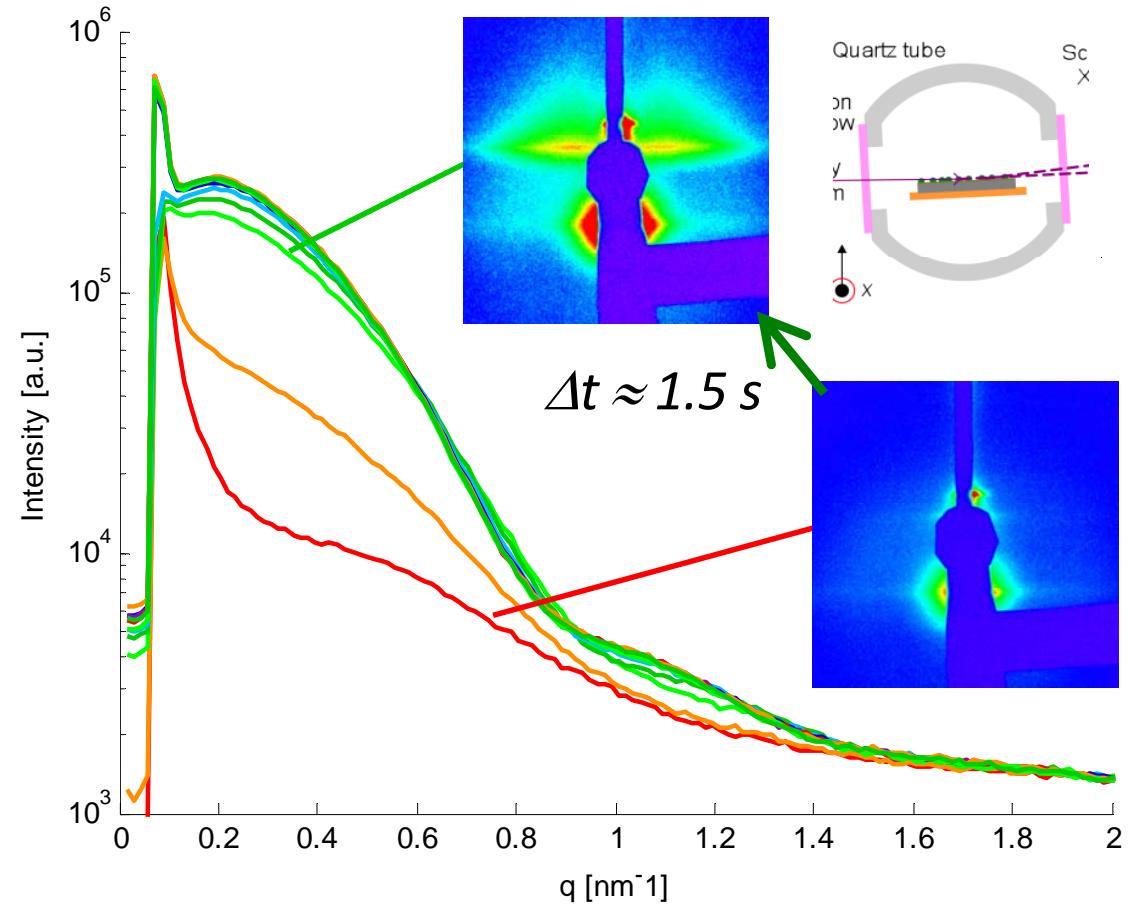
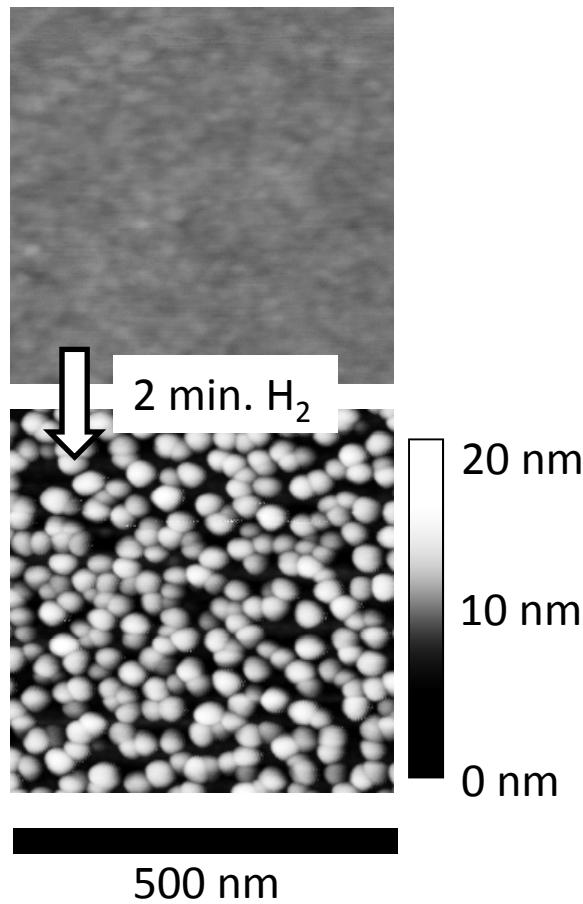
# In situ X-ray scattering of CNT film growth



# Catalyst particles form rapidly on the substrate



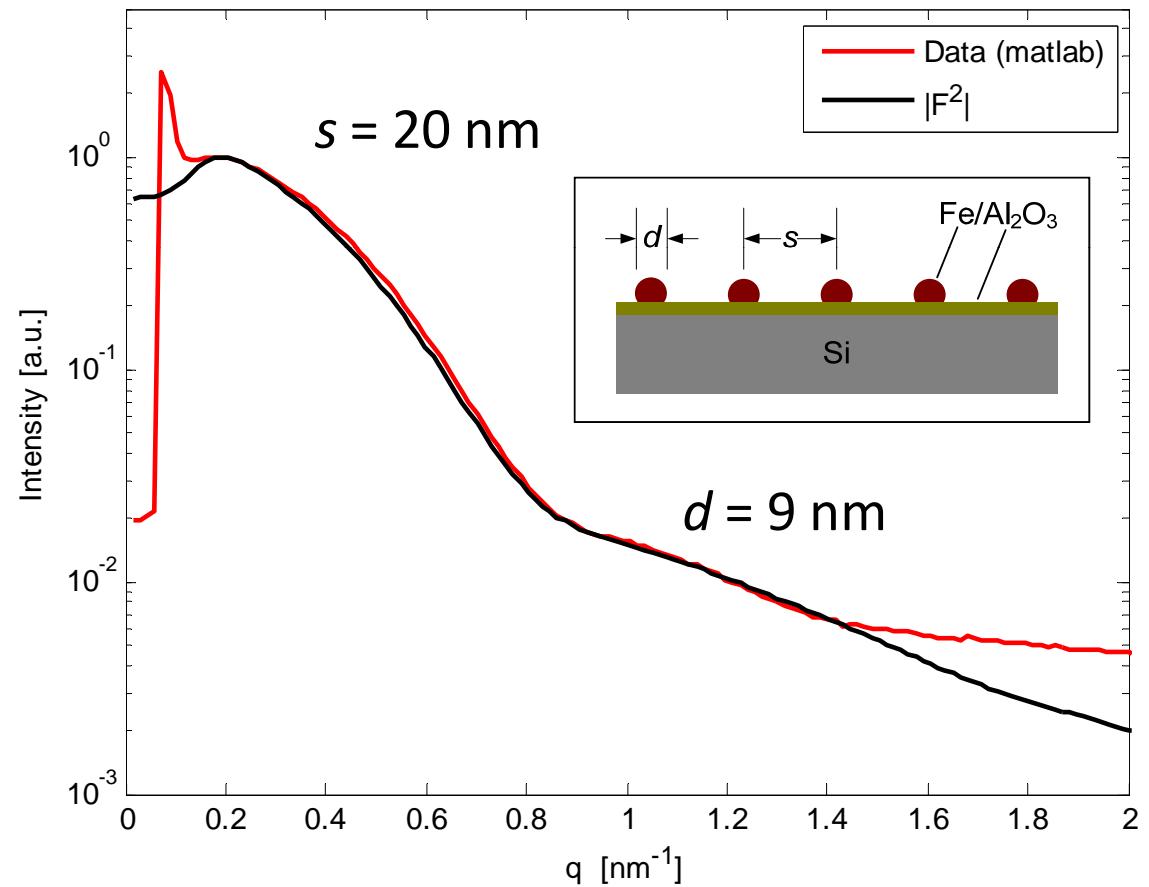
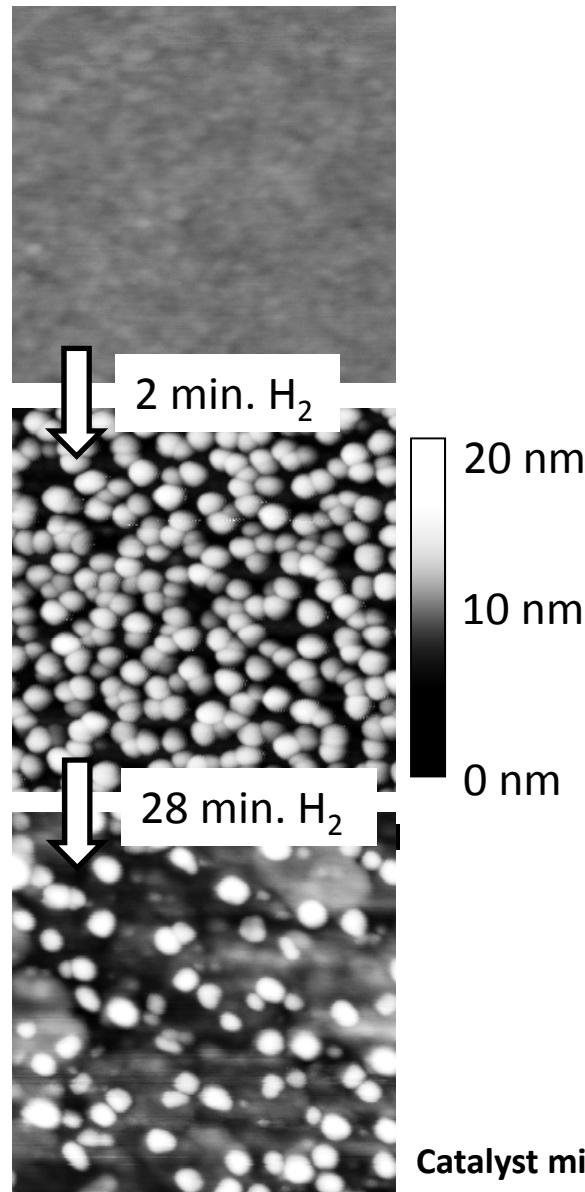
As-deposited



# Fe agglomerates rapidly yet coarsens slowly

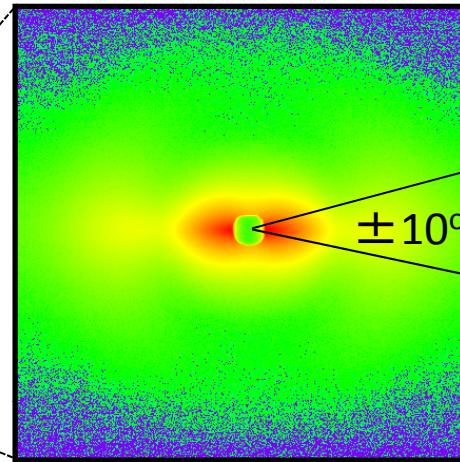
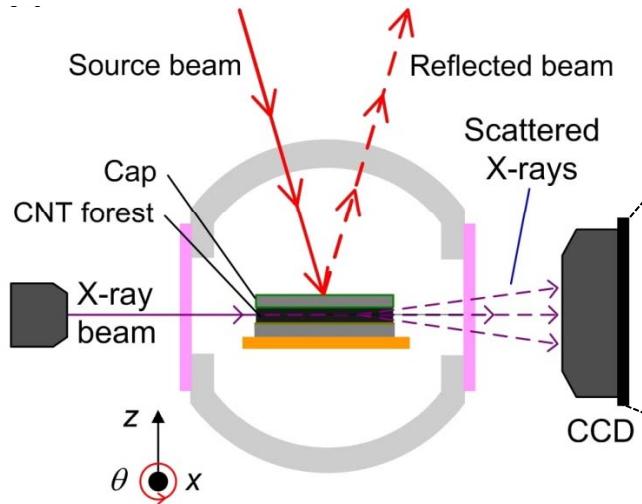


As-deposited



Catalyst migration → Kim et al., J. Phys. Chem. Lett., 2010.

# Measuring CNT diameter distribution by SAXS



$$\int_{-\pi/18}^{\pi/18} I(q, \phi) d\phi$$

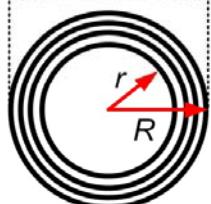
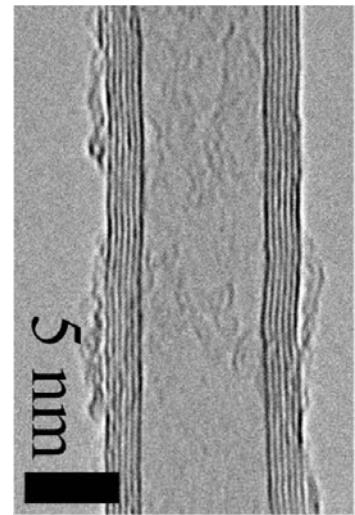
$$I_C(q) = \frac{\int_0^\infty P(R) f^2(q, R) dR}{\int_0^\infty P(R) dR}$$

$$P(R) = \frac{1}{R\sigma\sqrt{2\pi}} \exp\left[\frac{-(\ln R - \mu)^2}{2\sigma^2}\right]$$

**Log-normal distribution of core-shell cylinders**

$$f(q, R, c) = \Delta\rho R \frac{2[J_1(Rq) - cJ_1(cRq)]}{qR(1 - c^2)}$$

$\rightarrow c = r/R$

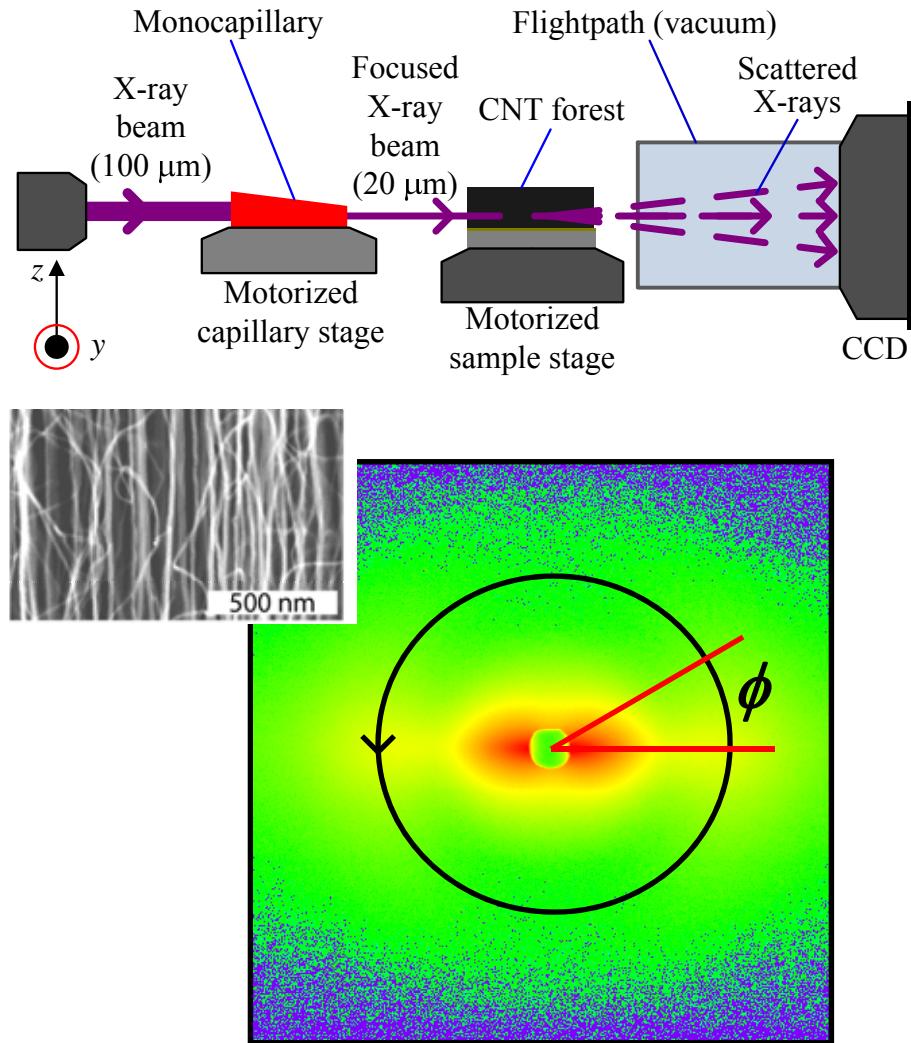


Wang, Bennett, Verploegen, Hart, Cohen, *J. Phys. Chem. C* 111(16):5859-5865, 2007.  
Meshot, Plata, Tawfick, Zhang, Verploegen, Hart. *ACS Nano*, 3(9):2477-2486, 2009.

# Quantifying CNT alignment



## Transmission SAXS



## Hermans orientation parameter

$$H = \frac{1}{2} \left( 3 \langle \cos^2 \phi \rangle - 1 \right)$$

$$\langle \cos^2 \phi \rangle = \frac{\int_0^{\pi/2} I(\phi) \sin \phi \cos^2 \phi d\phi}{\int_0^{\pi/2} I(\phi) \sin \phi d\phi}$$

**$H = 1.0$ : perfect vertical**

**$H = 0.0$ : random**

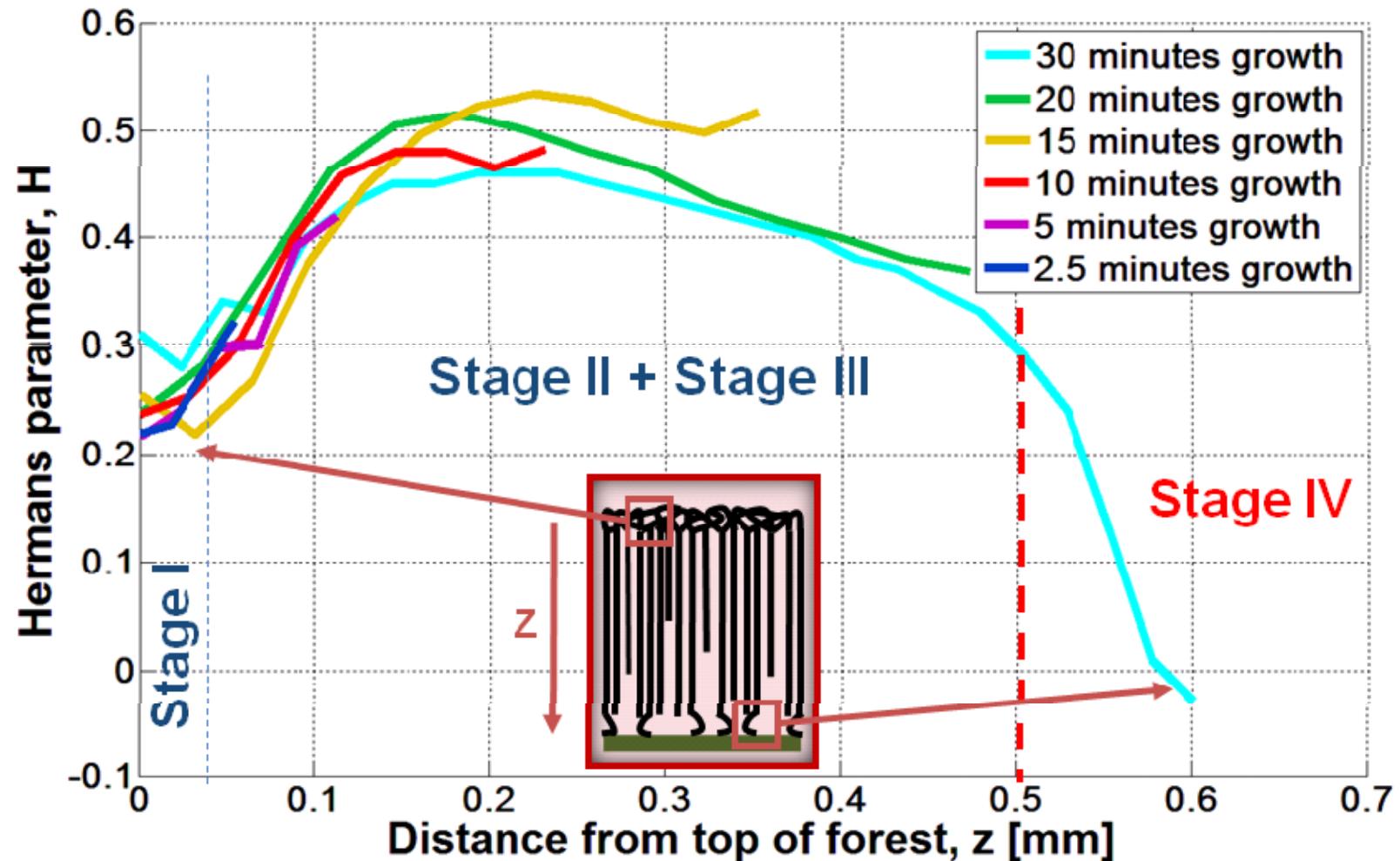
**$H = -0.5$ : horizontal**

Hermans, 1948.

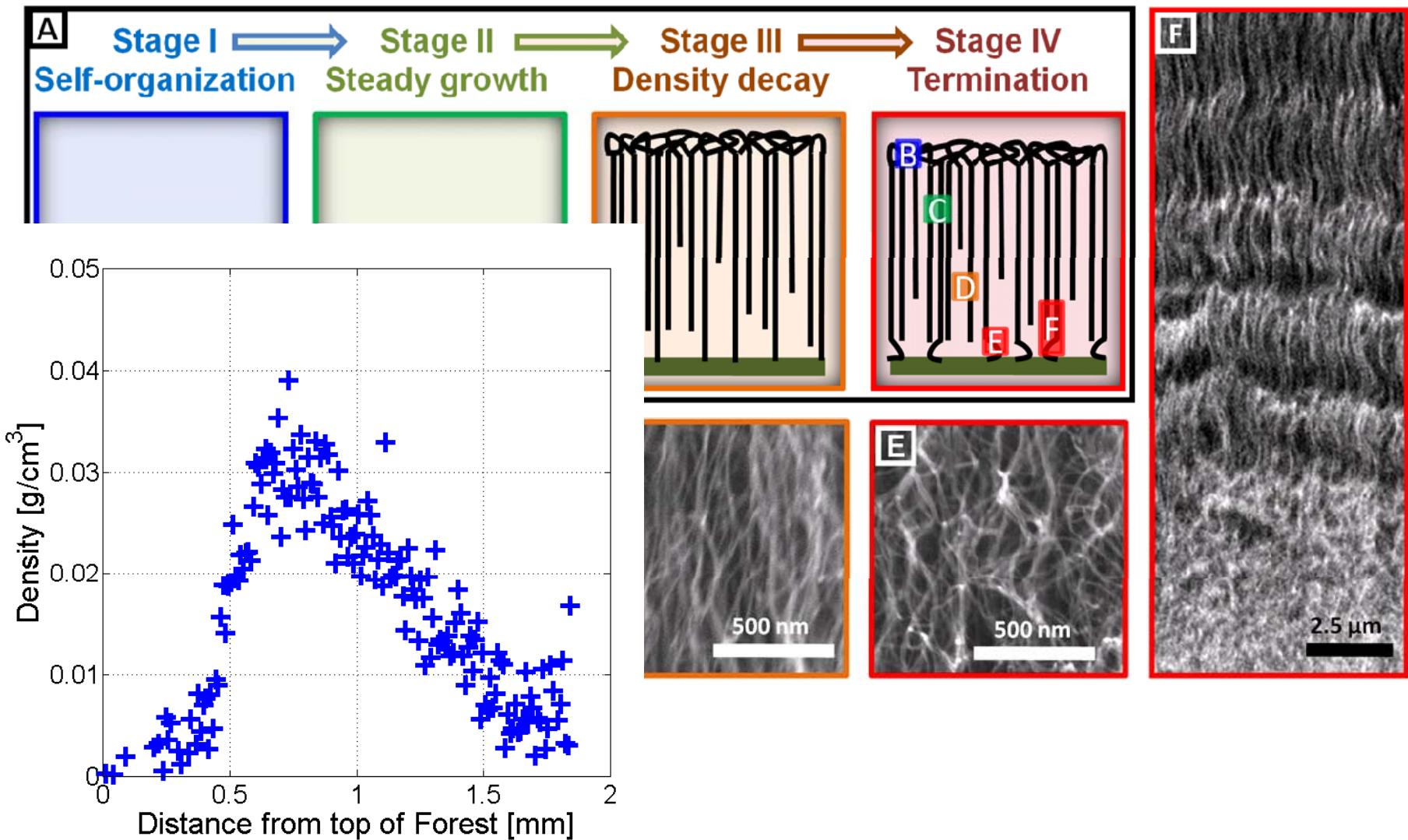
Wang, Bennett, Verploegen, Hart, Cohen, *J. Phys. Chem. C* 111(16):5859-5865, 2007.

A.J. Hart | 29

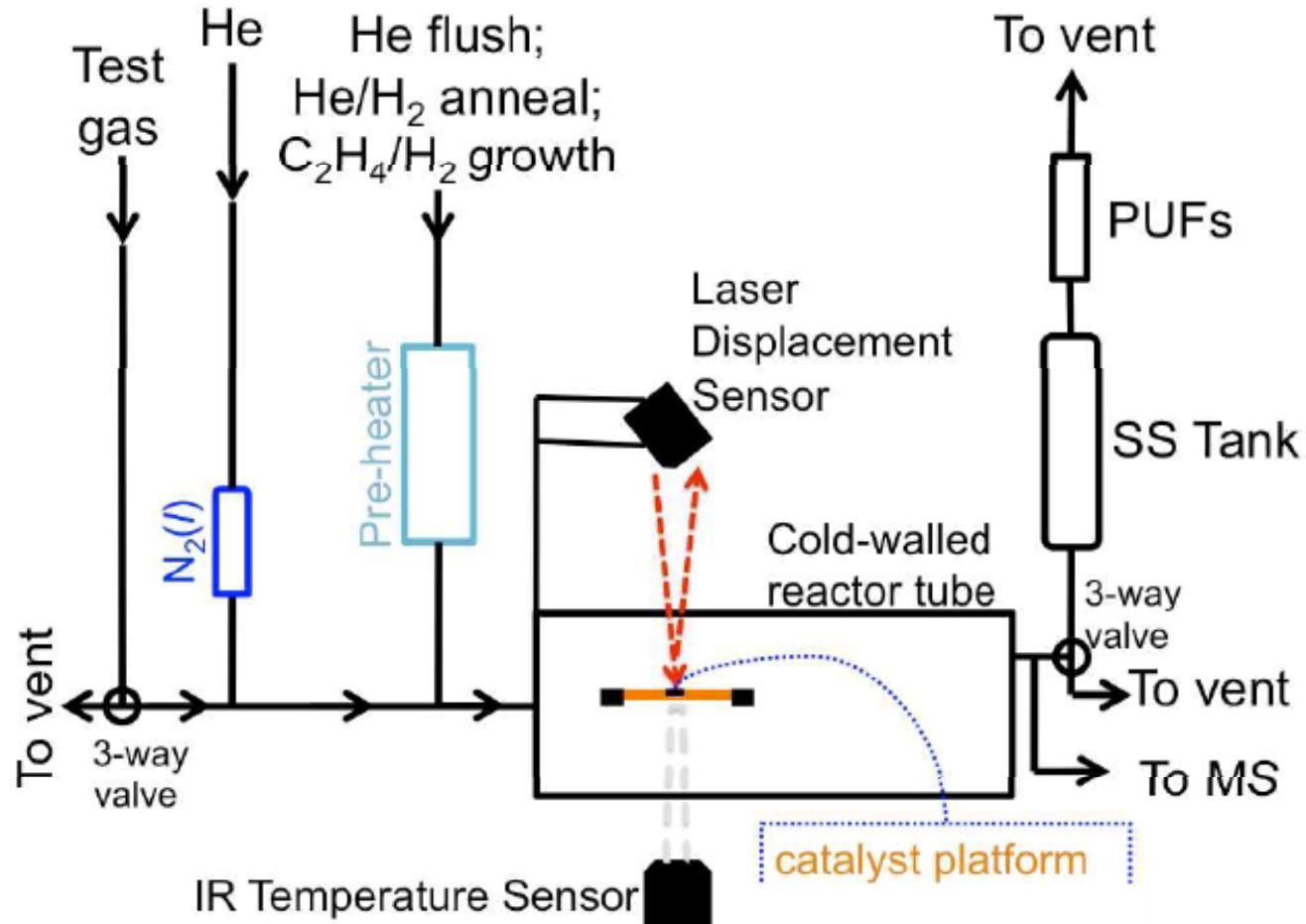
# Time evolution of alignment

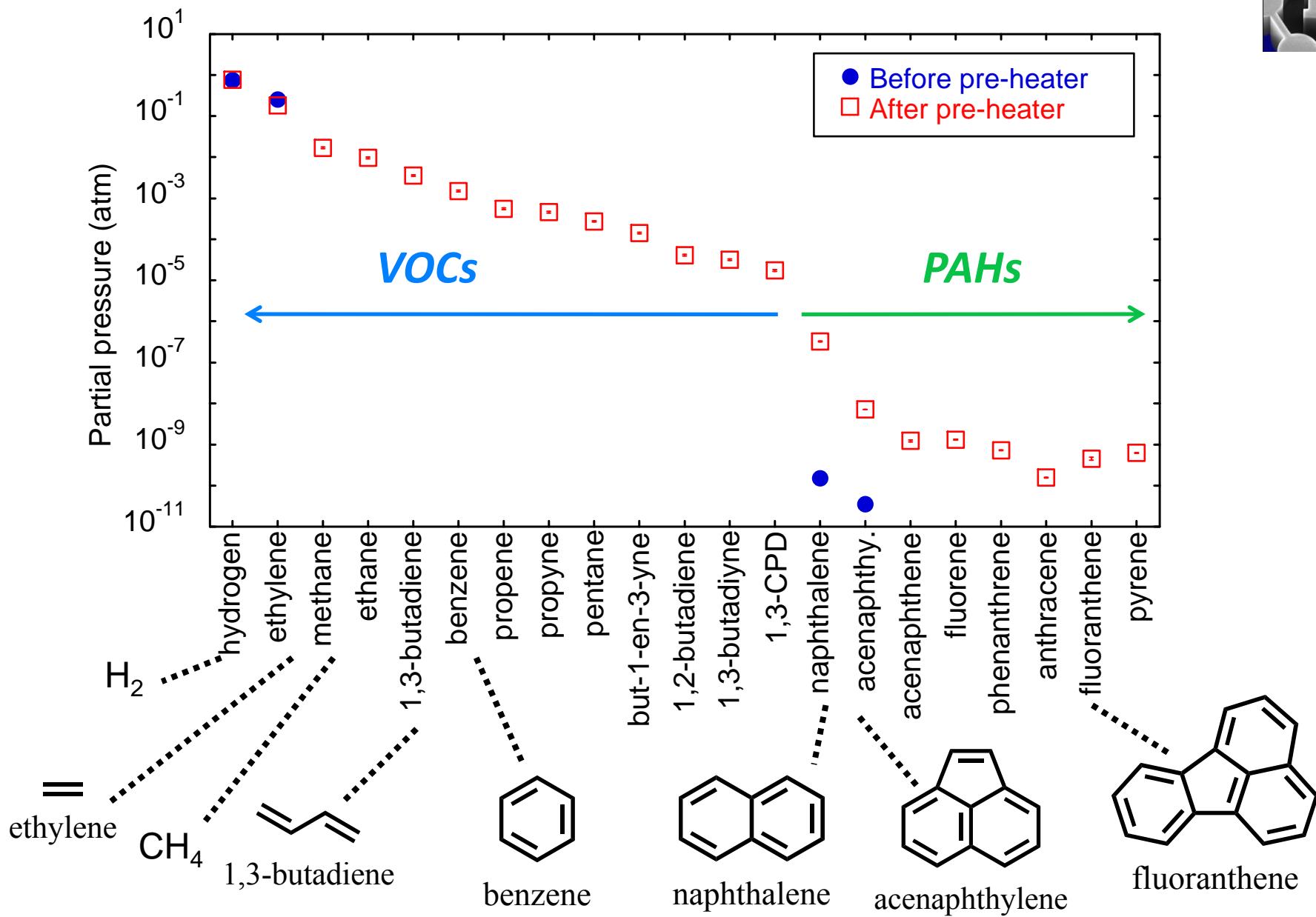


# Collective growth model



# Metrology of the reactor environment

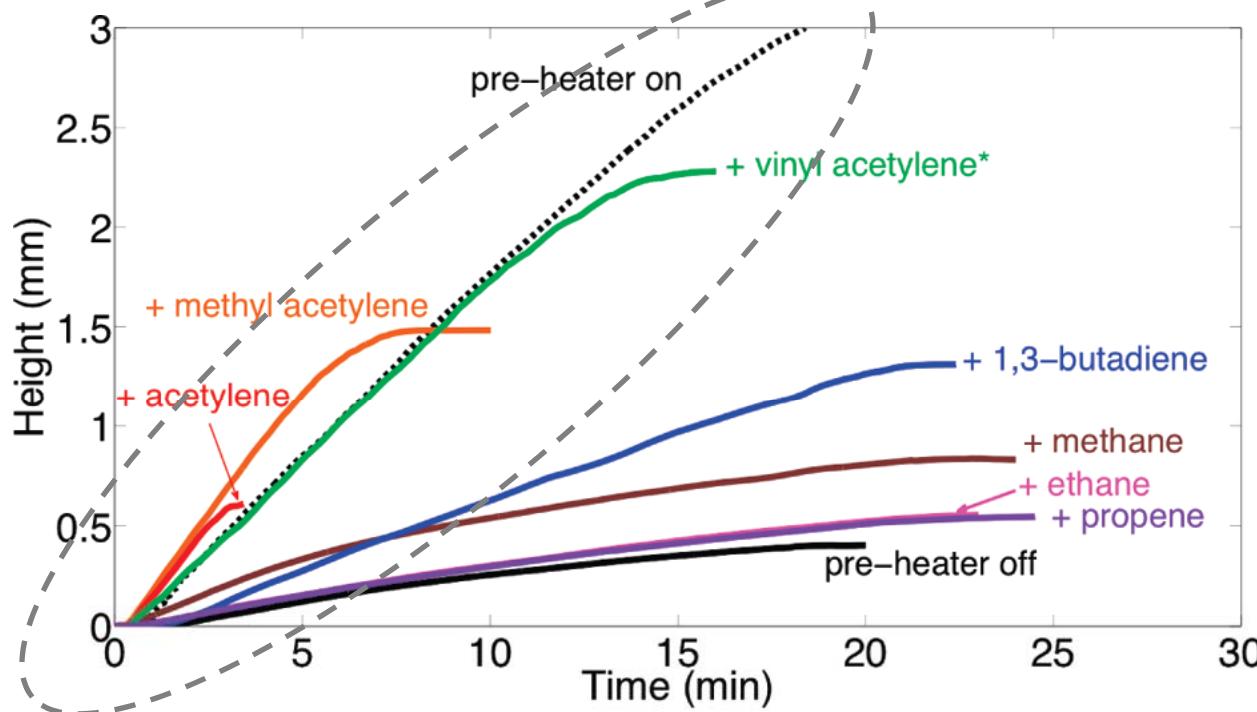
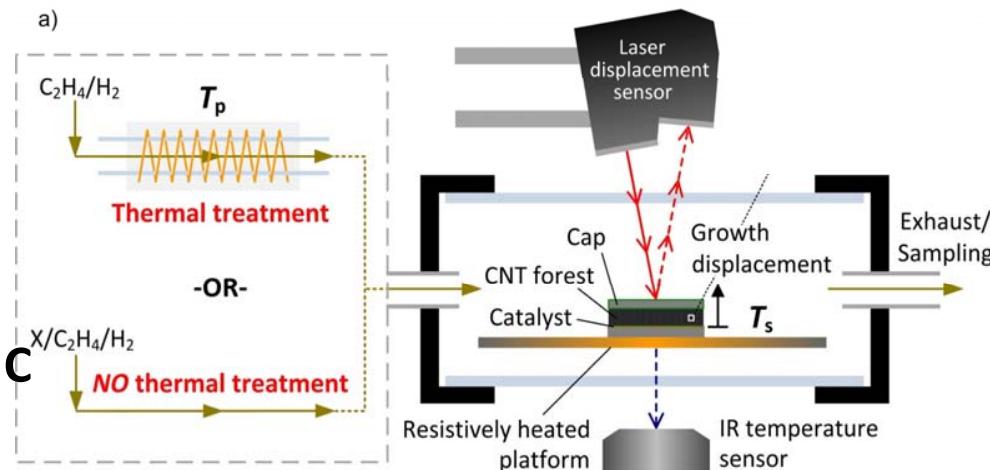




# Selective testing reveals alkynes as effective precursors



All  $T_s = 750^\circ \text{ C}$ ,  $T_p = 25^\circ \text{ C}$   
(+ 0.01 atm of select HC)



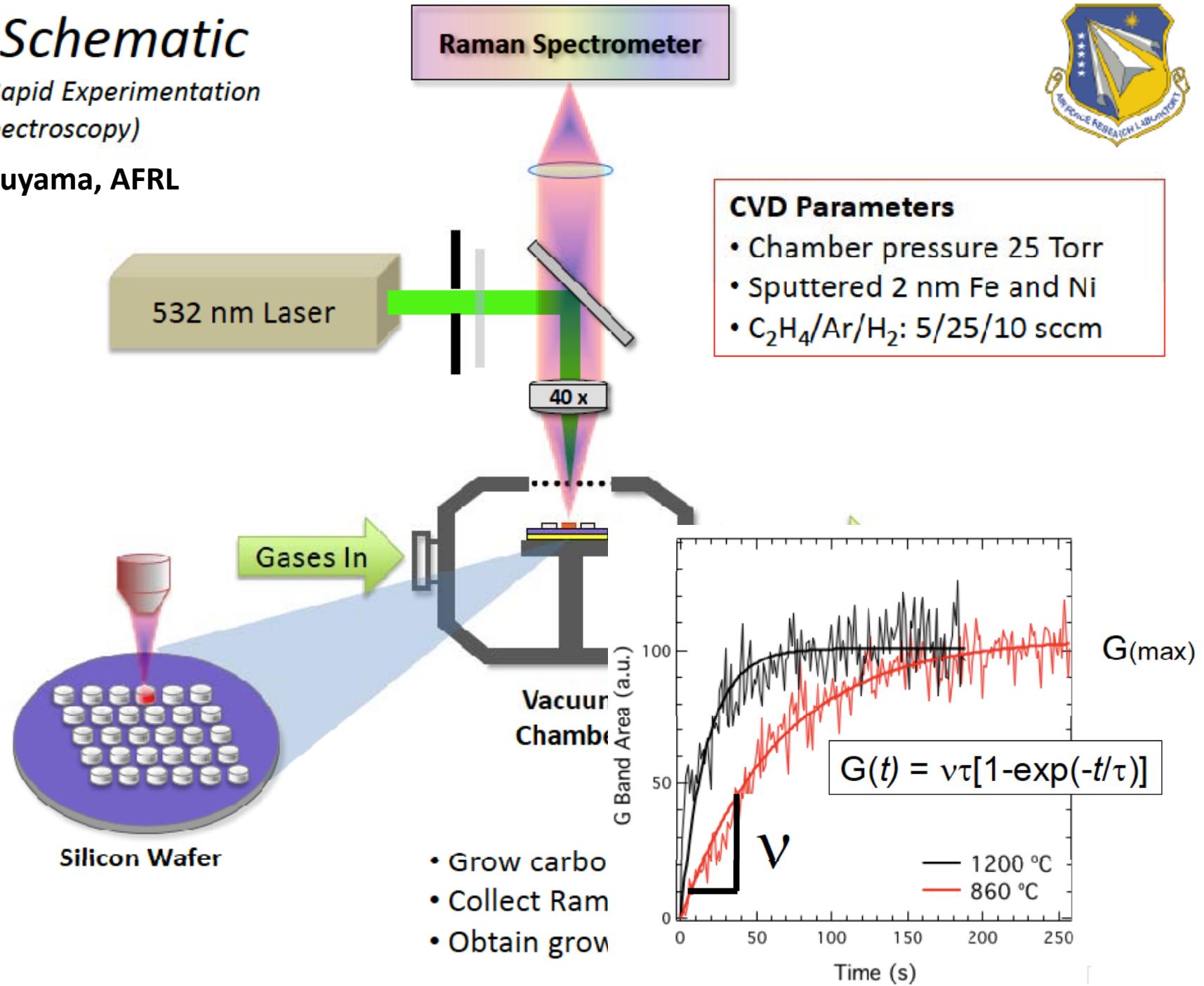
Diameter remains constant

CNT diameter [nm]	
pre-heater ON	10.0
+acetylene	10.2

# ARES Schematic

(Adaptive Rapid Experimentation  
& in-situ Spectroscopy)

Benji Maruyama, AFRL





# Discussion topics

- Accelerating rapid quality control of CNT production
  - Minimum suite of methods?
  - What are the key metrics of process health?
  - What are the needs/uses of in situ techniques?
  - Ways to close the loop between growth process and material properties
- Demands for advancement in tools/techniques
  - Statistical analysis of CNT populations
  - Characterization across entire SWNT/DWNT diameter range
  - Compact instruments and dedicated systems for in situ measurements
- Where do the “growth limits” matter?
- Characterization standards/protocols for EHS qualification

**MUSE**



# Mechanosynthesis Group



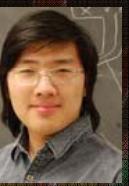
Eric  
Meshot



Mostafa  
Bedewy



Erik Polsen



Jinjing Li



Davor  
Copic



Sei Jin Park



Justin  
Beroz



Sameh  
Tawfick



Michael  
De Volder



Megan  
Roberts



Dan  
McNerny



Ryan  
Oliver



Anand  
Bharath



Precursor chemistry: Desiree Plata (Mt. Holyoke)

X-ray scattering at Cornell: Arthur Woll, Sol Gruner



Yongyi  
Zhang



Jong Ok



Aaron  
Schmidt



Anne  
Juggernaut

