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| **Application to National Institute of Standards and Technology (NIST) Small Business Innovation Research (SBIR) Program Phase I**  **2021-NIST-SBIR-01 (April 14, 2021)**  **Cover Sheet** | | | | | | | |
| **Name & Address of Submitting Firm:** | |  | | | | | |
| **Project Title** |  | | | | | | |
| **Principal Investigator (PI) Name** |  | | | | **PI Title** |  | |
| **PI Phone #** |  | | | | **PI E-mail** |  | |
| **NIST may verify the following responses with information provided elsewhere in your application or by independent sources.** | | | | | | | |
| **THE APPLICANT CERTIFIES THAT:** | | | | | | | |
| 1. It is a small business concern (SBC) and meets the definition as stated in this Notice of Funding  Opportunity (NOFO). | | | | | | | * Yes ☐ No |
| 2. The primary employment of the PI will be with the SBC at the time of award and during the  conduct of research. | | | | | | | * Yes ☐ No |
| 3. A minimum of either two-thirds for Phase I or one-half for Phase II of the research will be performed by the SBC as determined by data provided in the Budget Narrative. See NOFO  Section **1.03.01** for details on funding determination. | | | | | | | * Yes ☐ No |
| 4. The applicant and/or PI ☐ has / | | | * has not submitted applications for essentially equivalent work under other Federal program | | | | |
| FFOs and ☐ has / ☐ has not received other Federal awards for essentially equivalent work. If “has”, what agency? **Click here to enter text.**  See NOFO Section 3.02.02(14) for additional details that must be provided. | | | | | | | |
| 5. The applicant qualifies as a socially and economically disadvantaged SBC and meets the  definition as stated in this NOFO. | | | | | | | * Yes ☐ No |
| 6. The applicant qualifies as a woman-owned SBC and meets the definition as stated in this NOFO. | | | | | | | * Yes ☐ No |
| 7. The applicant qualifies as a HUBZone-owned SBC and meets the SBA’s definition (see  <http://www.sba.gov/hubzone>). | | | | | | | * Yes ☐ No |
| 8. Year SBC founded: | | | | | | | **Click here to enter text.** |
| 9. Number of Employees: | | | | | | | **Click here to enter text.** |
| **STATEMENTS:** | | | | | | | |
| 10. The applicant will permit the Federal Government to disclose name, address, and telephone number of the corporate official of your concern, if your application does not result in an award, to appropriate local and State-level economic development organizations that may be interested in contacting you for further information. | | | | | | | * Yes ☐ No |
| 11. The applicant authorizes contact information and project title to be provided to the NIST Manufacturing Extension Partnership (MEP) after awards have been announced. If ‘Yes’ your contact information will be provided to NIST MEP. If so, you will be contacted by your local MEP to explore business-related support services that could benefit the potential of the  project you proposed. | | | | | | | * Yes ☐ No |
| Signature of Company Official  and typed name, title, address,   telephone number, and date | | | |  | | | |
| Signature of Principal Investigator  and typed name, title, address, telephone number, and date | | | |  | | | |
| **TECHNICAL ABSTRACT (limit to 200 words):** | | | | | | | |
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| **POTENTIAL COMMERCIAL APPLICATION OF THE RESEARCH: (limit to 100 words)** | | | | | | | |
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| **OTHER INFORMATION:** | | | | | | | |
| Information contained in unsuccessful applications will remain the property of the applicant. The Federal Government may, however, retain copies of all applications. Public release of information in any application submitted will be subject to existing statutory and regulatory requirements Applicants are discouraged from submitting proprietary information unless the information is deemed essential for proper evaluation of the application. If proprietary information provided by an applicant in a proposal constitutes trade secret, proprietary commercial or financial information, confidential personal information, or data affecting national security, it will be treated in confidence to the extent permitted by law, provided that the proposal is clearly marked by the applicant as follows:  (A) The following legend must appear on the title page of the proposal:  This proposal contains information that shall not be disclosed outside the Federal Government and shall not be duplicated, used, or disclosed in whole or in part for any purpose other than evaluation of this proposal, unless authorized by law. The Government shall have the right to duplicate, use, or disclose the data to the extent provided in the resulting award if award is made as a result of the submission of this proposal. The information subject to these restrictions are contained on all pages of the proposal except for pages [insert page number or other identification of pages that contain no restricted information.]  (End of Legend); and  (B) The following legend must appear on each page of the proposal that contains information the applicant wishes to protect:  Use or disclosure of information contained on this sheet is subject to the restriction on the title page of this proposal.  The use of any other legend is unacceptable to the Government and may constitute grounds for removing the application from further consideration without assuming any liability for inadvertent disclosure. | | | | | | | |

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for reducing this burden, to the National Institute of Standards and Technology, Attn: Mary Clague, 100 Bureau Dr., MS 2200, Gaithersburg, MD 20899.

**OMB Control No. 0693-0072 Expiration Date: 1/31/2024**