# Face Recognition Vendor Test MORPH

# Performance of Automated Facial Morph Detection and Morph Resistant Face Recognition Algorithms

Concept, Evaluation Plan and API

VERSION 1.1

Updates since the last version of this document are highlighted in cyan.

Mei Ngan Patrick Grother Kayee Hanaoka Information Access Division Information Technology Laboratory

September 6, 2018



# 1 Table of Contents

2	1. MOR	РН	.4
3	1.1. Sco	)PE	. 4
4	1.2. Au	DIENCE	. 4
5	1.3. Ref	PORTING	. 4
6	1.4. Acc	CURACY METRICS	. 4
7	2. RULE	S FOR PARTICIPATION	.5
8	-	PLEMENTATION REQUIREMENTS	-
9		RTICIPATION AGREEMENT	
10		mber and Schedule of Submissions	
11		IDATION	
12		RDWARE SPECIFICATION	
13	2.5.1.	Central Processing Unit (CPU)-only platforms	
14	2.6. Op	ERATING SYSTEM, COMPILATION, AND LINKING ENVIRONMENT	
15		TWARE AND DOCUMENTATION	
16	2.7.1.	Library and platform requirements	. 6
17	2.7.2.	Configuration and developer-defined data	. 7
18	2.7.3.	Submission folder hierarchy	. 7
19	2.7.4.	Installation and usage	. 7
20	2.8. Ru	NTIME BEHAVIOR	. 8
21	2.8.1.	Modes of operation	. 8
22	2.8.2.	Interactive behavior, stdout, logging	. 8
23	2.8.3.	Exception handling	. 8
24	2.8.4.	External communication	. 8
25	2.8.5.	Stateless behavior	. 8
26	2.8.6.	Single-thread requirement and parallelization	. 8
27	3. DATA	A STRUCTURES SUPPORTING THE API	.8
28	3.1. REC	QUIREMENT	. 8
29	3.2. File	FORMATS AND DATA STRUCTURES	. 9
30	3.2.1.	Overview	. 9
31	3.2.2.	ImageLabel describing the format of an image	. 9
32	3.2.3.	Data type for similarity scores	. 9
33	3.2.4.	Data structure for return value of API function calls	. 9
34	4. API S	PECIFICATION	10
35		MESPACE	
36			
37	4.2.1.	Implementation Requirements	
38	4.2.2.	Interface	
39	4.2.3.	Initialization	
40	4.2.4.	Single-image Morph Detection	
41	4.2.5.	Two-image Differential Morph Detection	
42	4.2.6.	1:1 Comparison	
43	4.2.7.	Training for Morph Detection	
44			

# 47 List of Tables

48	Table 1 – Implementation library filename convention	. 7
49	Table 2 – Structure for a single image	. 9
50	Table 3 – Enumeration of image label	
51	Table 4 – Enumeration of return codes	. 9
52	Table 5 – ReturnStatus structure	10
53	Table 6 – API Functions	10
54	Table 7 – Initialization	11
55	Table 8 – Single-image Morph Detection of Non-Scanned Photos	12
56	Table 9 – Two-image Differential Morph Detection	13
57	Table 10 – 1:1 Comparison	14
58	Table 11 – Training	14
59		

#### 1. MORPH 61

#### 1.1. Scope 62

63 Facial morphing (and the ability to detect it) is an area of high interest to a number of photo-credential issuance

64 agencies and those employing face recognition for identity verification. The FRVT MORPH test will provide ongoing

- 65 independent testing of prototype facial morph detection technologies. The evaluation is designed to obtain an 66 assessment on morph detection capability to inform developers and current and prospective end-users. This
- document establishes a concept of operations and an application programming interface (API) for evaluation of two 67
- 68 separate tasks:
- 1. Algorithmic capability to detect facial morphing (morphed/blended faces) in still photographs 69
- 70 a. Single-image morph detection of non-scanned photos, printed-and-scanned photos, and images of 71 unknown photo format/origin
- 72 b. Two-image differential morph detection of non-scanned photos, printed-and-scanned photos, and 73 images of unknown photo format/origin
- 74 2. Face recognition algorithm resistance against morphing

#### 1.2. Audience 75

- 76 Participation is open to any organization worldwide involved in development of morph detection algorithms. While
- 77 NIST intends to evaluate stable technologies that could be readily made operational, the test is also open to
- 78 experimental, prototype and other technologies. All algorithms must be submitted as implementations of the C++ API
- defined in this document. There is no charge for participation. 79

#### 80 1.3. Reporting

81 For all algorithms that complete the evaluation, NIST will provide performance results back to the participating

- 82 organizations. NIST may additionally report and share results with partner government agencies and interested
- 83 parties, and in workshops, conferences, conference papers, presentations and technical reports.
- 84

85 **Important:** This is a test in which NIST will identify the algorithm and the developing organization. Algorithm results

86 will be attributed to the developer. Results will be machine generated (i.e. scripted) and will include timing, accuracy

and other performance results. These will be provided alongside results from other implementations. Results will be 87 88 expanded and modified as additional implementations are tested, and as analyses are implemented. Results may be

89 regenerated on-the-fly, usually whenever additional implementations complete testing, or when new analyses are

90 added.

#### 1.4. Accuracy metrics 91

92 This test will evaluate algorithmic ability to detect whether an image is a morphed/blended image of two or more

faces and/or to correctly reject 1:1 comparisons of morphed images against other images of the subjects used to 93 94

create the morph (but similarly, correctly authenticate legitimate non-morphed, mated pairs and correctly reject nonmorphed, non-mated pairs). Per established metrics<sup>1,2</sup> for assessment of morphing attacks, NIST will compute and

report:

<sup>&</sup>lt;sup>1</sup> International Organization for Standardization: Information Technology – Biometric presentation attack detection – Part 3: Testing and reporting. ISO/IEC FDIS 30107-3:2017, JTC 1/SC 37, Geneva, Switzerland, 2017

<sup>&</sup>lt;sup>2</sup> U. Scherhag, A. Nautsch, C. Rathgeb, M. Gomez-Barrero, R. Veldhuis, L. Spreeuwers, M. Schils, D. Maltoni, P. Grother, S. Marcel, R. Breithaupt, R. Raghavendra, C. Busch: "Biometric Systems under Morphing Attacks: Assessment of Morphing Techniques and Vulnerability Reporting", in Proceedings of the IEEE 16th International Conference of the Biometrics Special Interest Group (BIOSIG), Darmstadt, September 20-22, (2017)

97 98	•	Attack Presentation Classification Error Rate (APCER) – the proportion of morph attack samples incorrectly classified as bona fide presentation
99 100	•	Bona Fide Presentation Classification Error Rate (BPCER) – the proportion of bona fide samples incorrectly classified as morphed samples
101 102	•	Mated Morph Presentation Match Rate (MMPMR) - the proportion of comparisons where the morphed image successfully authenticates against all constituents
103 104	•	True Acceptance Rate (TAR) – the proportion of non-morphed, mated comparisons that correctly authenticate
105 106	•	False Match Rate (FMR) – the proportion of non-morphed, non-mated comparisons that incorrectly authenticate
407		

107

We will report the above quantities as a function of alpha (the fraction of each subject that contributed to the morph),
 image compression ratio, image resolution, image size, and others.

110 We will also report error tradeoff plots (BPCER vs. APCER, MMPMR vs. FMR, parametric on threshold).

# 111 **2.** Rules for participation

### 112 **2.1.** Implementation Requirements

Developers are <u>not</u> required to implement all functions specified in this API. Developers may choose to implement one or more functions of this API – please refer to Section 4.2.1 for detailed information regarding implementation requirements.

### 116 **2.2.** Participation agreement

117 A participant must properly follow, complete, and submit the FRVT MORPH Participation Agreement. This must be

- done once, either prior or in conjunction with the very first algorithm submission. It is not necessary to do this for
- each submitted implementation thereafter.

# 120 **2.3.** Number and Schedule of Submissions

121 Currently, the number and schedule of submissions is not regulated, so participants can send submissions at any time.

122 NIST reserves the right to amend this section with submission volume and frequency limits. NIST will evaluate

123 implementations on a first-come-first-served basis and provide results back to the participants as soon as possible.

# 124 2.4. Validation

125 All participants must run their software through the provided FRVT MORPH validation package prior to submission.

126 The validation package will be made available at https://github.com/usnistgov/frvt. The purpose of validation is to

ensure consistent algorithm output between the participant's execution and NIST's execution. Our validation set is

128 not intended to provide training or test data.

# 129 2.5. Hardware specification

130 NIST intends to support high performance by specifying the runtime hardware beforehand. There are several types of

131 computer blades that may be used in the testing. Each machine has at least 192 GB of memory. We anticipate that 16

- processes can be run without time slicing, though NIST will handle all multiprocessing work via fork ()<sup>3</sup>. Participantinitiated multiprocessing is not permitted.
- 134 All implementations shall use 64-bit addressing.
- 135 NIST intends to support highly optimized algorithms by specifying the runtime hardware. There are several types of

<sup>&</sup>lt;sup>3</sup> http://man7.org/linux/man-pages/man2/fork.2.html

136 computers that may be used in the testing.

# 137 **2.5.1.** Central Processing Unit (CPU)-only platforms

- 138 The following list gives some details about the hardware of each CPU-only blade type:
- Dual Intel<sup>®</sup> Xeon<sup>®</sup> CPU E5-2630 v4 @ 2.2GHz (10 cores each)<sup>4</sup>
- Dual Intel<sup>®</sup> Xeon<sup>®</sup> CPU E5-2680 v4 @ 2.4GHz (14 cores each)<sup>4</sup>

141 This test will not support the use of Graphics Processing Units (GPUs). NIST intends on running algorithms over a very

142 large number of CPU cores to support large-scale, timely test execution.

# 143 **2.6.** Operating system, compilation, and linking environment

- 144 The operating system that the submitted implementations shall run on will be released as a downloadable file
- accessible from http://nigos.nist.gov:8080/evaluations/CentOS-7-x86\_64-Everything-1511.iso, which is the 64-bit
   version of CentOS 7.2 running Linux kernel 3.10.0.
- 147 For this test, MacOS and Windows-compiled libraries are not permitted. All software must run under CentOS 7.2.
- 148 NIST will link the provided library file(s) to our C++ language test drivers. Participants are required to provide their 149 library in a format that is dynamically-linkable using the C++11 compiler, g++ version 4.8.5.
- 150 A typical link line might be
- 151 g++ -std=c++11 -I. -Wall -m64 -o frvt\_morph frvt\_morph.cpp -L. -lfrvtmorph\_acme\_000.so
- 152 The Standard C++ library should be used for development. The prototypes from this document will be written to a file 153 "frvt\_morph.h" which will be included via #include.
- 154 The header files will be made available to implementers at https://github.com/usnistgov/frvt. All algorithm
- submissions will be built against the officially published header files developers should not alter the header files
   when compiling and building their libraries.
- 157 All compilation and testing will be performed on x86\_64 platforms. Thus, participants are strongly advised to verify
- library-level compatibility with g++ (on an equivalent platform) prior to submitting their software to NIST to avoid
- 159 linkage problems later on (e.g. symbol name and calling convention mismatches, incorrect binary file formats, etc.).

# 160 **2.7.** Software and documentation

# 161 **2.7.1.** Library and platform requirements

- 162 Participants shall provide NIST with binary code only (i.e. no source code). The implementation should be submitted 163 in the form of a dynamically-linked library file.
- 164 The core library shall be named according to Table 1. Additional supplemental libraries may be submitted that
- support this "core" library file (i.e. the "core" library file may have dependencies implemented in these other
- 166 libraries). Supplemental libraries may have any name, but the "core" library must be dependent on supplemental
- 167 libraries in order to be linked correctly. The **only** library that will be explicitly linked to the FRVT MORPH test driver is
- the "core" library.

<sup>&</sup>lt;sup>4</sup> cat /proc/cpuinfo returns fpu vme de pse tsc msr pae mce cx8 apic sep mtrr pge mca cmov pat pse36 clflush dts acpi mmx fxsr sse sse2 ss ht tm pbe syscall nx pdpe1gb rdtscp lm constant\_tsc arch\_perfmon pebs bts rep\_good nopl xtopology nonstop\_tsc aperfmperf eagerfpu pni pclmulqdq dtes64 monitor ds\_cpl vmx smx est tm2 ssse3 fma cx16 xtpr pdcm pcid dca sse4\_1 sse4\_2 x2apic movbe popcnt tsc\_deadline\_timer aes xsave avx f16c rdrand lahf\_lm abm 3dnowprefetch ida arat epb pln pts dtherm tpr\_shadow vnmi flexpriority ept vpid fsgsbase tsc\_adjust bmi1 hle avx2 smep bmi2 erms invpcid rtm cqm rdseed adx smap xsaveopt cqm\_llc cqm\_occup\_llc

- 169 Developers may obviously use common deep learning frameworks (e.g. Caffe, TensorFlow, etc.) and should submit
- 170 those dependencies as supplemental libraries. NIST has successfully received and run implementations leveraging
- 171 such deep learning frameworks in other evaluations with no issues.
- 172 Intel Integrated Performance Primitives (IPP) <sup>®</sup> libraries are permitted if they are delivered as a part of the developer-
- 173 supplied library package. It is the provider's responsibility to establish proper licensing of all libraries. The use of IPP
- 174 libraries shall not prevent running on CPUs that do not support IPP. Please take note that some IPP functions are
- 175 multithreaded and threaded implementations are prohibited.
- 176 NIST will report the size of the supplied libraries.
- 177

#### Table 1 – Implementation library filename convention

Form	libfrvtmorph_provider_sequence.ending			
Underscore delimited parts of the filename	libfrvtmorph	provider	sequence	ending
Description	First part of the name, required to be this.	Single word, non- infringing name of the main provider EXAMPLE: Acme	A three digit decimal identifier to start at 000 and incremented by 1 every time a library is sent to NIST. EXAMPLE: 007	.so
Example libfrvtmorph_acme_(			_acme_007.so	·

#### 178

179 Important: Results will be attributed with the provider name and the 3-digit sequence number in the submitted library180 name.

# 181 **2.7.2.** Configuration and developer-defined data

- 182 The implementation under test may be supplied with configuration files and supporting data files. These might
- include, for example, model, calibration or background feature data. NIST will report the size of the suppliedconfiguration files.

# 185 **2.7.3.** Submission folder hierarchy

- 186 Participant submissions shall contain the following folders at the top level
- 187 lib/ contains all participant-supplied software libraries
- 188 config/ contains all configuration and developer-defined data
- 189 doc/ contains any participant-provided documentation regarding the submission
- 190 validation/ contains validation output

# 191 **2.7.4.** Installation and usage

192 The implementation shall be installable using simple file copy methods. It shall not require the use of a separate

- 193 installation program and shall be executable on any number of machines without requiring additional machine-
- 194 specific license control procedures or activation. The implementation shall not use nor enforce any usage controls or
- 195 limits based on licenses, number of executions, presence of temporary files, etc. The implementation shall remain
- 196 operable for at least twelve months from the submission date.

# 197 **2.8. Runtime behavior**

### 198 **2.8.1.** Modes of operation

Implementations shall not require NIST to switch "modes" of operation or algorithm parameters. For example, the use
 of two different feature extractors must either operate automatically or be split across two separate library
 submissions.

### 202 **2.8.2.** Interactive behavior, stdout, logging

- The implementation will be tested in non-interactive "batch" mode (i.e. without terminal support). Thus, thesubmitted library shall:
- Not use any interactive functions such as graphical user interface (GUI) calls, or any other calls which require
   terminal interaction e.g. reads from "standard input".
- 207 Run quietly, i.e. it should not write messages to "standard error" and shall not write to "standard output".
- Only if requested by NIST for debugging, include a logging facility in which debugging messages are written to a
   log file whose name includes the provider and library identifiers and the process PID.

#### 210 **2.8.3.** Exception handling

- The application should include error/exception handling so that in the case of a fatal error, the return code is still
- 212 provided to the calling application.

### 213 **2.8.4.** External communication

- 214 Processes running on NIST hosts shall not side-effect the runtime environment in any manner, except for memory
- allocation and release. Implementations shall not write any data to external resource (e.g. server, file, connection, or
- other process), nor read from such, nor otherwise manipulate it. If detected, NIST will take appropriate steps,
- including but not limited to, cessation of evaluation of all implementations from the supplier, notification to the
- 218 provider, and documentation of the activity in published reports.

#### 219 2.8.5. Stateless behavior

- All components in this test shall be stateless, except as noted. This applies to face detection, feature extraction and
- matching. Thus, all functions should give identical output, for a given input, independent of the runtime history. NIST

will institute appropriate tests to detect stateful behavior. If detected, NIST will take appropriate steps, including but

- not limited to, cessation of evaluation of all implementations from the supplier, notification to the provider, and
- documentation of the activity in published reports.

# 225 **2.8.6.** Single-thread requirement and parallelization

- 226 Implementations must run in single-threaded mode, because NIST will parallelize the test by dividing the workload 227 across many cores and many machines. Implementations must ensure that there are no issues with their software
- 228 being parallelized via the fork() function.

# **3.** Data structures supporting the API

# 230 **3.1. Requirement**

- 231 FRVT MORPH participants should implement the relevant C++ prototyped interfaces of section 4. C++ was chosen in
- 232 order to make use of some object-oriented features. Any functions that are not implemented should return
- 233 ReturnCode::NotImplemented.

# 234 **3.2.** File formats and data structures

### 235 **3.2.1. Overview**

In this test, an individual is represented by a K = 1 two-dimensional facial image. All images will contain exactly one
 face.

#### 238

C++ code fragment	Remarks
typedef struct Image	
{	
uint16_t width;	Number of pixels horizontally
uint16_t height;	Number of pixels vertically
uint16_t depth;	Number of bits per pixel. Legal values are 8 and 24.
<pre>std::shared_ptr<uint8_t> data;</uint8_t></pre>	Managed pointer to raster scanned data. Either RGB color or
	intensity.
	If image_depth == 24 this points to 3WH bytes RGBRGBRGB
	If image_depth == 8 this points to WH bytes IIIIIII
} Image;	

# 239

# 3.2.2. ImageLabel describing the format of an image

240

#### Table 3 – Enumeration of image label

Return code as C++ enumeration	Meaning
<mark>enum class ImageLabel {</mark>	
Unknown=0,	Image origin is unknown or unassigned
NonScanned=1	Non-scanned photo
Scanned=2,	Printed-and-scanned photo
};	

#### 241 **3.2.3.** Data type for similarity scores

1:1 comparison/verification functions shall return a measure of the similarity between the face data contained in the
 two templates. The datatype shall be an eight-byte double precision real. The legal range is [0, DBL\_MAX], where the
 DBL\_MAX constant is larger than practically needed and defined in the <climits> include file. Larger values indicate
 more likelihood that the two samples are from the same person.

Providers are cautioned that algorithms that natively produce few unique values (e.g. integers on [0,127]) will be

246 Providers are cautioned that algorithms that natively produce rew unique values (e.g. integers on [0,127]) will be
 247 disadvantaged by the inability to set a threshold precisely, as might be required to attain a false match rate of exactly

248 0.0001, for example.

#### 249 **3.2.4.** Data structure for return value of API function calls

250

#### Table 4 – Enumeration of return codes

Return code as C++ enumeration	Meaning
enum class ReturnCode {	
Success=0,	Success
ConfigError,	Error reading configuration files
RefuseInput,	Elective refusal to process the input, e.g. because cannot handle greyscale
ExtractError,	Involuntary failure to process the image, e.g. after catching exception
ParseError,	Cannot parse the input data
MatchError,	Error occurred during the 1:1 comparison operation
FaceDetectionError,	Unable to detect a face in the image
NotImplemented,	Function is not implemented

VendorError	Vendor-defined failure. Vendor errors shall return this error code and
	document the specific failure in the ReturnStatus.info string from Table 5.
};	

251

252

#### Table 5 – ReturnStatus structure

C++ code fragment	Meaning
struct ReturnStatus {	
ReturnCode code;	Return Code
<pre>std::string info;</pre>	Optional information string
// constructors	
};	

253

# 254 **4.** API specification

- 255 Please note that included with the FRVT MORPH validation package (available at https://github.com/usnistgov/frvt) is
- a "null" implementation of this API. The null implementation has no real functionality but demonstrates mechanically
   how one could go about implementing this API.

### 258 **4.1.** Namespace

All data structures and API interfaces/function calls will be declared in the FRVT\_MORPH namespace.

### 260 **4.2.** API

#### 261 4.2.1. Implementation Requirements

- 262 Developers are <u>not</u> required to implement all functions specified in this API. Developers may choose to implement
- 263 one or more functions of Table 6, but at a minimum, developers must submit a library that implements
- 264 1. MorphInterface of Section 4.2.2,
- 265 2. initialize() of Section 4.2.3, and
- AT LEAST one of the functions from Table 6. For any other function that is not implemented, the function
   shall return ReturnCode::NotImplemented.

268

#### Table 6 – API Functions

Function	Section	
detectMorph() - single image morph detection of	<mark>4.2.4</mark>	
<ul> <li>Non-scanned photo</li> </ul>		
<ul> <li>Printed-and-scanned photo</li> </ul>		
<ul> <li>Image of unknown format</li> </ul>		
detectMorphDifferentially() – two image differential	<mark>4.2.5</mark>	
morph detection of		
<ul> <li>Non-scanned photo</li> </ul>		
<ul> <li>Printed-and-scanned photo</li> </ul>		
<ul> <li>Image of unknown format</li> </ul>		
compareImages() – 1:1 comparison	<mark>4.2.6</mark>	
trainMorphDetector() - training for morph detection	<mark>4.2.7</mark>	

# 270 **4.2.2.** Interface

- 271 The software under test <u>must</u> implement the interface MorphInterface by subclassing this class and
- implementing AT LEAST ONE of the methods specified therein.

	C++ code fragment	Remarks
1.	Class MorphInterface	
2.	{ public:	
3.	<pre>static std::shared_ptr<morphinterface> getImplementation();</morphinterface></pre>	Factory method to return a managed pointer to the MorphInterface object. This function is implemented by the submitted library and must return a managed pointer to the MorphInterface object.
4.	// Other functions to implement	
5.	};	

- 273 There is one class (static) method declared in MorphInterface.getImplementation() which must also be
- implemented. This method returns a shared pointer to the object of the interface type, an instantiation of the
- 275 implementation class. A typical implementation of this method is also shown below as an example.

C++ code fragment	Remarks	
<pre>#include "frvt_morph.h"</pre>		
using namespace FRVT_MORPH;		
NullImpl:: NullImpl () { }		
NullImpl::~ NullImpl () { }		
std::shared ptr <morphinterface></morphinterface>		
MorphInterface::getImplementation() {		
<pre>return std::make_shared<nullimpl>(); }</nullimpl></pre>		
// Other implemented functions		

# 276 **4.2.3.** Initialization

- 277 Before any morph detection or matching calls are made, the NIST test harness will call the initialization function of
- Table 7. This function will be called BEFORE any calls to fork() are made. This function must be implemented.
- 279

# Table 7 – Initialization

Prototype	ReturnStatus initialize(		
	const std::string &configDir,		Input
	<pre>const std::string&amp; configValue);</pre>		Input
Description	This function initializes the implementation under test and sets all needed parameters in preparation for template creation. This function will be called N=1 times by the NIST application, prior to parallelizing M >= 1 calls to any morph detection or matching functions via fork(). This function will be called from a single process/thread.		
Input Parameters	configDir	A read-only directory containing any developer-supplied configuration parameters o run-time data files.	
	configValue	An optional string value encoding algorithm-specific configuration parameters. Developers may provide documentation for such configuration parameter(s) in their submission to NIST. Otherwise, the default value for this parameter will be an emptry string.	
Output Parameters	None		
Return Value	See Table 4 for all valid return code values. This function <u>must</u> be implemented.		

280

# 281 4.2.4. Single-image Morph Detection

The function of Table 8 evaluates morph detection on non-scanned photos, scanned photos, and photos of unknown
 formats. A single image along with an associated image label describing the image format/origin is provided to the

284 function for detection of morphing. Both morphed images and non-morphed images will be used, which will support

- 285 measurement of a morph attack presentation classification error rate (APCER) with a bona fide presentation
- 286 classification error rate (BPCER).

# 287 Non-scanned photos

Non-scanned photos are digital images known to <u>not</u> have been printed and scanned back in. There are a number of
 operational use-cases for morph detection on such digital images.

### 290 Scanned photos

291 While there are existing techniques to detect manipulation of a digital image, once the image has been printed and

- 292 scanned back in, it leaves virtually no traces of the original image ever being manipulated. So the ability to detect
- 293 whether a printed-and-scanned image contains a morph warrants investigation.

# 294 **Photos of unknown format**

- In some cases, the format and/or origin of the image in question is not known, so images with "unknown" labels will
   also be tested.
- 297

298 Multiple instances of the calling application may run simultaneously or sequentially. These may be executing on 299 different computers.

### Table 8 – Single-image Morph Detection

Prototypes	ReturnStatus detectMorph(		
	const Image &suspectedMorph,		Input
	const ImageLabel	&label,	Input
	bool &isMorph,		Output
	double &score);		Output
Description	This function takes an input image and associated image label describing the image format/origin, and outputs a binary decision on whether the image is a morph and a "morphiness" score on [0, 1] indicating how confident the algorithm thinks the image is a morph, with 0 meaning confidence that the image is not a morph and 1 representing absolute confidence that it is a morph.		
Input	suspectedMorph Input Image		
Parameters	label	ImageLabel (Section 3.2.2) describing <ul> <li>NonScanned = non-scanned</li> <li>Scanned = a photo that is presented on the sector of the sect</li></ul>	d digital photo rinted, then scanned
Output Parameters	isMorph	True if image contains a morph; False otherwise	
	score	A score on [0, 1] representing how confident the algorithm is that the image contains a morph. 0 means certainty that image does not contain a morph and 1 represents certainty that image contains a morph.	
Return Value	See Table 4 for all valid return code values. If this function is not implemented, the return code should be set to ReturnCode :: NotImplemented.		
			-
	If this function is not implemented for a certain type of image, for example, the function supports non-scanned		
	photos but not scanned photos, then the function should return ReturnCode::NotImplemented when the		
	function is called with the particular unsupported image type.		type.

<sup>300</sup> 

301 **4.2.5**.

# 302 **4.2.5.** Two-image Differential Morph Detection

Two face samples are provided to the function of Table 9 as input, the first being a suspected morphed facial image and the second image representing a known, non-morphed face image of one of the subjects contributing to the morph (e.g., live capture image from an eGate). This procedure supports measurement of whether algorithms can detect morphed images when additional information (provided as the second supporting known subject image) is provided.

Similar to single-image morph detection, the function of Table 9 will support non-scanned, scanned, and photos of
 unknown format/origin. The input image type will be specified by the associated ImageLabel input parameter.

310

311 Multiple instances of the calling application may run simultaneously or sequentially. These may be executing on 312 different computers.

313

#### Table 9 – Two-image Differential Morph Detection

Prototypes	ReturnStatus dete	<mark>ctMorphDifferentially</mark> (		
	const Image &suspectedMorph,		Input	
	const ImageLabel &label,		Input	
	const Image &prot	peFace,	Input	
	bool &isMorph,		Output	
	double &score);		Output	
Description	This function takes two input images - a known unaltered/not morphed image of the subject (probeFace) and an image of the same subject that's in question (may or may not be a morph) (suspectedMorph) with an associated image label describing the image format/origin. This function outputs a binary decision on whether suspectedMorph is a morph (given probeFace as a prior) and a "morphiness" score on [0, 1] indicating how confident the algorithm thinks the suspectedMorph is a morph, with 0 meaning confidence that the suspectedMorph is not a morph and 1 representing absolute confidence that it is a morph.			
Input suspectedMorph Input Image Parameters				
	label	<ul> <li>ImageLabel (Section 3.2.2) describing the format of the suspected morph image</li> <li>NonScanned = non-scanned digital photo</li> <li>Scanned = a photo that is printed, then scanned</li> <li>Unknown = unknown photo format/origin</li> </ul>		
	probeFace	An image of the subject known not to be a morph (e.g., live capture image)		
Output	isMorph	True if image contains a morph; False otherwise		
Parameters	score	A score on [0, 1] representing how confident the algorithm is that the image contains a morph. 0 means certainty that image does not contain a morph and 1 represents certainty that image contains a morph.		
Return Value	See Table 4 for all valid return code values.			
	If this function is not implemented, the return code should be set to ReturnCode::NotImplemented.			
	If this function is not implemented for a certain type of image, for example, the function supports non-scanned photos but not scanned photos, then the function should return ReturnCode::NotImplemented when the function is called with the particular unsupported image type.			

# 314 **4.2.6. 1:1** Comparison

315 Two face samples are provided to the function of Table 10 for one-to-one comparison of whether the two images are

of the same subject. The expected behavior from the algorithm is to be able to correctly reject comparisons of

317 morphed images against constituents that contributed to the morph. The goal is to show algorithm robustness

against morphing alterations when morphed images are compared against other images of the subjects used for

319 morphing. Comparisons of morphed images against constituents should return a low similarity score, indicating

- 320 rejection of match. Comparisons of unaltered/non-morphed images of the same subject should return a high
- 321 similarity score, indicating acceptance of match.
- 322

323 Multiple instances of the calling application may run simultaneously or sequentially. These may be executing on

- 324 different computers.
- 325

# Table 10 – 1:1 <mark>Comparison</mark>

Prototypes	s ReturnStatus <mark>compareImages</mark> ( const Image &enrollImage,		
			Input
	const Image &verifImage,		Input
	double &similarity);		Output
Description	This function compares two images and outputs a similarity score. In the event the algorithm cannot perform the comparison operation, the similarity score shall be set to -1.0 and the function return code value shall be set appropriately.		
Input Parameters	enrollImage	The enrollment image	
	verifImage	The verification image	
Output Parameters	similarity	A similarity score resulting from comparison of the two images, on the range [0,DBL_MAX].	
Return Value	See Table 4 for	See Table 4 for all valid return code values.	
If this function is not implemented, the return code should be set to ReturnCode::NotIm		d be set to ReturnCode::NotImplemented.	

# 326 **4.2.7.** Training for Morph Detection

327 For developers who implement the training function, NIST will run tests with and without training to assess the

328 performance impacts of turn-key training. The training function of Table 11 will be invoked as a separate process

outside of the morph detection and/or comparison process. So, given 1)  $K \ge 1$  images with associated labels on

330 whether the photo is a morph or not and 2) the implementation's configuration directory, the implementation may

use the provided training data to populate a new "trained" configuration directory. This directory will be used to

initialize the algorithm during subsequent morph detection and/or comparison processes.

Please note that this function may or may not be called prior to morph detection or matching. The implementation's

ability to detect a morph or match images should not be dependent on prior execution of this function.

This function will be called from a single process/thread.

#### 336 Table 11 – Training Prototype ReturnStatus trainMorphDetector( const std::string &configDir, Input const std::string &trainedConfigDir, Input const std::vector<Image> & faces, Input const std::vector<bool> &isMorph); Input Description This function provides the implementation a list of face images and whether they are morphs. This function may or may not be called prior to the various morph detection and/or matching functions. The implementation's ability to detect morphs should not be dependent on this function. This function will be called from a single process/thread. configDir A read-only directory containing any developer-supplied configuration parameters or Input Parameters run-time data files. The name of this directory is assigned by NIST, not hardwired by the provider. The names of the files in this directory are hardwired in the implementation and are unrestricted.

	trainedConfigDir	A directory with read-write permissions where the implementation can store any training output. The name of this directory is assigned by NIST, not hardwired by the provider. The names of the files in this directory are hardwired in the implementation and are unrestricted. Important: This directory is what will subsequently be provided to the implementation's initialize() function as the input configuration directory if this training function is invoked. If this function is not implemented, the function shall do nothing, and the return code should be set to ReturnCode::NotImplemented.
	faces	A vector of face images provided to the implementation for training purposes
	isMorph	A vector of boolean values indicating whether the corresponding face image is a morph or not. The value in isMorph[i] corresponds to the face image in faces[i].
Output Parameters	none	
Return Value	See Table 4 for all valid return code values.	
	If this function is not implemented, the return code should be set to ReturnCode::NotImplemented.	