

# The Framework for Improving Critical Infrastructure Cybersecurity

April 2018

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**NIST**  
National Institute of  
Standards and Technology  
U.S. Department of Commerce

# Objective and Agenda

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Objective: Convey Cybersecurity Framework use, while explaining features added in Version 1.1

- Charter
- Users
- Attributes, Components, & Approaches
- Draft Roadmap Version 1.1
- Framework Focus Areas
- Web Site
- Update Process



# National Institute of Standards and Technology

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## About NIST

- Agency of U.S. Department of Commerce
- NIST's mission is to develop and promote measurement, standards and technology to enhance productivity, facilitate trade, and improve the quality of life.
- Federal, non-regulatory agency around since 1901

## NIST Cybersecurity

- Cybersecurity since the 1970s
- Computer Security Resource Center – [csrc.nist.gov](http://csrc.nist.gov)

## NIST Priority Research Areas



Advanced Manufacturing



IT and Cybersecurity



Healthcare



Forensic Science



Disaster Resilience



Cyber-physical Systems



Advanced Communications

# Cybersecurity Framework *Current* Charter

*Improving Critical Infrastructure Cybersecurity*

February 12, 2013

*“It is the policy of the United States to enhance the security and resilience of the Nation’s critical infrastructure and to maintain a cyber environment that encourages efficiency, innovation, and economic prosperity while promoting safety, security, business confidentiality, privacy, and civil liberties”*



Executive Order 13636

December 18, 2014

Amends the National Institute of Standards and Technology Act (15 U.S.C. 272(c)) to say:

*“...on an ongoing basis, facilitate and support the development of a **voluntary, consensus-based, industry-led** set of standards, guidelines, best practices, methodologies, procedures, and processes to cost-effectively reduce cyber risks to critical infrastructure”*



Cybersecurity Enhancement Act of 2014 (P.L. 113-274)

# Cybersecurity Framework Users

*Framework for Improving Critical Infrastructure Cybersecurity*



AT&T



KAISER  
PERMANENTE®

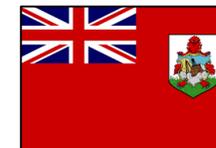
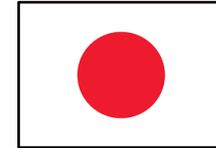


DUKE  
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HEALTH



THE UNIVERSITY OF  
CHICAGO



NTT

NIPPON TELEGRAPH AND TELEPHONE  
CORPORATION



ONTARIO  
ENERGY  
BOARD



SIEMENS

# Version 1.0 and 1.1 Are Fully Compatible

*Framework for Improving Critical Infrastructure Cybersecurity*

- Additions, including new categories and subcategories, **do not invalidate existing** V1.0 uses or work products

Component	Version 1.0	Version 1.1	Comments
Functions	5	5	
Categories	22	23	<ul style="list-style-type: none"><li>• Added a new category in ID.SC – Supply Chain</li></ul>
Subcategories	98	108	<ul style="list-style-type: none"><li>• Added 5 subcategories in ID.SC</li><li>• Added 2 subcategories in PR.AC</li><li>• Added 1 subcategory each to PR.DS, PR.PT, RS.AN</li><li>• Clarified language in 7 others</li></ul>
Informative References	5	5	

# Key Framework Attributes

*Principles of the Current and Future Versions of Framework*

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## Common and accessible language

- Understandable by many professionals

It's adaptable to many **technologies<sup>1.1</sup>**, **lifecycle phases<sup>1.1</sup>**, sectors and uses

- Meant to be customized

## It's risk-based

- A Catalog of cybersecurity outcomes
- Does not provide how or how much cybersecurity is appropriate

## It's meant to be paired

- Take advantage of great pre-existing things

## It's a living document

- Enable best practices to become standard practices for everyone
- Can be updated as technology and threats change
- Evolves faster than regulation and legislation
- Can be updated as stakeholders learn from implementation

# Cybersecurity Framework Components

Cybersecurity outcomes and informative references

Enables communication of cyber risk across an organization



Describes how cybersecurity risk is managed by an organization and degree the risk management practices exhibit key characteristics

Aligns industry standards and best practices to the Framework Core in an implementation scenario  
Supports prioritization and measurement while factoring in business needs

# Implementation Tiers

	<b>1</b> <b>Partial</b>	<b>2</b> <b>Risk Informed</b>	<b>3</b> <b>Repeatable</b>	<b>4</b> <b>Adaptive</b>
<b>Risk Management Process</b>	The functionality and repeatability of cybersecurity risk management			
<b>Integrated Risk Management Program</b>	The extent to which cybersecurity is considered in broader risk management decisions			
<b>External Participation</b>	The degree to which the organization: <ul style="list-style-type: none"><li>• <b>monitors and manages supply chain risk<sup>1.1</sup></b></li><li>• benefits my sharing or receiving information from outside parties</li></ul>			



# Core

## *A Catalog of Cybersecurity Outcomes*

	<b>Function</b>
What processes and assets need protection?	<b>Identify</b>
What safeguards are available?	<b>Protect</b>
What techniques can identify incidents?	<b>Detect</b>
What techniques can contain impacts of incidents?	<b>Respond</b>
What techniques can restore capabilities?	<b>Recover</b>

- Understandable by everyone
- Applies to any type of risk management
- Defines the entire breadth of cybersecurity
- Spans both prevention and reaction

# Core

## A Catalog of Cybersecurity Outcomes

	Function	Category
What processes and assets need protection?	Identify	Asset Management
		Business Environment
		Governance
		Risk Assessment
		Risk Management Strategy
		Supply Chain Risk Management <sup>1.1</sup>
What safeguards are available?	Protect	Identity Management, Authentication and Access Control <sup>1.1</sup>
		Awareness and Training
		Data Security
		Information Protection Processes & Procedures
		Maintenance
		Protective Technology
What techniques can identify incidents?	Detect	Anomalies and Events
		Security Continuous Monitoring
		Detection Processes
What techniques can contain impacts of incidents?	Respond	Response Planning
		Communications
		Analysis
		Mitigation
		Improvements
What techniques can restore capabilities?	Recover	Recovery Planning
		Improvements
		Communications

# Core – Example<sup>1.1</sup>

## Cybersecurity Framework Component

Function	Category	Subcategory	Informative References
<b>IDENTIFY</b> (ID)	<b>Supply Chain Risk Management (ID.SC):</b> The organization’s priorities, constraints, risk tolerances, and assumptions are established and used to support risk decisions associated with managing supply chain risk. The organization has established and implemented the processes to identify, assess and manage supply chain risks.	<b>ID.SC-1:</b> Cyber supply chain risk management processes are identified, established, assessed, managed, and agreed to by organizational stakeholders	<b>CIS CSC 4</b> <b>COBIT 5</b> APO10.01, APO10.04, APO12.04, APO12.05, APO13.02, BAI01.03, BAI02.03, BAI04.02 <b>ISA 62443-2-1:2009</b> 4.3.4.2 <b>ISO/IEC 27001:2013</b> A.15.1.1, A.15.1.2, A.15.1.3, A.15.2.1, A.15.2.2 <b>NIST SP 800-53 Rev. 4</b> SA-9, SA-12, PM-9
		<b>ID.SC-2:</b> Suppliers and third party partners of information systems, components, and services are identified, prioritized, and assessed using a cyber supply chain risk assessment process	<b>COBIT 5</b> APO10.01, APO10.02, APO10.04, APO10.05, APO12.01, APO12.02, APO12.03, APO12.04, APO12.05, APO12.06, APO13.02, BAI02.03 <b>ISA 62443-2-1:2009</b> 4.2.3.1, 4.2.3.2, 4.2.3.3, 4.2.3.4, 4.2.3.6, 4.2.3.8, 4.2.3.9, 4.2.3.10, 4.2.3.12, 4.2.3.13, 4.2.3.14 <b>ISO/IEC 27001:2013</b> A.15.2.1, A.15.2.2 <b>NIST SP 800-53 Rev. 4</b> RA-2, RA-3, SA-12, SA-14, SA-15, PM-9

# Core – Example<sup>1.1</sup>

## Cybersecurity Framework Component

Function	Category	Subcategory	Informative References
PROTECT (PR)	<p><b>Identity Management, Authentication and Access Control (PR.AC):</b> Access to physical and logical assets and associated facilities is limited to authorized users, processes, and devices, and is managed consistent with the assessed risk of unauthorized access to authorized activities and transactions.</p>	<p><b>PR.AC-6:</b> Identities are proofed and bound to credentials and asserted in interactions</p>	<p><b>CIS CSC</b>, 16  <b>COBIT 5</b> DSS05.04, DSS05.05, DSS05.07, DSS06.03  <b>ISA 62443-2-1:2009</b> 4.3.3.2.2, 4.3.3.5.2, 4.3.3.7.2, 4.3.3.7.4  <b>ISA 62443-3-3:2013</b> SR 1.1, SR 1.2, SR 1.4, SR 1.5, SR 1.9, SR 2.1  <b>ISO/IEC 27001:2013</b>, A.7.1.1, A.9.2.1  <b>NIST SP 800-53 Rev. 4</b> AC-1, AC-2, AC-3, AC-16, AC-19, AC-24, IA-1, IA-2, IA-4, IA-5, IA-8, PE-2, PS-3</p>
		<p><b>PR.AC-7:</b> Users, devices, and other assets are authenticated (e.g., single-factor, multi-factor) commensurate with the risk of the transaction (e.g., individuals’ security and privacy risks and other organizational risks)</p>	<p><b>CIS CSC</b> 1, 12, 15, 16  <b>COBIT 5</b> DSS05.04, DSS05.10, DSS06.10  <b>ISA 62443-2-1:2009</b> 4.3.3.6.1, 4.3.3.6.2, 4.3.3.6.3, 4.3.3.6.4, 4.3.3.6.5, 4.3.3.6.6, 4.3.3.6.7, 4.3.3.6.8, 4.3.3.6.9  <b>ISA 62443-3-3:2013</b> SR 1.1, SR 1.2, SR 1.5, SR 1.7, SR 1.8, SR 1.9, SR 1.10  <b>ISO/IEC 27001:2013</b> A.9.2.1, A.9.2.4, A.9.3.1, A.9.4.2, A.9.4.3, A.18.1.4  <b>NIST SP 800-53 Rev. 4</b> AC-7, AC-8, AC-9, AC-11, AC-12, AC-14, IA-1, IA-2, IA-3, IA-4, IA-5, IA-8, IA-9, IA-10, IA-11</p>

# Core – Example

## Cybersecurity Framework Component

Function	Category	Subcategory	Informative References
<b>RESPOND (RS)</b>	<b>Analysis (RS.AN):</b> Analysis is conducted to ensure effective response and support recovery activities.	<b>RS.AN-1:</b> Notifications from detection systems are investigated	<b>CIS CSC 4, 6, 8, 19</b> <b>COBIT 5 DSS02.04, DSS02.07</b> <b>ISA 62443-2-1:2009 4.3.4.5.6, 4.3.4.5.7, 4.3.4.5.8</b> <b>ISA 62443-3-3:2013 SR 6.1</b> <b>ISO/IEC 27001:2013 A.12.4.1, A.12.4.3, A.16.1.5</b> <b>NIST SP 800-53 Rev. 4 AU-6, CA-7, IR-4, IR-5, PE-6, SI-4</b>
		<b>RS.AN-2:</b> The impact of the incident is understood	<b>COBIT 5 DSS02.02</b> <b>ISA 62443-2-1:2009 4.3.4.5.6, 4.3.4.5.7, 4.3.4.5.8</b> <b>ISO/IEC 27001:2013 A.16.1.4, A.16.1.6</b> <b>NIST SP 800-53 Rev. 4 CP-2, IR-4</b>
		<b>RS.AN-3:</b> Forensics are performed	<b>COBIT 5 APO12.06, DSS03.02, DSS05.07</b> <b>ISA 62443-3-3:2013 SR 2.8, SR 2.9, SR 2.10, SR 2.11, SR 2.12, SR 3.9, SR 6.1</b> <b>ISO/IEC 27001:2013 A.16.1.7</b> <b>NIST SP 800-53 Rev. 4 AU-7, IR-4</b>
		<b>RS.AN-4:</b> Incidents are categorized consistent with response plans	<b>CIS CSC 19</b> <b>COBIT 5 DSS02.02</b> <b>ISA 62443-2-1:2009 4.3.4.5.6</b> <b>ISO/IEC 27001:2013 A.16.1.4</b> <b>NIST SP 800-53 Rev. 4 CP-2, IR-4, IR-5, IR-8</b>
		<b>RS.AN-5:</b> Processes are established to receive, analyze and respond to vulnerabilities disclosed to the organization from internal and external sources (e.g. internal testing, security bulletins, or security researchers)	<b>CIS CSC 4, 19</b> <b>COBIT 5 EDM03.02, DSS05.07</b> <b>NIST SP 800-53 Rev. 4 SI-5, PM-15</b>

# Profile

## Customizing Cybersecurity Framework

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### *Ways to think about a Profile:*

- A customization of the Core for a given sector, subsector, or organization
- A fusion of business/mission logic and cybersecurity outcomes
- An alignment of cybersecurity requirements with operational methodologies
- A basis for assessment and expressing target state
- A decision support tool for cybersecurity risk management

Identify

Protect

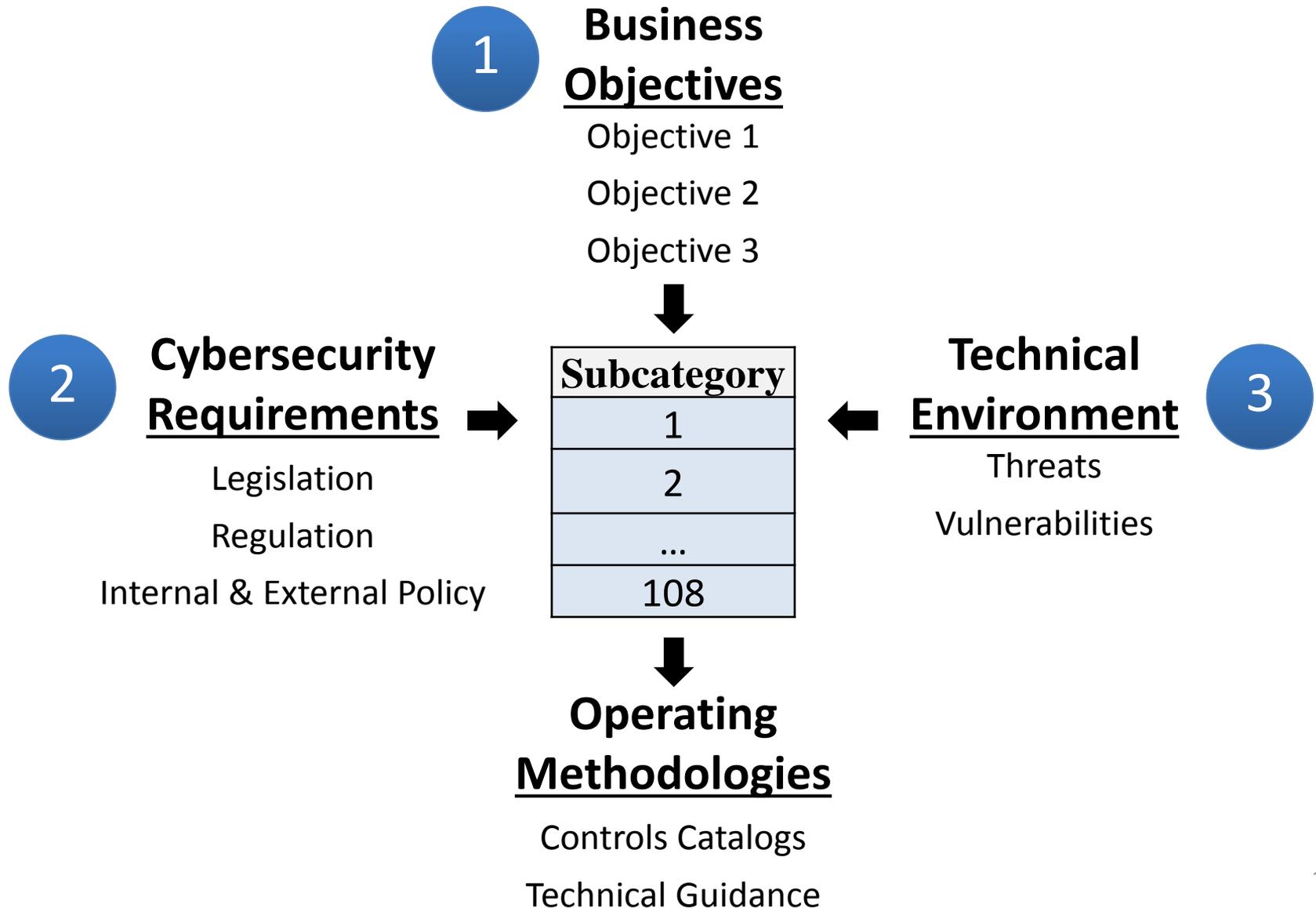
Detect

Respond

Recover

# Profile Foundational Information

*A Profile Can be Created from Three Types of Information*



# Framework Seven Step Process

## *Gap Analysis Using Framework Profiles*

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- **Step 1: Prioritize and Scope**
  - Implementation Tiers may be used to express varying risk tolerances<sup>1.1</sup>
- **Step 2: Orient**
- **Step 3: Create a Current Profile**
- **Step 4: Conduct a Risk Assessment**
- **Step 5: Create a Target Profile**
  - When used in conjunction with an Implementation Tier, characteristics of the Tier level should be reflected in the desired cybersecurity outcomes<sup>1.1</sup>
- **Step 6: Determine, Analyze, and Prioritize Gaps**
- **Step 7: Implementation Action Plan**

# Resource and Budget Decisioning

*Framework supports operating decisions and improvement*



<b>Sub-category</b>	<b>Priority</b>	<b>Gaps</b>	<b>Budget</b>	<b>Year 1 Activities</b>	<b>Year 2 Activities</b>
1	moderate	small	\$\$\$		X
2	high	large	\$\$	X	
3	moderate	medium	\$	X	
...	...	...	...		
108	moderate	none	\$\$		reassess

# Resource and Budget Decisioning

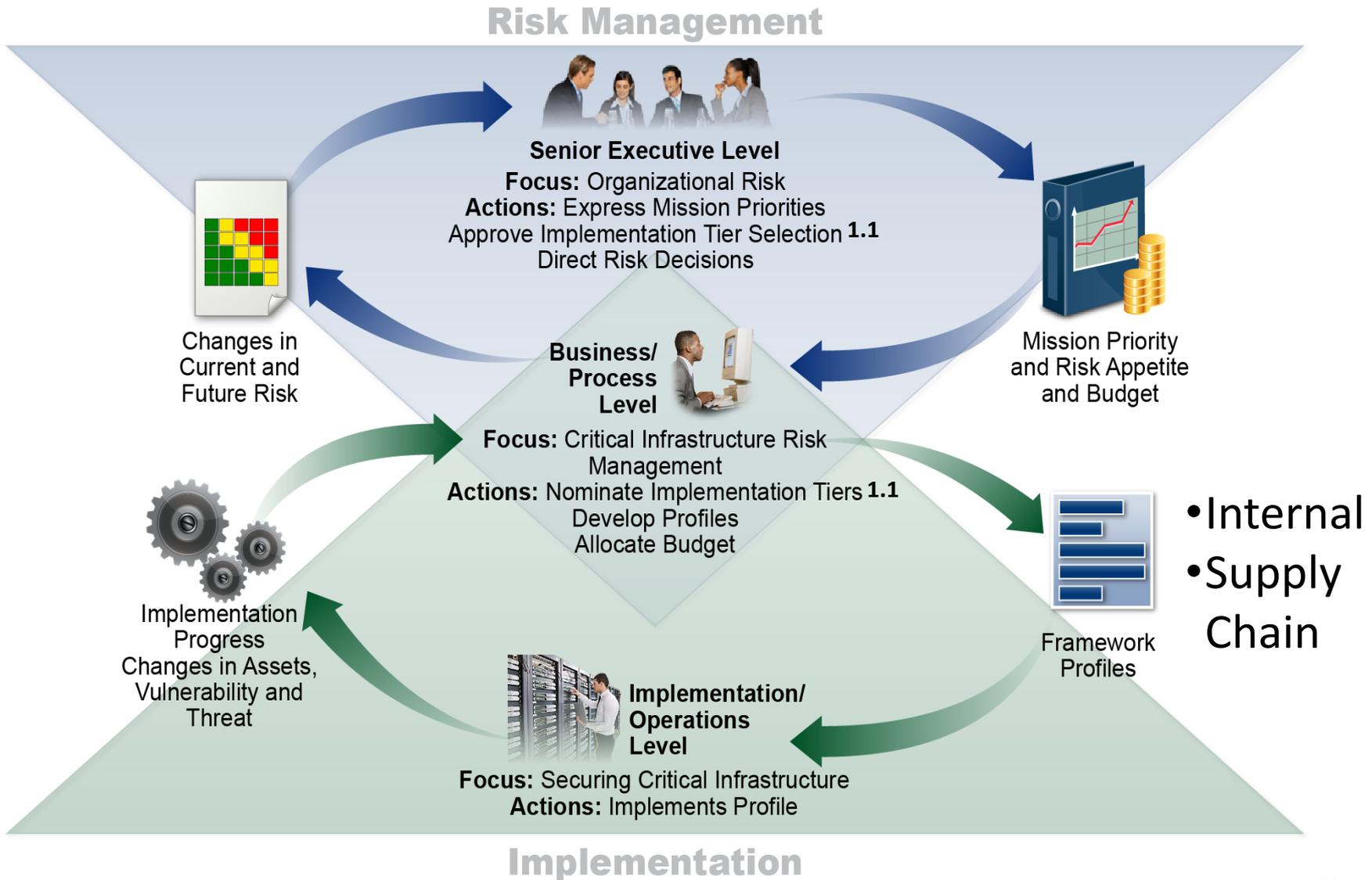
*Framework supports operating decisions and improvement*



Sub-category	Priority	Gaps	Budget	Year 1 Activities	Year 2 Activities
1	moderate	small	\$\$\$		X
2	high	large	\$\$	X	
3	moderate	medium	\$	X	
...	...	...	...		
108	moderate	none	\$\$		reassess
<b>Step 5 Target Profile</b>		<b>Step 6</b>		<b>Step 7</b>	

# Supporting Risk Management with Framework

Framework for Improving Critical Infrastructure Cybersecurity Version 1.1



# Operate

*Use Cybersecurity Framework Profiles to distribute and organize labor*

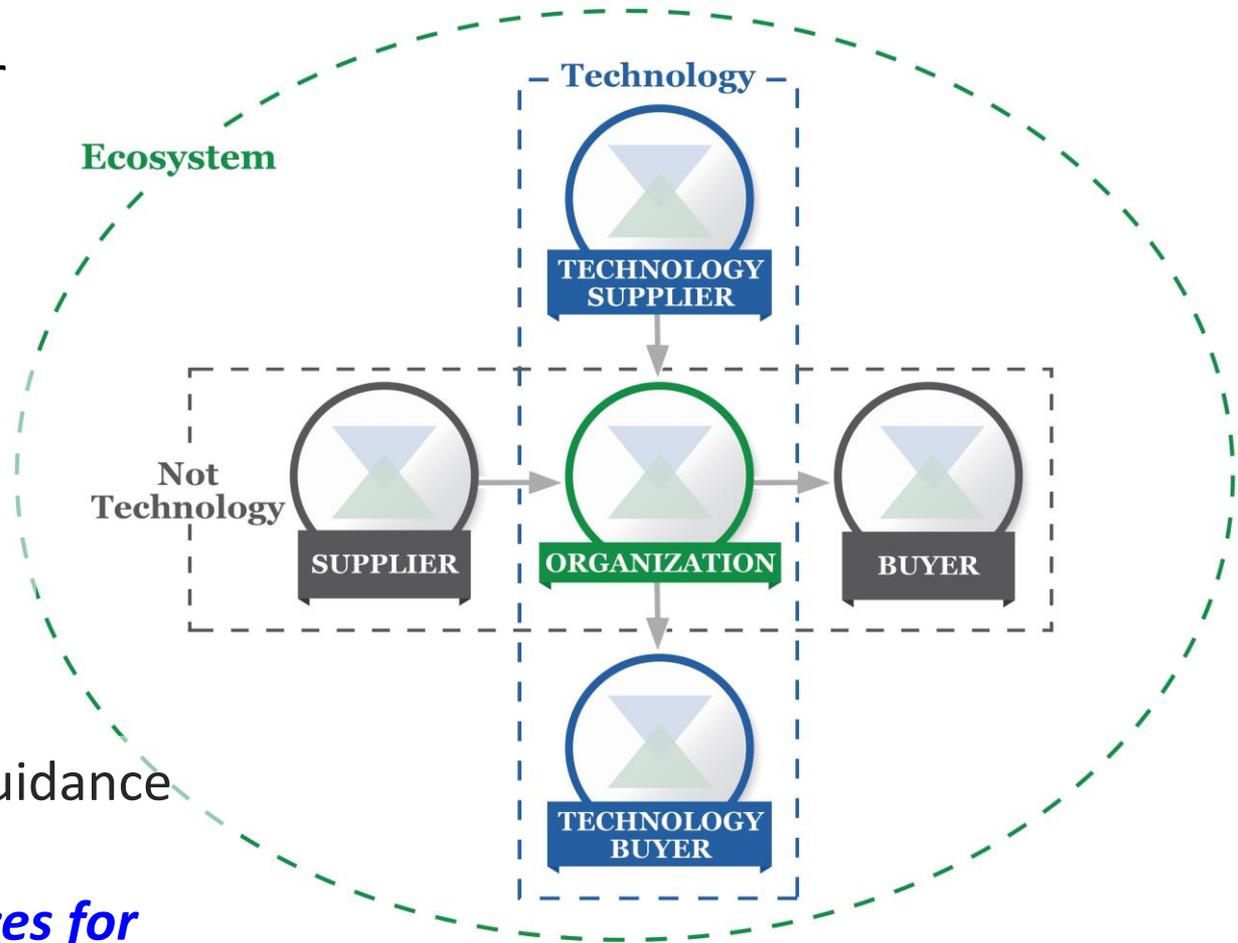
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<b>Subcats</b>	<b>Reqs</b>	<b>Priorities</b>	<b>Who</b>	<b>What</b>	<b>When</b>	<b>Where</b>	<b>How</b>
1	A, B	High					
2	C, D, E, F	High					
3	G, H, I, J	Low					
...	...	...					
108	XX, YY, ZZ	Mod					

# Cyber SCRM Taxonomy<sup>1.1</sup>

Framework for Improving Critical Infrastructure Cybersecurity Version 1.1

- Simple Supplier-Buyer model
- Technology minimally includes IT, OT, CPS, IoT
- Applicable for public and private sector, including not-for-profits
- Aligns with Federal guidance [Supply Chain Risk Management Practices for Federal Information Systems and Organizations](#) (Special Publication 800-161)



# Self-Assessing Cybersecurity Risk<sup>1.1</sup>

*Framework for Improving Critical Infrastructure Cybersecurity Version 1.1*

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Emphasizes the role of measurements in *self-assessment*

Stresses critical linkage of **business results**:

- **Cost**
- **Benefit**

...to cybersecurity risk management

Continued discussion of this linkage will occur under Roadmap area – Measuring Cybersecurity

# Roadmap Concepts

## *Roadmap to Improving Critical Infrastructure Cybersecurity*

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### **The Roadmap:**

- identifies key areas of development, alignment, and collaboration
- provides a description of activities related to the Framework

### **Roadmap items are generally:**

- Topics that are meaningful to critical infrastructure cybersecurity risk management
- Focus areas of both private sector and the federal government
- Related to Framework, but managed as separate efforts

# Proposed Roadmap Topics

*Draft Roadmap for Improving Critical Infrastructure Cybersecurity Version 1.1*

Original Roadmap <i>9 topics</i>	Proposed Roadmap <i>12 topics</i>	
Conformity Assessment	<i>Confidence Mechanisms</i>	
Automated Indicator Sharing	<i>Cyber-Attack Lifecycle</i>	
Data Analytics	Includes Coordinated Vulnerability Disclosure	
Cybersecurity Workforce	Cybersecurity Workforce	
Supply Chain Risk Management	<i>Cyber Supply Chain Risk Management</i>	
Federal Agency Cybersecurity Alignment	Federal Agency Cybersecurity Alignment	<b>Focus</b>
	<i>Governance and Enterprise Risk Management</i>	
Authentication	Identity Management	
International Aspects, Impacts, and Alignment	International Aspects, Impacts, and Alignment	<b>Focus</b>
	<i>Measuring Cybersecurity</i>	
Technical Privacy Standards	<i>Privacy Engineering</i>	
	<i>Referencing Techniques</i>	
	<i>Small Business Awareness and Resources</i>	<b>Focus</b>

# Small Business Guidance and Initiatives

*Framework for Improving Critical Infrastructure Cybersecurity*

## Small Business Information Security: the Fundamentals

*NIST Computer Security Resource Center*



## Small Business Center

*NIST Computer Security Resource Center*

## CyberSecure My Business

*National Cyber Security Alliance*



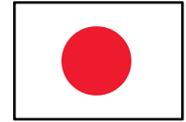
## Small Business Starter Profiles

*NIST Framework Team*

# International Use

## *Framework for Improving Critical Infrastructure Cybersecurity*

- Japanese translation by Information-technology Promotion Agency
- Italian adaptation within Italy's National Framework for Cybersecurity
- Hebrew adaptation by Government of Israel
- Bermuda uses it within government and recommends it to industry
- Uruguay government is currently on Version 3.1 of their adaptation
- Focus of International Organization for Standardization & International Electrotechnical Commission



# Proposed U.S. Federal Usage

[NIST IR 8170 The Cybersecurity Framework: Implementation Guidance for Federal Agencies](#)



## [Strengthening the Cybersecurity of Federal Networks and Critical Infrastructure](#)

Executive Order 13800

- 1. Integrate enterprise and cybersecurity risk management**
- 2. Manage cybersecurity requirements**
- 3. Integrate and align cybersecurity and acquisition processes**
- 4. Evaluate organizational cybersecurity**
- 5. Manage the cybersecurity program**
- 6. Maintain a comprehensive understanding of cybersecurity risk** *(supports RMF Authorize)*
- 7. Report cybersecurity risks** *(supports RMF Monitor)*
- 8. Inform the tailoring process** *(supports RMF Select)*

# FISMA Implementation Pub Schedule

As of 8 February 2018, Subject to Change

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## **NIST Special Publication 800-37, Revision 2: *Risk Management Framework for Security and Privacy***

Initial Public Draft: May 2018

Final Public Draft: July 2018

Final Publication: October 2018

## **NIST Special Publication 800-53, Revision 5: *Security and Privacy Controls***

Final Public Draft: October 2018

Final Publication: December 2018

## **NIST Special Publication 800-53A, Revision 5: *Assessment Procedures for Security and Privacy Controls***

Initial Public Draft: March 2019

Final Public Draft: June 2019

Final Publication: September 2019

## **FIPS Publication 200, Revision 1: *Minimum Security Requirements***

Initial Public Draft: October 2018

Final Public Draft: April 2019

Final Publication: July 2019

## **FIPS Publication 199, Revision 1: *Security Categorization***

Initial Public Draft: December 2018

Final Public Draft: May 2019

Final Publication: August 2019

Updates - <https://csrc.nist.gov/Projects/Risk-Management/Schedule>

Questions or comments - [sec-cert@nist.gov](mailto:sec-cert@nist.gov)

# Supporting Healthy Regulatory Environments

*Framework for Improving Critical Infrastructure Cybersecurity*

## Bulk Liquid Transport Profile

*U.S. Coast Guard*



## Financial Services Framework Customization and Profile

*Financial Services Sector  
Coordinating Council*

**Connected Vehicle Profile**  
*U.S. Department of Transportation  
Smart City Pilot*

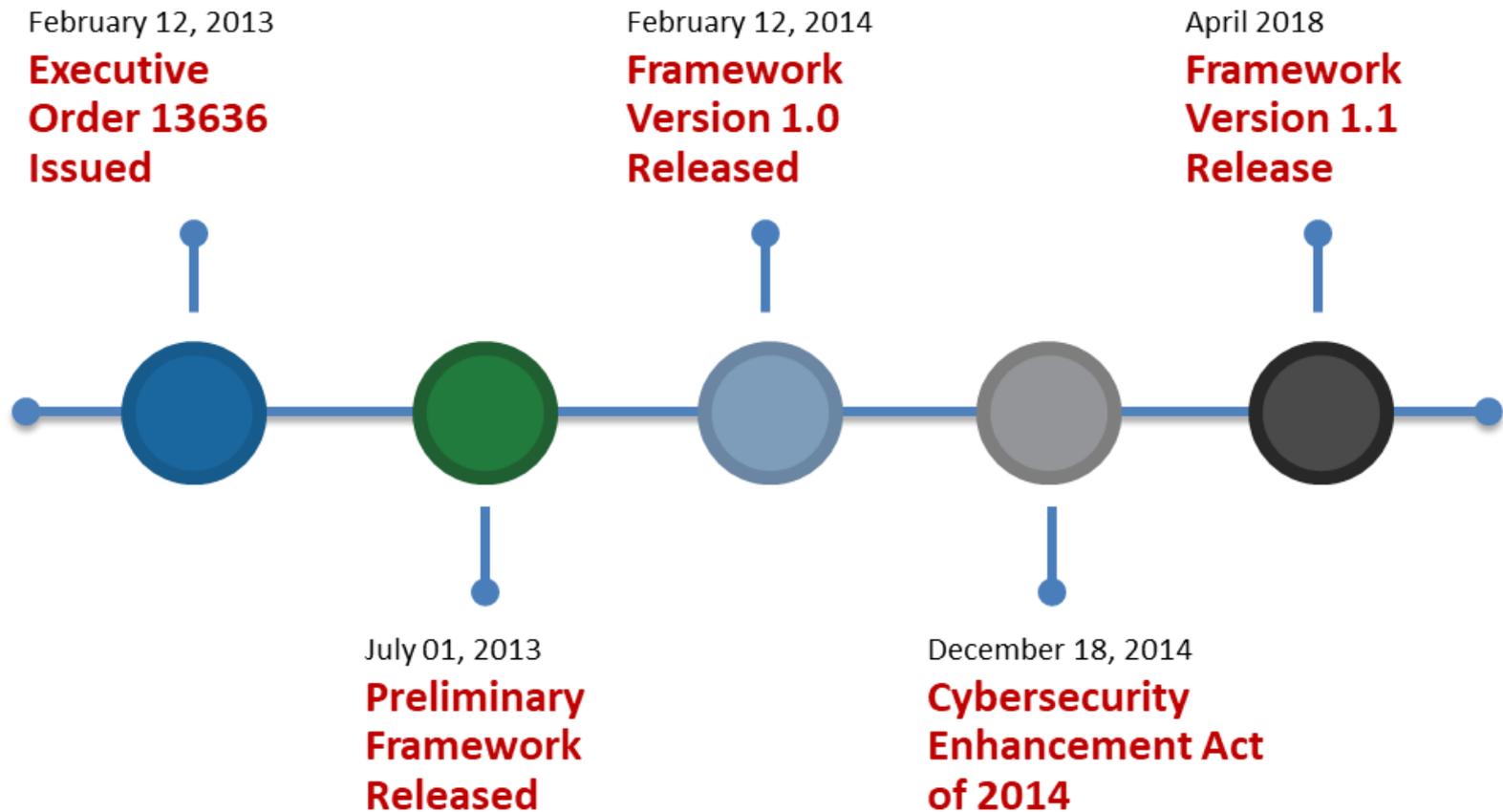


## Cybersecurity Risk Management and Best Practices Working Group 4: Final Report

*Communications Security, Reliability, and  
Interoperability Council*

# Eras of Cybersecurity Framework

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# The Framework Web Site

[www.nist.gov/cyberframework](http://www.nist.gov/cyberframework)



Search NIST

NIST MENU

## CYBERSECURITY FRAMEWORK

*Helping organizations to better understand and improve their management of cybersecurity risk*

- Framework +
- New to Framework +
- Perspectives +
- Success Stories +
- Online Learning +
- Evolution +
- Frequently Asked Questions +
- Events and Presentations
- Related Efforts (Roadmap)
- Informative References
- Resources +
- Newsroom +



*Credit: N. Hanacek/NIST*

This voluntary Framework consists of standards, guidelines, and best practices to manage cybersecurity-related risk. The Cybersecurity Framework's prioritized, flexible, and cost-effective approach helps to promote the protection and resilience of critical infrastructure and other sectors important to the economy and national security.

### LATEST UPDATES

- [Registration](#) is now available for an upcoming [Webcast](#) providing an overview of Framework Version 1.1, hosted by NIST on April 27th.

# Self-Help Web Materials

[www.nist.gov/cyberframework](http://www.nist.gov/cyberframework)

**NIST**

## CYBERSECURITY FRAMEWORK

**Framework** +

**New to Framework** +

**Perspectives** +

**Success Stories** +

**Online Learning** +

**Evolution** +

**Frequently Asked Questions** +



# Self-Help Web Materials

[www.nist.gov/cyberframework](http://www.nist.gov/cyberframework)

**NIST**

## CYBERSECURITY FRAMEWORK

**Events and  
Presentations**

**Related Efforts  
(Roadmap)**

**Informative  
References**

**Resources**



**Newsroom**



*Credit: N. H*

**LATEST**

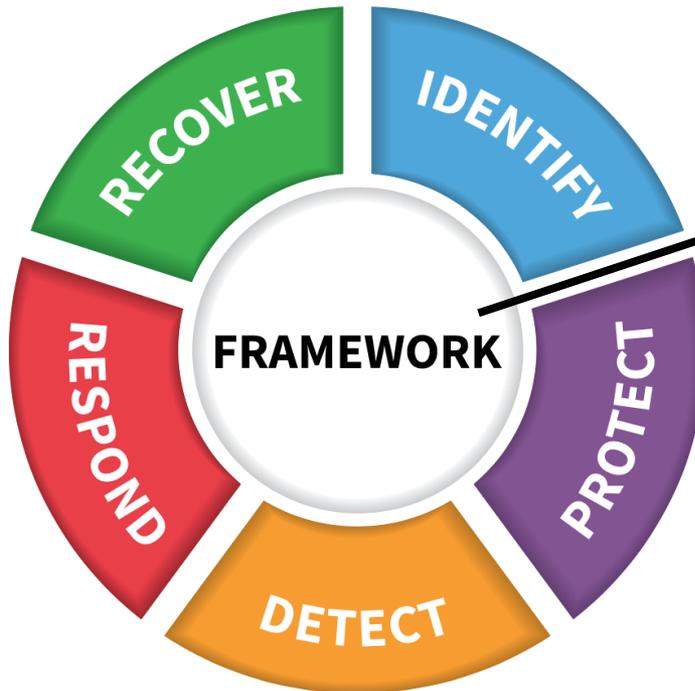
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# Resources

<https://www.nist.gov/cyberframework/framework-resources-0>

- Framework +
- New to Framework +
- Perspectives +
- Success Stories +
- Online Learning +
- Evolution +
- Frequently Asked Questions +
- Events and Presentations
- Related Efforts (Roadmap)
- Informative References
- Resources** +
- Newsroom +

## Framework Resources



### General Resources sorted by User Group:

- Critical Infrastructure
- Small and Medium Business
- International
- Federal
- State Local Tribal Territorial Governments
- Academia
- Assessments & Auditing
- General

Over 150 Unique Resources for Your Understanding and Use!

# Resources - State & Local

<https://www.nist.gov/cyberframework/state-local-tribal-and-territorial-resources>



## [Texas, Department of Information Resources](#)

- Aligned Agency Security Plans with Framework
- Aligned Product and Service Vendor Requirements with Framework

## [North Dakota, Information Technology Department](#)

- Allocated Roles & Responsibilities using Framework
- Adopted the Framework into their Security Operation Strategy



GREATER HOUSTON  
**PARTNERSHIP**

Making Houston Greater.

## [Houston, Greater Houston Partnership](#)

- Integrated Framework into their Cybersecurity Guide
- Offer On-Line Framework Self-Assessment

## [National Association of State CIOs](#)

- 2 out of 3 CIOs from the 2015 NASCIO Awards cited Framework as a part of their award-winning strategy



## New Jersey

- Developed a cybersecurity framework that aligns controls and procedures with Framework

# Recent NIST Work Products

<https://www.nist.gov/cyberframework/framework-resources-0>



## Manufacturing Profile

[\*NIST Discrete Manufacturing Cybersecurity Framework Profile\*](#)

## Self-Assessment Criteria

[\*Baldrige Cybersecurity Excellence Builder\*](#)



## Maritime Profile

[\*U.S. Coast Guard Bulk Liquid Transport Profile\*](#)

# Resources

<https://www.nist.gov/cyberframework/framework-resources-0>

- Framework +
- New to Framework +
- Perspectives +
- Success Stories +
- Online Learning +
- Evolution +
- Frequently Asked Questions +
- Events and Presentations
- Related Efforts (Roadmap)
- Informative References
- Resources** +
- Newsroom +

## Framework Resources



**NIST Special Publications**

Computer Security Resource Center  
800 Series @ [csrc.nist.gov](http://csrc.nist.gov)

National Cybersecurity Center of Excellence  
1800 Series @ [nccoe.nist.gov](http://nccoe.nist.gov)

Over 150 Unique Resources for Your Understanding and Use!

# NIST Special Publications by Category

<https://www.nist.gov/cyberframework/protect>

## PROTECT (PR)

**Awareness and Training (PR.AT): The organization's personnel and partners are provided cybersecurity awareness education and are adequately trained to perform their information security-related duties and responsibilities consistent with related policies, procedures, and agreements.**

800-84	<a href="#">Guide to Test, Training, and Exercise Programs for IT Plans and Capabilities</a> 
800-181	<a href="#">National Initiative for Cybersecurity Education (NICE) Cybersecurity Workforce Framework</a> 
800-50	<a href="#">Building an Information Technology Security Awareness and Training Program</a> 
800-16 Rev. 1	<a href="#">A Role-Based Model for Federal Information Technology/Cybersecurity Training</a> 
800-114 Rev. 1	<a href="#">User's Guide to Telework and Bring Your Own Device (BYOD) Security</a> 

**Data Security (PR.DS): Information and records (data) are managed consistent with the organization's risk strategy to protect the confidentiality, integrity, and availability of information.**

800-133	<a href="#">Recommendation for Cryptographic Key Generation</a> 
800-111	<a href="#">Guide to Storage Encryption Technologies for End User Devices</a> 
800-175A	<a href="#">Guideline for Using Cryptographic Standards in the Federal Government: Directives, Mandates and Policies</a> 
800-175B	<a href="#">Guideline for Using Cryptographic Standards in the Federal Government: Cryptographic Mechanisms</a> 
800-89	<a href="#">Recommendation for Obtaining Assurances for Digital Signature Applications</a> 

# Online Informative References

<https://www.nist.gov/cyberframework/informative-references>



**Events and  
Presentations**

**Related Efforts  
(Roadmap)**

**Informative  
References**

**Resources** +

**Newsroom** +

*Credit: N. H*

**LATEST**

- [Re](#)  
NI

# Core – Example<sup>1.1</sup>

## Cybersecurity Framework Component

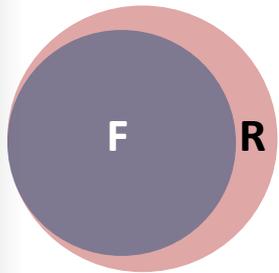
Function	Category	Subcategory	Informative References
<b>PROTECT (PR)</b>	<b>Identity Management, Authentication and Access Control (PR.AC):</b> Access to physical and logical assets and associated facilities is limited to authorized users, processes, and devices, and is managed consistent with the assessed risk of unauthorized access to authorized activities and transactions.	<b>PR.AC-6:</b> Identities are proofed and bound to credentials and asserted in interactions	<b>CIS CSC</b> , 16 <b>COBIT 5</b> DSS05.04, DSS05.05, DSS05.07, DSS06.03 <b>ISA 62443-2-1:2009</b> 4.3.3.2.2, 4.3.3.5.2, 4.3.3.7.2, 4.3.3.7.4 <b>ISA 62443-3-3:2013</b> SR 1.1, SR 1.2, SR 1.4, SR 1.5, SR 1.9, SR 2.1 <b>ISO/IEC 27001:2013</b> , A.7.1.1, A.9.2.1 <b>NIST SP 800-53 Rev. 4</b> AC-1, AC-2, AC-3, AC-16, AC-19, AC-24, IA-1, IA-2, IA-4, IA-5, IA-8, PE-2, PS-3
		<b>PR.AC-7:</b> Users, devices, and other assets are authenticated (e.g., single-factor, multi-factor) commensurate with the risk of the transaction (e.g., individuals’ security and privacy risks and other organizational risks)	<b>CIS CSC</b> 1, 12, 15, 16 <b>COBIT 5</b> DSS05.04, DSS05.10, DSS06.10 <b>ISA 62443-2-1:2009</b> 4.3.3.6.1, 4.3.3.6.2, 4.3.3.6.3, 4.3.3.6.4, 4.3.3.6.5, 4.3.3.6.6, 4.3.3.6.7, 4.3.3.6.8, 4.3.3.6.9 <b>ISA 62443-3-3:2013</b> SR 1.1, SR 1.2, SR 1.5, SR 1.7, SR 1.8, SR 1.9, SR 1.10 <b>ISO/IEC 27001:2013</b> A.9.2.1, A.9.2.4, A.9.3.1, A.9.4.2, A.9.4.3, A.18.1.4 <b>NIST SP 800-53 Rev. 4</b> AC-7, AC-8, AC-9, AC-11, AC-12, AC-14, IA-1, IA-2, IA-3, IA-4, IA-5, IA-8, IA-9, IA-10, IA-11

# Relationship Types

*Online Informative References*

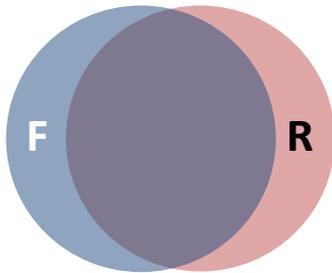
## Case 1

Subset of



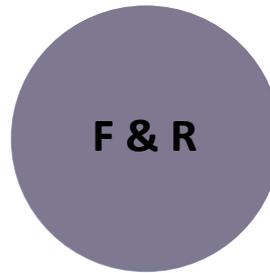
## Case 2

Intersects with



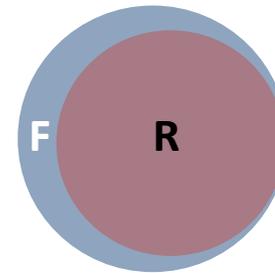
## Case 3

Equivalent to



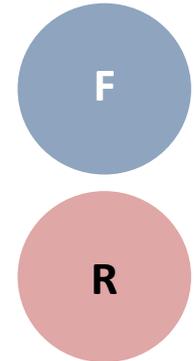
## Case 4

Superset of



## Case 5

Not related to



### Key

Framework – blue  
Reference Document - red

# Continued Improvement of Critical Infrastructure Cybersecurity

Update Activities	Engagement
<b>Request for Information</b> – Views on the Framework for Improving Critical Infrastructure Cybersecurity – Dec 2015	105 Responses
<b>7th Workshop</b> – Apr 2016	653 Physical Attendees, 140 Online Attendees
<b>Draft 1 – Framework Version 1.1</b> – Released Jan 2017	Approx. 42,000+ downloads As of 4/27/18
<b>Request for Comment</b> – Proposed update to the Framework for Improving Critical Infrastructure Cybersecurity – Jan 2017	129 Responses
<b>8th Workshop</b> – May 2017	517 Physical Attendees, 1528 Online Attendees
<b>Draft 2 – Framework Version 1.1</b> – Released Dec 2017	Approx. 32,000+ downloads As of 4/27/18
<b>Request for Comment</b> – Cybersecurity Framework Version 1.1 – Draft 2 – Dec 2017	89 Responses
<b>Framework Version 1.1</b> – Release April 2018	Approx. 27,000+ downloads thus far

# Continued Improvement

Living Document Process

<https://www.nist.gov/cyberframework/online-learning/update-process>



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NIST MENU

## CYBERSECURITY FRAMEWORK

Framework +

New to Framework +

Perspectives +

Success Stories +

Online Learning -

Components of the Framework

Uses and Benefits of the Framework

History and Creation of the Framework

Informative References

The Five Functions

Introduction to the Framework Roadmap

Update Process

## Framework Update Process



### Overview

This online learning module provides readers with insight into how NIST plans to maintain the Framework for Improving Critical Infrastructure Cybersecurity ("The Framework"). This online learning module builds on the [History and Creation of the Framework](#) by describing how lessons learned from developing the Framework and preparing for the release of version 1.1 of the Framework led to the Framework update process.

### Update Process

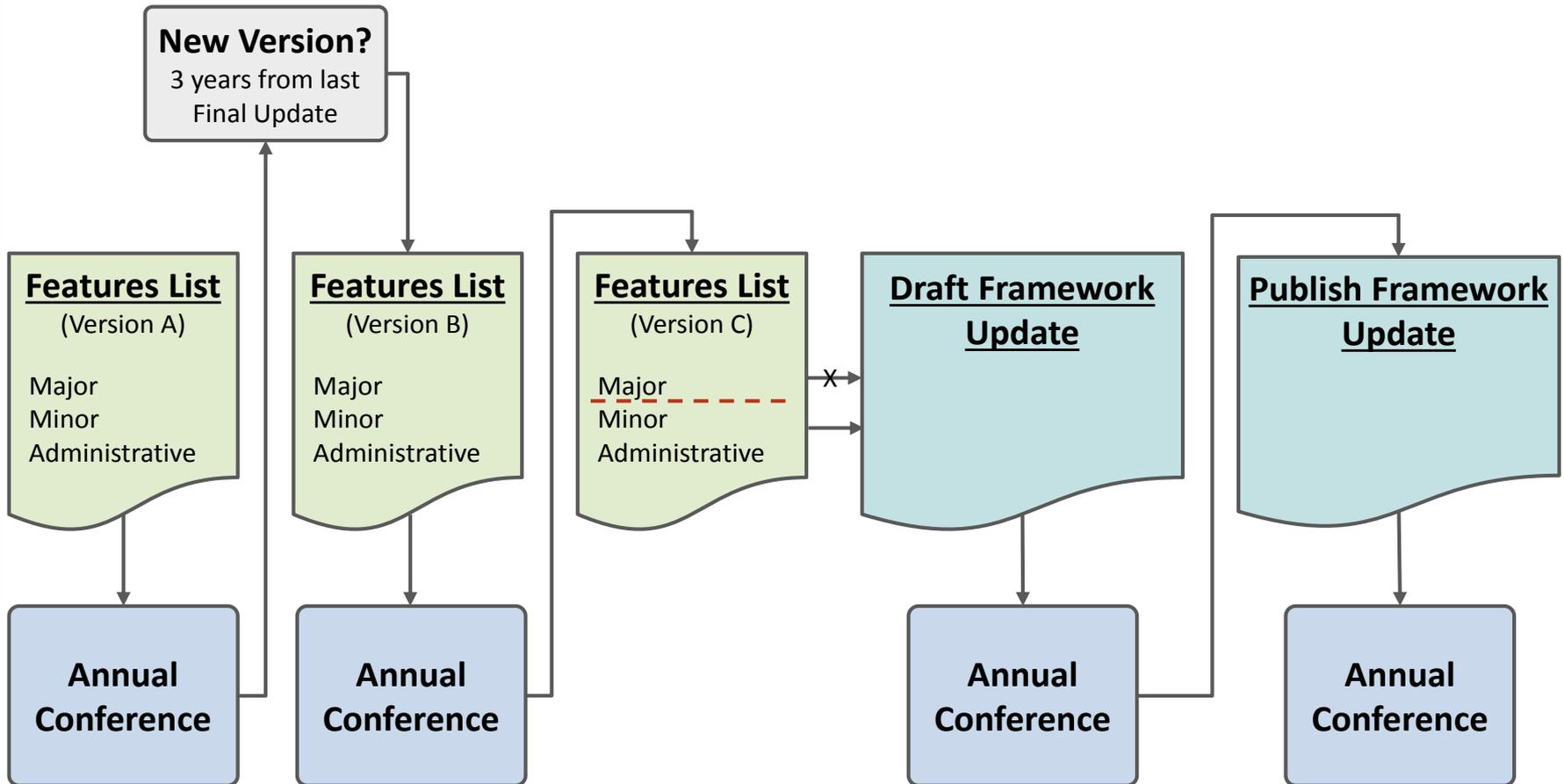
NIST routinely engages industry through three primary activities. First, NIST continually and regularly engages in community outreach activities by attending meetings, events, and roundtable dialogs. Second, NIST solicits direct feedback from industry through requests for information (RFI), requests for comments (RFC), and through the NIST Framework team's email alias ([cyberframework@nist.gov](mailto:cyberframework@nist.gov)). Finally, NIST observes and monitors relevant resources and references as published by the government, academia, and industry.

As described in Figure 1, below, NIST catalogs all comments and feature enhancements received on the Framework in a Features List. NIST then categorizes all comments and feature enhancement suggestions on the Features Lists as either Major, Minor, or Administrative comments based on the degree to which implementing the change would impact the backwards compatibility of the Framework. The features are also prioritized based on their importance to stakeholders.

# Milestones

Three Year Minimum Update Cycle

<https://www.nist.gov/cyberframework/online-learning/update-process>



# Ways to Help

## *Stakeholder Recommended Actions*

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- Create and share your **Resources** with others in coordination with NIST
  - **Customize Framework** for your sector or community
  - Publish a sector or **community Profile** or relevant **Online Informative Reference**
- Publish **Success Stories** of your Framework implementation in coordination with NIST
- **Advocate** for the Framework throughout your sector or community, with related sectors and communities.
- Submit an idea for the NIST **Call for Speakers**

[cyberframework@nist.gov](mailto:cyberframework@nist.gov) for all NIST  
*coordination and communication*

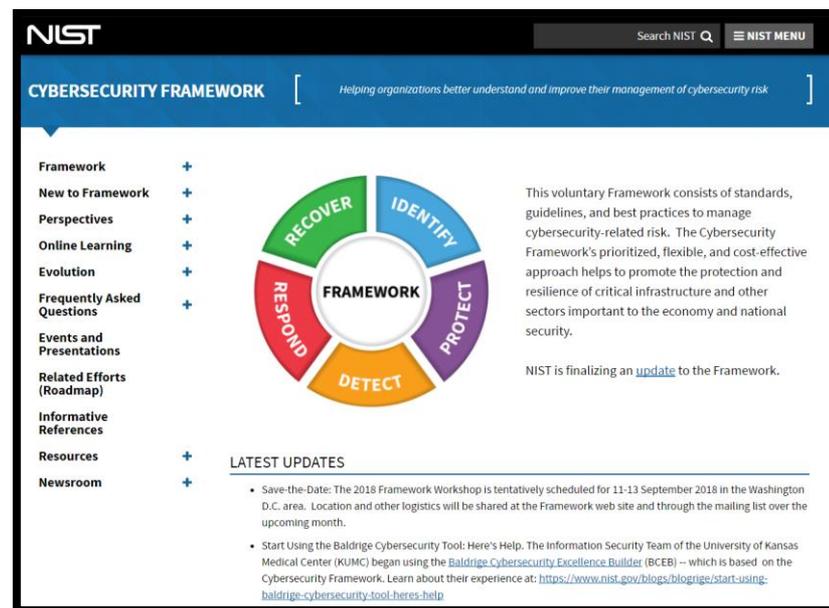
# Upcoming

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15-16 May 2018	Federal Computer Security Managers Forum <a href="https://csrc.nist.gov/Events/2018/Federal-Computer-Security-Managers-Forum-2-day">https://csrc.nist.gov/Events/2018/Federal-Computer-Security-Managers-Forum-2-day</a>
Spring 2018	Publication of Roadmap for Improving Critical Infrastructure Cybersecurity
Spring 2018	Publication of NIST Interagency Report 8170
Summer 2018	Spanish Language Framework Version 1.1
6-8 November 2018	NIST Cybersecurity Risk Management Conference - Call for Speakers
Winter 2018-19	Small Business Starter Profiles

# Resources

- Framework for Improving Critical Infrastructure Cybersecurity and related news and information:
  - [www.nist.gov/cyberframework](http://www.nist.gov/cyberframework)
- Additional cybersecurity resources:
  - <http://csrc.nist.gov/>
- Questions, comments, ideas:
  - [cyberframework@nist.gov](mailto:cyberframework@nist.gov)



**NIST** Search NIST Q NIST MENU

**CYBERSECURITY FRAMEWORK** [ Helping organizations better understand and improve their management of cybersecurity risk ]

**Framework** +  
**New to Framework** +  
**Perspectives** +  
**Online Learning** +  
**Evolution** +  
**Frequently Asked Questions** +  
**Events and Presentations** +  
**Related Efforts (Roadmap)** +  
**Informative References** +  
**Resources** +  
**Newsroom** +

**RECOVER** **IDENTIFY**  
**RESPOND** **FRAMEWORK** **PROTECT**  
**DETECT**

This voluntary Framework consists of standards, guidelines, and best practices to manage cybersecurity-related risk. The Cybersecurity Framework's prioritized, flexible, and cost-effective approach helps to promote the protection and resilience of critical infrastructure and other sectors important to the economy and national security.

NIST is finalizing an [update](#) to the Framework.

**LATEST UPDATES**

- **Save-the-Date:** The 2018 Framework Workshop is tentatively scheduled for 11-13 September 2018 in the Washington D.C. area. Location and other logistics will be shared at the Framework web site and through the mailing list over the upcoming month.
- **Start Using the Baldrige Cybersecurity Tool: Here's Help.** The Information Security Team of the University of Kansas Medical Center (KUMC) began using the [Baldrige Cybersecurity Excellence Builder \(BCEB\)](#) -- which is based on the Cybersecurity Framework. Learn about their experience at: <https://www.nist.gov/blogs/blogrge/start-using-baldrige-cybersecurity-tool-heres-help>

# Questions?

