

# Review of NSOM Microscopy for Materials

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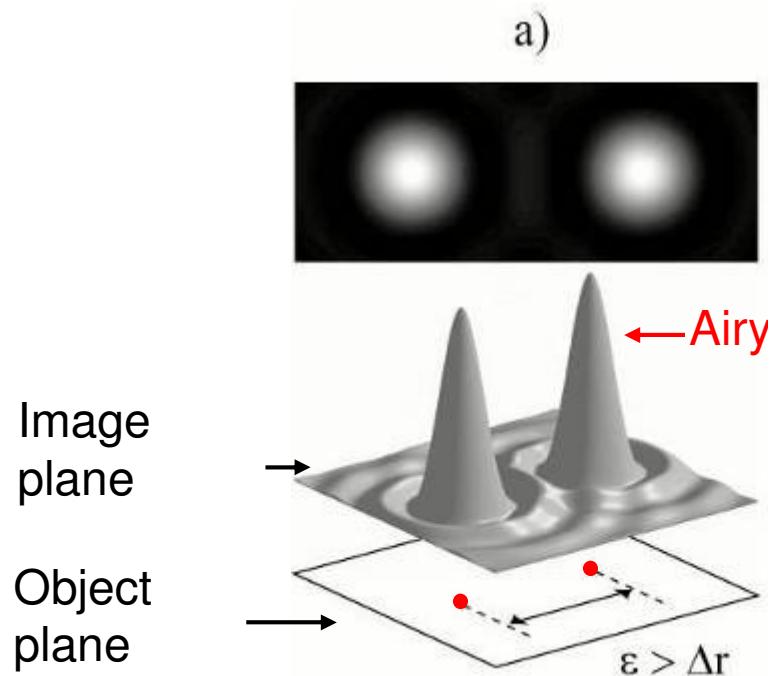
# Outline :

Introduction : concept of near-field scanning optical microscopy (NSOM)

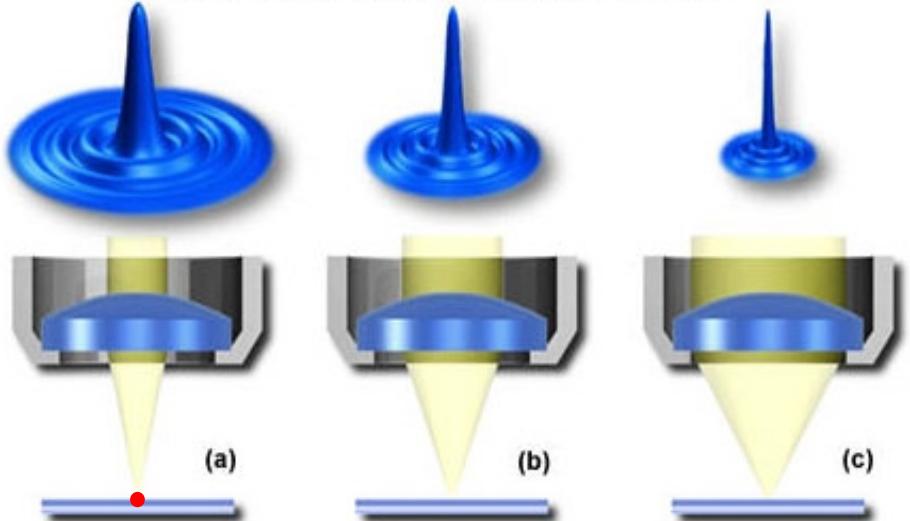
- A. Aperture NSOM
- B. Scattering type NSOM
- C. Thermal Radiation Scanning Tunnelling Microscope
- D. NSOM with active fluorescent nano object

# Far-field optical microscopy

- Resolution limit :



Numerical Aperture and Airy Disc Size



ref : <http://www.olympusmicro.com/>

$$\text{Numerical aperture : } \text{NA} = n \sin \theta$$

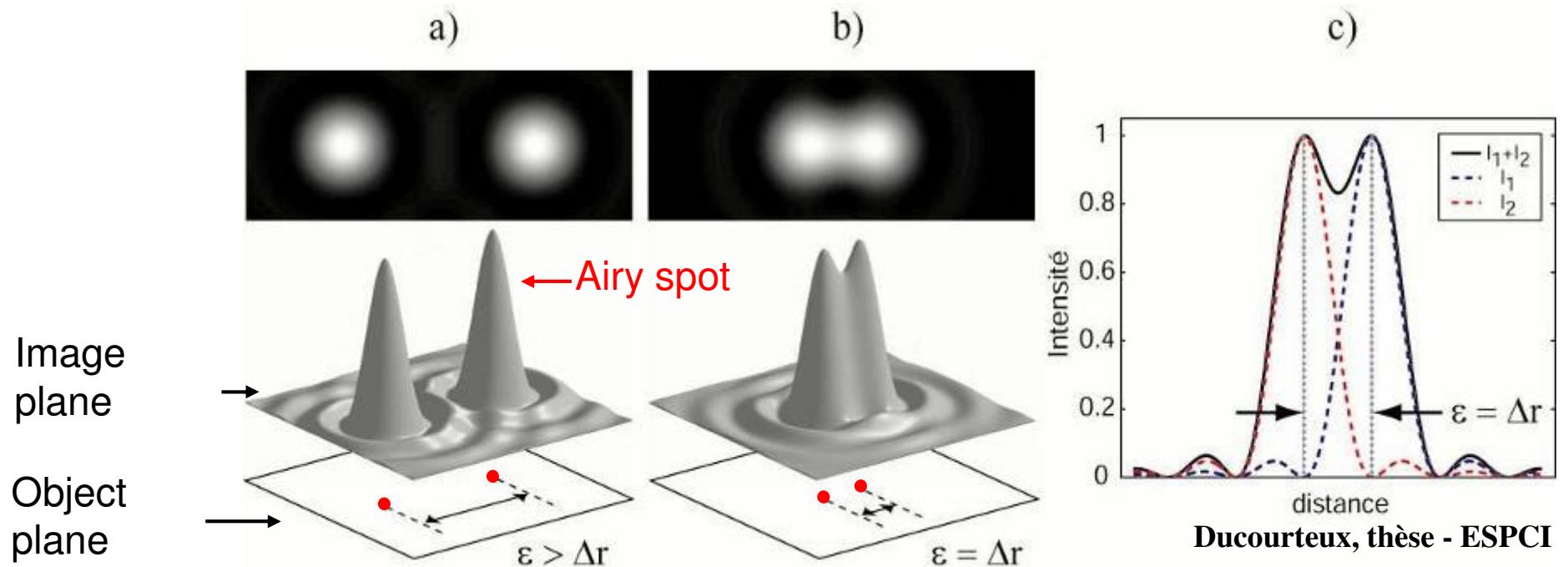
Rayleigh criterion :

$$\Delta r = \frac{0.6 \cdot \lambda}{n \sin \theta}$$

Example :  
 $\lambda \sim 0.5 \mu\text{m}$  (visible)  
 $n = 1$   
 $\sin \theta = 0.95$   
 $\Delta r \approx 320 \text{ nm}$

# Far-field optical microscopy

- Resolution limit :



Numerical aperture :  $NA = n \sin \theta$

Rayleigh criterion :

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Example :

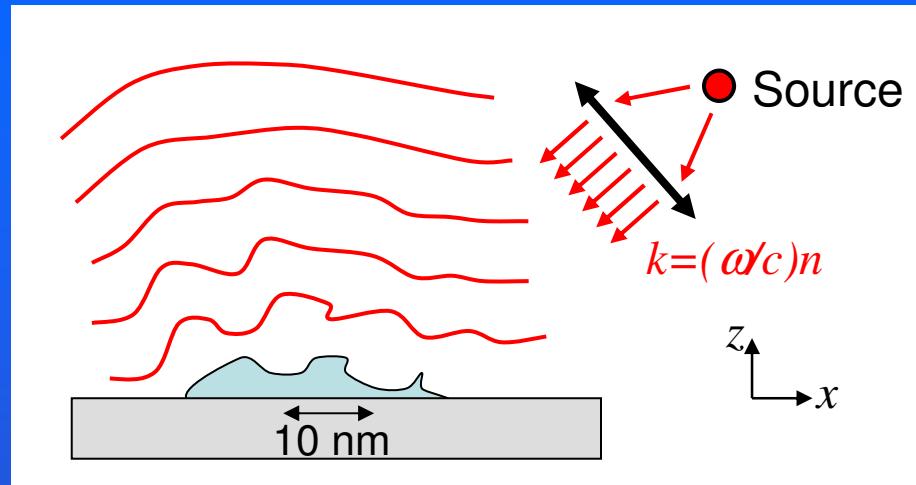
$\lambda \sim 0.5 \text{ } \mu\text{m}$  (visible)

$n = 1$

$\sin \theta = 0.95$

$\Delta r \approx 320 \text{ nm}$

# Near-field :definition



$$\mathbf{E}(x, y, z) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \hat{\mathbf{E}}(k_x, k_y; 0) e^{i[k_x x + k_y y + k_z z]} dk_x dk_y$$

Spatial Fourier transform

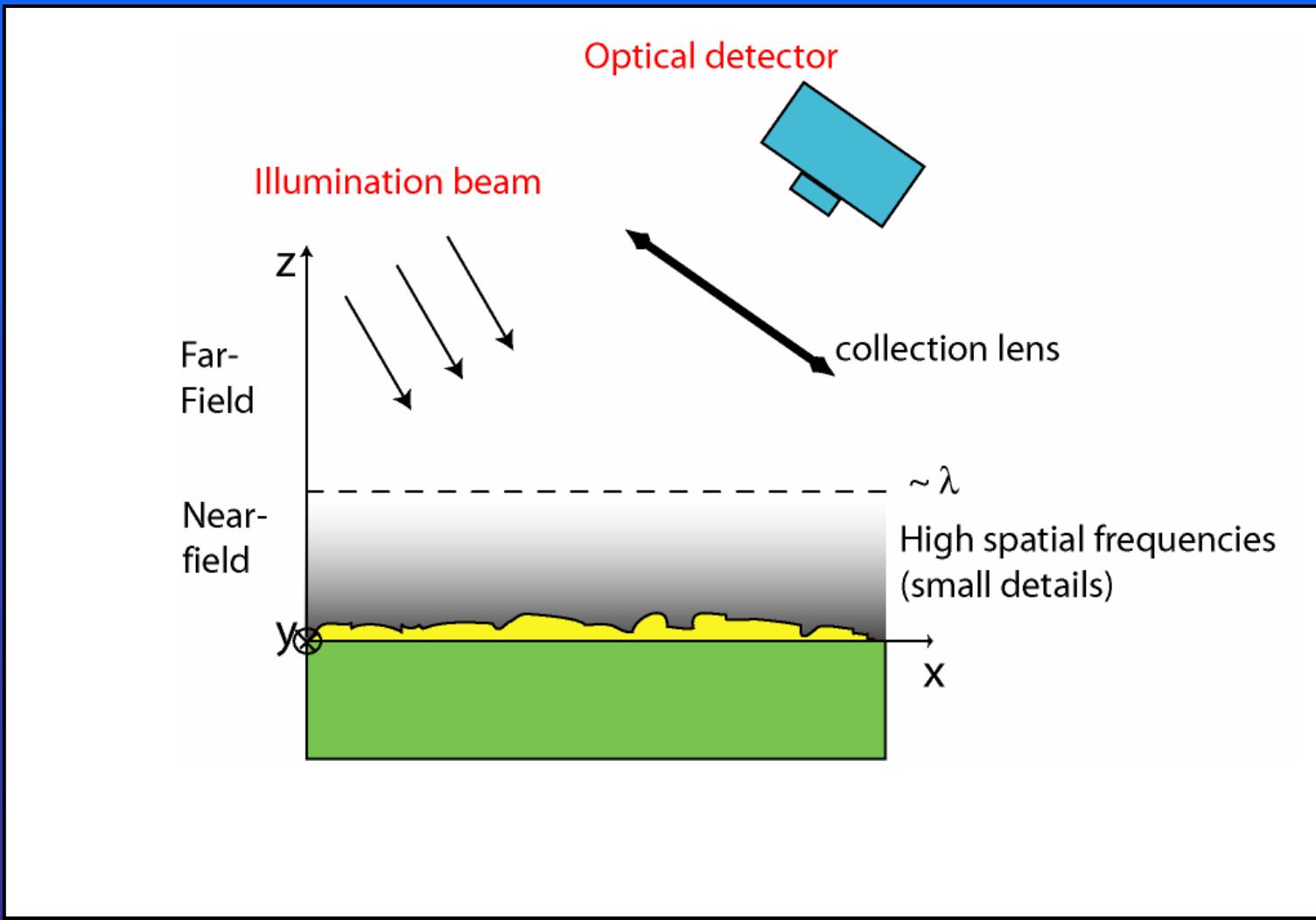
$$k_z = \sqrt{(k^2 - k_x^2 - k_y^2)} \quad \text{with } \text{Im}\{k_z\} \geq 0$$

Plane waves :  $e^{i[k_x x + k_y y]} e^{ikz}, \quad k_x^2 + k_y^2 \leq k^2$  Low spatial frequencies

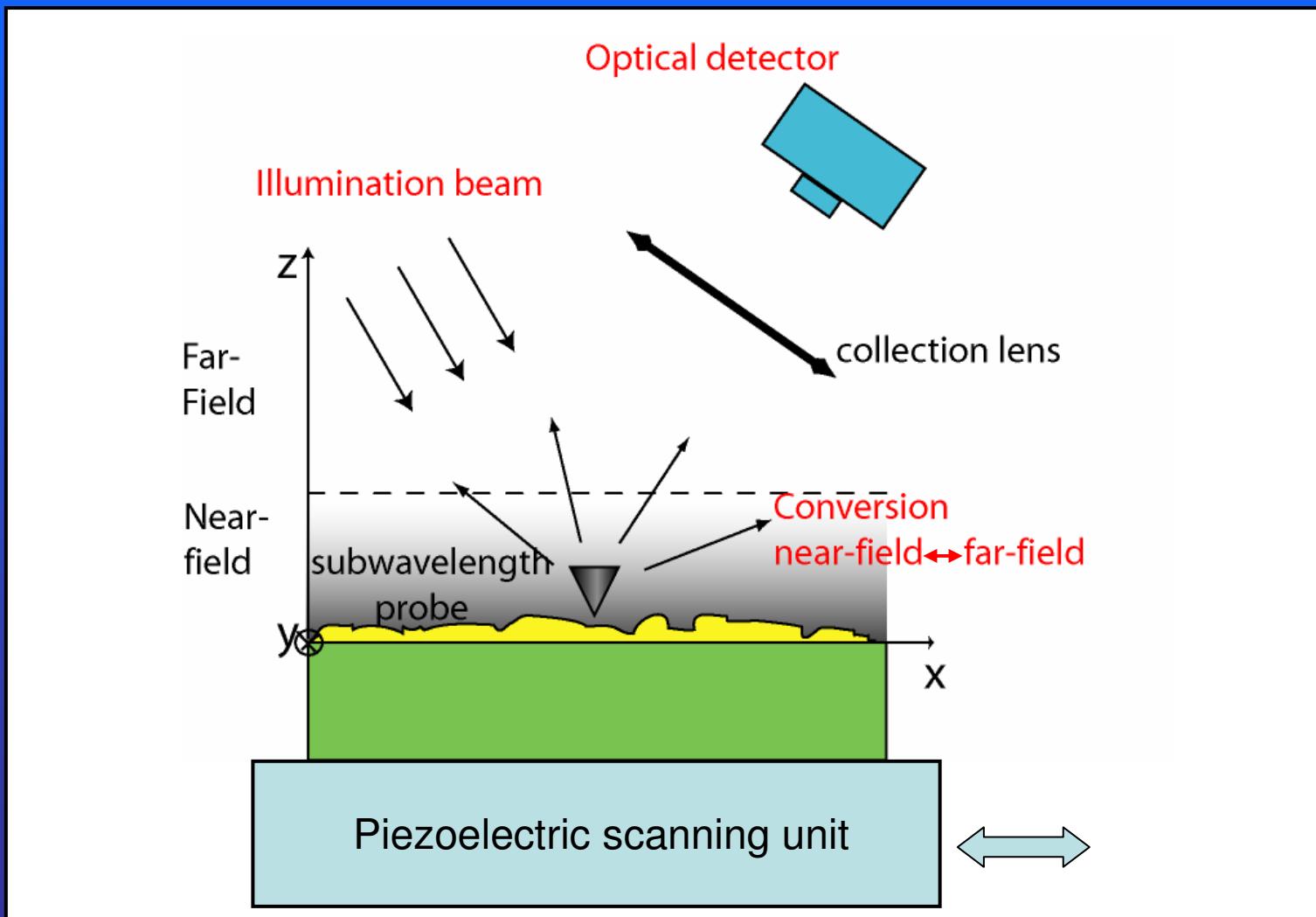
Evanescence waves :  $e^{i[k_x x + k_y y]} e^{-k_z z}, \quad k_x^2 + k_y^2 > k^2$  High spatial frequencies

**Distance is a low-pass filter !**

# Near-field scanning optical microscopy NSOM : principle

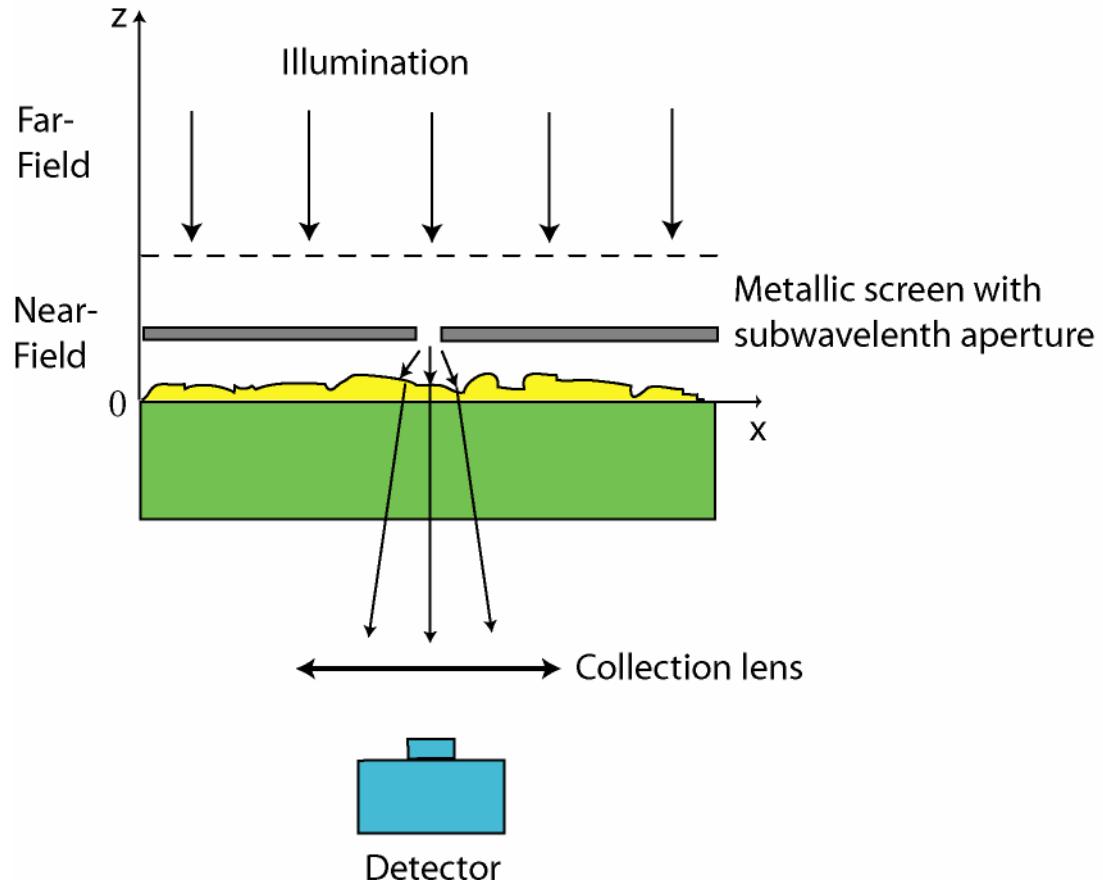


# Near-field scanning optical microscopy NSOM : principle



## A. Aperture NSOM

Synge's idea (1928) "to illuminate the sample through a subwavelength hole"

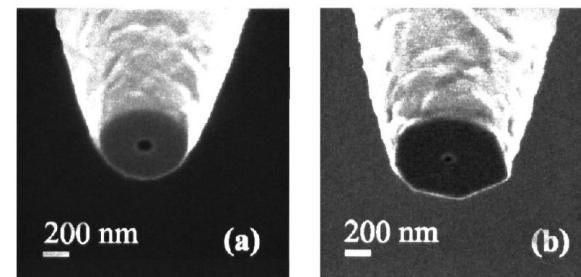
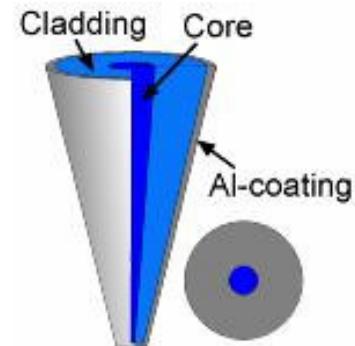
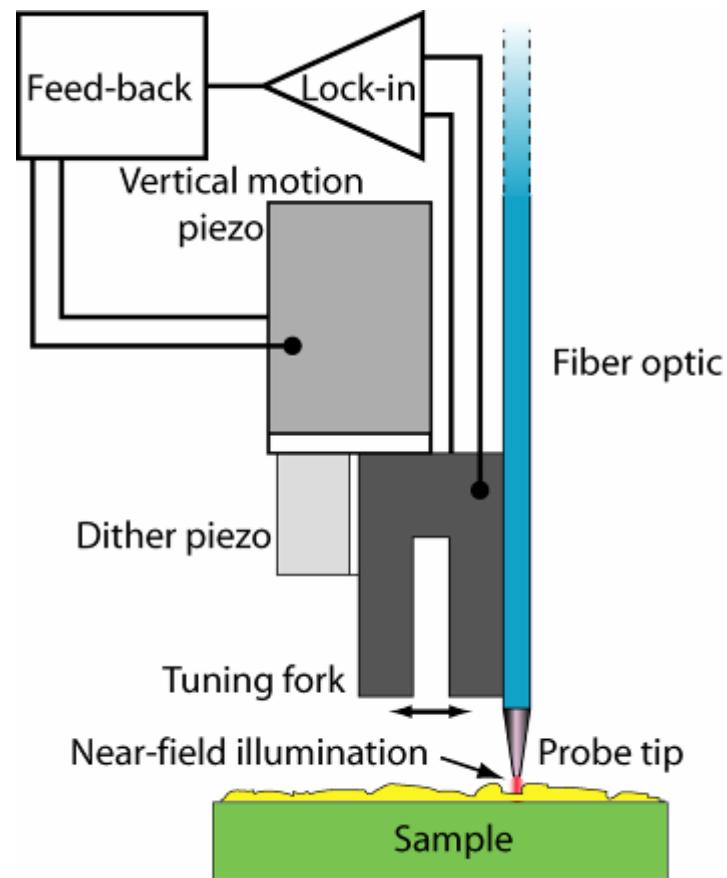


Synge, Phylos. Mag. 6, 356 (1928)

# A. Aperture NSOM

Practical realization : D. W. Pohl (1984) - Appl. Phys. Lett. **44**, 651 (1984)

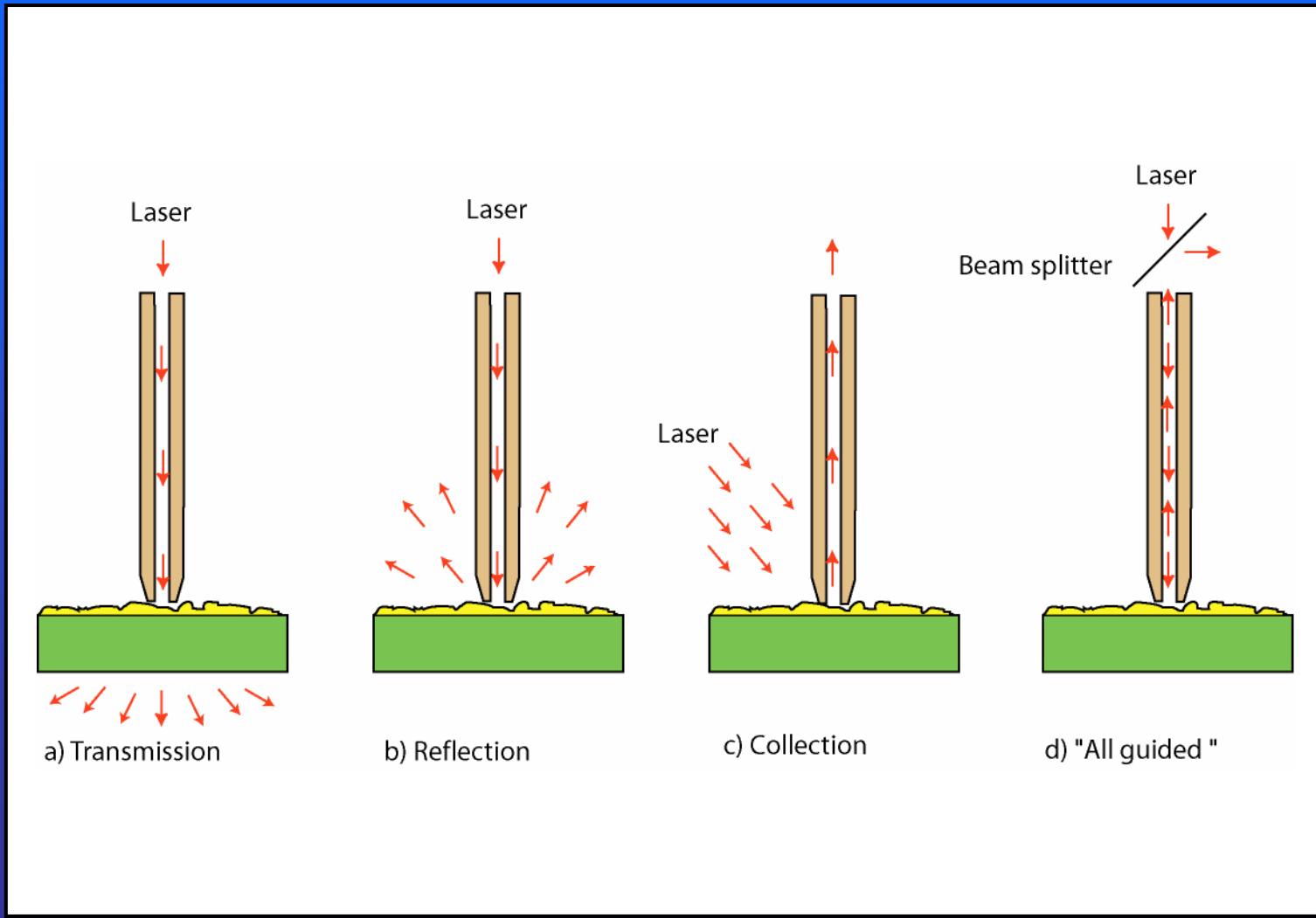
Subwavelength hole on an AFM scanning unit



J. A. Veerman, et al.  
Appl. Phys. Lett. **72**, 3115 (1998).

# A. Aperture NSOM

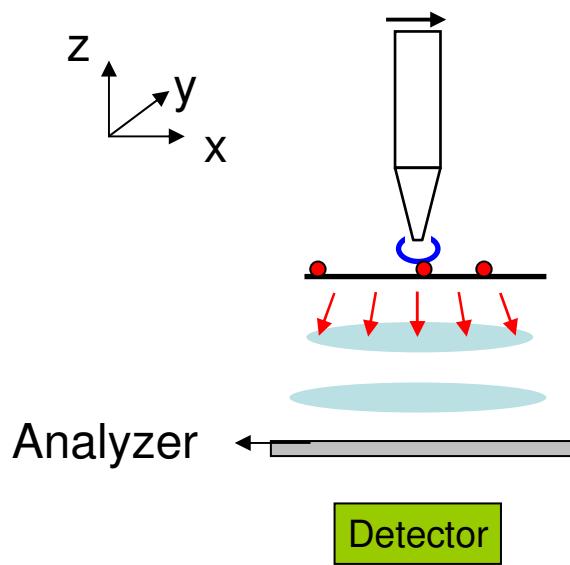
## Different operating modes



# A. Aperture NSOM

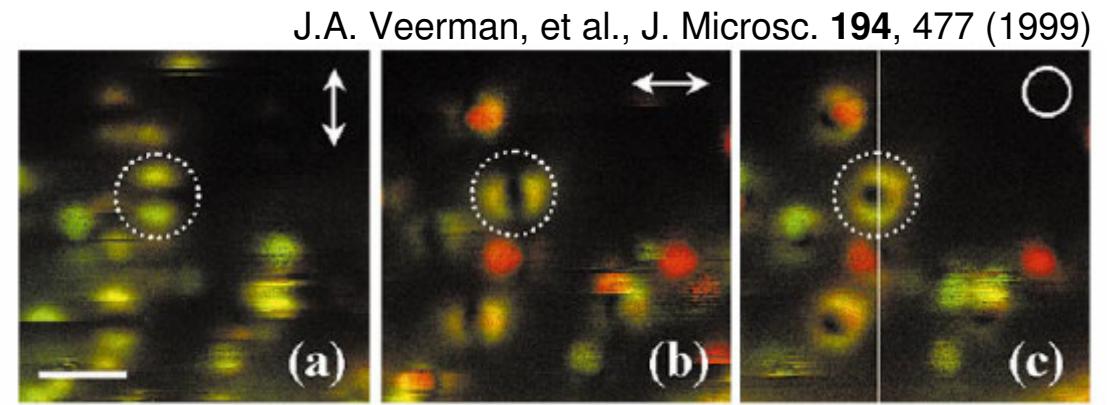
## Applications : luminescence

- Single fluorescent molecules :

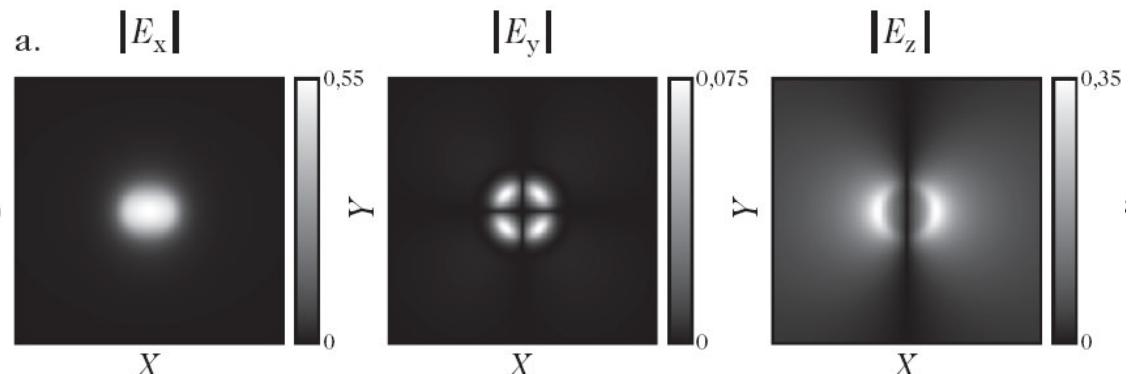


Field distribution around  
fiber tip aperture  
(incident polarization // x) →  
Y

Possibility to know dipole  
orientation from image  
symmetry.



→ Molecule dipole // z



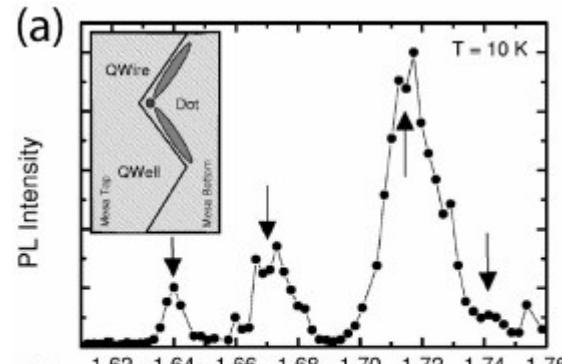
“Les nouvelles microscopies” Belin (2006)- images L. Aigouy

# A. Aperture NSOM

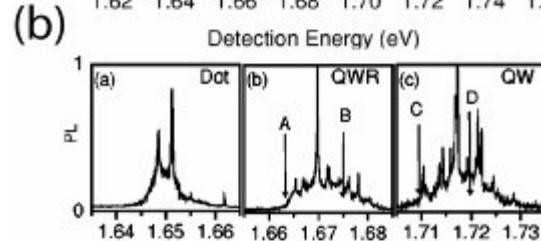
## Applications : luminescence

- Luminescence in quantum heterostructures :

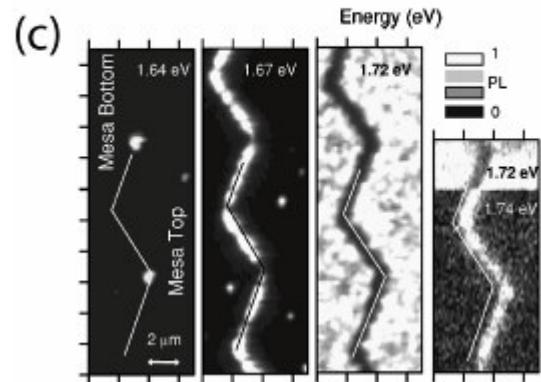
Global luminescence spectrum



Local spectra



Energy resolved imaging



## B. Scattering type NSOM

### s-NSOM : Principle

- Light scattering by subwavelength objects :

Classical (far field) microscopy image

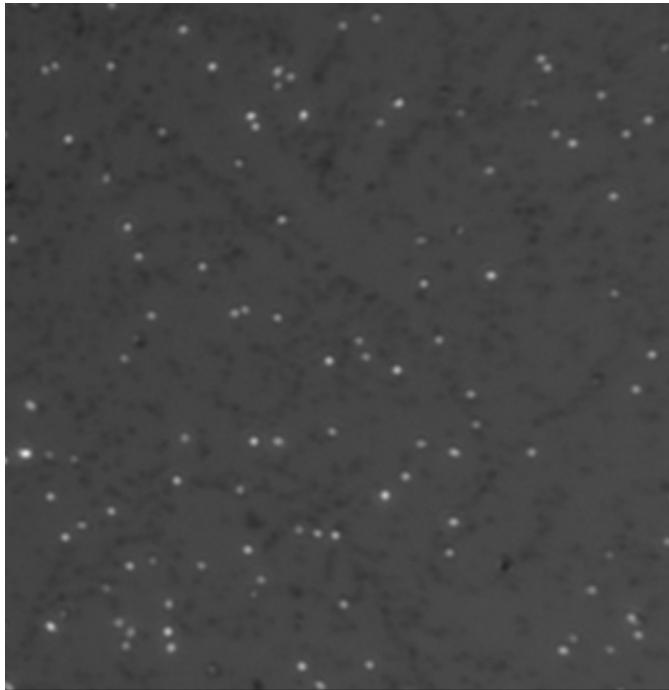


Image size:  
35 μm x 35 μm

Gold beads ( $\phi \sim 50$  nm)  
Visible illumination ( $\lambda \sim 500$  nm)

Each nano particle = dipole

$$\text{Dipole moment } \mathbf{p} = \epsilon_m \alpha \mathbf{E}_0$$

Scattering cross section

$$C_{scat}(\omega) = \frac{k^4}{6\pi} |\alpha|^2$$

Scattered intensity

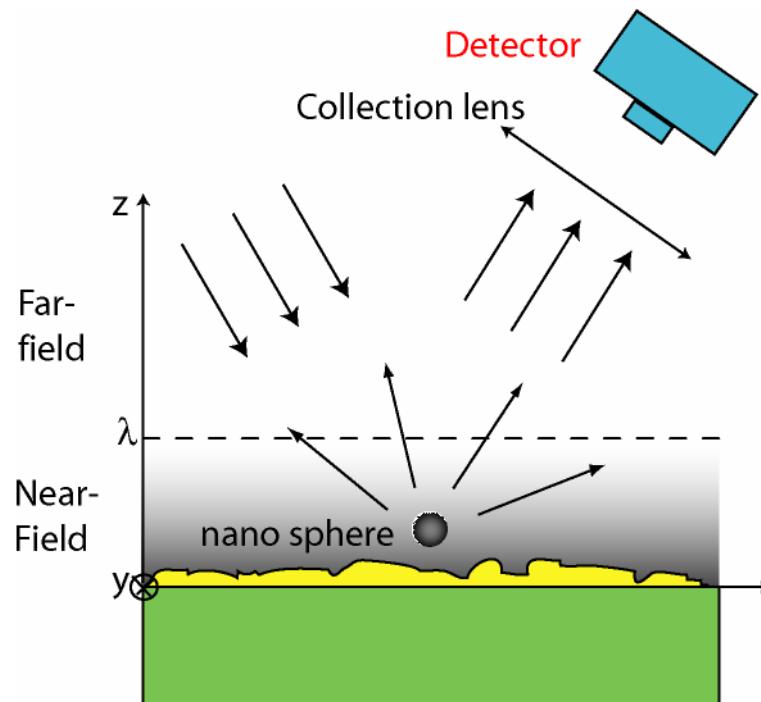
$$I \propto C_{scat} E_0^2$$

## B. Scattering type NSOM

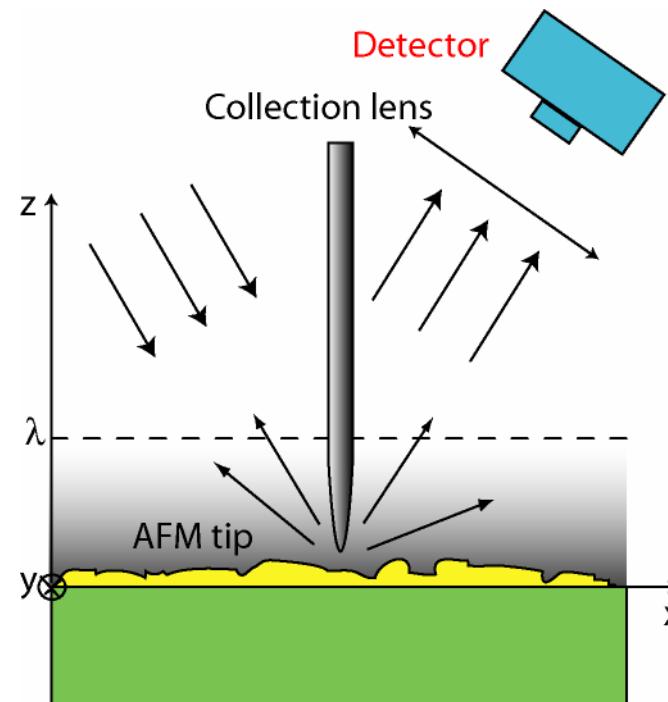
### s-NSOM : Principle

- Controlled displacement of a single subwavelength scatterer

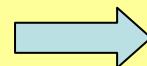
Idea :



In practice :



Recording of scattered signal  
vs. AFM tip position

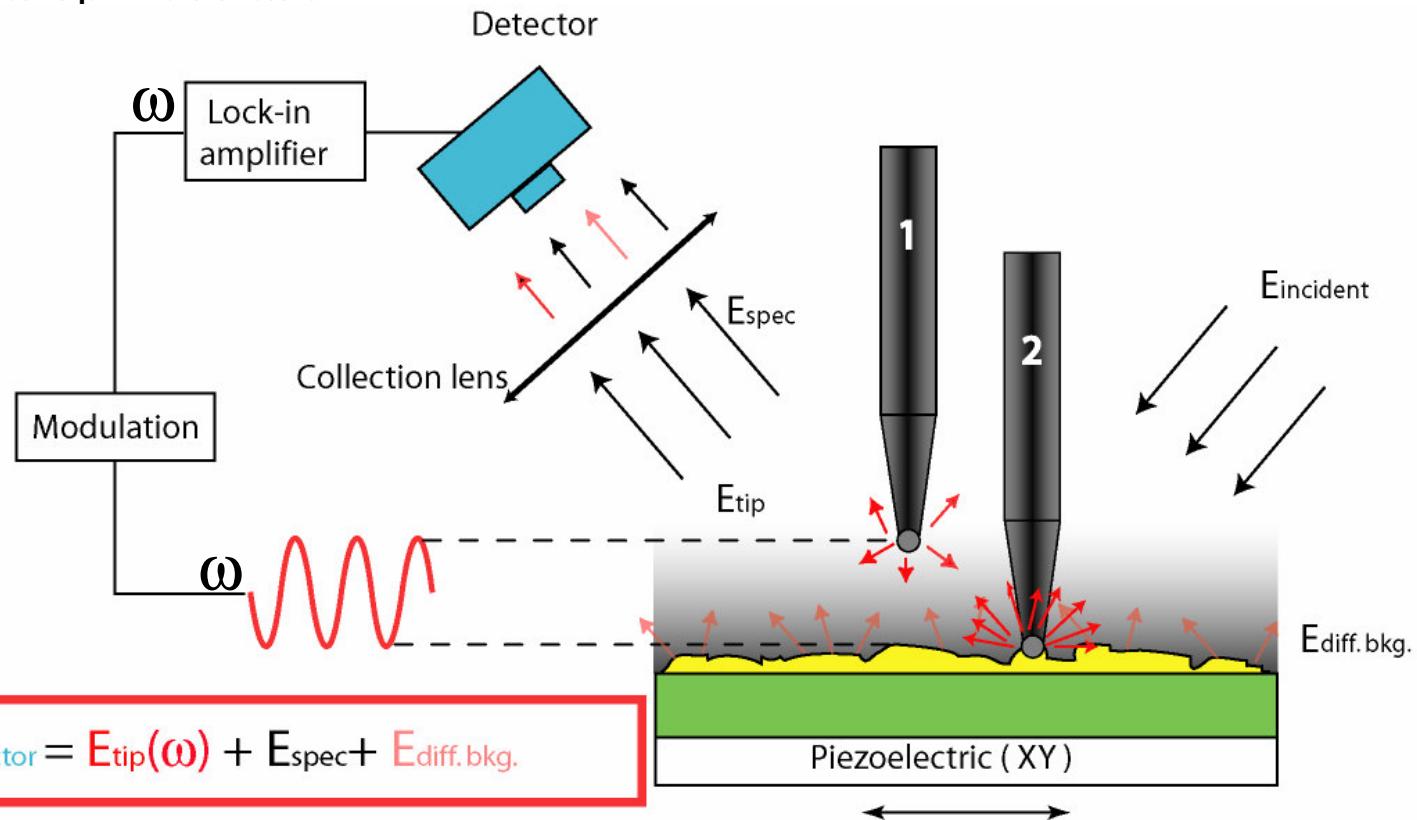


Near-field optical image  
with resolution  $\sim \phi$

## B. Scattering type NSOM

### s-NSOM : Principle

- Vertical tip modulation



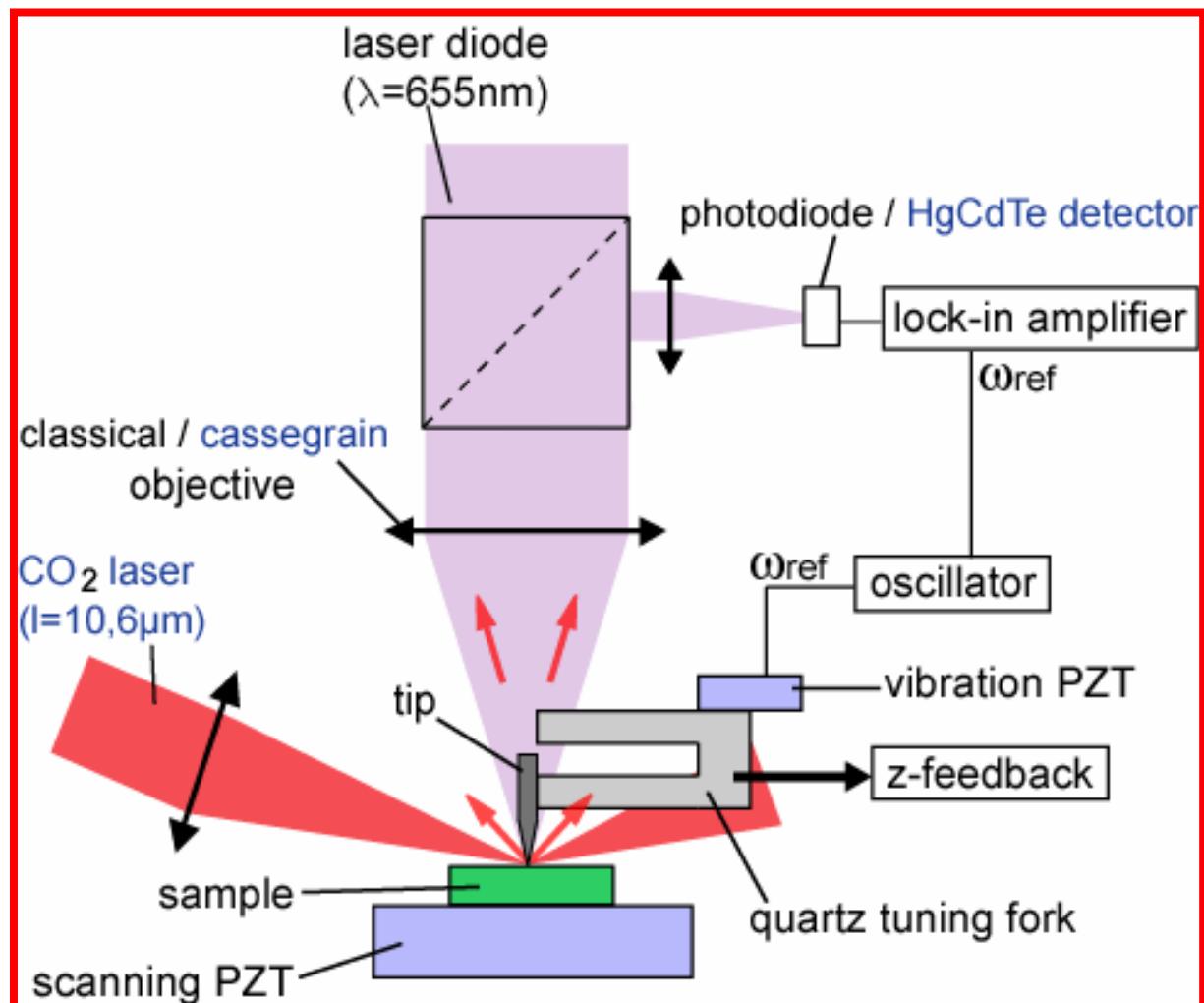
- To extract  $E_{\text{tip}}(\omega)$  from the background
- Surface topography ( AFM, « tapping » mode )

## B. Scattering type NSOM

### Example of s-NSOM design

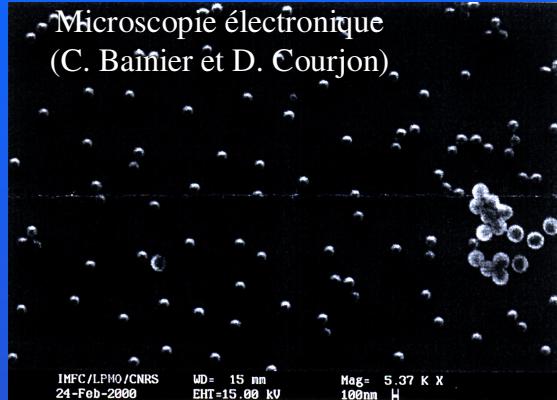
Two modes :

- Visible :  $\lambda=655$  nm
- Infrared :  $\lambda=10.6\text{ }\mu\text{m}$

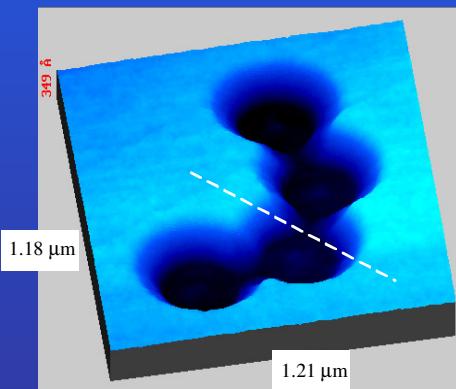


# s-NSOM with visible or infrared laser illumination : experimental results

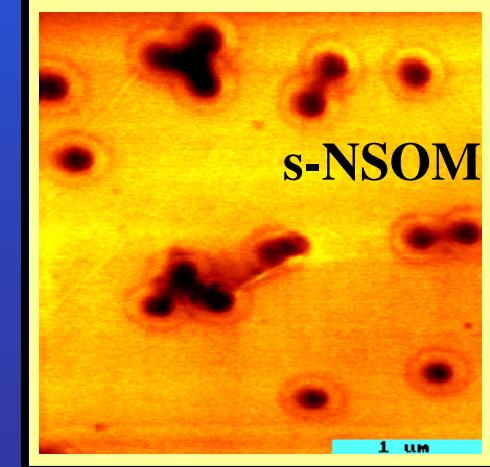
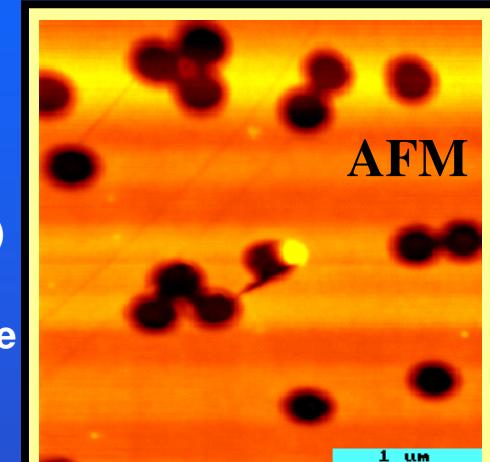
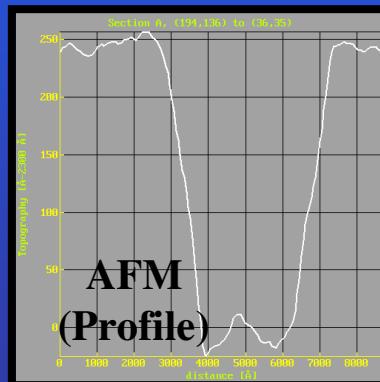
## SUBWAVELENGTH HOLES ( $\phi=200\text{nm}$ ) : INFRARED imaging



AFM (topography)



Formanek, De Wilde, Aigouy,  
J. Appl. Phys. 93, 9548 (2003)  
GDR Optique de champ proche  
Appl. Optics 42, 691 (2003)

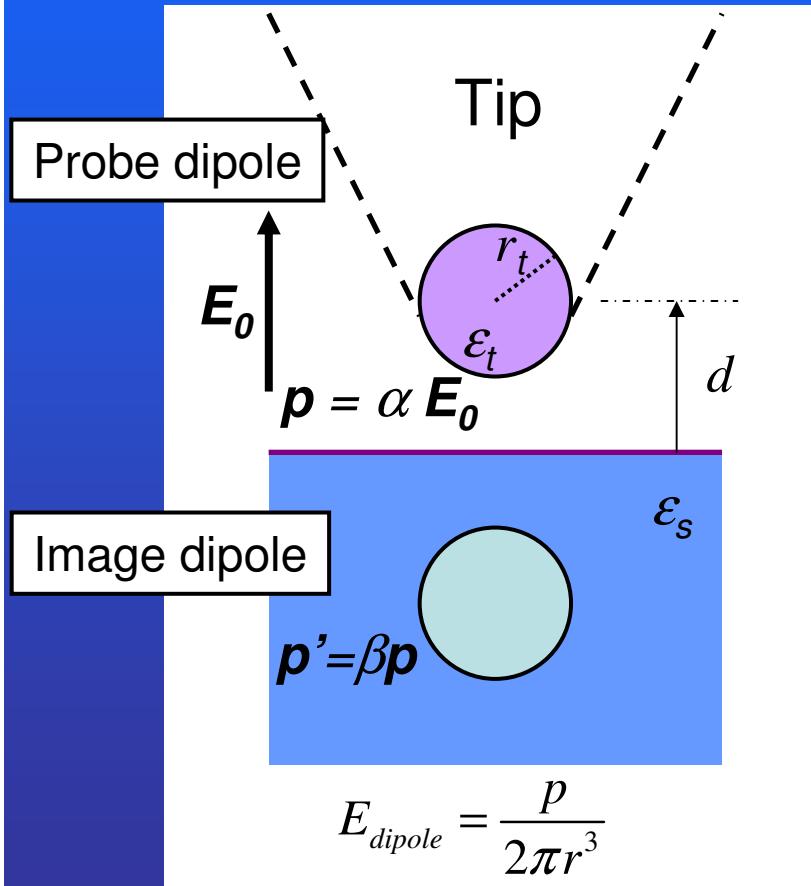


Optical resolution  $\sim 30 - 50 \text{ nm}$   
 $\sim \lambda/200$

SNOM (3μmx3μm)  
Infrared illumination  $\lambda=10,6 \mu\text{m}$

# s-NSOM: Relation to materials dielectric functions

The optical signal is due to scattering of the coupled probe dipole – image dipole system



Scattering cross section

$$C_{scat}(\omega) = \frac{k^4}{6\pi} |\alpha^{eff}|^2$$

Effective polarizability

$$\alpha^{eff} = \frac{\alpha(1+\beta)}{1 - \frac{\alpha\beta}{16\pi d^3}}$$

$$E = E_0 + E_{image}$$

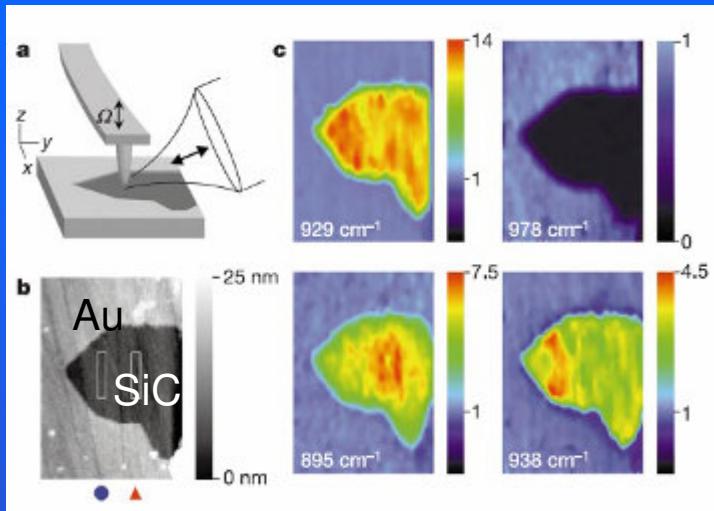
Sphere dipole

$$\alpha = 4\pi r_t^3 \frac{\epsilon_t - 1}{\epsilon_t + 2}$$

Image dipole

$$\beta = \frac{\epsilon_s - 1}{\epsilon_s + 1}$$

# Relation to materials properties ( IR, $\lambda \sim 10\mu\text{m}$ )

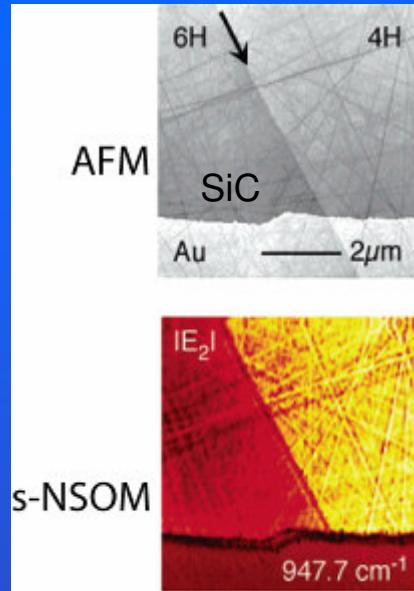


R. Hillenbrand et al. , Nature **418**, 159 (2002)

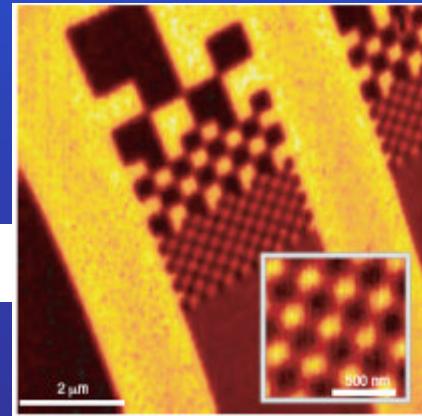
Phonon-polariton  $\rightarrow$  resonance in  $\alpha_{\text{eff}}$

Amorphous vs. crystalline SiC

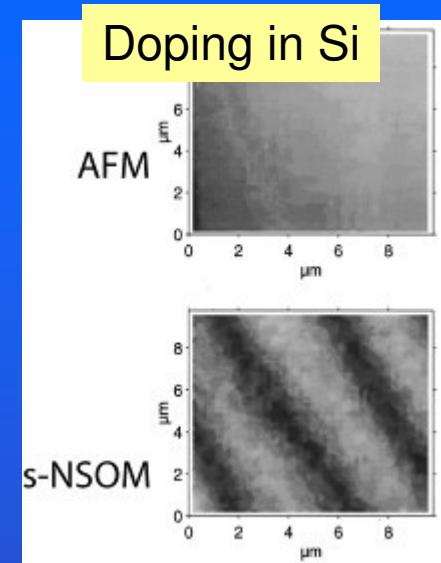
N. Ocelic and R. Hillenbrand  
Nature Mater. **3**, 606 (2004).



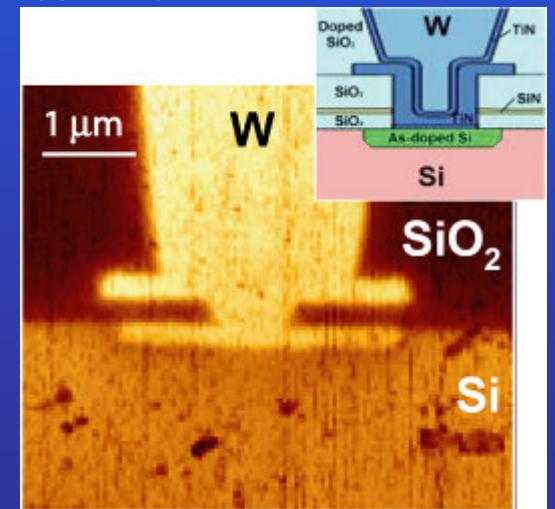
A. Huber, et al.,  
Nanolett. **6**, 774 (2006).



Crystal structure

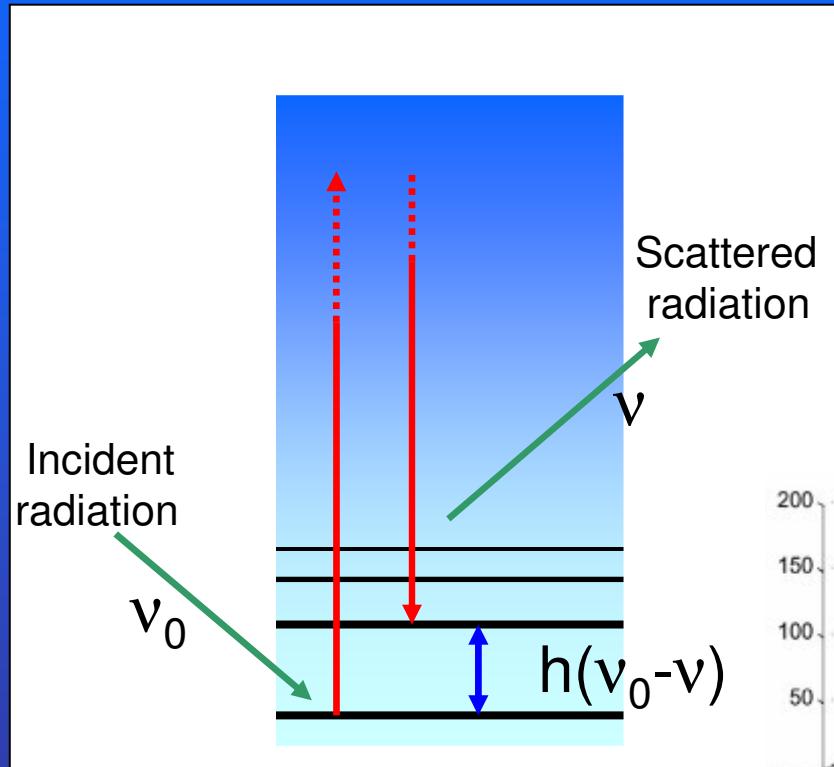


A. Lahrech, et al.,  
Appl. Phys. Lett. **71**, 575 (1997).



R. Hillenbrand, et al.  
Collaboration with Infineon (J. Wittborn)  
(Presented at NFO9 - to be published)

# s-NSOM : Nano Raman spectroscopy

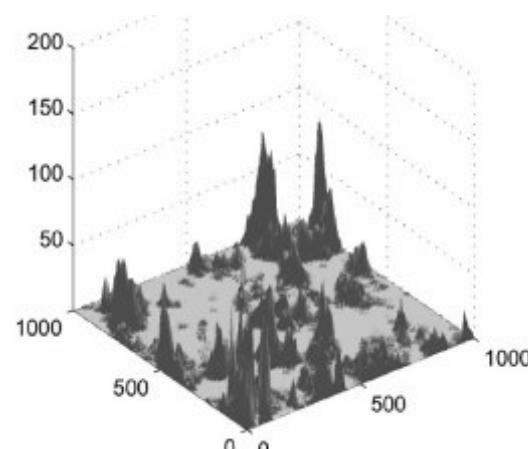


Material identification via  
the energy of molecular vibrations

Typical molecule :

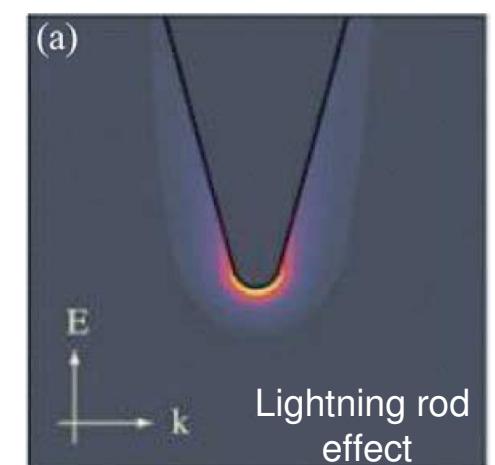
$$C_{scat} \sim 10^{-30} \text{ cm}^2$$

- Solution : local field enhancement



SERS

Ducourtieux et al.,  
Phys. Rev. B **64**, 165403 (2001).

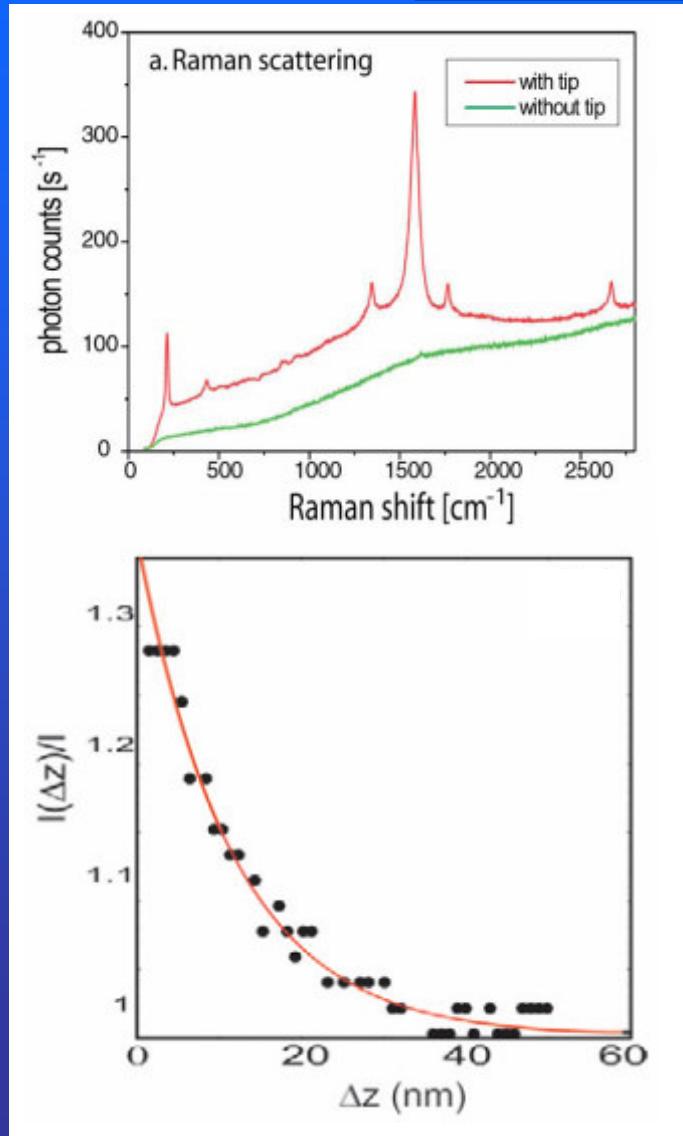


TERS

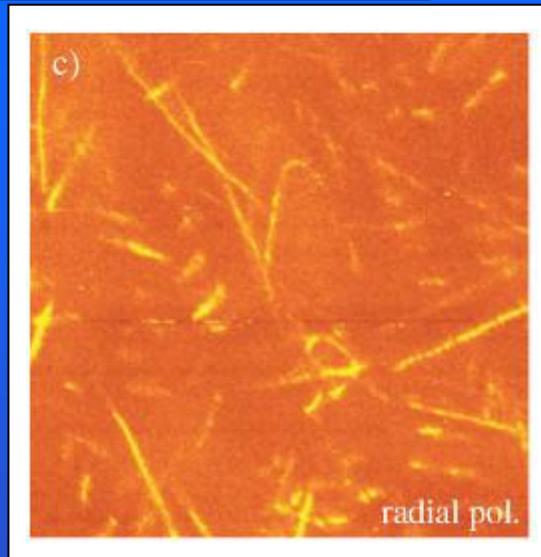
Anderson et al.,  
Materials Today (2005).

# s-NSOM : Nano Raman spectroscopy

## TERS on Carbon nanotubes



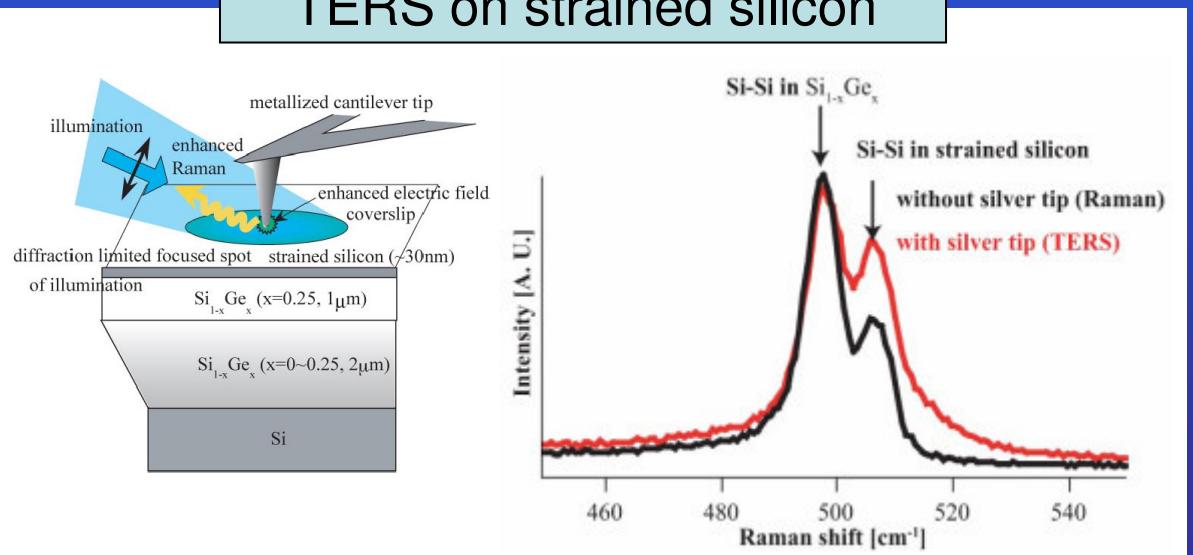
H. Qian, et al.,  
Phys. Stat. Sol. (b) **243**, 3146 (2006).



Nano Raman Imaging

N. Anderson J. Opt. A: Pure Appl. Opt. **8**, S227 (2006).

## TERS on strained silicon



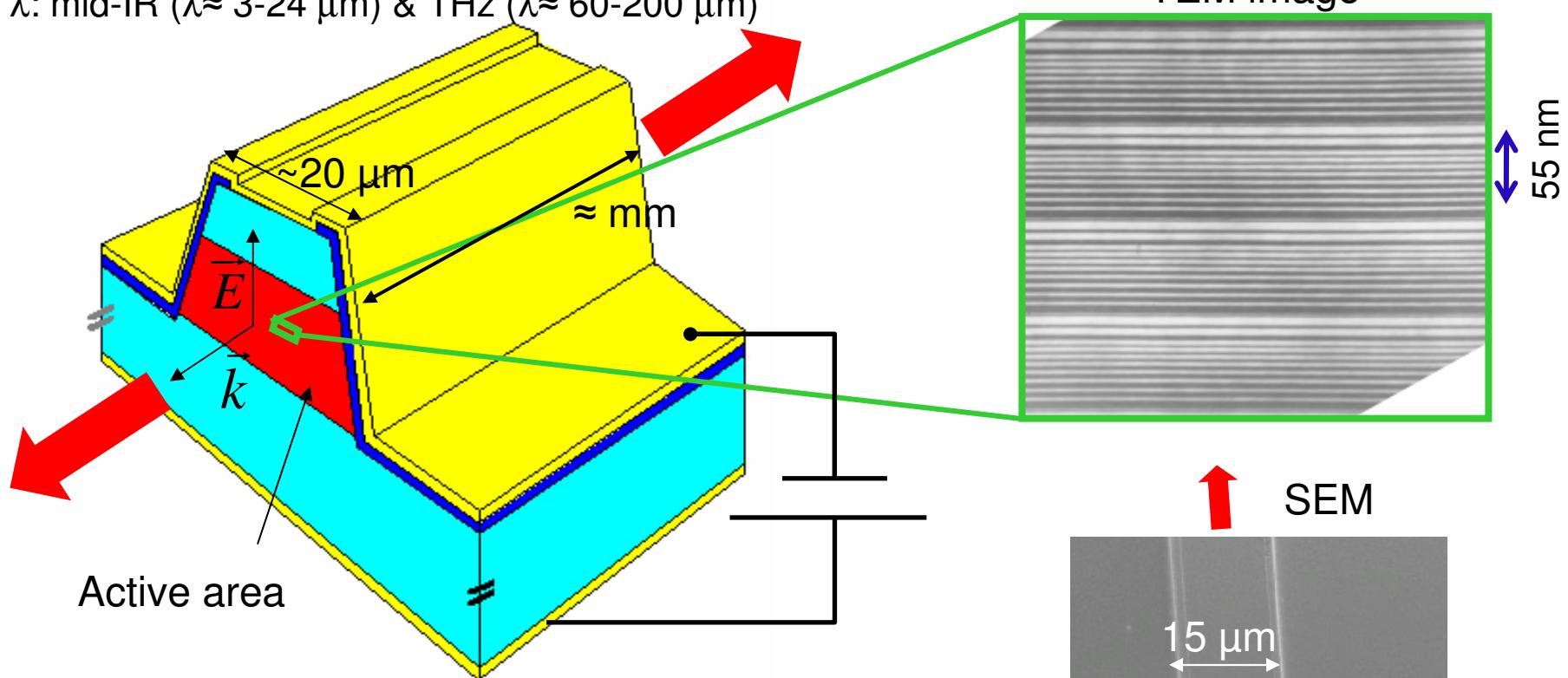
N. Hayazawa, et al., SPIE Newsroom 10.1117/2.1200611.0426

# s-NSOM-Imaging working semiconducting devices

## Quantum cascade lasers

InGaAs/AlInAs, GaAs/AlGaAs, InAs/AlSb...

$\lambda$ : mid-IR ( $\lambda \approx 3\text{-}24 \mu\text{m}$ ) & THz ( $\lambda \approx 60\text{-}200 \mu\text{m}$ )



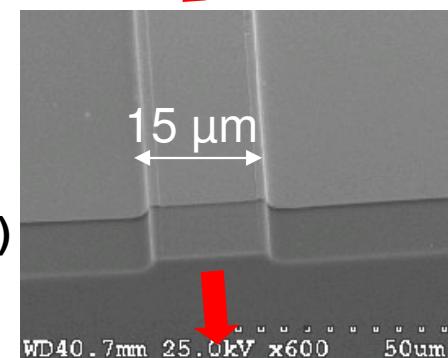
R. Colombelli (Institut Electronique Fondamentale, Orsay, FR)

V. Moreau - M. Bahriz (PhD students)

L. Wilson, A. Krysa (University of Sheffield, UK)

Y. De Wilde (ESPCI, Paris, FR)

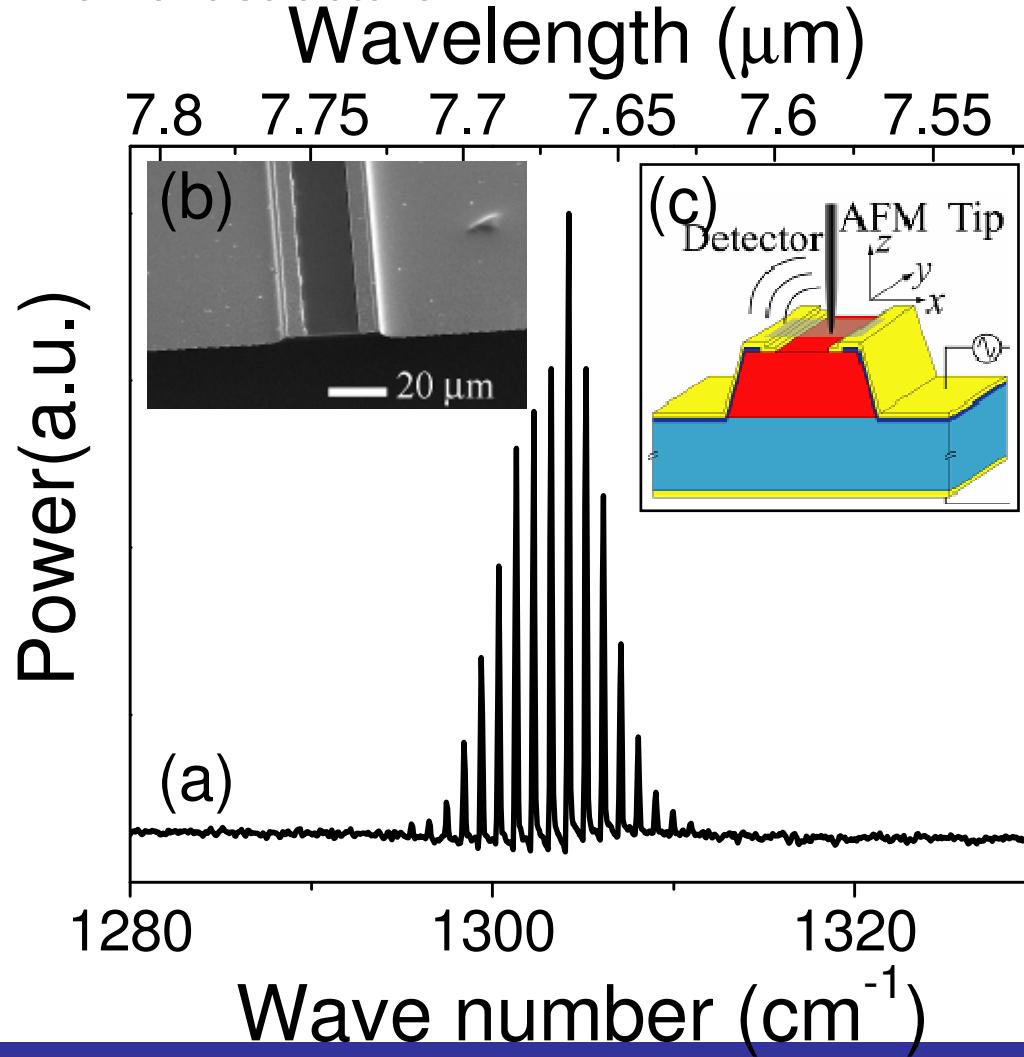
P.-A. Lemoine (PhD student)



# s-NSOM-Imaging working semiconducting devices

## Quantum cascade lasers

Air confinement structure



$$\lambda = 7.78 \mu\text{m}$$

$$n_{\text{eff}} = 3.4$$

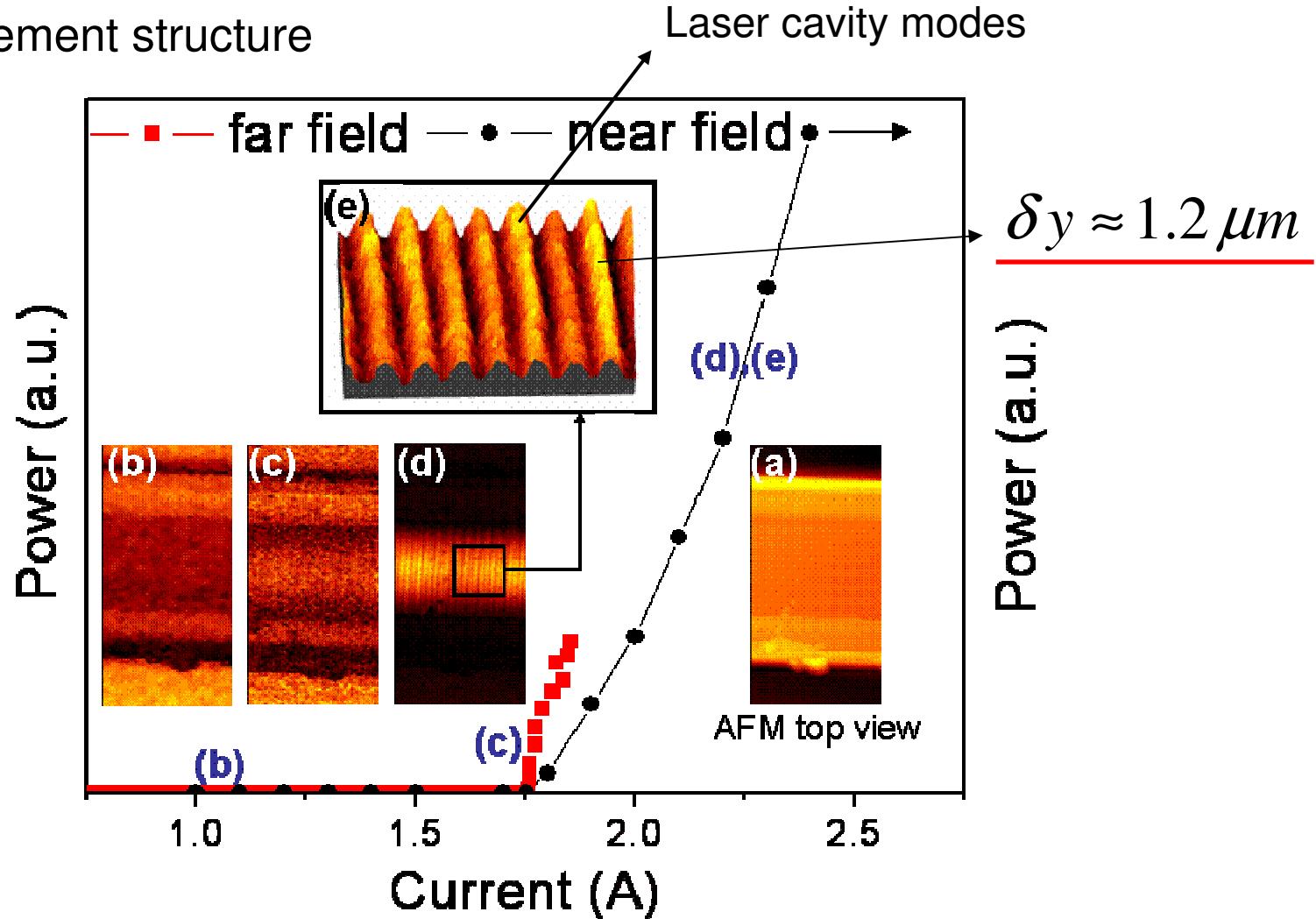
Laser cavity modes

$$\delta y = \frac{\lambda}{2n_{\text{eff}}} = 1.14 \mu\text{m}$$

# s-NSOM-Imaging working semiconducting devices

## Quantum cascade lasers

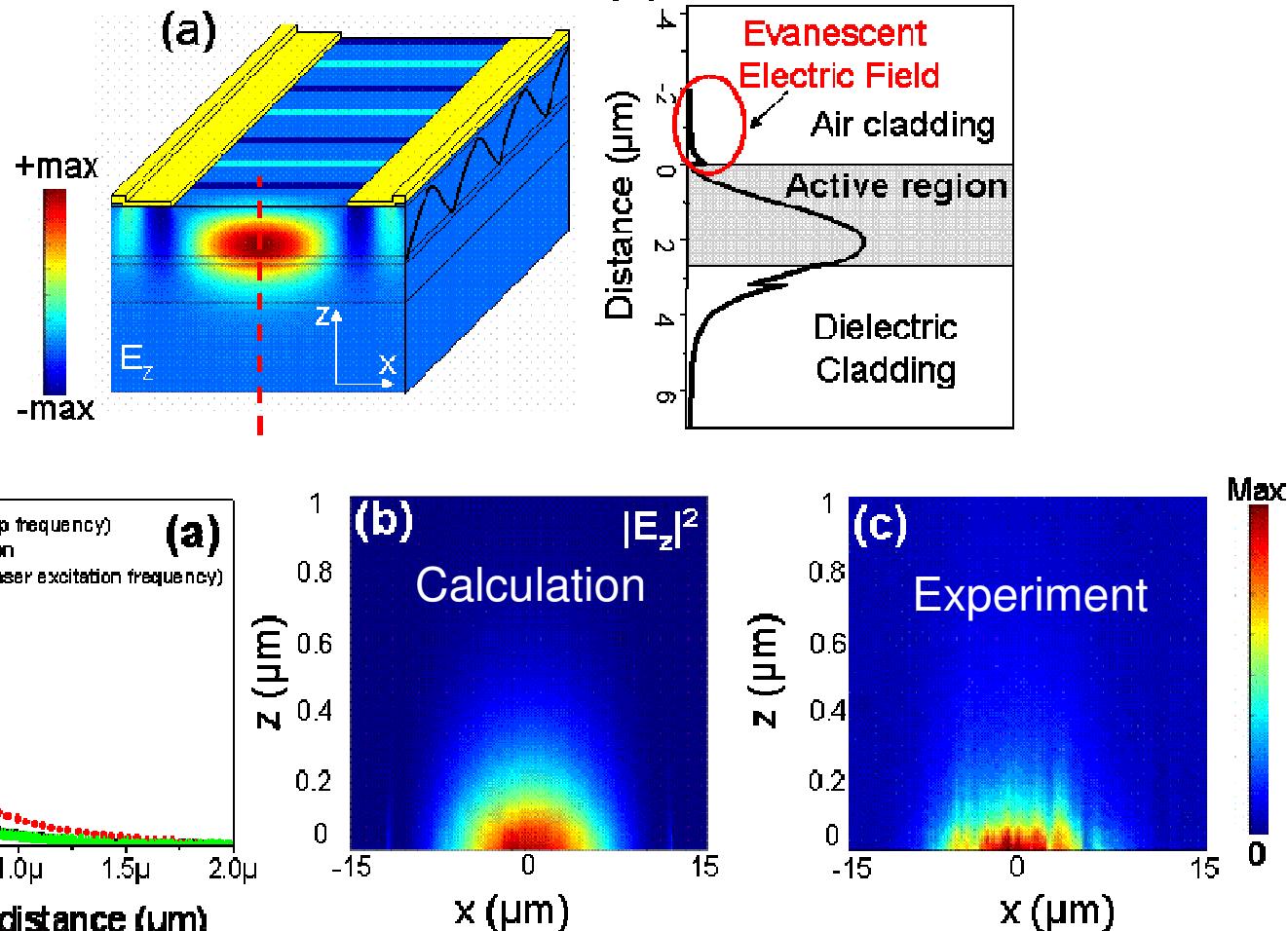
Air confinement structure



# s-NSOM-Imaging working semiconducting devices

## Quantum cascade lasers

Air confinement structure



## C. Thermal radiation scanning tunnelling microscope

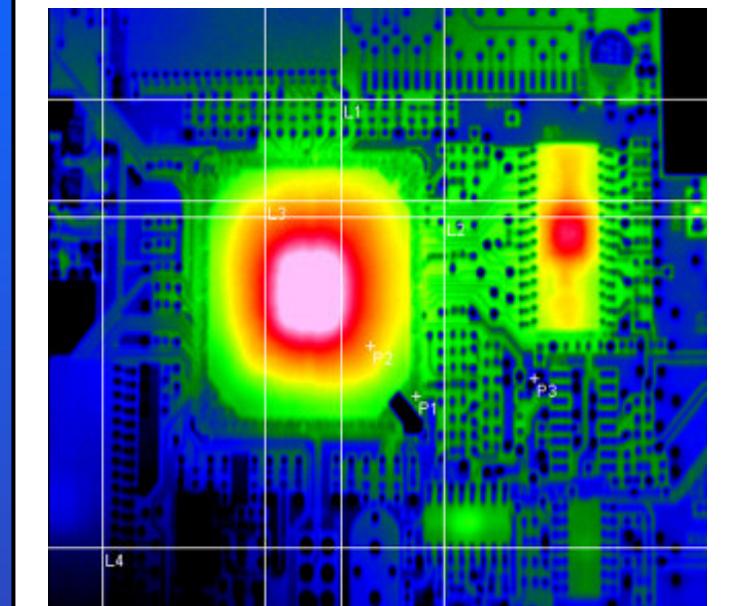
**TRSTM**

## Infrared night vision camera



<http://cis.jhu.edu>

## Far-field thermal infrared microscope



[www.infrared1.com](http://www.infrared1.com)

Resolution  $\sim 5 \mu\text{m}$

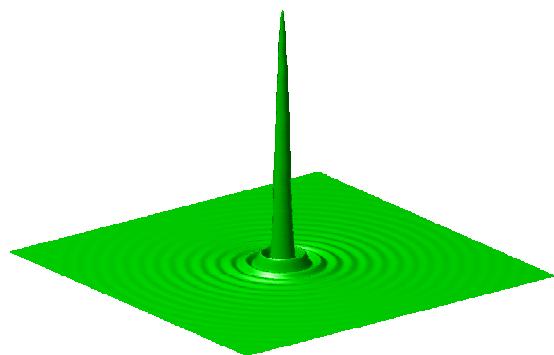
Gray body : Spectrum

$$G(\lambda, T) = \Sigma_m(\lambda) B(\lambda, T)$$

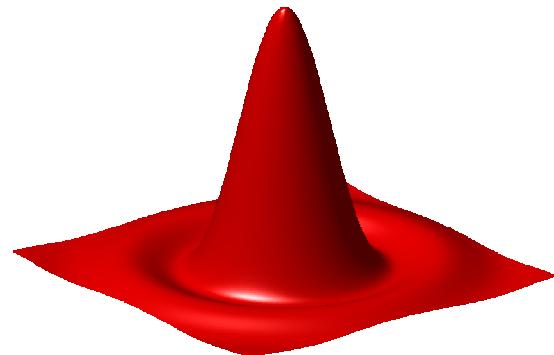
Detection of thermal radiation emitted by the object itself

# Far-field thermal infrared microscope

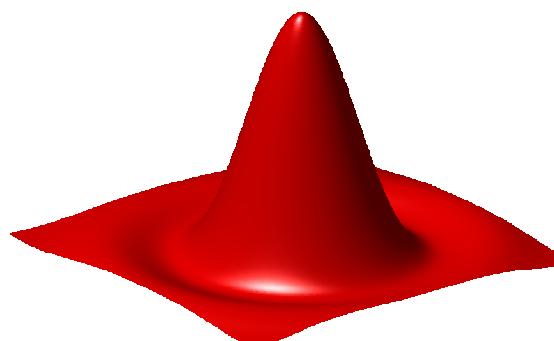
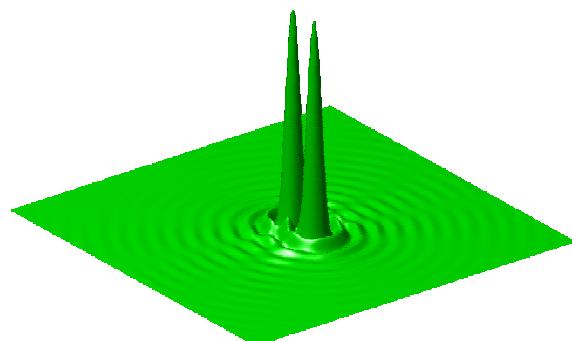
Image of a point : 550 nm (green)



4  $\mu\text{m}$  (Infrared)

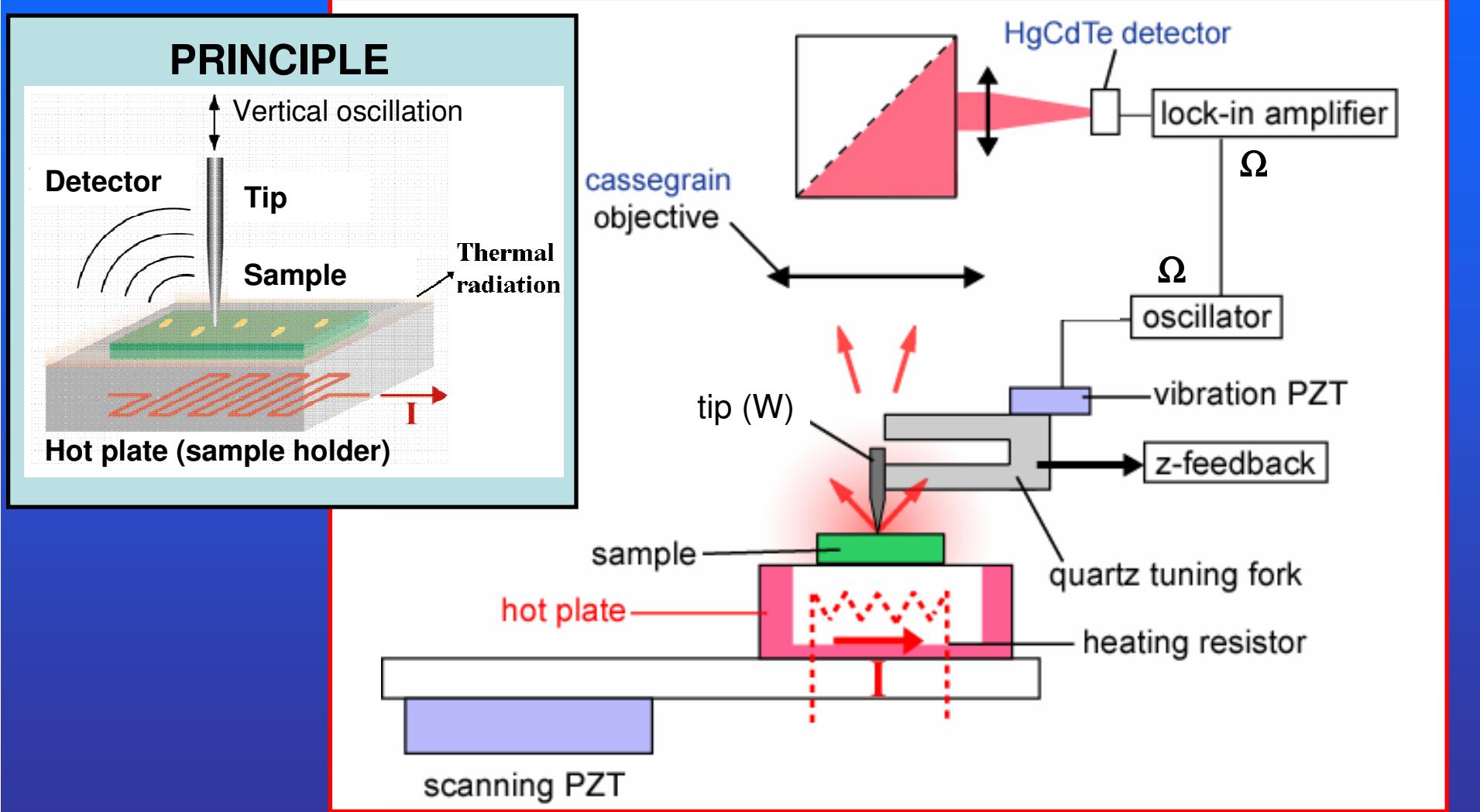


Two points 600 nm apart :



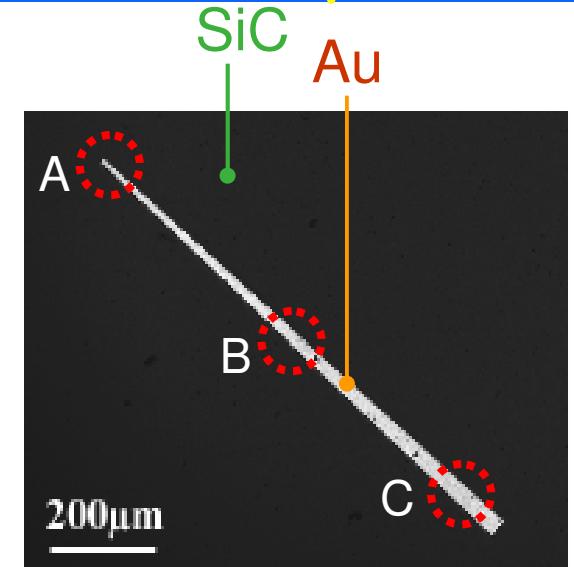
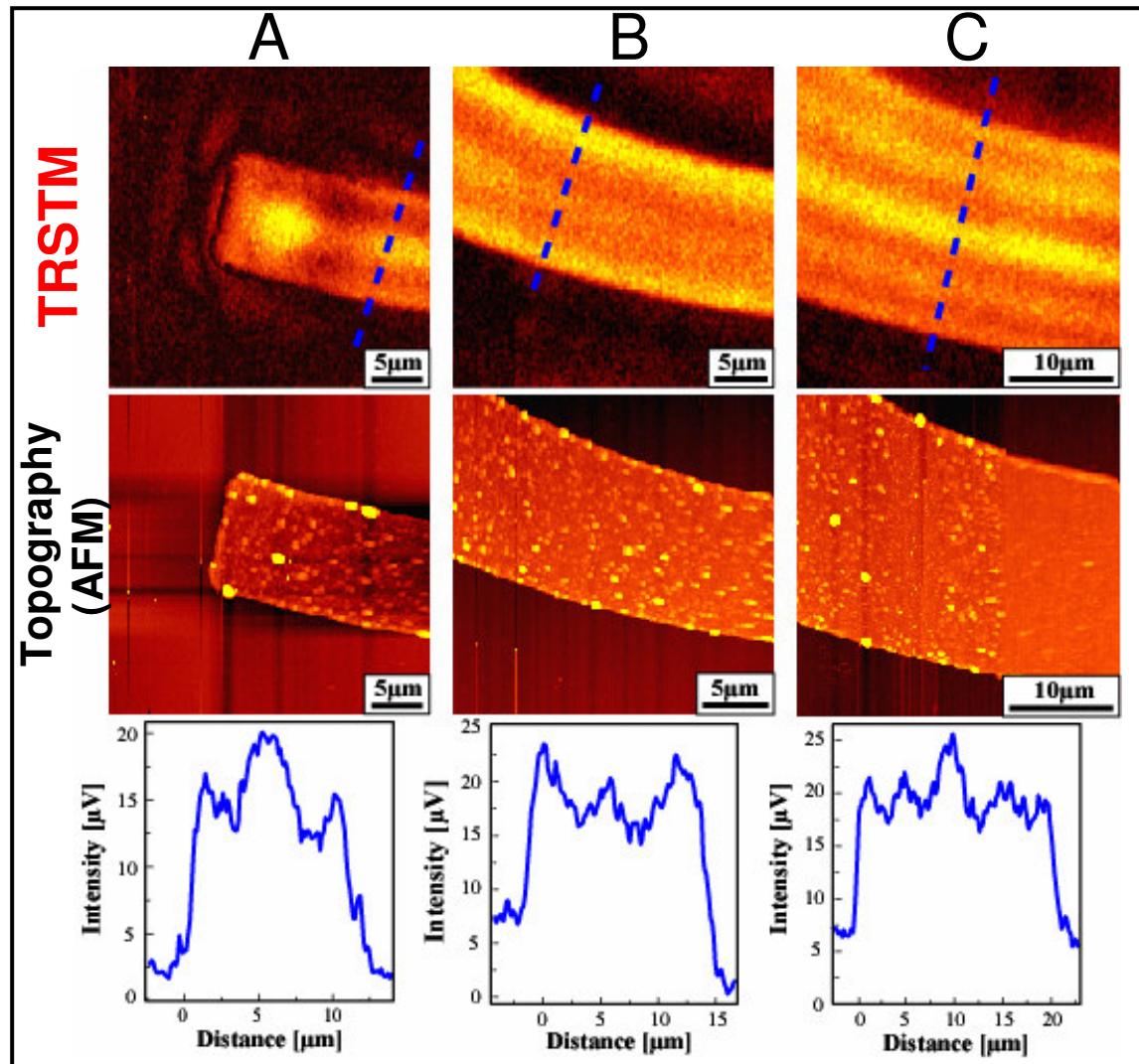
Resolution limit  $\sim \lambda/2$

# Near-field detection of thermal radiation : TRSTM (Thermal Radiation STM)

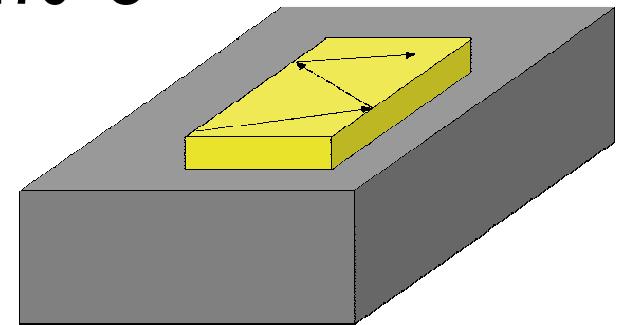


- Apertureless SNOM without any external source.
- Scattering of near-field thermal radiation at the surface at  $T \neq 0$ .

# Energy selection : TRSTM images with filter at $\lambda = 10.9 \mu\text{m}$



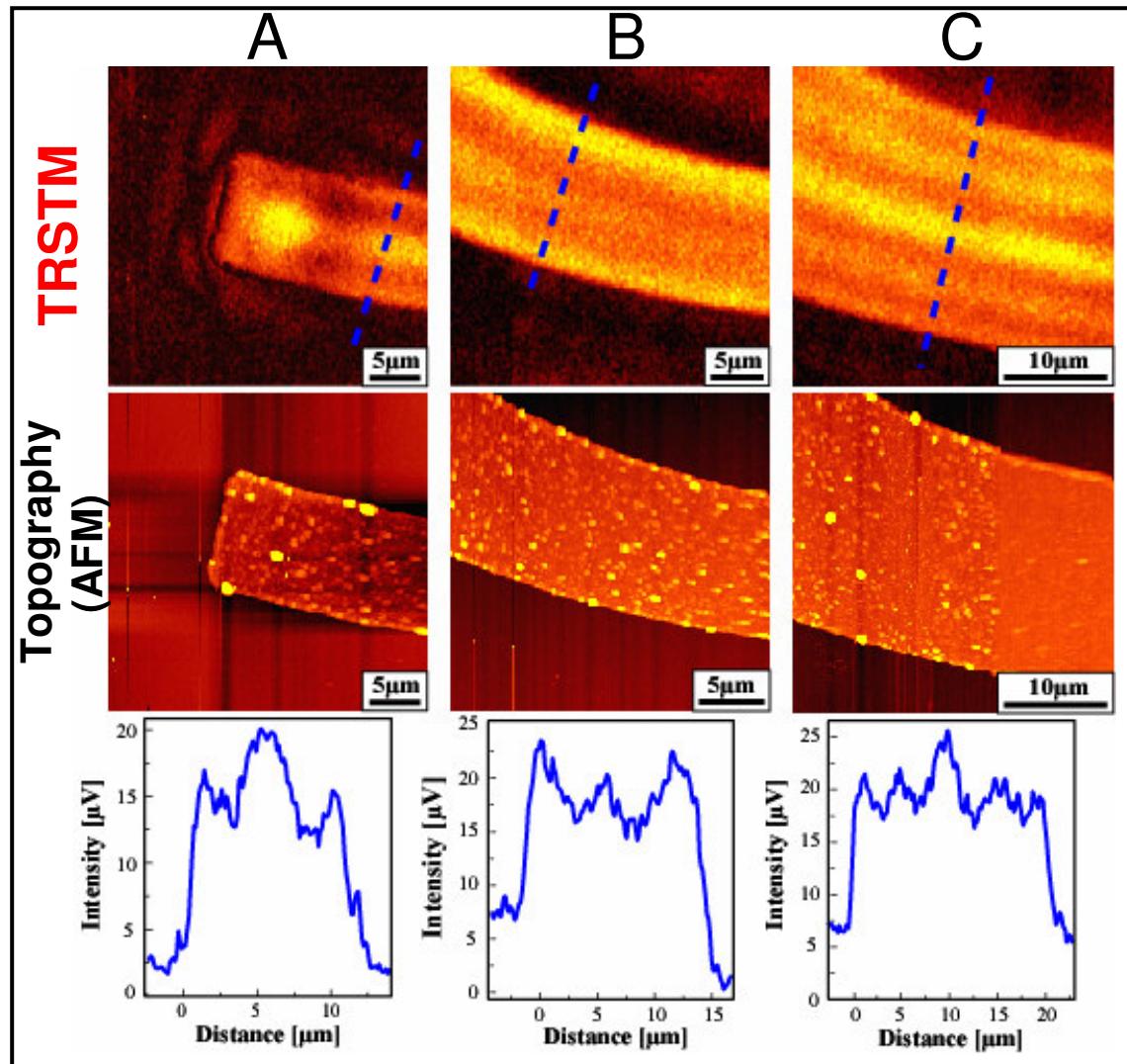
T=170 °C



Fringes  
coherence in near-field  
thermal radiation

De Wilde, Formanek, Carminati, Gralak, Lemoine,  
Mulet, Joulain, Chen, Greffet, Nature **444**, 740 (2006).

# Energy selection : TRSTM images with filter at $\lambda = 10.9 \mu\text{m}$

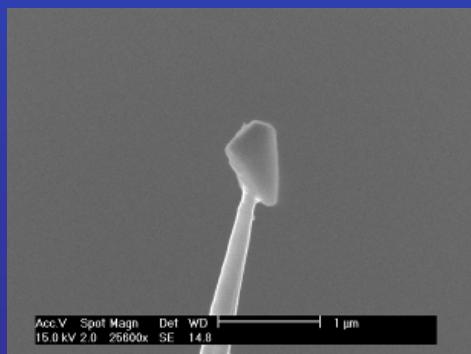
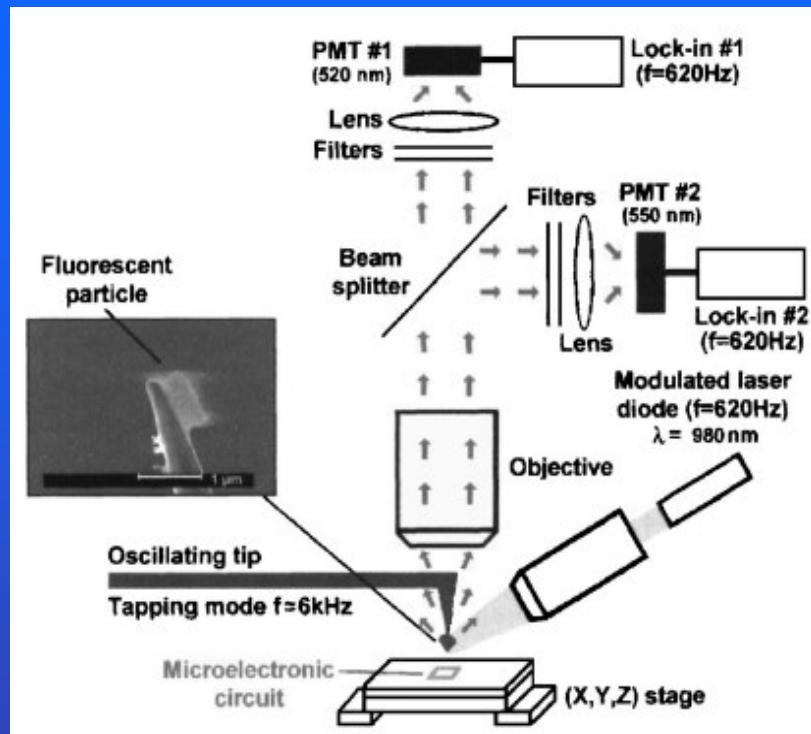


Prospect for metrology :

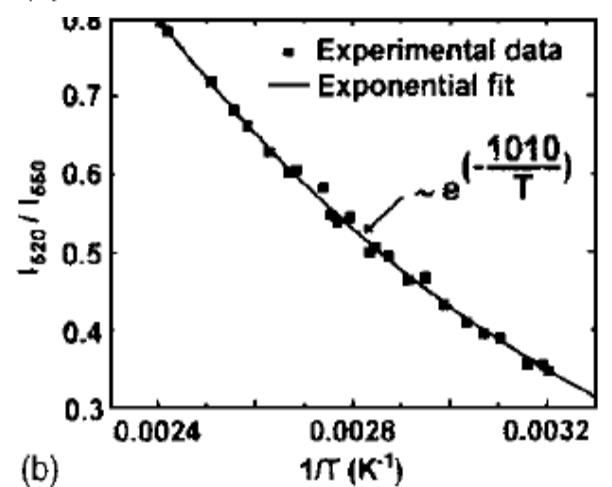
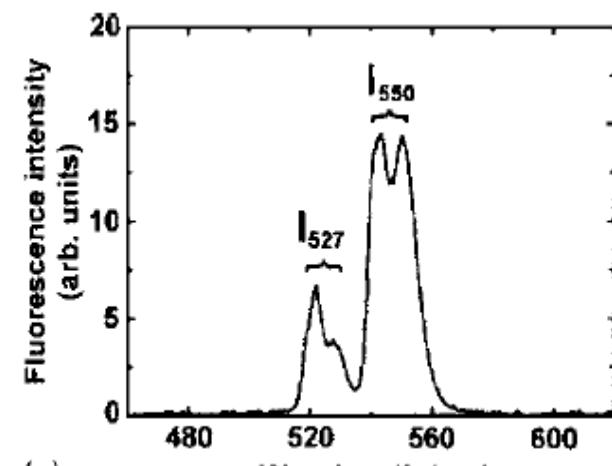
- The spectrum of TRSTM signal is specific to each material.
- To use the TRSTM as a local temperature sensor

De Wilde, Formanek, Carminati, Gralak, Lemoine,  
Mulet, Joulain, Chen, Greffet, Nature **444**, 740 (2006).

## D. Active fluorescent probes

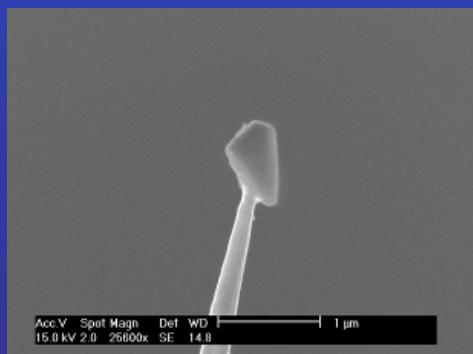
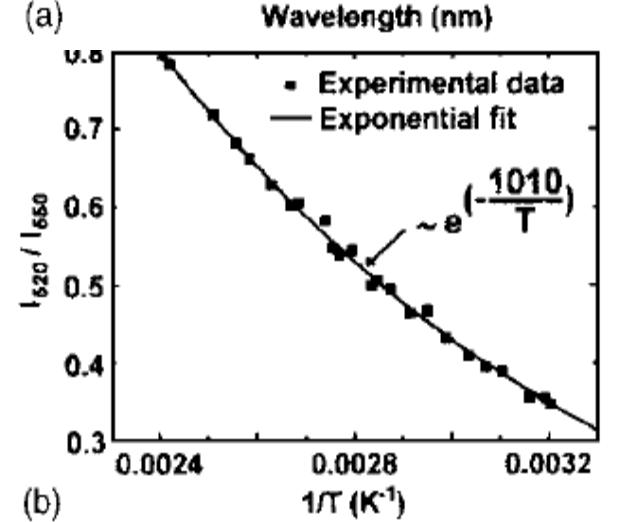
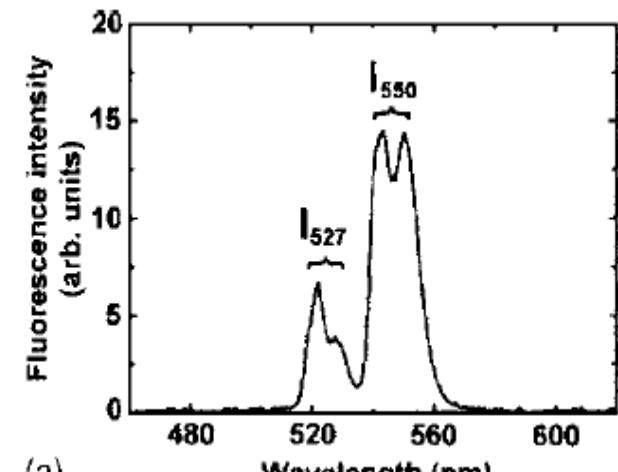
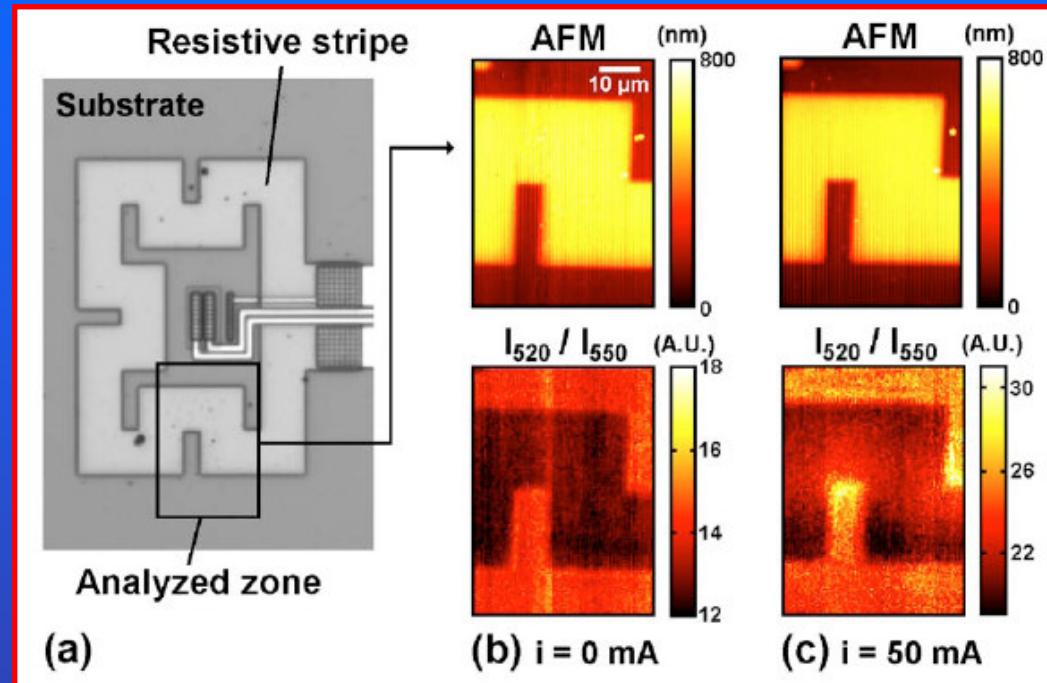


L. Aigouy, et al.  
Appl. Phys. Lett. **87**, 184105 (2005).



Principle : to use a fluorescent nano object at the extremity of an AFM tip as a local temperature sensor

## D. Active fluorescent probes



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## Conclusions :

NSOM microscopy is an active field of research to achieve optical material characterization

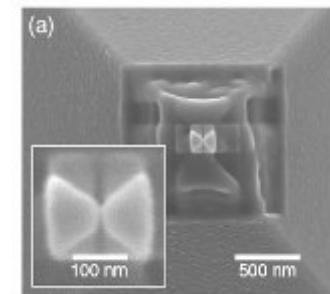
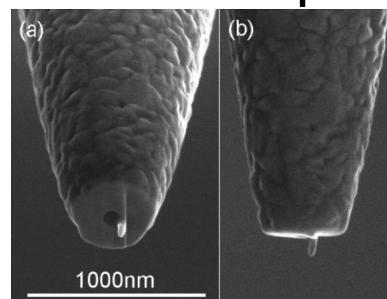
Most far-field methods are nowadays accessible in the near-field: luminescence, Raman scattering, Infrared imaging, thermal emission...

A large variety of NSOM types have been developed : Aperture-probe, scattering type-NSOM, TRSTM,active probes.

## Current trend :

To use new concepts such as optical nano antenna to improve the NSOM efficiency.

T.H. Taminiau, et al.,  
Nanolett. 7, 28 (2007).



J. N. Farahani, et al.,  
Phys. Rev. Lett. 95,  
017402 (2005)