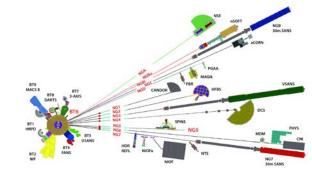
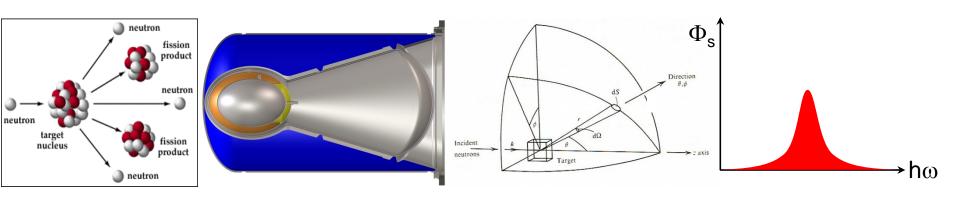


2019 NCNR Summer School on Methods and Applications of Neutron Spectroscopy

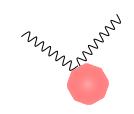


Basic Elements of Neutron Inelastic Scattering

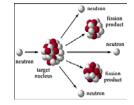
Peter M. Gehring National Institute of Standards and Technology NIST Center for Neutron Research Gaithersburg, MD USA



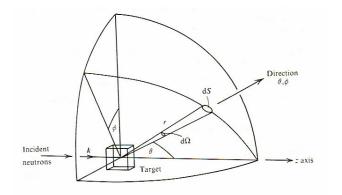
Outline

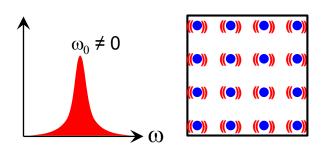


- 1. Introduction
 - Motivation
 - Scattering Probes



- 2. The Neutron
 - Production and Moderation
 - Wave/Particle Duality





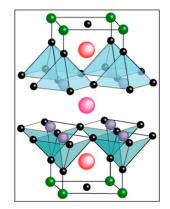
- 3. Basic Elements of Neutron Scattering
 - The Scattering Length b
 - Scattering Cross Sections
 - Pair Correlation Functions
 - Coherent and Incoherent Scattering
 - Neutron Scattering Methods

- 4. Summary of Scattering Cross Sections
 - Elastic (Bragg versus Diffuse)
 - Quasielastic (Diffusion)
 - Inelastic (Phonons)

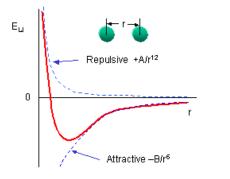
Motivation

Structure and Dynamics

The most important property of any material is its underlying atomic / molecular structure (structure dictates function).

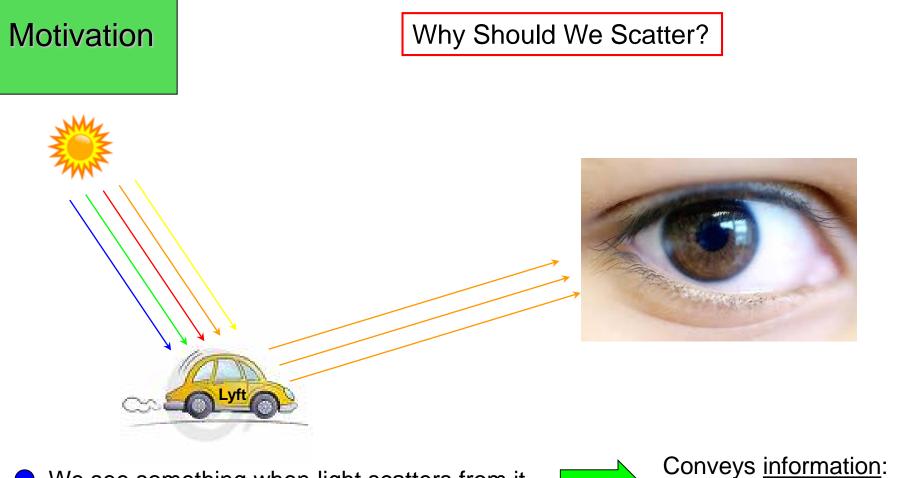


 $Bi_2Sr_2CaCu_2O_{8+\delta}$



The motions of the atoms (dynamics) are extremely important because they provide information about the interatomic potentials.

An ideal method of characterization would provide detailed information about both structure and dynamics.



We see something when light <u>scatters</u> from it.



Conveys <u>information</u>: location, speed, shape

Light is composed of electromagnetic <u>waves</u>.

λ ~ 4000 Å – 7000 Å

However, the details of what we can see are ultimately <u>limited by the wavelength</u>.

Motivation

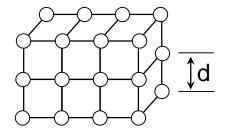


The tracks of a compact disk act as a diffraction grating, producing a separation of the colors of white light when it <u>scatters</u> from the surface.

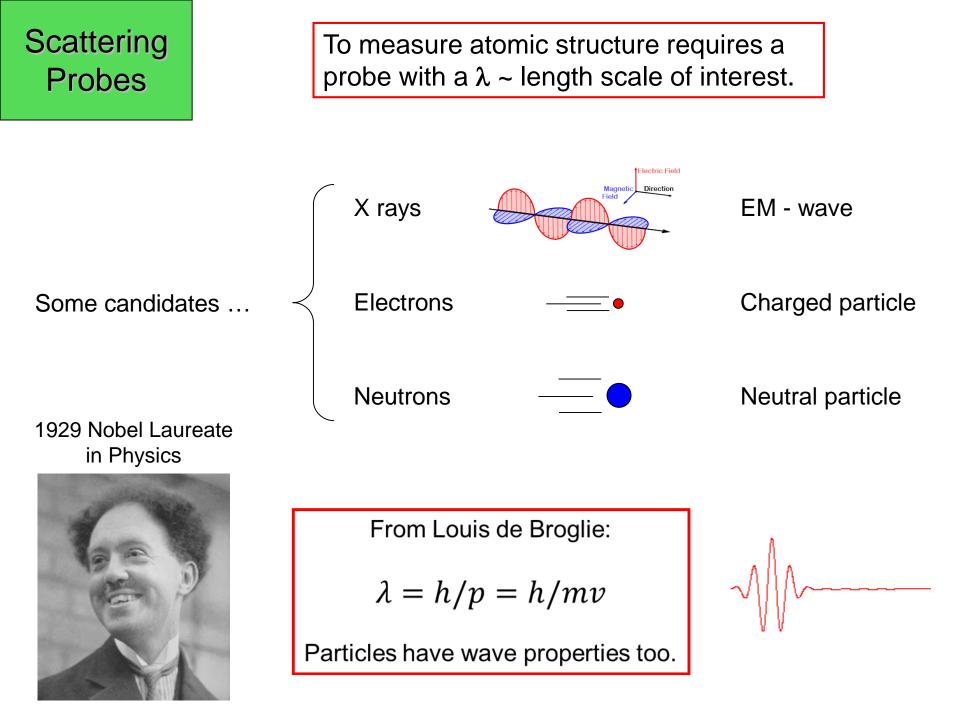
From this one can determine the nominal distance between tracks on a CD, which is 1.6×10^{-6} meters = 16,000 Angstroms.

To characterize materials we must determine the <u>underlying structure</u>. We do this by using the material as a diffraction grating.

<u>Problem</u>: Distances between atoms in materials are of order Angstroms \rightarrow light is inadequate. Moreover, most materials are opaque to light.



 $\lambda_{\text{Light}} >> d \sim 4 \text{ Å}$





If we wish only to determine relative atomic positions, then we should choose x rays almost every time.

- 1. Relatively cheap
- 2. Sources are ubiquitous \rightarrow easy access
- 3. High flux \rightarrow can study small samples
- 4. Extremely good resolution



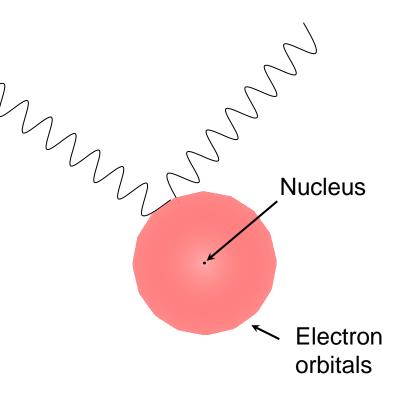
> X rays are electromagnetic radiation. Thus they scatter from the charge density.



Low-Z elements are hard to see.

Elements with similar atomic numbers have very little contrast.

HydrogenCobaltNickel \cdot \cdot ?? \cdot (Z = 1)(Z = 27)(Z = 28)



X rays are strongly attenuated when passing through furnaces, cryostats, and samples too.





Electrons: Pros and Cons



Electrons are charged particles \rightarrow they see both the atomic electrons and nuclear protons at the same time.

1. Relatively cheap

- 2. Sources are not uncommon \rightarrow easy access
- 3. Fluxes are extremely high \rightarrow can study tiny crystals
- 4. Very small wavelengths \rightarrow more information



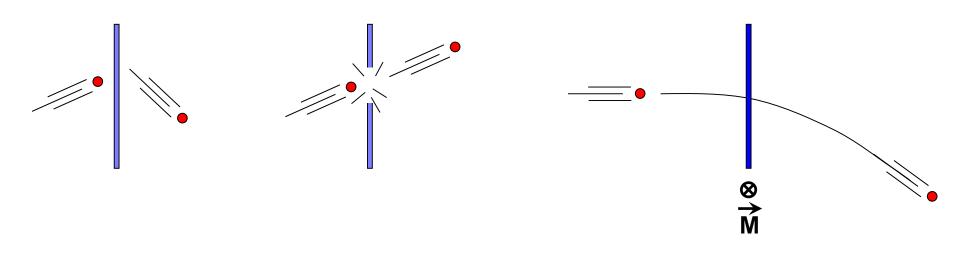


Electrons have some deficiencies too ...

Requires very thin samples.

Radiation damage is a concern.

Magnetic structures are hard to determine because electrons are deflected by the internal magnetic fields.



Neutrons: Pros and Cons —

Zero charge \rightarrow not strongly attenuated by furnaces, etc.

Magnetic dipole moment \rightarrow can study magnetic structures

Nuclear interaction \rightarrow can see low-Z elements easily like H \rightarrow good for the study of biomolecules and polymers.

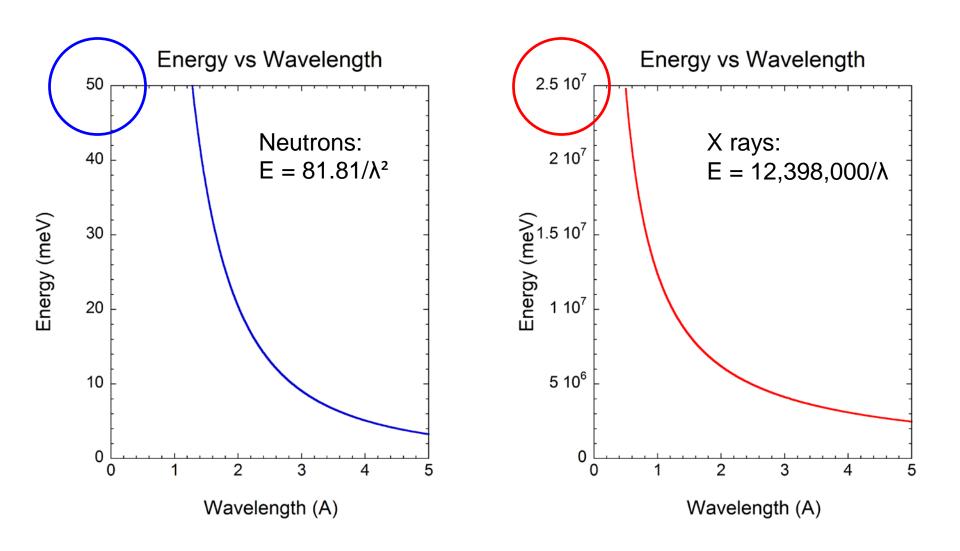
Nuclear interaction is simpleLow energies \rightarrow \rightarrow scattering is easy to modelNon-destructive probe

Neutrons expensive to produce \rightarrow access not as easy

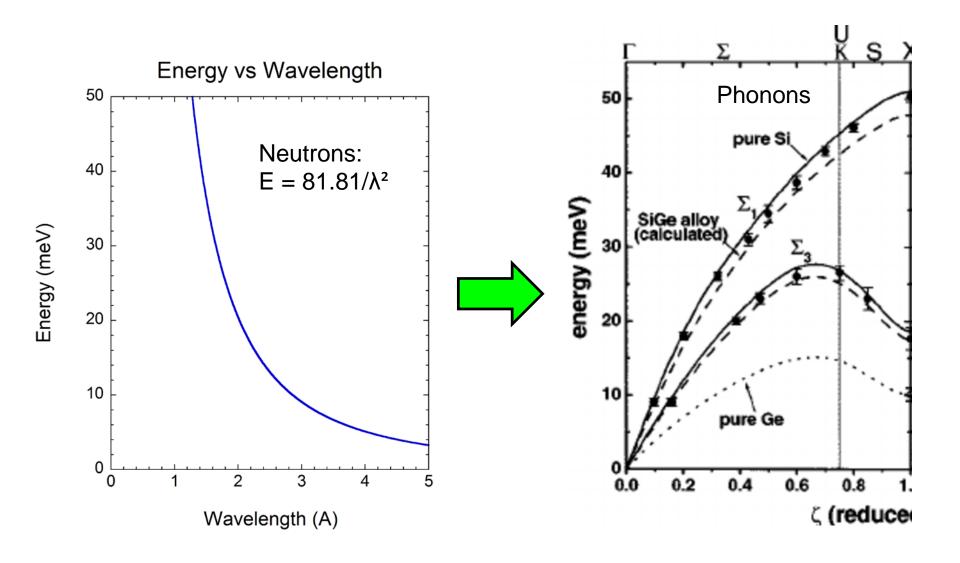
Interact weakly with matter → often require large samples

Available fluxes are low compared to other methods

To characterize atomic dynamics requires a probe with $h\omega \sim energy$ scale of interest.



To characterize atomic dynamics requires a probe with $h\omega \sim energy$ scale of interest.

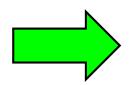




Neutron: A Lucky Coincidence

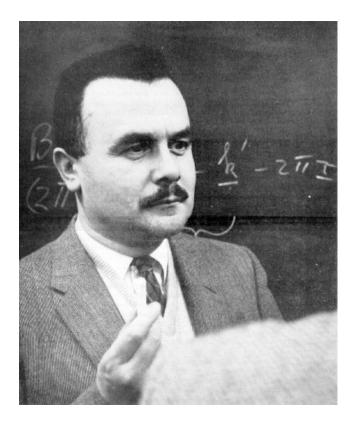
$$E = p^2/2m = h^2k^2/2m = 81.81/\lambda^2$$

The mass of the neutron is such that one can <u>simultaneously</u> study structure and dynamics.



Thus neutron scattering methods can directly measure the <u>geometry</u> of dynamic processes.

The Neutron



"If the neutron did not exist, it would need to be invented."

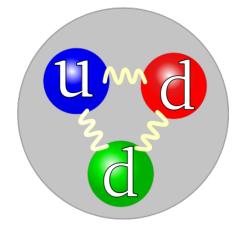
Bertram Brockhouse 1994 Nobel Laureate in Physics

The Neutron



"... for the discovery of the neutron."

Sir James Chadwick 1935 Nobel Laureate in Physics The Neutron



$$m_n = 1.675 \times 10^{-27} \text{ kg}$$

 $Q = 0$
 $S = \frac{1}{2} \text{ h}$
 $\mu_n = -1.913 \mu_N$

Interactions: Strong, Electro-weak, Gravity

$$\lambda = 1 \text{ Å}$$

 $v = 4000 \text{ m/s}$
 $E = 82 \text{ meV}$

de Broglie Relation: $\lambda = h/p = h/m_n v$

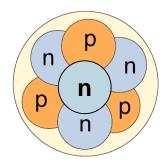
$$\lambda = 9 \text{ Å}$$

 $v = 440 \text{ m/s}$
 $E = 1 \text{ meV}$

Free neutrons decay via the weak force. Lifetime ~ 888 seconds (15 minutes).

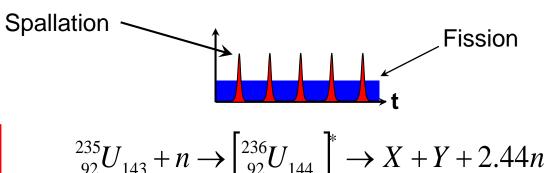
 $n \rightarrow p + e^{-} + v_{e}$

A useful source of neutrons requires a nuclear process by which bound neutrons can be freed from the nuclei of atoms and that is easily sustainable.

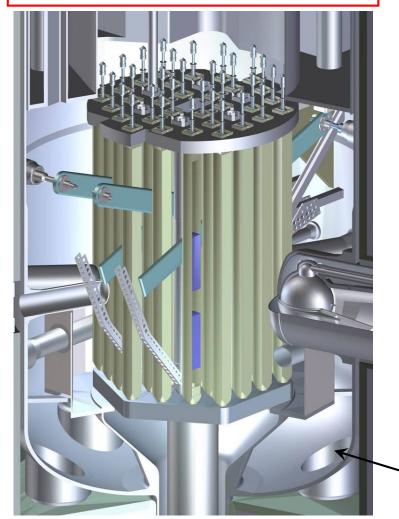


There are two such processes, spallation and fission ...

Fission

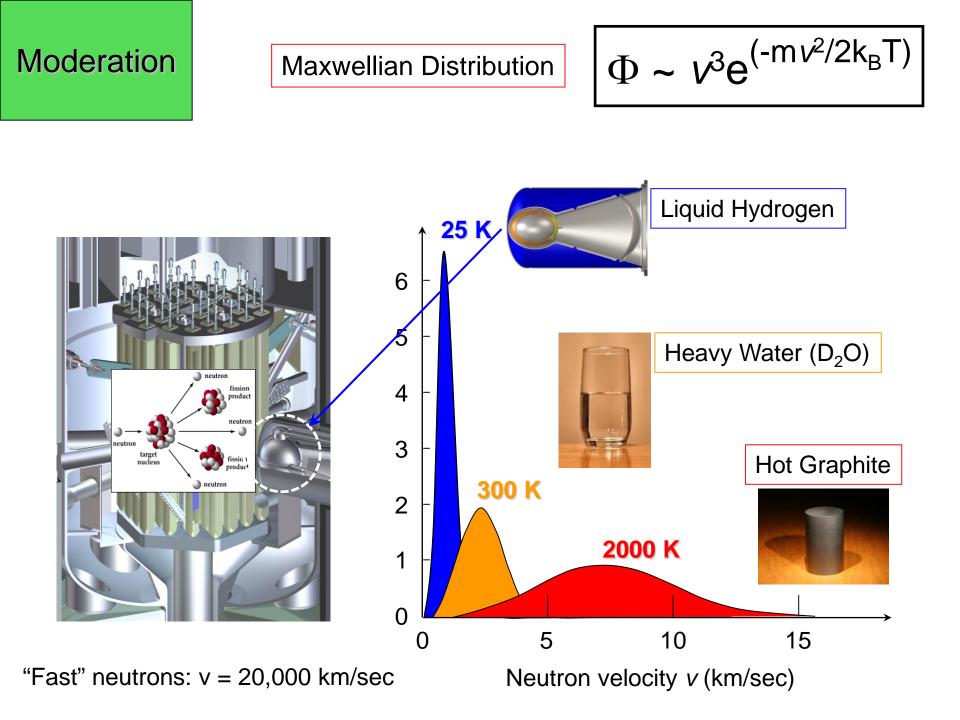


Nuclear fission is used in power and research reactors.



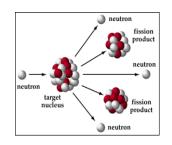
A liquid medium (D₂O, or heavy water) is used to moderate the fast fission neutrons to room temperature (2 MeV \rightarrow 50 meV).

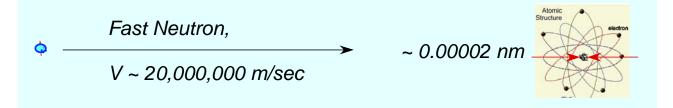
The fission process and moderator are ~confined by a large containment vessel.

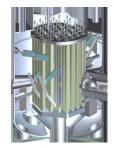


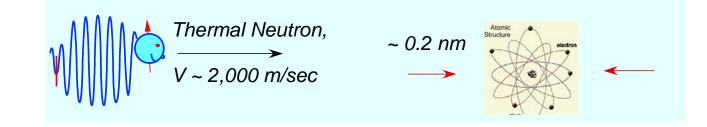
Moderation

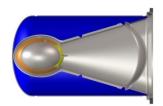
de Broglie Relation $\lambda = h/m_n v$

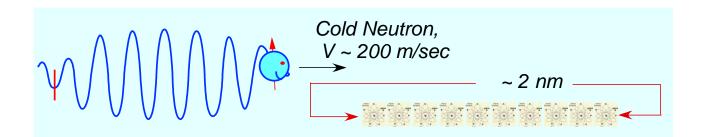






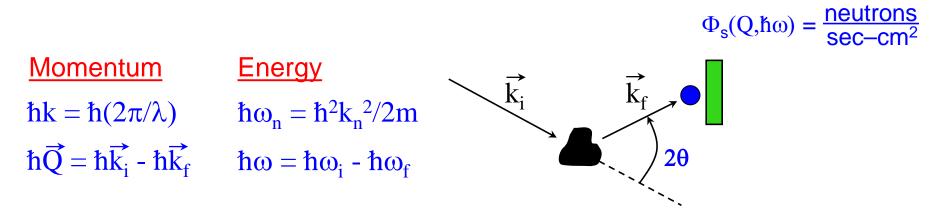








Neutron scattering experiments measure the flux Φ_s of neutrons scattered by a sample into a detector as a function of the change in neutron wave vector (\vec{Q}) and energy ($\hbar\omega$).



The expressions for the scattered neutron flux Φ_s involve the positions and motions of atomic nuclei or unpaired electron spins.

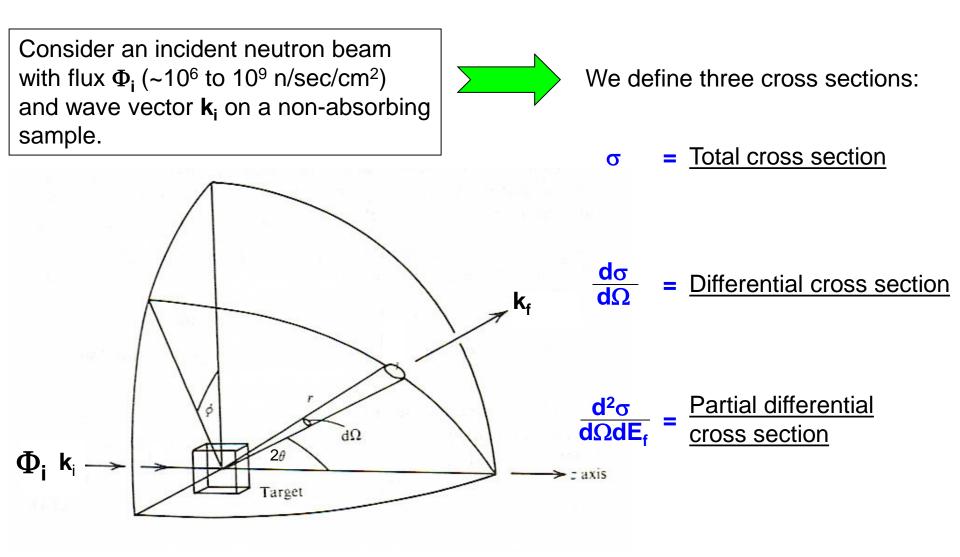




Contains information about structure and dynamics!



These "cross sections" are what we measure experimentally.





What are the physical meanings of these three cross sections?

Total # of neutrons scattered per second / Φ_i . (Typically of order 1 barn = 10⁻²⁴ cm².)

 $\frac{d\sigma}{d\Omega}$ Total # of neutrons scattered per second into $d\Omega / d\Omega \Phi_i$. (Diffraction \rightarrow structure.



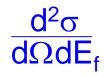
Total # of neutrons scattered per second into d Ω with a final energy between E_f and dE_f / d Ω dE_f Φ_i . (Inelastic scattering \rightarrow dynamics. What are the relative sizes of the cross sections?

Cross

Sections

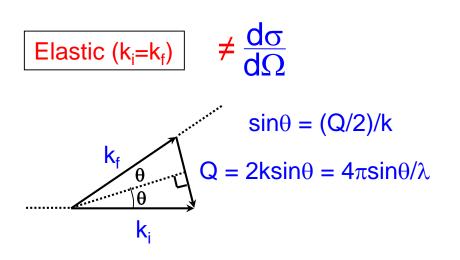
Elastic vs Inelastic

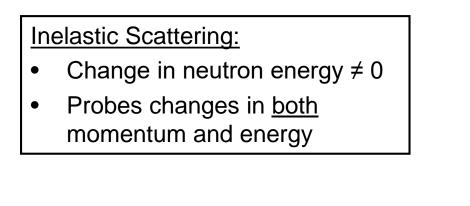
Note that <u>both</u> of these cases are described by ...

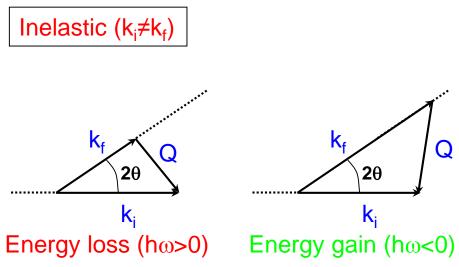


Elastic Scattering:

- Change in neutron energy = 0
- Probes changes in momentum only

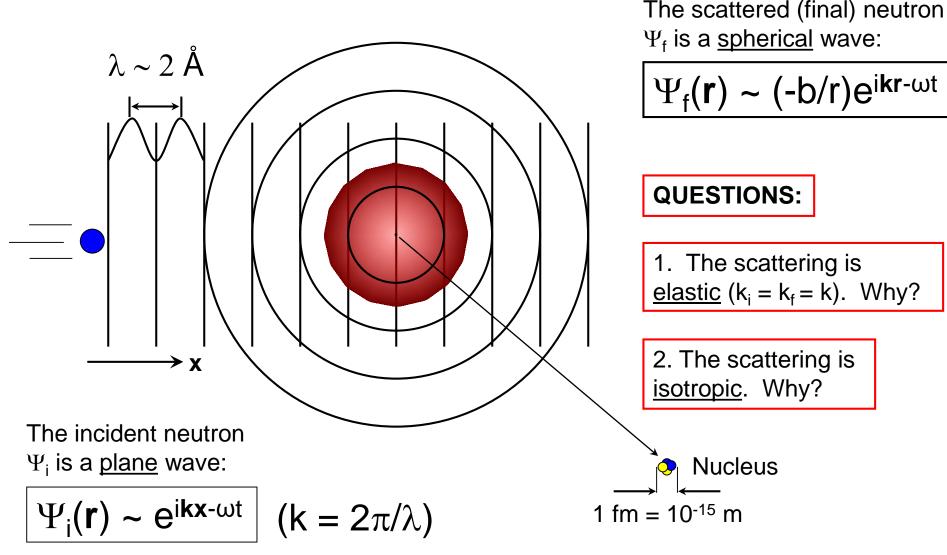






Nuclear Scattering

Consider the simplest case: A fixed, isolated nucleus.



1. The scattering is elastic because the nucleus is fixed, so no energy can be transferred to it from the neutron (ignoring any excitations of the nucleus itself).

2. A basic result of diffraction theory states: if waves of any kind scatter from an object of a size $<< \lambda$, then the scattered waves are spherically symmetric. (This is also known as s-wave scattering.)

$$(r - r_j) = (\frac{2\pi h^2}{m_n}) \sum_{j=1}^{N} b_j \delta(r - r_j)$$
Details of V(r) are unimportant!
V(r) can be parametrized by a scalar b that depends only the nucleus and isotope!

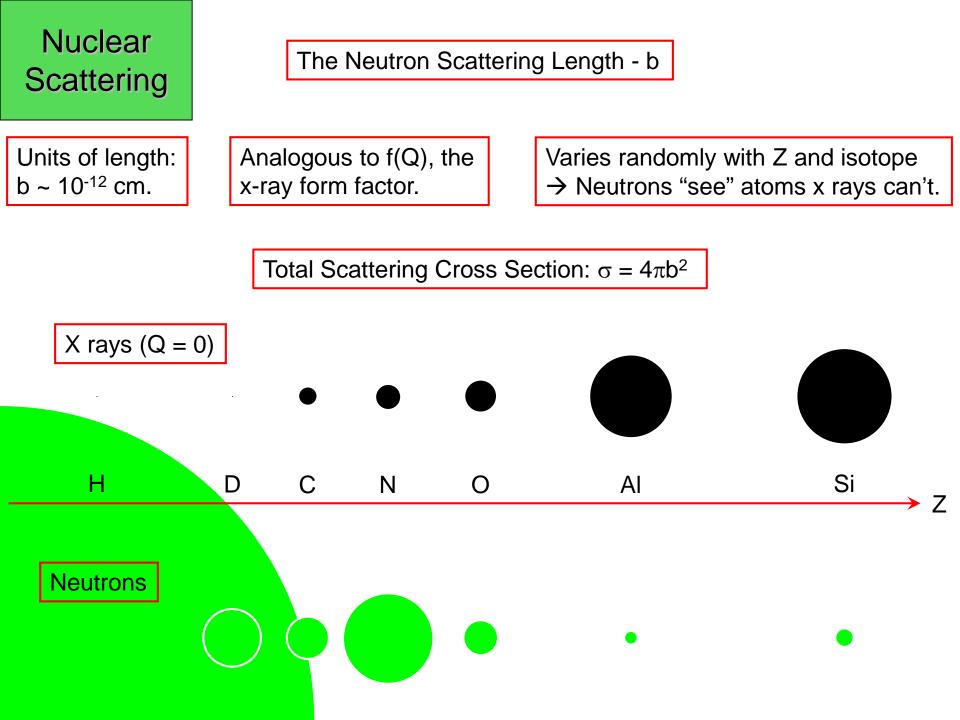
Def.

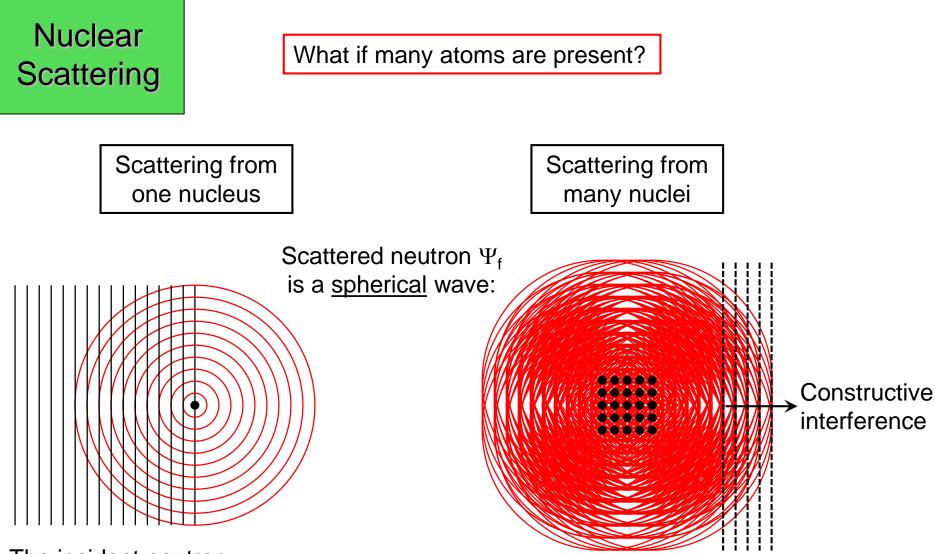
Calculate σ for a single, fixed nucleus:

 $\sigma \cdot \Phi_i$ = Total number of neutrons scattered per second by the nucleus.

 \vee = Velocity of neutrons (elastic \rightarrow same before and after scattering).

 $v \, dA \, |\Psi_f|^2$ = Total number of neutrons scattered per second through dA. $\int v \, dA \, |\Psi_f|^2 = \int v (r^2 d\Omega) (b/r)^2 = \int v \, b^2 d\Omega = v \, 4\pi b^2 = \sigma \cdot \Phi_i$ Since $\Phi_i = v \, |\Psi_i|^2 = v \rightarrow \sigma = 4\pi b^2$ Try calculating $\frac{d\sigma}{d\Omega}$





The incident neutron Ψ_i is a <u>plane</u> wave:

Get strong scattering in some directions, but not in others. Angular dependence yields information about how the nuclei are arranged or <u>correlated</u>.

Magnetic Scattering

Magnetic vs Nuclear Scattering

Nuclear Potential

$$V_{\rm N}(\mathbf{r}) = \frac{2\pi h^2}{m_{\rm n}} b\delta(\mathbf{r})$$

Scalar interaction → Isotropic scattering

Very short range

Depends on nucleus, isotope, and nuclear spin

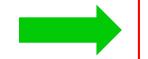
Magnetic Potential

 $V_M(\boldsymbol{r}) = -\boldsymbol{\mu}_n^{\bullet}\,\boldsymbol{B}(\boldsymbol{r})$

Vector interaction → Anisotropic scattering

Longer range

Depends on neutron spin orientation.



Polarized neutrons can measure the different components of M.

Magnetic Scattering

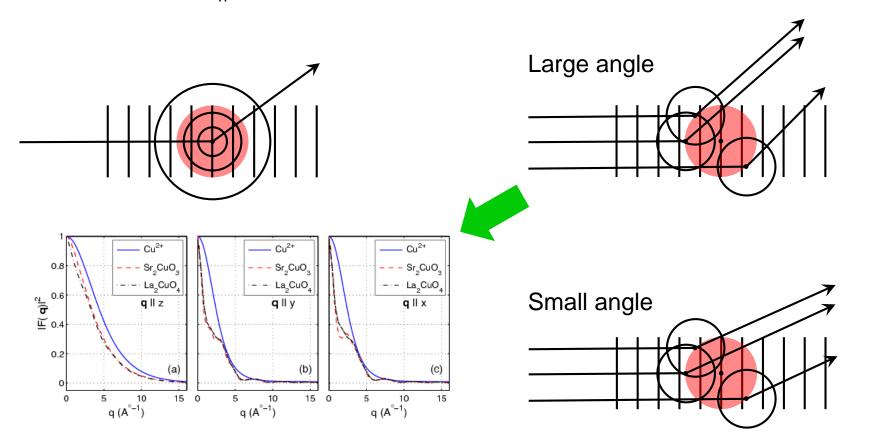
Magnetic Form Factor

Nuclear Potential

 $V_{N}(\mathbf{r}) = \frac{2\pi h^{2}}{m_{n}}b\delta(\mathbf{r})$

Magnetic Potential

 $V_{M}(\mathbf{r}) = -\mu_{n} \bullet \mathbf{B}(\mathbf{r})$



Magnetic Scattering

Neutrons Scatter from **M** Perpendicular to **Q**

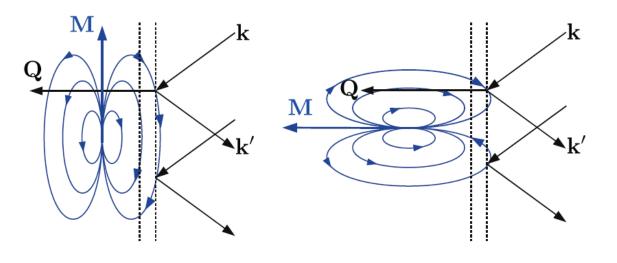
Magnetic scattering depends on Fourier transform of interaction potential $V_M(\mathbf{r})$:

 $V_M({\boldsymbol{Q}}) = - \boldsymbol{\mu}_n {}^{\bullet} \, {\boldsymbol{B}}({\boldsymbol{Q}})$

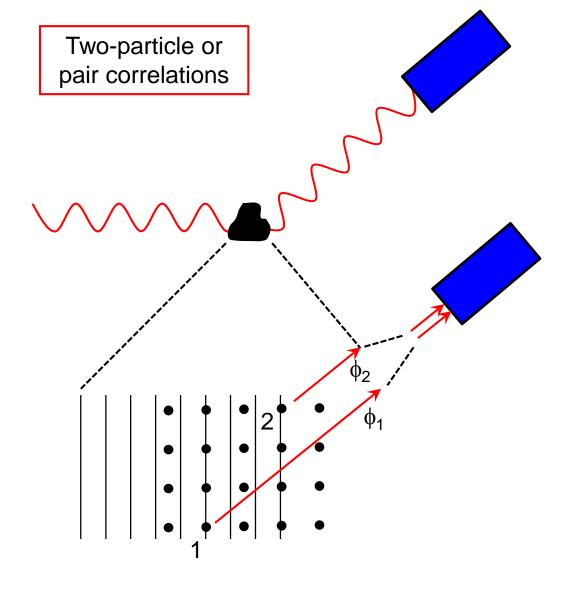




James Clerk Maxwell (1831 – 1879)



Correlation Functions



(1) Born Approximation: Assumes neutrons scatter only once (single scattering event).

(2) Superposition: Amplitudes of scattered neutrons ϕ_n add linearly.

 $\Phi_{\rm s} = \phi_1 + \phi_2 + \dots$

Intensity = $|\Phi|^2 = |\phi_1 + \phi_2 + ...|^2 = |\phi_1|^2 + |\phi_2|^2 + ... + \phi_1^* \phi_2^* + \phi_2^* \phi_1^* + ...$

After Andrew Boothroyd PSI Summer School 2007

Depends on relative positions of 1 and 2 \rightarrow pair correlations!



From Van Hove (1954) ...

The measured quantity Φ_s depends only on time-dependent correlations between the positions of <u>pairs</u> of atoms.

This is true because <u>neutrons interact only weakly with matter</u>. Thus only the lowest order term in the perturbation expansion contributes.

Differential Cross-Section

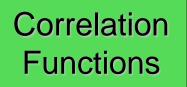
$$\frac{d\sigma}{d\Omega} = \sum_{i,j} b_i b_j e^{-i(k_i - k_j) \cdot (r_i - r_j)}$$

Depends only on: <u>where</u> the atoms are and <u>what</u> the atoms are.

Correlation Functions

From Squires (1996): Introduction to the theory of thermal neutron scattering

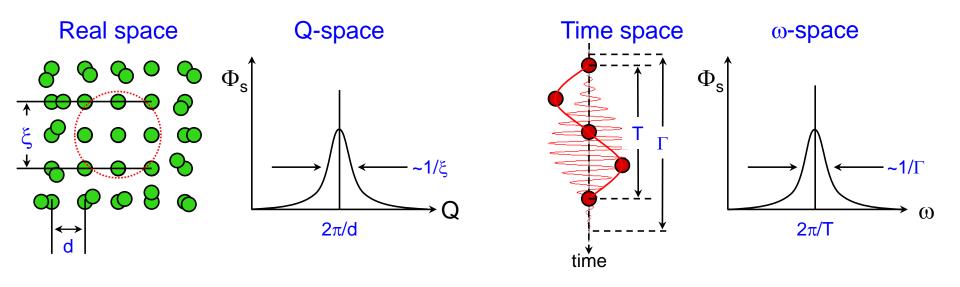
Partial Differential Cross-Section

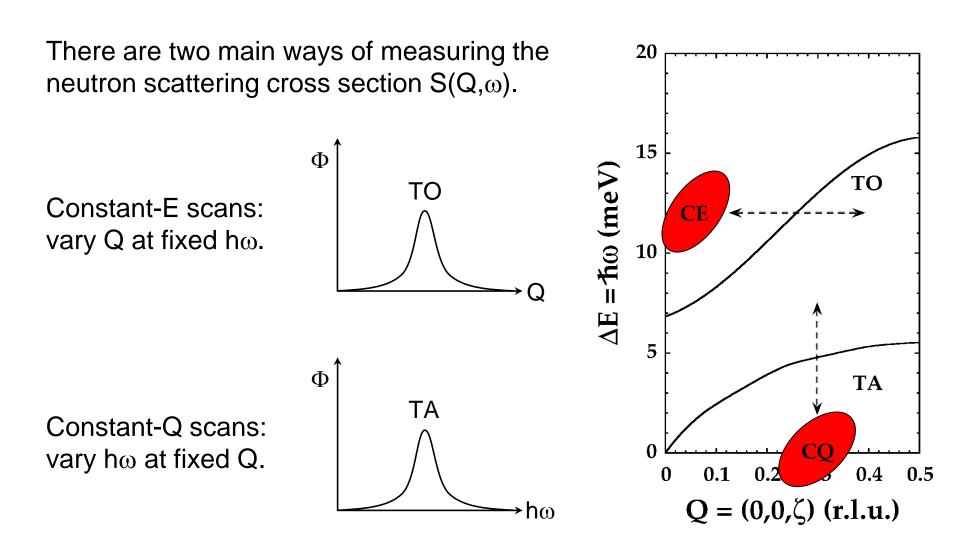


KEY IDEA – Neutron interactions are <u>weak</u> \rightarrow Scattering only probes <u>two-particle</u> correlations in space and time, but does so simultaneously!

The scattered neutron flux $\Phi_s(\vec{Q},\hbar\omega)$ is proportional to the space (\vec{r}) and time (t) Fourier transform of the probability $G(\vec{r},t)$ of finding an atom at (\vec{r},t) given that there is another atom at r = 0 at time t = 0.

$$\Phi_{\mathbf{s}} \propto \frac{\partial^2 \sigma}{\partial \Omega \partial \omega} \propto \iint e^{i(\vec{Q} \cdot \vec{r} - \omega t)} G(\vec{r}, t) d^3 \vec{r} dt$$





Classic Example

BCS Superconductivity

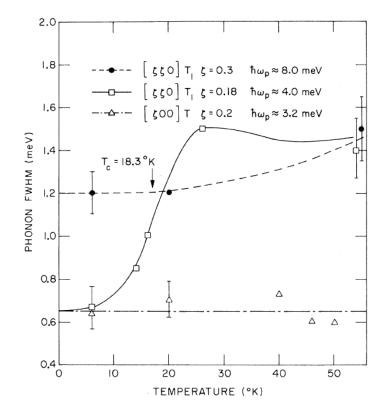
VOLUME 30, NUMBER 6

PHYSICAL REVIEW LETTERS

5 FEBRUARY 1973

Influence of the Superconducting Energy Gap on Phonon Linewidths in Nb₃Sn⁺

J. D. Axe and G. Shirane Brookhaven National Laboratory, Upton, New York 11973 (Received 7 December 1972)



What happens when two different nuclei are randomly distributed throughout the crystal?

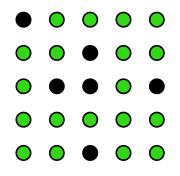
This situation could arise for two reasons.

- 1. Isotopic incoherence
- 2. Nuclear spin incoherence

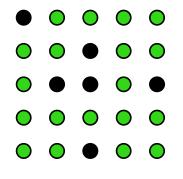
Both reasons can occur because the scattering interaction is <u>nuclear</u>.

Recall that
$$\left(\frac{d^2\sigma}{d\Omega dE}\right)_{k_0 \to k_1} = N \frac{k_f}{k_i} b^2 S(\mathbf{Q}, \omega)$$

Then the above equation must be generalized:



What happens when two different nuclei are randomly distributed throughout the crystal?



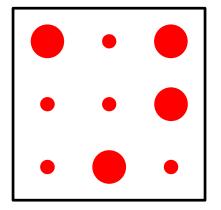
Our partial differential cross section can then be recast into the form:

$$\frac{d^2\sigma}{d\Omega dE_f} = \sigma_c S_c(Q,\omega) + \sigma_i S_i(Q,\omega) , \text{ where }$$

$$\sigma_c = 4\pi (\overline{b})^2$$
 $c = coherent$
 $\sigma_i = 4\pi \{\overline{b^2} - (\overline{b})^2\}$ $i = incoherent$

Consider a system composed of two different scattering lengths, b_1 and b_2 .

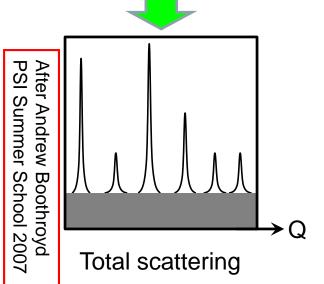
 $b_1 = \bullet$ $b_2 = \bullet$

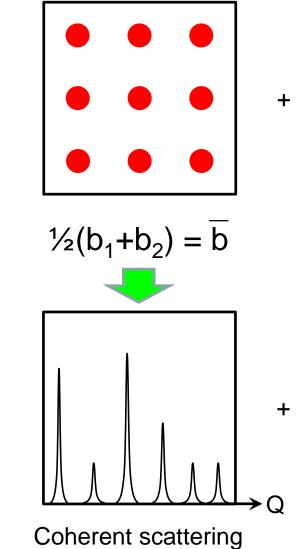


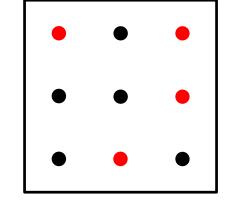
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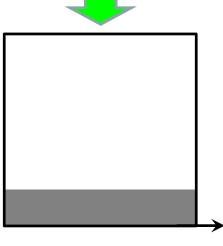
The two isotopes are randomly distributed.







Deviations δb



Incoherent scattering

Q

Coherent Scattering

Measures the Fourier transform of the *pair* correlation function $G(r,t) \rightarrow interference effects.$

This cross section reflects <u>collective</u> phenomena such as:

Phonons

Spin Waves

What do these expressions mean physically?

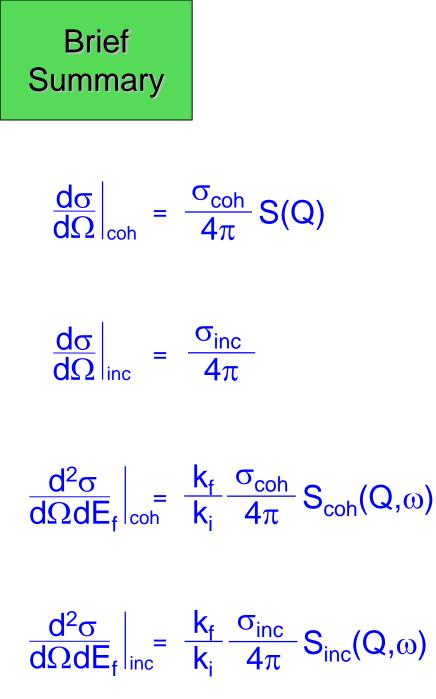
Incoherent Scattering

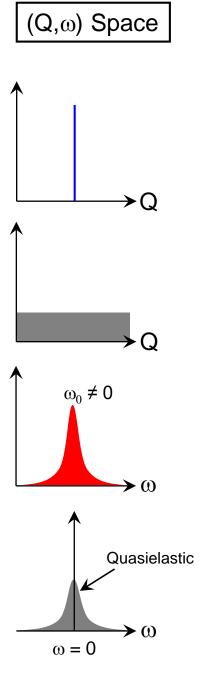
Measures the Fourier transform of the *self* correlation function $G_s(r,t) \rightarrow \underline{no interference effects.}$

This cross section reflects single-particle scattering:

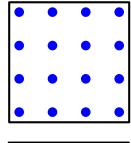
Atomic Diffusion

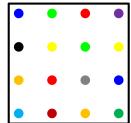
Vibrational Density of States

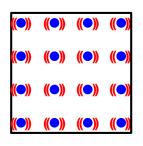


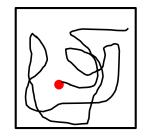


(r,t) Space



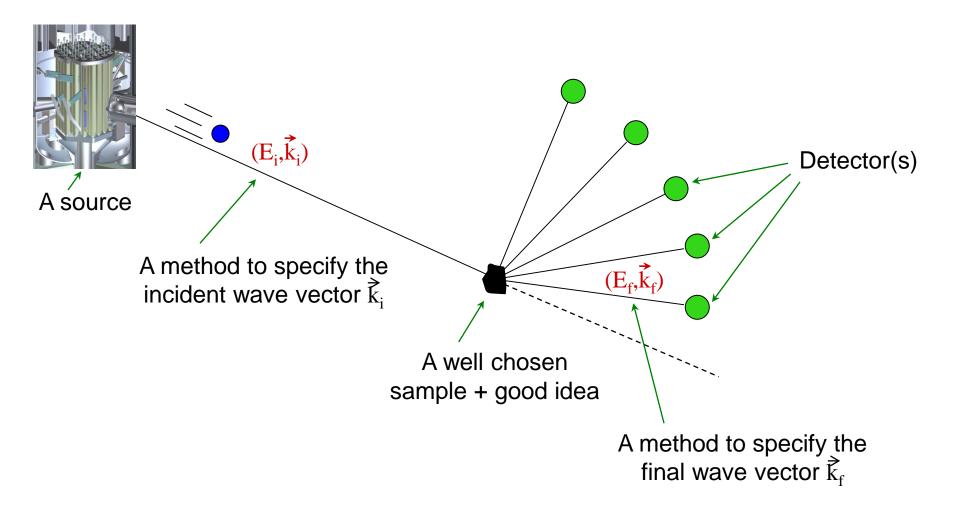


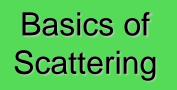




Basics of Scattering

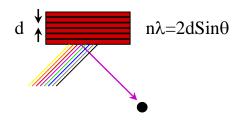
Elements of all scattering experiments



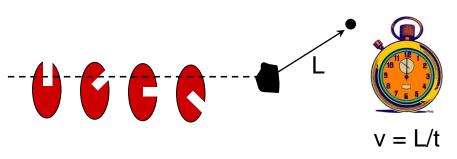


Methods of specifying and measuring \vec{k}_i and \vec{k}_f

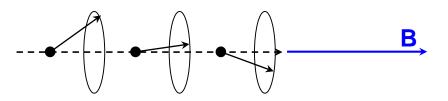
1. Bragg Diffraction

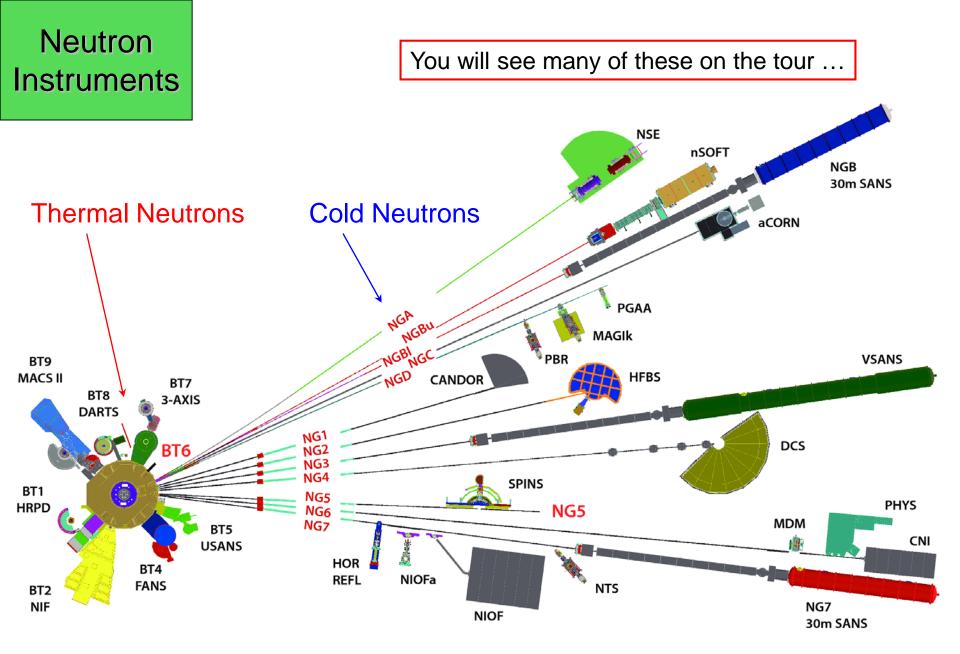


2. Time-of-Flight (TOF)



3. Larmor Precession

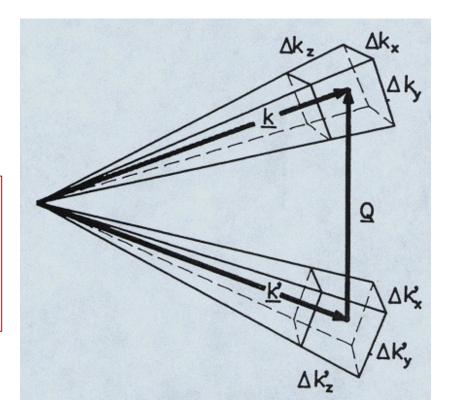




Because neutron scattering is an <u>intensity-limited</u> technique. Thus detector coverage and resolution MUST be tailored to the science.

Uncertainties in the neutron wavelength and direction imply Q and $\hbar\omega$ can only be defined with a finite precision.

The total signal in a scattering experiment is proportional to the resolution volume \rightarrow <u>better</u> resolution leads to <u>lower</u> count rates! Choose carefully ...



Quick Review

Please try to remember these things ...



Neutrons scattering probes <u>two-particle</u> correlations in both space and time (simultaneously!).



The neutron scattering length, b, varies randomly with $Z \rightarrow$ allows access to atoms that are usually unseen by x-rays.



Coherent Scattering

Measures the Fourier transform of the pair correlation function $G(r,t) \rightarrow interference effects.$

This cross section reflects <u>collective</u> phenomena.



Incoherent Scattering

Measures the Fourier transform of the self correlation function $G_s(r,t) \rightarrow \underline{no interference effects.}$

This cross section reflects single-particle scattering.



OK, after all of this, just exactly what can neutron scattering do for you?

More Examples

Δ

Phonons

Λ

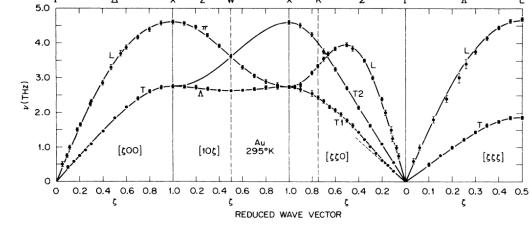
After Bruce Gaulin NXS 2016

• Normal modes in periodic crystal \rightarrow wavevector

$$\mathbf{u}(l,t) = \frac{1}{\sqrt{NM}} \sum_{j\mathbf{q}} \boldsymbol{\varepsilon}_{j}(\mathbf{q}) \exp(i\mathbf{q}\cdot\mathbf{l}) \hat{B}(\mathbf{q}j,t)$$

• Energy of phonon depends on **q** and polarization

FCC structure



 $k_x < 001>$ W $K_x < 100>$ K W $k_x < 100>$ K

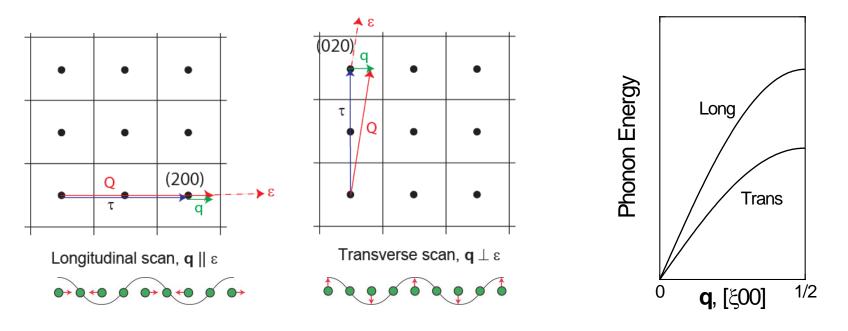
FCC Brillouin zone

Lynn, et al., Phys. Rev. B 8, 3493 (1973).

More Examples

One-Phonon Scattering Cross Section

 $S_{1+}(\mathbf{Q},\omega) = \frac{1}{2NM} e^{-Q^2 \langle u^2 \rangle} \sum_{j\mathbf{q}} \frac{\mathbf{Q} \cdot \boldsymbol{\varepsilon}_j(\mathbf{q})}{\omega_j(\mathbf{q})} (1+n(\omega)) \delta(\mathbf{Q}-\mathbf{q}-\tau) \delta(\omega-\omega_j(\mathbf{q}))$

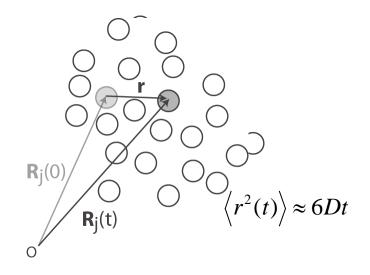


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More Examples

Diffusion Scattering Cross Section

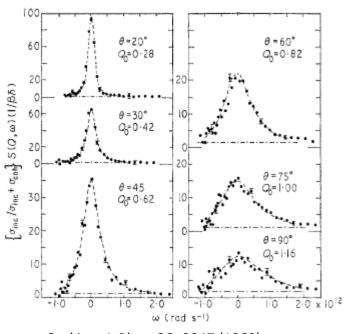
For times that are long compared to the collision time, atom diffuses



Auto-correlation function

$$G_{s}(r,t) = \left\{ 6\pi \left\langle r^{2}(t) \right\rangle \right\}^{-3/2} \exp \left(-\frac{r^{2}}{6 \left\langle r^{2}(t) \right\rangle} \right)$$

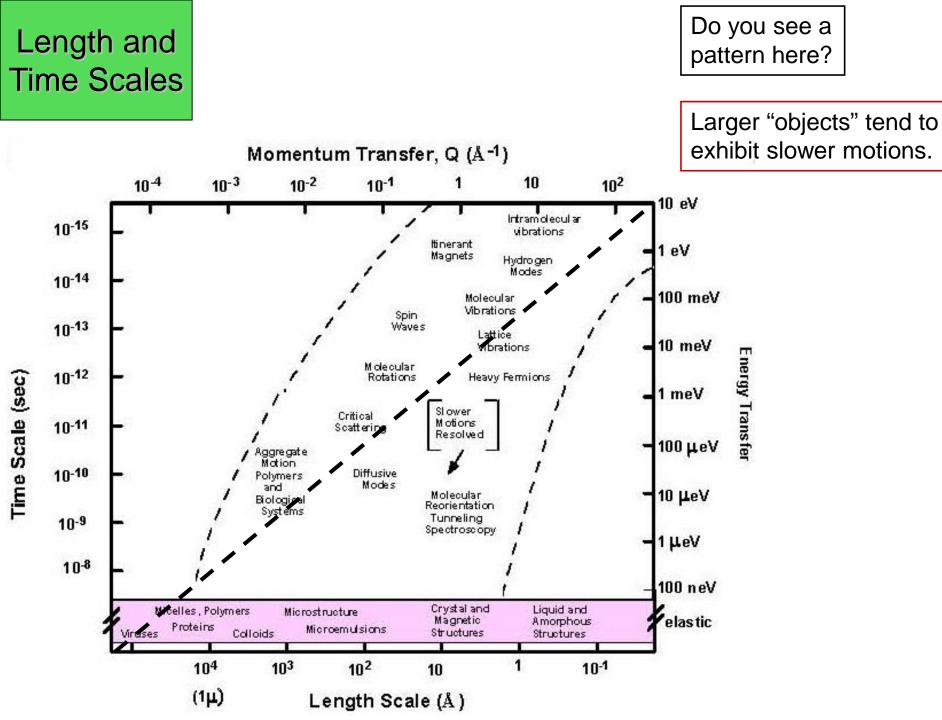
$$S(Q,\omega) = \frac{1}{\pi h} \exp\left(\frac{h\omega}{2k_BT}\right) \frac{DQ^2}{\omega^2 + (DQ^2)^2}$$



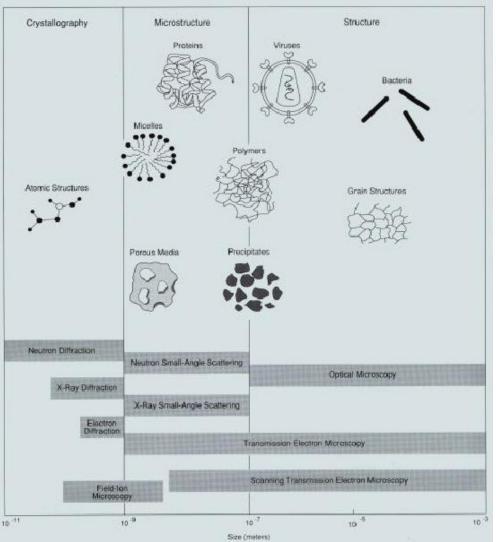
Liquid Na

Cocking, J. Phys. C 2, 2047 (1969)..

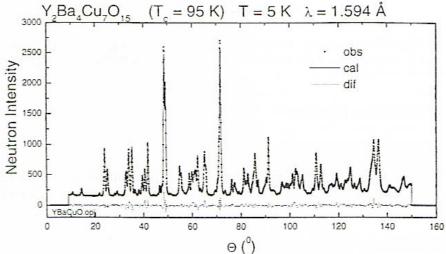
After Bruce Gaulin NXS 2016



Elastic Scattering



Neutrons can probe length scales ranging from ~0.1 Å to ~1000 Å



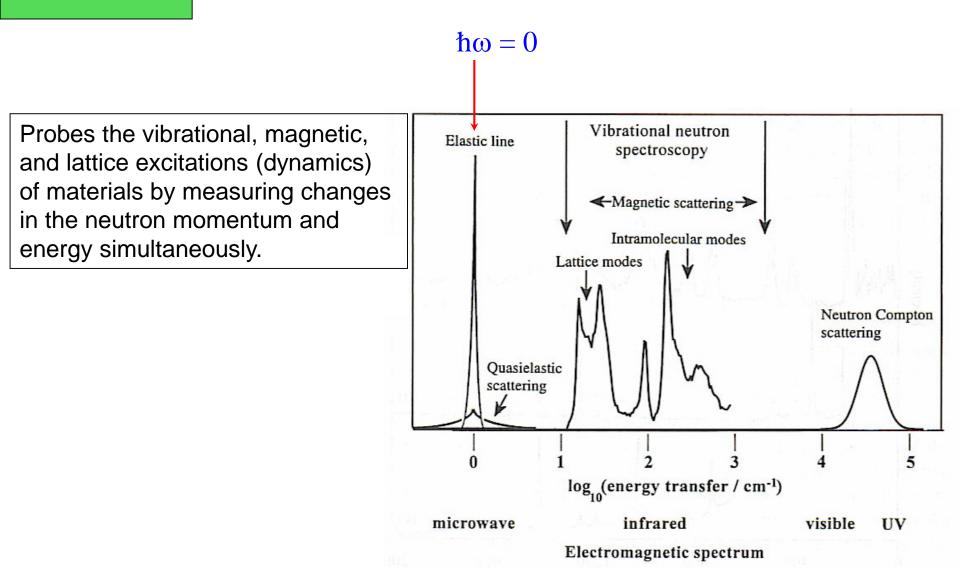
Mitchell et. al, Vibrational Spectroscopy with Neutrons (2005)

Neutrons needed to determine structure of 123 high- T_c cuprates because x rays weren't sufficiently sensitive to the oxygen atoms.

Pynn, Neutron Scattering: A Primer (1989)

Inelastic Scattering

Neutrons can probe time scales ranging from $\sim 10^{-14}$ s to $\sim 10^{-8}$ s.



Mitchell et. al, Vibrational Spectroscopy with Neutrons (2005)



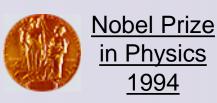


Can one measure elastic scattering from a liquid?



If Yes, explain why? If No, explain why not?

<u>Hint</u>: What is the correlation of one atom in a liquid with another after a time t?



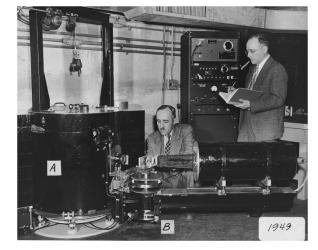
The Fathers of Neutron Scattering

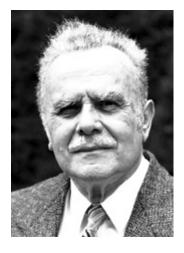
"For pioneering contributions to the development of neutron scattering techniques for studies of condensed matter"

"For the development of the neutron diffraction technique"

"For the development of neutron spectroscopy"







Clifford G Shull MIT, USA (1915 – 2001)

Showed us where the atoms are ...

Ernest O Wollan ORNL, USA (1910 – 1984)

Did first neutron diffraction expts ...

Bertram N Brockhouse McMaster University, Canada (1918 – 2003)

Showed us how the atoms move ...

Useful References

http://www.mrl.ucsb.edu/~pynn/primer.pdf



"Introduction to the Theory of Thermal Neutron Scattering"

- G. L. Squires, Cambridge University Press



"Theory of Neutron Scattering from Condensed Matter"

- S. W. Lovesey, Oxford University Press



"Neutron Diffraction" (Out of print)

- G. E. Bacon, Clarendon Press, Oxford



"Structure and Dynamics"

- M. T. Dove, Oxford University Press



"Elementary Scattering Theory"

- D. S. Sivia, Oxford University Press