### National Institute of Standards and Technology

### Information Access Division (IAD)

#### Resolutions

ANSI/NIST Fingerprint Standard Update Workshop II

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#### Compression Code Tables

- □ Insert a 3-column table in standard
  - Compression algorithm
  - Binary code
  - ASCII code
- ☐ Legitimate compression algorithms specified within the text of each record description
- Two tables were needed one for binary images and one for grayscale/color images



### **Binary Image Compression Codes**

Algorithm	BIN	ASCII	NOTES*
	CODE	CODE	
Uncompressed	0		Image Packed 8 pix/byte
Facsimile ANSI/EIA- 538 1988	1		Lossless



### Grayscale & Color Image Compression Codes

Algorithm	BIN	ASCII CODE
	CODE	
Uncompressed	0	NONE
WSQ Version 2.0	1	WSQ
JPEG ISO/IEC 10918	2	JPEG
JPEG 10918 (Lossless)	3	JPEGL
JPEG 2K Lossy	4	JP2
JPEG 2K Lossless	5	JP2L
Portable Network Graphics	6	PNG



# M1 – Harmonization With Type-9 and Type 14 Records

- Addition of optional M1-vendor block of T-9 fields
- ☐ Coordinate system and minutiae placement and orientation in accord with INCITS 378-2004
- ☐ Minutiae location expressed in pixels
- ☐ Orientation to nearest 2 degrees
- ☐ Fields 9.121 through 9.129 reserved for M1 block
- Minimum amount of CBEFF information fields
- Addition of optional fields for T-14 record for image size, resolution, and CBEFF data



# Fingerprint Image Quality Score

- □ Prediction of Fingerprint matching performance
- ☐ Field 14.022 Mandatory FBI EFTS 7.1 Finger Image Quality
  - Finger Number
  - Predictive Quality Score (NFIQ)
- ☐ Field 14.024 Optional Finger Image Quality
  - Finger Number
  - Predictive Quality Score
  - Vendor Identification
  - Vendor assigned code for algorithm
  - Version and revision of algorithm used



# Slap Image Segmentation & Quality Score

- ☐ Field 14.021 Defines offsets to bounding boxes of individual fingers in a "slap" image
- ☐ Finger segment defined by 5 information items
  - Finger number
  - X-coordinates (LEFT & RIGHT) Bounding box corners
  - Y-coordinates (TOP & BOTTOM) Bounding box corners
- ☐ Field 14.023 Provision for segmentation quality scores
  - Information items similar to finger image quality (14.024)
  - Score and vendor information



### Source Agency Length, Attended Operation, & UTF-8

- ☐ Increase the size of the Source Agency Field to 43 characters for record types 10 and above
- □ Optional field defined for record types 10 and above to provide device monitoring information
  - Unknown
  - Unattended
  - Observed
  - Assisted
- ☐ The UTF8 encoding scheme is preferred method to support international character sets.

## Updates to Finger Position Code and Maximum Size Tables 6 & 19

- □ Area column removed from both tables
- ☐ Finger code 15 added to Table 6 to signify the combination of both thumbs together
  - Captured together
  - Captured separately but recorded as one image
- Maximum length dimension for finger position codes 13, 14, and 15 increased to 3.0"
- Additional palm codes added to Table 19 for
  - Right & left Interdigital
  - Right & leff Thenar areas
  - Right & left Hypothenar areas



# Enhanced Fingerprint Impression Types

Live-scan vs Nonlive-scan

Plain vs. Rolled

Optical vs. Non-optical

Contact vs. Non-contact



# New Impression Type Codes

Live-scan plain	0
Live-scan rolled	1
Nonlive-scan plain	2
Nonlive-scan rolled	3
Latent impressionLive-scan	4
Latent tracing	5
Latent photo	6
Latent lift	7
Live-scan vertical swipe	8
Live-scan optical contact plain	20
Live-scan optical contact rolled	21
Live-scan non-optical contact plain*	22
Live-scan non-optical contact rolled	23
Live-scan optical contactless plain	24
Live-scan optical contactless rolled	25
Live-scan non-optical contactless plain	26
Live-scan non-optical contactless rolled	27
Other	28
Unknown	29



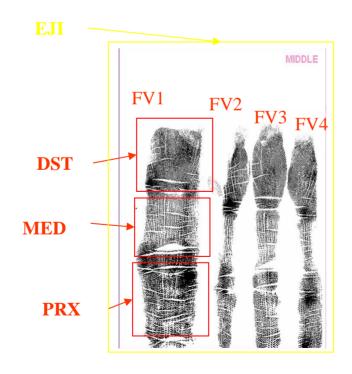
### Major Case Prints

- Major case collection
  - 1- FBI Standard Fingerprint Card
  - 2- IAI Standard Palm Print Cards
  - 2- Newly defined Major Case Card
- Additional areas of capture
  - Joint prints (Distal, Medial, Proximal)
  - Rolled tips
  - Rolled thenar
- ☐ FGP Fields 14.013/13.013 contains "19" from Table 6
- MCP Field 14.014: Finger Number<us>Image Code
- ☐ MCS Field 14.015: Segment Positions (6 items)
- MCL Field 13.014: Finger Number<us>Image Code



### Major Case Codes

Entire Joint Image	EJI
Rolled Tip	TIP
Full Finger View	FVx $x = \{1,2,3,4\}$
Proximal, Distal, or Medial Segment	PRX, DST, MED





### Iris Record Type-17

- New record type would be based on, and be interoperable with the M1 Iris data format
- □ Provide for iris image representation only (polar coordinate representation excluded)
- ☐ Iris image quality represented as for finger image
- ☐ Add appropriate fields for eye color, colorspace, compression, etc.
- ☐ Consult with Iridian on record details



### CBEFF Record Type -18 (?)

- ☐ Carry M1 standard biometric data
- □ Contains:
  - Header
  - Biometric Data Block (BDB)
  - Optional Signature Block
- □ Carry items not carried in other ANSI/NIST record types exotic data types
- Move actual number type from 18 to a greater number.



#### Approved XML Resolution

- ☐ Incorporates all updates to the 2006 standard in the traditional and XML format
- ☐ One standard: One document: Two Parts
- ☐ Develop a Part 2 proposal as an alternative XML version of the ANSI/NIST-ITL standard
- Both parts canvassed simultaneously
- ☐ A 1:1 correspondence of data conveyed in the original structure and the new XML package
- ☐ GJXDM objects used wherever possible



### Type 10 – Facial Image Resolutions – No SMT

- □ JPEG2 (both lossy and lossless) adopted as an appropriate compression algorithm for face
- ☐ Colorspace conventions:
  - JPEG SRGB, GRAY, YCC
  - JPEG2K SRGB, GRAY, SYCC
- □ The Subject Acquisition Profile (SAP) is a mandatory ASCII text field for facial images
- □ Various best practice levels for parameters used for capturing images.



### Image Capture Levels

- □ Level 0: Unknown
- ☐ Levels 10-15: capture under the guidance of other facial standards or application profiles
- □ Level 15 : PIV
- ☐ Level 20: Legacy mugshots
- □ Level 30: 1997 BPR & ANSI/NIST 2000
- □ Level 40: Added requirements (768x1024 pixels)
- □ Level 50/51: Increased resolution JPEG 2K required (3300x4400 head & shoulders)



#### Best Practice Issues

- □ Naming convention to refer to all levels as Best Practice Image Capture and then reference specific levels by number only.
- ☐ Other specifics relating to image capture such as details relating to hair and number of photos captured
- ☐ These best practices to be placed in normative part of standard



## Security Record Addendum

- □ Proposal to put security at the "document" level using digital signatures to track changes
- ☐ Due to the amount of details to be resolved this item shall be worked on as a future addendum to the standard
- □ Event tracking will be included in this work



## Extended Fingerprint Feature Set Addendum

- Potential Level 1, 2, & 3 features for encoding were presented and discussed
- ☐ Ad hoc group was created to study this work and put in the form of an addendum for later inclusion
- These new features may require a new record type
- ☐ This group headed by Austin Hicklin in cooperation with Alan McRoberts(SWGFAST) is to finish their work and make recommendation by December 31, 2006.