

#### This webinar and the engagement tools will be recorded.

#### An archive will be available on the <u>event website</u>.



Federal Cybersecurity Workforce Webinar

## Security Clearance Effective Practices and Solutions to Support Federal Cybersecurity Work

Welcome and Overview

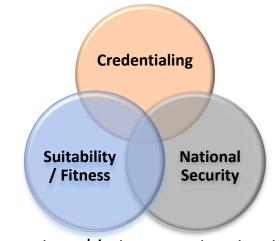
Elizabeth Lee Federal Bureau of Investigation

Ensuring a Trusted Workforce Bill Pedersen Personnel Security Specialist – Stakeholder Liaison U.S. Office of Personnel Management



# Federal Personnel Vetting – Ensuring a Trusted Workforce

#### Federal Cybersecurity Workforce Webinar, October 25, 2022



Working in partnership to promote a trusted workforce



### Federal Personnel Vetting – The What and Why?

#### **Establishing Trust**

#### Protecting People, Property, Information, and Mission









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Position Designation



Screening



Validate Need / Reciprocity



**Preliminary Determinations** 



### Federal Personnel Vetting – Process Overview Continued







Entry-on-duty



Continuous Vetting, if applicable



Agencies

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 $\circ$  Screening

• Applicants

• Federal Personnel Vetting Engagement Guidelines

 $\odot$  Accurate form completion



Federal Personnel Vetting Engagement Guidelines

#### I. Purpose

This document provides the strategic direction for a Federal personnel vetting process that benefits from transparent, open, honest, and frequent communication necessary to establish and maintain a trusted workforce. A trusted workforce with a strong sense of responsibility lowers the ultimate risk to the Federal Government's people, property, information, and mission. Two-way engagement between the individual and the Government provides a conduit to build and cultivate trust, a culture of personal accountability, and a shared responsibility for the overall success of personnel vetting.

The personnel vetting engagement approach provides opportunities for individuals to stay informed and participate in the process by providing their own personal information and supporting the overall personnel vetting needs of the Federal Government. This approach requires the Government to proactively communicate expectations and guidance to the individuals as they progress through the personnel vetting process. This approach is also aligned with and support of the Federal Government's broader efforts to recruit and retain a diverse and talented workforce.

#### II. Scope, Applicability, and Review

- A. These Guidelines align with the Federal Personnel Vetting Core Doctrine and the Federal Personnel Vetting Guidelines.
- B. These Guidelines serve as a high-level, outcome-based strategy intended to shape a culture of personal accountability and shared responsibility between individuals and the Federal Government built upon aligned values, clear communication, effective training, and collaborative responsibilities required from all participants.
- C. To the extent permitted by law, these Guidelines apply to the Executive Branch and other entities when participating in Federal personnel vetting for or on behalf of the Federal government, including:
  - 1. Authorized personnel vetting investigative service providers (ISPs).
  - 2. Authorized adjudicative agencies.
  - Trusted Information Providers that corroborate and/or verify data as authorized and commensurate with investigative standards established by the Security Executive Agent and the Suitability and Credentialing Executive Agent (EA).
  - 4. Executive Branch Shared Service Providers

UNCLASSIFIED

## Federal Personnel Vetting – Myth-busting

• Myth: Certain things, like a criminal conviction, are automatically disqualifying.

• Myth: Seeking mental health treatment negatively impacts your chances of a favorable adjudication

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FACTS

VS

**MYTHS** 

## Federal Personnel Vetting – Myth-busting Continued

• Myth: It's hard to move around because taking a new position means starting vetting all over again.

• Myth: The vetting process takes so long I'll have to wait over a year to start my job.

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**FACTS** 

VS

**MYTHS** 



### **Contact Information**

**Suitability Related Questions** 

SuitEA@opm.gov

202-599-0090

**Credentialing Related Questions** 

CredEA@opm.gov



Process and Reform

Kyla Power Executive Project Coordinator, National Counterintelligence and Security Center Office of the Director of National Intelligence



#### OFFICE OF THE DIRECTOR OF NATIONAL INTELLIGENCE

### **Security Clearance Process and Reform**

#### Federal Cybersecurity Workforce Webinar

#### Kyla Power

Executive Project Coordinator National Counterintelligence and Security Center 25 October 2022

National Counterintelligence and Security Center



#### **Security Executive Agent**

- The Director of National Intelligence (DNI) serves as the Security Executive Agent (SecEA)
- The SecEA:
  - Directs the oversight of investigations and eligibility determinations for access to classified information
  - Develops policies for investigations, adjudications, and polygraphs





#### **Trusted Workforce 2.0**

• Initiative to transform how the Federal Government vets individuals

• New approach to reduce delays, increase workforce mobility, and better leverage technology

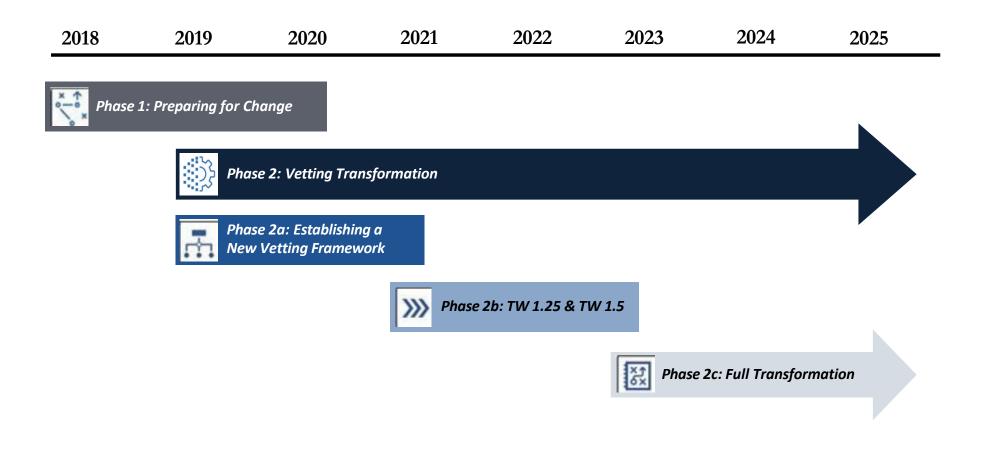


#### **Continuous Vetting**

 Leverages automated records checks, agency-specific records, and investigative work

• Leads to early detection and proactive mitigation

#### **Trusted Workforce 2.0 Implementation**





### How Best to Engage?

#### <u>Agencies</u>

#### Individuals

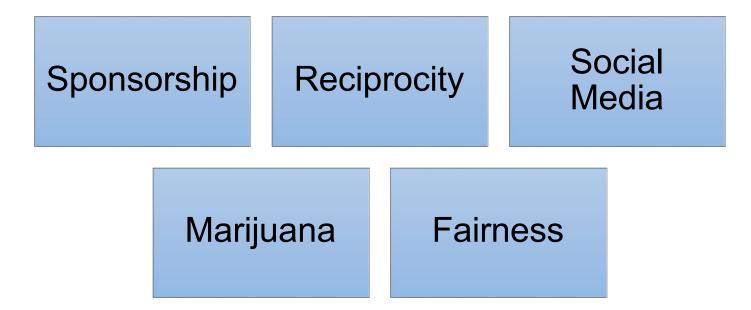
Maintain communication

• Complete forms fully and accurately

• Provide training and resources

• Know your reporting requirements

#### **Frequently Asked Questions**





### **CONTACT INFORMATION**

<u>SecEA@dni.gov</u> https://<u>www.dni.gov/ncsc</u>



### Challenges and Solutions David Harvey Engagement Manager, Center for Securing the Homeland *MITRE*

## Challenges and Solutions with Clearances – A Contractor's perspective

David Harvey, MITRE October 25, 2022





Approved for Public Release; Distribution Unlimited. Public Release Case Number 22 3504

#### Background

- MITRE operates 6 Federally Funded Research and Development Centers (FFRDCs) for the government
  - NIST, DoD, IRS/VA/Commerce, HHS, DHS, FAA
- ~10,000 employees
  - ~65% need clearances
  - ~70% need fitness
  - And many need multiple



 MITRE needs to manage a lot of people and their clearances/fitness to keep staff actively working projects



Challenge: Manage the clearances for thousands of submittals each year

 Solution: Automate the intake, processing, holding, and removal of clearances/fitness





Challenge: Months delays to get staff clearance/fitness

 Solution: Where possible, place staff on assignments that don't require clearance/fitness. Otherwise, plan financially for months of inactivity



Challenge: While DoD has reciprocity, civilian agencies are reluctant to "trust" other agencies' fitness processes

 Solution: We note the other clearances/fitness our staff have and request waivers to start work while in formal process – this buys down the risk

"...anyone who holds an active security clearance has already gone through a background investigation that considers the same factors evaluated in a DHS fitness assessment...Yet even if one has a top-level security clearance...a contractor must still undergo a less-thorough and duplicative fitness investigation and assessment before he or she can begin work."

- Charles E. Allen, Senior Intelligence Advisor INSA, testifying U.S. House of Representatives Homeland Security Subcommittee on Oversight and Management Efficiency, February 27, 2018



Challenge: Ensuring all staff have the appropriate clearance/fitness

Solution: Monthly we reconcile our invoice with our clearance/fitness records and note status of all staff in monthly status report



Challenge: Not notified when staff lose their fitness

 Solution: Annually, we request listing of all staff with fitness to reconcile with our records

#### **Summary of Requests**

- Civilian agencies adopt Reciprocity across Agencies so that fitness costs less, and the Government can be more adept at pivoting to new threats
- Agencies consider formalizing "Interim" Fitness criteria when other clearances already held
- Agency security offices consistently notify contractors when fitness ends for staff
- And for my bold request: Civilian agencies create a "Super Fitness" level that aggregates all criteria from all agencies and thus is recognized by all agencies



# **Questions?**







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