### TECHNOLOGY INNOVATION PROGRAM (TIP) CONTRACTORS OR SUBRECIPIENTS

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| 1.   | **CONTRACTOR**  
|      | **SUBRECIPIENT**  
| 2.   | **LEGAL NAME, ADDRESS, CONTACT INFORMATION & CONGRESSIONAL DISTRICT**  
|      | **NAME OF CONTACT**  
|      | **PREFIX:**  
|      | **FIRST NAME:**  
|      | **LAST NAME:**  
|      | **MIDDLE NAME:**  
|      | **SUFFIX:**  
|      | **POSITION/TITLE:**  
|      | **DEPARTMENT:**  
|      | **DIVISION:**  
| 3.   | **ORGANIZATION TYPE**  
|      | (Pick one)  
|      | ☐ SMALL-SIZED BUSINESS  
|      | ☐ NONPROFIT RESEARCH INSTITUTE  
|      | ☐ MEDIUM-SIZED BUSINESS  
|      | ☐ INSTITUTION OF HIGHER EDUCATION  
|      | ☐ LARGE-SIZED BUSINESS  
|      | ☐ NATIONAL LABORATORY  
|      | ☐ GOVERNMENTAL LABORATORY (not including NIST)  
|      | ☐ STATE OR LOCAL GOVERNMENT  
| 4.   | **ESTIMATED TOTAL AMOUNT OF CONTRACT FOR CONTRACTOR OR SUBRECIPIENT:**  
| 5.   | **ESTIMATED AMOUNT OF COST SHARE PROVIDED BY SUBRECIPIENT**  
|      | (Contractors may not provide cost share)  
|      | **DIRECT:**  
|      | **INDIRECT:**  
| 6.   | **DESCRIBE SCOPE OF WORK AND IDENTIFY WHICH TASK OR TASKS IN R&D PLAN REQUIRE CONTRACTOR’S OR SUBRECIPIENT’S INVOLVEMENT:**  
| 7.   | **IS THIS A SOLE SOURCE CONTRACT OR SUBRECIPIENT?**  
|      | ☐ NO  
|      | ☐ YES (if yes, explain; e.g., is this the only contractor or subrecipient that can perform the work, what is the nature of its unique capabilities/experience, etc.)  
| 8.   | **DOES THE CONTRACTOR OR SUBRECIPIENT HAVE ANY FINANCIAL OR OTHER INTEREST IN THE SUBMITTING ORGANIZATION?**  
|      | ☐ NO  
|      | ☐ YES (if yes, briefly explain what type and how much.)  
| 9.   | **DOES THE SUBMITTING ORGANIZATION HAVE ANY FINANCIAL OR OTHER INTEREST IN THE CONTRACTOR OR SUBRECIPIENT?**  
|      | ☐ NO  
|      | ☐ YES (if yes, briefly explain what type and how much.)

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*Exhibit 5. The NIST-1022B Form, “Contractors or Subrecipient Information”.*
INSTRUCTIONS FOR FILLING OUT THE NIST-1022B FORM
“CONTRACTORS OR SUBRECIPIENTS”

1. A NIST-1022B form is needed for each contractor and/or each subrecipient involved in the project. Select the type of arrangement involved and fill out the required information. To generate another NIST-1022B form for another entity, click on the Add Contractor or Subrecipient button.

2. Self explanatory. If a contractor’s or subrecipient’s identity is undetermined, enter “Undetermined” in the NAME box. For a contractor, enter the name of the recipient or JV member that will engage the contractor. For a subrecipient, enter the name of the recipient or joint venture member that will issue the subaward to the “subrecipient.”

3. Click the appropriate box to identify the contractor’s or subrecipient’s type of organization. Also click the appropriate box if foreign-owned or foreign-located.

4. Enter the total amount of the contract or subaward. This is the amount of funds that the contractor or subrecipient will receive over the life of the TIP award.

5. If the subrecipient will be providing funds that go towards the recipient’s cost-share requirement, enter the total amount of cost-share funds to be provided over the life of the TIP award that go towards covering the recipient’s direct and/or indirect costs.

6. Briefly describe the scope of work and identify which task(s) in the R&D plan will be performed by the contractor or subrecipient.

7. Click the appropriate box to identify if the contractor or subrecipient will be selected on a sole source basis. Contractors or subrecipients should be selected on a competitive basis pursuant to the Procurement Standards in 15 CFR Part 14.

   If the contractor or subrecipient is to be selected on a sole source basis, provide a brief justification for selection on a noncompetitive basis. In writing a sole source justification, be sure to refer to the Procurement Standards in 15 CFR Part 14 and address basis for selecting noncompetitively.

8. If the contractor or subrecipient has any financial or other interest in the submitting organization or if a joint venture, in any joint venture member, briefly explain what type and how much.

9. If the submitting organization or if a joint venture, any joint venture member, has any financial or other interest in the contractor or subrecipient, briefly explain what type and how much.