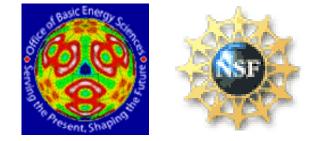
# Complex Modeling: towards more robust nanostructure refinements

#### S.J.L. Billinge

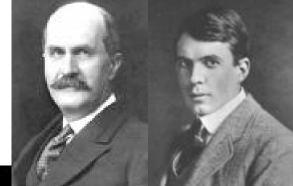
Department of Applied Physics and Applied Mathematics Columbia University, CMPMS, Brookhaven National Laboratory











## W. Henry Bragg's notebook

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• Available at U. Leeds website:

http://www.leeds.ac.uk/library/spcoll/bragg-notebook/







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• Page 11, he has moved from diamond to rock-salt....the first appearance of something looking like a Bragg peak





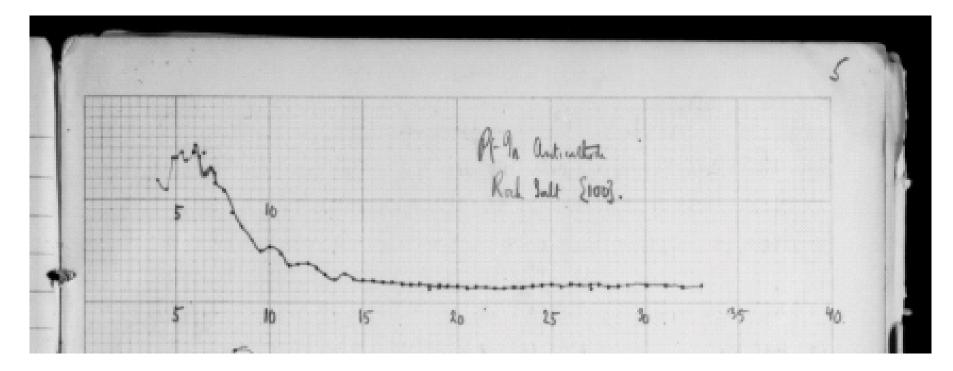
(cell it the 'block') imagine aface centred lettice anos, edges a, b, c. and that 16 atoms of supplus are ne cash, block. S. G = 2:07 hat of block = 16 × 32 × 164 × 10 = 838 × 10 = 4 Volg block = 205 538 × 10 2.07 = 405 × 10 . abc = 1.545 x 63 = 405 x 10 - 24 a = . 813, at = . 661 1 = 1513 6° = 262 × 10 -24 c= 1903 c'= 3.612 1= 276 6 = 6.4 × 10 - 8. Va. 46. 4 2. 789 187 - 5988 × 6 = 3.83 Sterres I from 000 on 111 plane =  $\frac{1}{2} \frac{1}{6} \frac{1}{6} \frac{1}{6} \frac{1}{6} \frac{1}{6} \frac{1}{2} \frac{1}{2} \frac{1}{6} \frac{1}{6} \frac{1}{2} \frac{1}{2} \frac{1}{6} \frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{6} \frac{1}{2} \frac{1}$ 000 m 001 1-903 6 = 7.903 6. = 12.18 P = .577 × 10 000 m 010. - 56 = .500 6.= 3.20 000 on 100 = . 8136 = 406 6. = 2.60, Hence reflections should occur at the following anytes. (b, law smo = 2) . (011) (001) (010) 100 (110) (101) LIII) .0754 ·071 ·119. -1019 ·0233. '0902 .1109 4.3° 6:35 4 41 . 6.82. 5.85 (1.33 5-2 5.90 10:5 6.7 5.15. 6.2. 407 7.0: pus 415 143 . (1+37)(04) - 12 39 C 5° on 110 = 1 = 635 x 6 = 4.06. NO. 139-10.8 126(017) . 161)601) 425  $\frac{1}{2^{10}} = \frac{1}{2^{10}} = \frac{1}{2^{10}} = \frac{1}{750} \times \frac{2^{12}}{10^{10}} = \frac{1}{100}$ 14 Sun 1:33 = .0233 1849 = 10281. am 10.65 = 1849

Apparently the first structure solution

Page 43

## **Diffuse Scattering**

- The Braggs discovered Bragg scattering on Page 11
- But apparently they discovered diffuse scattering on Page 5!







## **Overview of talk**

- 1. Scientific need: to understand complex materials for next generation technologies
- 2. Scientific problem: they are complex!
- 3. Example: the nanostructure problem
- 4. Generic solution
- 5. Summary and outlook





**Complex materials** 

- Photovoltaics with improved efficiency
  - Nanoparticles in the light collecting layer
- High energy density batteries
  - Electrodes
  - Electrolytes
- Fuel cells for transportation applications
  - Electrodes
  - Electrolytes
  - Catalysts
  - Hydrogen storage
- Sequestration
  - Functionalized mesoporous materials

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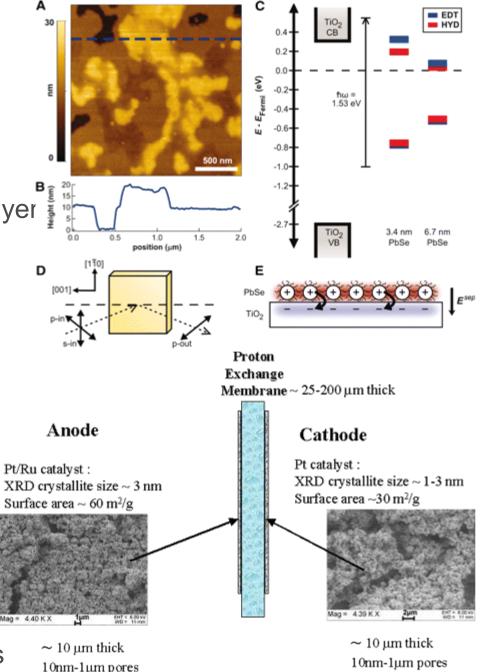


Image credits: 10.1126/science.1185509 U. Uppsala

## Recurring themes in Complex Materials:

#### They have

- Structure at the nanoscale
  - E.g., just about everything
- Complicated structures, large unit cells, multiple elements
  - E.g., thermoelectrics, next generation battery materials, etc..

### • Sub-micron heterogeneities

- E.g., core-shell nanoparticles, supported catalysts, real devices

### Enormous experimental and theoretical challenges in Complex Materials





## **The Complexity Problem**

To solve todays technological problems we need to study materials systems at the frontier of complexity.





An example of the complexity problem:

The nanostructure problem





## The Crystal Structure Problem



From LiGaTe2: A New Highly Nonlinear Chalcopyrite Optical Crystal for the Mid-IR L. Isaenko, et al., J. Crystal Growth, 5, 1325 – 1329 (2005)



• Problem:

– Here is a crystal, what is its structure?

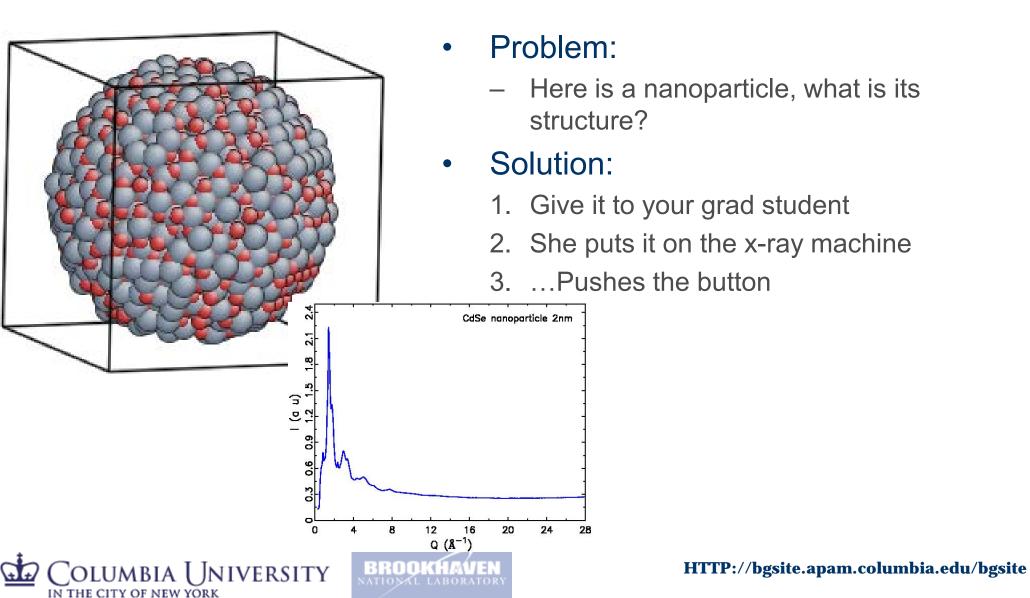
Solution:

- 1. Give it to your grad student
- 2. She puts it on the x-ray machine
- 3. ...Pushes the button
  - 1. Machine tells you the structure
  - 2. Or Machine gets stuck
    - 1. Throw away the crystal
    - 2. Make it the subject of her thesis

#### Crystallography is largely a solved problem



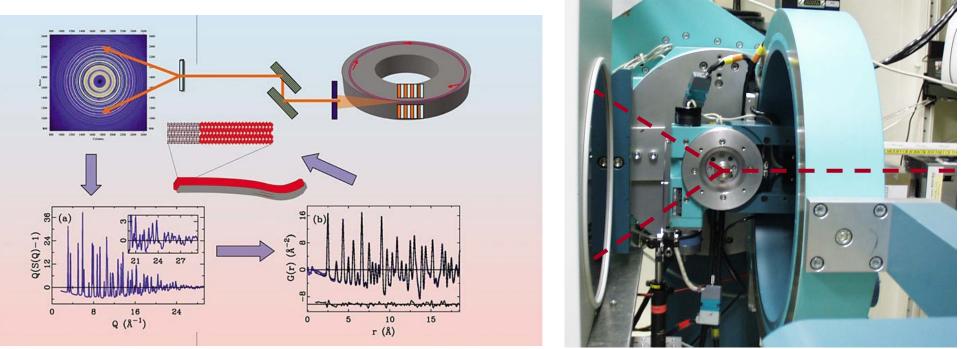
## The Nanostructure Problem



## X-ray PDF Experiment

#### RAPDF – Rapid Acquisition PDF

few second measurement time

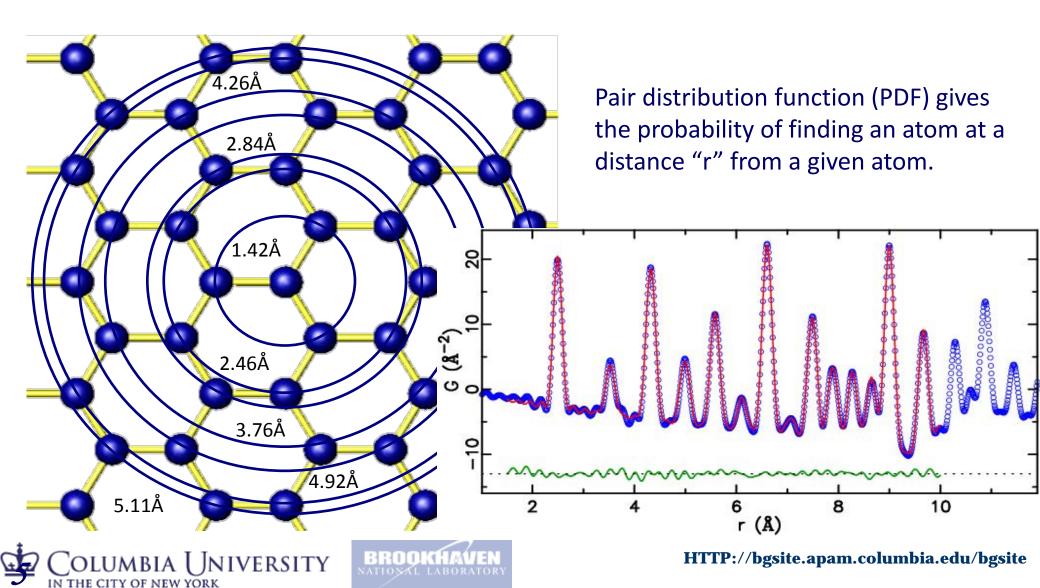


- Chupas et al., J. Appl. Crystallogr. (2003)
- Billinge-group, BNL, SUNY-SB, APS collaboration
- Main developments thanks to Pete Chupas and Xiangyun Qiu





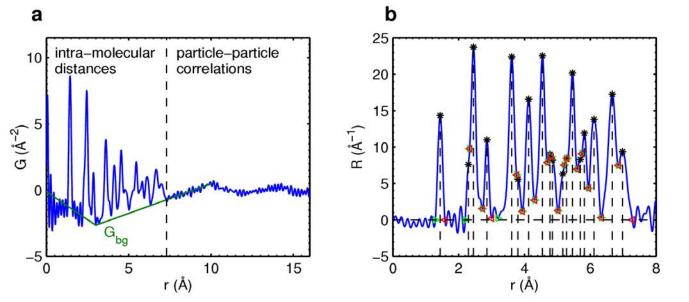
#### Nanostructure refinement



## Structure Solution from PDF

Example: C60

- 60 atoms => n(n-1)/2 = 1770 pair-vectors
- We know the lengths (not the directions) of ~18 unique distances
- We have an imperfect measure of the multiplicities of those distances
- We don't have any symmetry information to help us



Is the problem well conditioned or ill conditioned? Is there a unique solution?

## **Structure Solution**

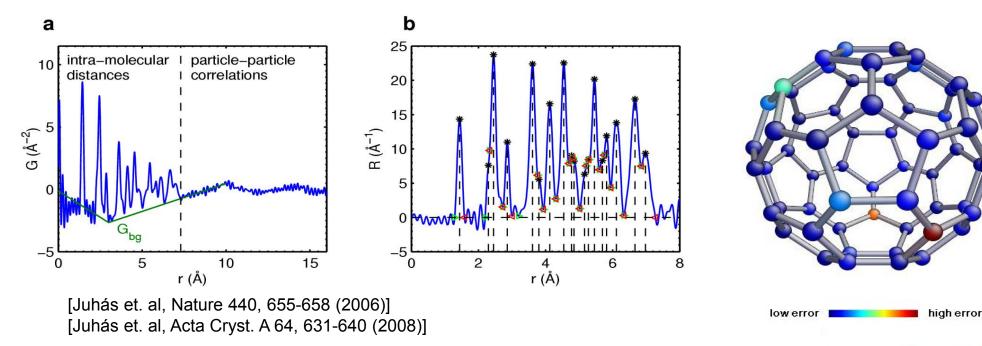






## Structure determination from PDF

- neutron diffraction PDF data from C<sub>60</sub>
- 60 atoms, 1770 distances
- extracted 18 out of 21 unique distance values
- structure determination still successful



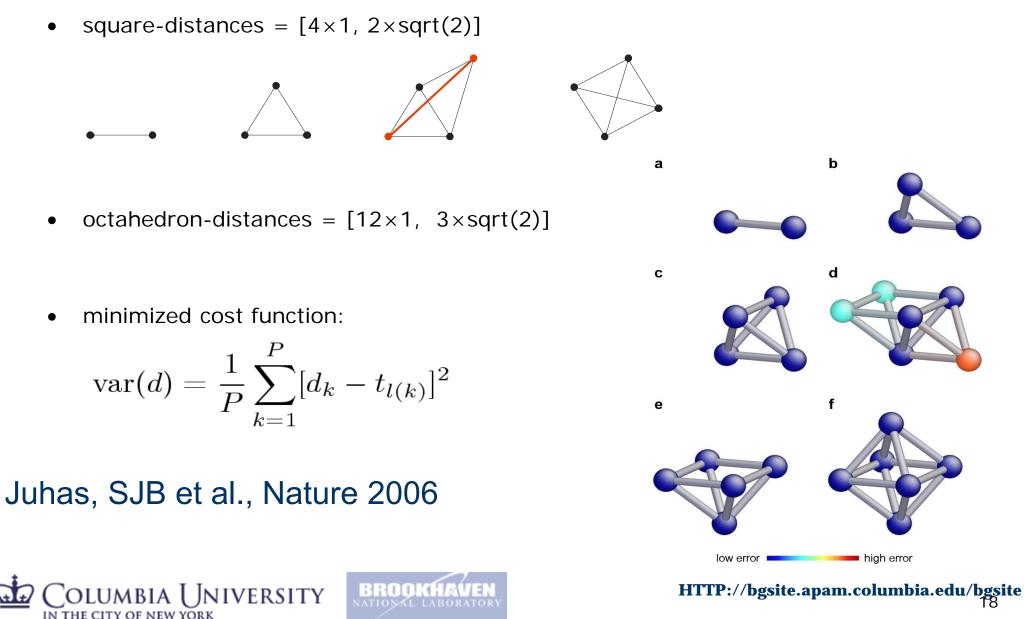
algorithm extended for multiple atom-types and periodic boundary conditions



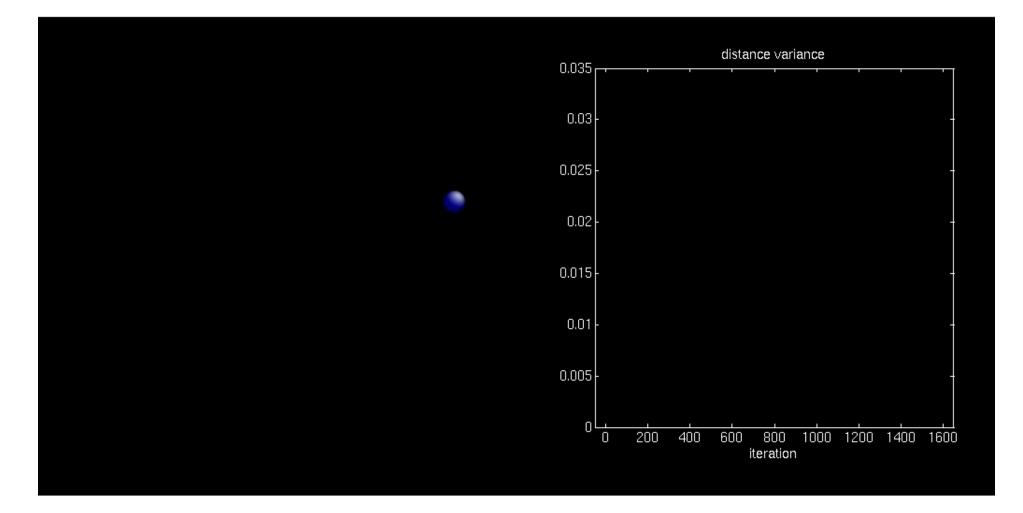


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## Illustration of cluster buildup



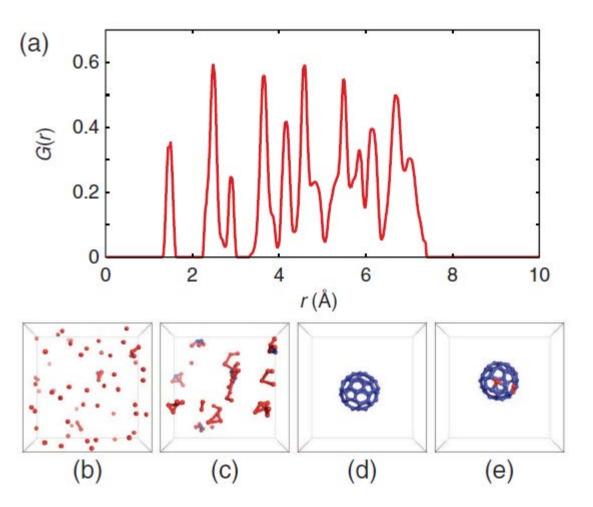
## ab-initio structure solution directly from PDF data







## C60 structure from RMC + similarity constraint



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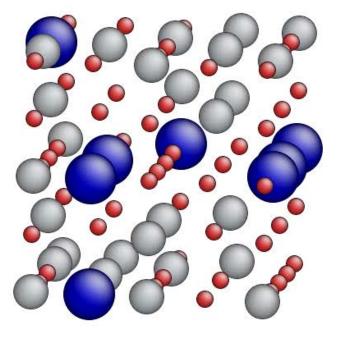
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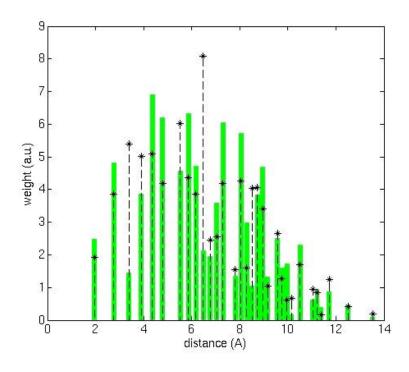
- Simulated annealing could solve the C60 problem only with the addition of an extra "similarity" constraint (all carbon atoms have the same environment).
- This example shows that adding constraints not only regularizes inverse problems but also can increase efficiency of regression algorithms.
- Cliffe, Andrew L. Goodwin et al. Structure determination of disordered materials from diffraction data, *Phys. Rev. Lett.* 104, 125501 (2010).

## Multi-element structure solutions from the PDF

#### • Crystal structure solution from the PDF

- start with random site arrangement
- flip sites which improve match between model and ideal peak weights







#### Journal of Applied Crystallography

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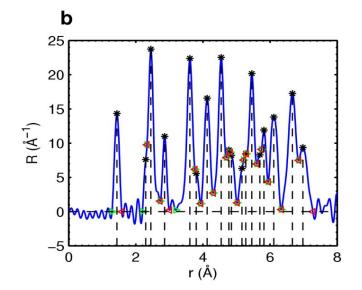
#### Crystal structure solution from experimentally determined atomic pair distribution functions

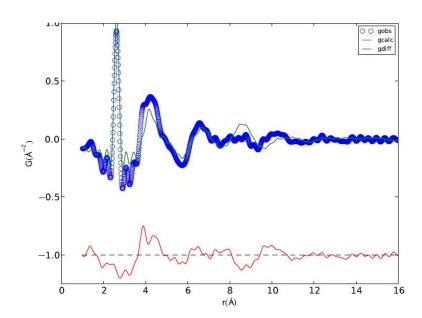
P. Juhás,<sup>a</sup>\* L. Granlund,<sup>b</sup> S. R. Gujarathi,<sup>b</sup> P. M. Duxbury<sup>b</sup> and S. J. L. Billinge<sup>a,c</sup>

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 $C_{\rm d}$  and  $C_{\rm c}$  are the distance and atom-overlap costs, as defined in equations (3) and (4).  $s_x$ ,  $s_y$  and  $s_z$  are the standard deviations in the formula (4) is the standard deviation of the standard deviation o

normalized to a simple [111] cell. $s_r$ (Å) is the root mean-square displacement of the solved sites from the reference CIF positions.						the reference (		
Sample Ato		Cost C <sub>d</sub> ((		Cost Cc	$(Å^2)$	Deviation	of coordinates	
(supercell)		Liga	CIF	Liga	CIF	Sx	Sy	- 60-
Successful solutions								
Ag [111]	4	0.0232	0.136	0	0.001	0	0	
Ag [222]	32	0.0097	0.136	0	0.001	0.00025	0.00024	
BaTiO <sub>3</sub> [111]	5	0.370	0.394	0.040	0.042	0.0057	0.0066	$t_k$ ideal
BaTiO <sub>3</sub> [112]	10	0.392	0.394	0.058	0.042	0.00023	0.039	
C graphite [111]	4	0.396	0.574	0.010	0.016	0.0029	0.0029	40
C graphite [221]	16	0.420	0.574	0.010	0.016	0.0086	0.0065	
CdSe [111]	4	0.107	0.138	0	0.001	0	0	
CdSe [221]	16	0.0856	0.138	0	0.001	0.00010	0.00013	
CeO <sub>2</sub> [111]	12	0.515	0.554	0	0	0	0	
NaCl [111]	8	1.75	1.71	0	0	0	0	
NaCl [222]	64	1.20	1.71	0	0	0.00031	0.00031	
Ni [111]	4	0.0024	0.0024	0	0	0	0	
Ni [222]	32	0.0025	0.0024	0	0	0.00015	0.00013	
PbS [111]	8	0.0125	0.0104	0.010	0.011	0	0	
PbS [222]	64	0.0140	0.0104	0.010	0.011	0.00005	0.00004	
PbTe [111]	8	0.0024	0.0127	0.097	0.090	0	0	
PbTe [222]	64	0.0022	0.0127	0.097	0.090	0.00011	0.00011	
Si [111]	8	0.0045	0.0045	0	0	0	0	
Si [222]	64	0.0048	0.0045	0	0	0.00010	0.00009	
SrTiO <sub>3</sub> [111]	5	0.437	0.437	0.002	0.002	0	0	
Zn [111]	2	0.495	0.470	0	0	0	0	
Zn [222]	16	0.564	0.470	0	0	0.00010	0.00006	- man and a second of the and the and the and the and the second of the
ZnS sphalerite [111]	8	0.150	0.0647	0	0	0	0	-20-
ZnS sphalerite [222]	64	0.160	0.0647	0	0	0.00029	0.00033	-20
ZnS wurtzite [111]	4	0.141	0.152	0	0	0	0	
ZnS wurtzite [221]	16	0.165	0.152	0	0	0.00003	0.00002	
[]				1000	1.5			0 5 10 15 20
Failed solutions								and a second
CaTiO <sub>3</sub> [111]	20	0.4967	0.902	0.52	0.072	0.16	0.14	r (Å)
TiO <sub>2</sub> rutile [111]	6	0.5358	0.758	0.40	0.009	0.081	0.24	r (Á)
and find	SOLL C			1000			000000	





60 atoms

~64 atoms

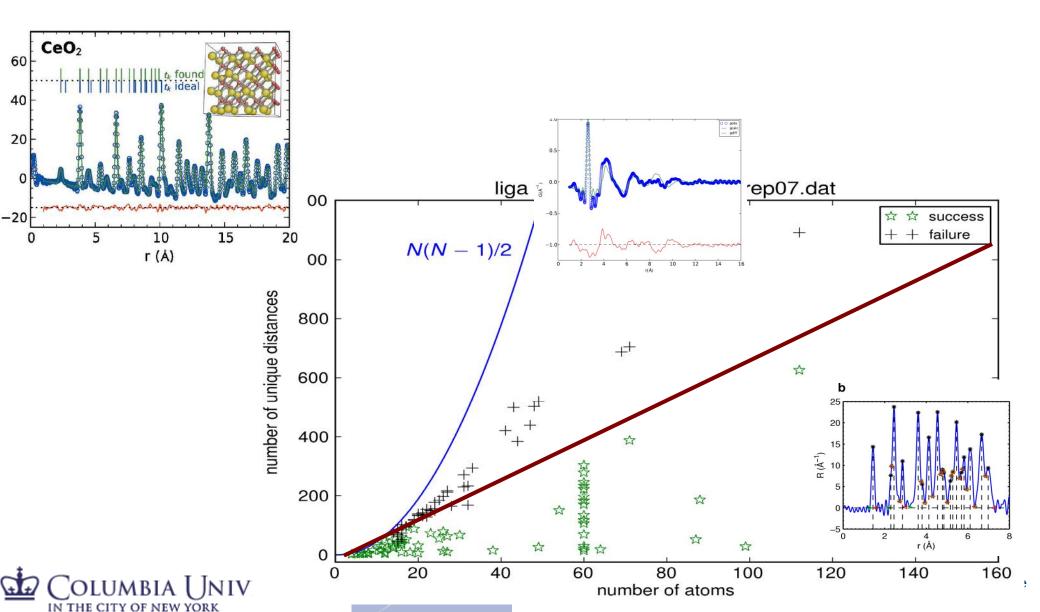
### Ultra-small CdSe NPs







## Successology



## Problem

Well posed problem:

Information in the PDF data

Degrees of freedom in the model





## Problem

As the complexity of the structural solution increases:

Information in the PDF data

Degrees of freedom in the model





## Problem

#### Solution is ill-posed:

# Degrees of freedom in the model

#### Information in the PDF data





## **Structure Solution**





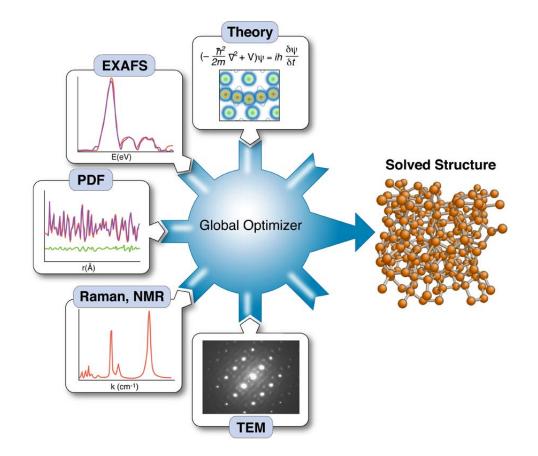


## **Complex Modeling**

- c = a + ib complex number mixes real and imaginary parts
- m = e + it complex modeling mixes experiment and theory in a coherent computational framework
- Billinge and Levin, Science 2007

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## Complex modeling (CM) is a continuous spectrum

- Rietveld refinement of two datasets or two banks of neutron data is (local search) CM
- Rietveld refinement + rigid body constraints is (local search) CM
- Parametric refinement is (local search) CM
- RMC refinement including diffraction profiles and G(r) is (local search) CM
- RMC + similarity constraints on C60 is (global search) CM

We seek more robustness and more flexibility





Life After PDFgui:

### SrFit and SrReal

#### Modular and Extensible

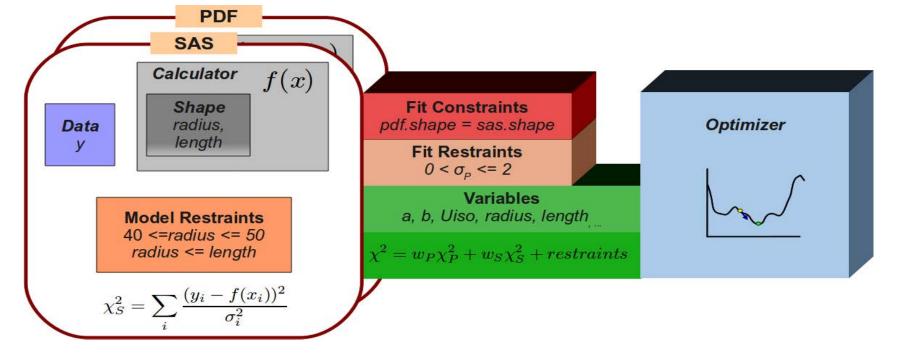




## Complex Modeling – SrFit – Modular!

- Python framework for Complex Modeling
   Build a cost function from available forward calculators and data
- Each "page" a separate cost function
- Pages tied together with common variables and a unified cost function

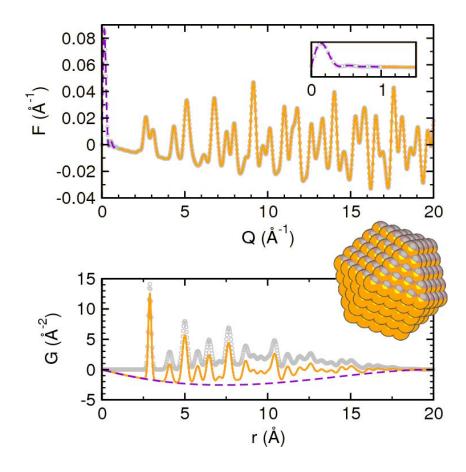
- Interfaces with existing software
  - DANSE diffraction for PDF
  - DANSE SANS for SAS
- Developed by Chris Farrow and Pavol Juhas







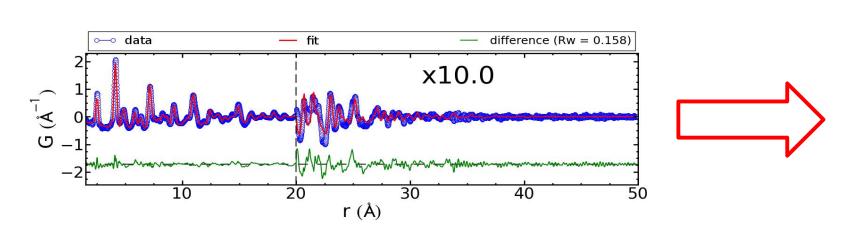
# Bottom line: including SAS data yields a different correlation function: R(r) vs. G(r)

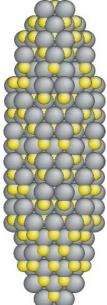


- SAS usually not measured
- "shape transform" captured in diffraction peaks
- Without SAS, average density subtracted out
- With SAS: the background of the G(r) function is obtained



## PDF





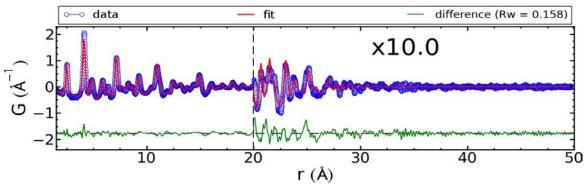
Modeled as spheroidal nanocrystals with approximate stacking fault model, homogeneous strain effects

Model refines with ~3.5:1 aspect ratio
Approximately 25% stacking fault density
Shape parameters correlated with peak width (thermal) parameters
Model does not capture inhomogeneous strain in the first two peaks





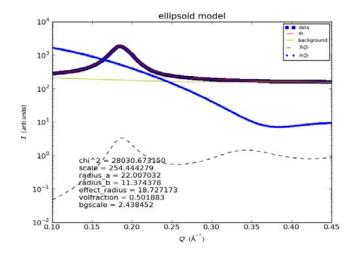
## PDF + SAXS Complex



.PDF and SAS shape parameters tied together, combined residual

TABLE I. Structural and shape parameters from CdS nanoparticles determined by PDF and SAXS using a spheroidal model. Results for the wurtzite phase are shown below (Cd at (1/3, 2/3, 0), S at (1/3, 2/3, z)).

	SAXS	PDF	Complex
$R_w(PDF)$	a the second	0.146	0.155
$R_w(SAXS)$	0.0148	-	0.0150
a (Å)	2	4.134	4.134
c (Å)	2	6.753	6.761
S Z-frac.		0.441	0.418
$\operatorname{Cd} U_{iso}$ (Å <sup>2</sup> )	2	0.0936	0.0098
$S U_{iso}$ (Å <sup>2</sup> )	-	0.0158	0.0166
wurtzite maction		0.000	0.409
equatorial radius (.	Å) 11.36	10.17	11.37
polar radius (Å)	20.77	32.43	21,80



## SrFit - ExtensiblePDFCalculator

- PDF calculation in real-space
  - suitable for periodic systems
  - one structure per calculator → mixed-phase PDFs obtained by combining several PDFCalculator objects
- other results: radial distribution function, partial PDFs, F(Q)
- class ScatteringFactorTable

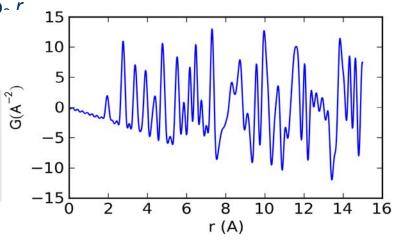
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- lookup of xray, netron or electron scattering factors
- support for custom scattering factors
- class PeakProfile the profile function for a pair contribution
- class PeakWidthModel calculates profile width for a given atom pair
- class PDFEnvelope one or more r-dependent scaling envelopes
- class PDFBaseline the baseline function, by default  $-4\pi\rho_r$

#### example:

```
>>> from diffpy.Structure import Structure
>>> from diffpy.srreal.pdfcalculator import PDFCalculator
>>> sto = Structure(filename='SrTi03.cif')
>>> pdfc = PDFCalculator(rmax=15, qmax=25)
>>> r, g = pdfc(sto)
>>> import pylab
>>> pylab.plot(r, g)
```



#### DebyePDFCalculator

• PDF calculation in Q-space – F(Q) calculated by Debye scattering equation and Fourier transformed to G(r)

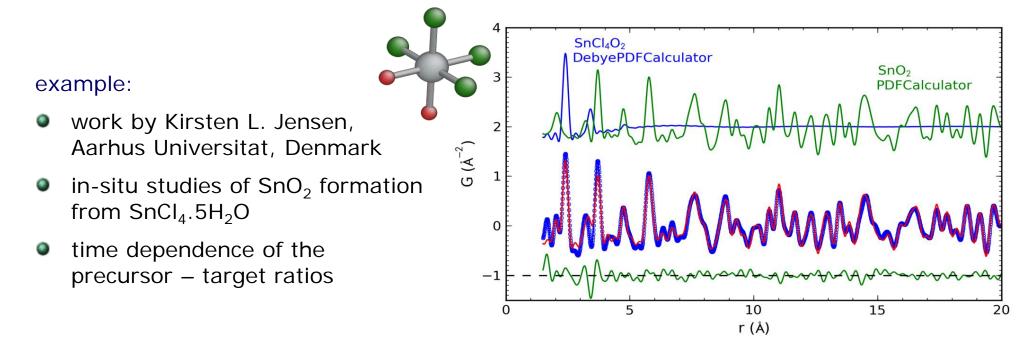
$$F(Q) = \frac{1}{N\langle f(Q) \rangle^2} \sum_{i,j} f_i(Q) f_j(Q) \frac{\sin Qr_{ij}}{r_{ij}} \exp\left[-\frac{1}{2}\sigma_{ij}^2 Q^2\right] \qquad \qquad G(r) = \frac{2}{\pi} \int_{Q_{\min}}^{Q_{\max}} F(Q) \sin Qr \, \mathrm{d}Q$$

• suitable for molecules or nano-clusters

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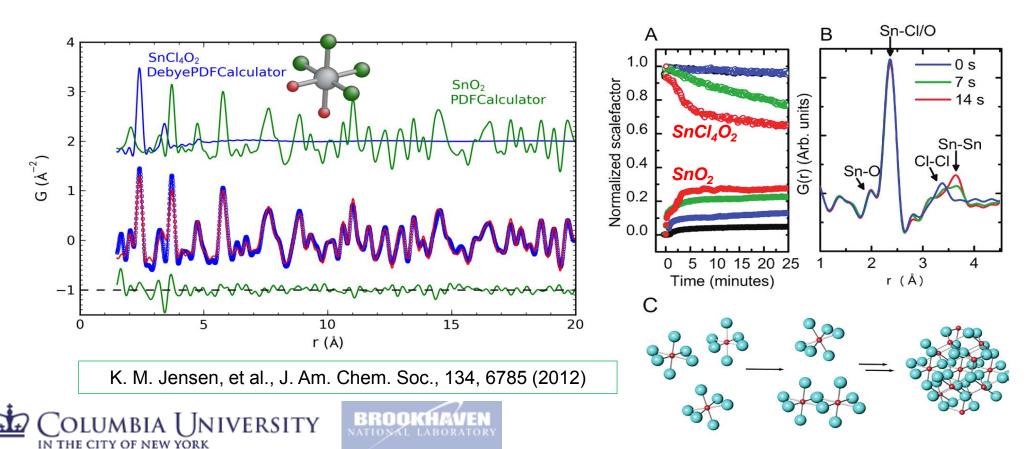
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• PDF baseline simulated by  $Q_{min}$  cutoff in the calculated S(Q)

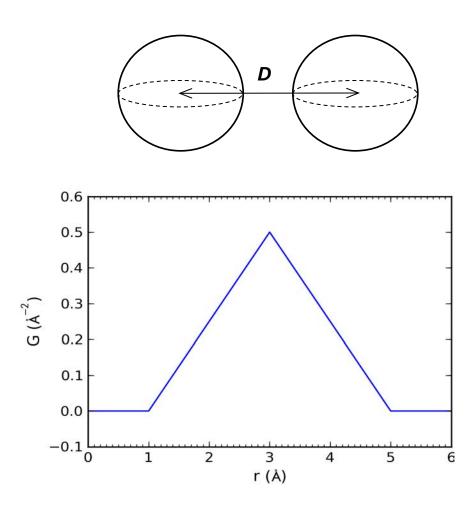


## PDF analysis of in situ SnO<sub>2</sub> formation

- in-situ studies of SnO<sub>2</sub> formation during hydrothermal synthesis,
- PDF measured every 7 seconds at an synchrotron x-ray source
- measured PDFs were fitted as a two-phase mixture of SnCl<sub>4</sub>.2H<sub>2</sub>O molecules and SnO<sub>2</sub> crystallites
- time dependence of the precursor-target ratios and the crystallite size



#### SrFit – Extensible!



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PDF from two spherical shells can be calculated analytically

$$G(r) = \frac{1}{S_1 S_2 r} \iint_{S_1 S_2} \delta(r - r_{12}) \, \mathrm{d}S_1 \mathrm{d}S_2$$

triangular profile centered at spheres' distance D

- cluster of spherical shells →
   PDF calculation requires triangular profile function
- non-standard PDF profile requires
  - definition of a new profile function
  - telling PDFCalculator to use the new profile

# **Custom PDF peak profile**

#### profile defined in C++ #include <cmath>

```
#include <diffpy/srreal/PeakProfile.hpp>
using diffpy::srreal::PeakProfile;
using diffpy::srreal::PeakProfilePtr;
class SphericalShellsProfile : public PeakProfile {
public:
   PeakProfilePtr create() const {
        return PeakProfilePtr(new SphericalShellsProfile());
   PeakProfilePtr clone() const {
        return PeakProfilePtr(new Spherical ShellsProfile(*this));
   const std::string& type() const {
        static std::string tp = "spherical shells-cpp";
        return tp;
    double yvalue(double x, double fwhm) const
       if (fabs(x) > fwhm) return 0.0;
        double rv = (fwhm - fabs(x)) / (1.0 * fwhm * fwhm):
        return rv:
   }
   double xboundlo(double fwhm) const
                                         { return - fwhm: }
    double xboundhi (double fwhm) const
                                          { return +fwhm; }
};
```

bool reg\_SawToothProfile = SphericalShellsProfile().registerThisType();

#### profile used in Python

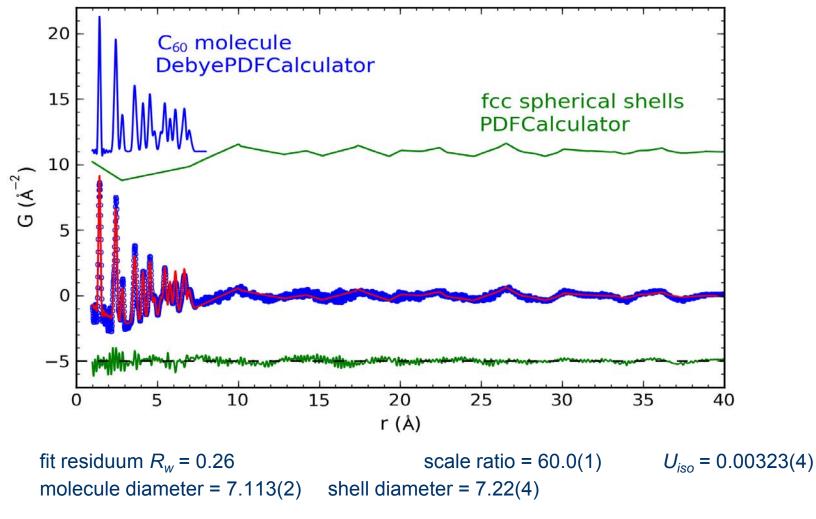
```
>>> from diffpy. srreal. pdfcalculator import PeakProfile, PDFCalculator
>>> PeakProfile.getRegisteredTypes()
set(['croppedgaussian', 'gaussian'])
>>> import ctypes
>>> ctypes.cdll.LoadLibrary('./sphericalshells-cpp.so')
>>> PeakProfile.getRegisteredTypes()
set(['sphericalshells-cpp', 'croppedgaussian', 'gaussian'])
>>> pdfcalc = PDFCalculator()
>>> pdfcalc.setPeakProfileByType('sphericalshells-cpp')
```

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- new profile functions can be defined either in Python or C++
- for C++ the profile function is compiled as a dynamic link library sphericalshells-cpp.so
- on loading the library adds new profile to the global registry → profile ready for use in Python
- no need to rebuild any other C++ sources related to PDFCalculator
- no need to write any Python wrappers for the new profile function

# PDF refinement of fcc C<sub>60</sub>

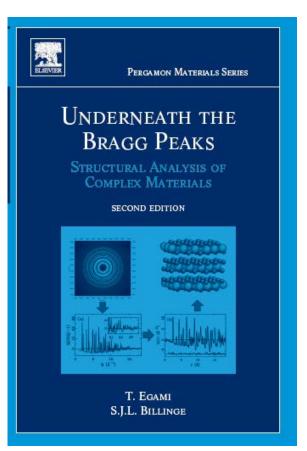


• PDF from fcc C<sub>60</sub> can be refined on the full measured range accounting for both intra and inter-molecular correlations



# Data reduction to get the PDF

• Traditional Approach, apply all the physical corrections:



5. Data Collection Analysis				
	5.1	Introduction	160	
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		5.2.1 Quantitatively Reliable PDFs Using Ad Hoc Data Corrections	165	
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b.	b. Extracting Structural Information from the PDF			

• PDFgetX2, GudrunX



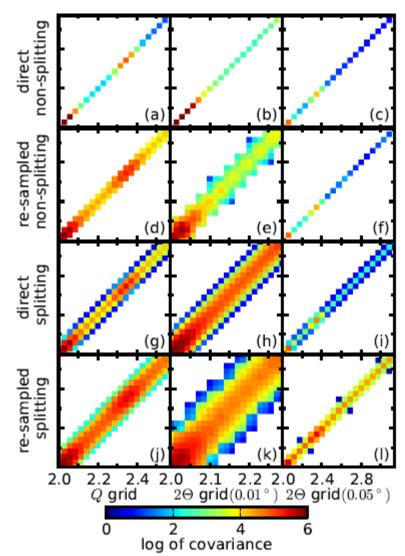


# An aside: estimating uncertainties from 2D detectors

- Not easy
- Lots of "black art" but not much rigor
- Current workflow:
  - 1. Use the default on Fit2D (pixel splitting)
  - 2. Hope for the best
  - 3. Publish some kind of error bars that you make up
- Advert for Xiaohao Yang's work: we are considering esd estimation on the raw data and statistical correlations on data
- Variance-Covariance matrices for different common integration and interpolation schemes

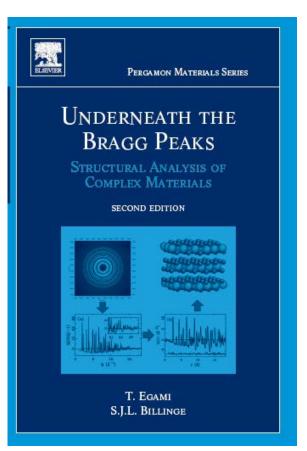
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# Data reduction to get the PDF

• Traditional Approach, apply all the physical corrections:



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#### **Data Corrections**

$$\left(\frac{\mathrm{d}\sigma^{\mathrm{s}}}{\mathrm{d}\Omega}\right) = \int \left(\frac{\mathrm{d}^{2}\sigma^{\mathrm{s}}}{\mathrm{d}\Omega\,\mathrm{d}E_{\mathrm{s}}}\right) W(E_{\mathrm{s}})\mathrm{d}E_{\mathrm{s}}$$
$$\left[\left(N\right)^{\mathrm{sc}}\left(V'_{\mathrm{scs}}\right)\left(N\right)^{\mathrm{c}}\right]\left(1-1\right)$$

$$= \left[ \left(\frac{N}{M}\right)^{\rm sc} - \left(\frac{V_{\rm c;sca}'}{V_{\rm c;ca}'}\right) \left(\frac{N}{M}\right)^{\rm c} \right] \left(\frac{1}{\rho^{\rm s} V_{\rm s;sca}' D \, \mathrm{d}\Omega \, K \varepsilon_{\rm d}}\right) - m'$$

- Underneath the Bragg Peaks, Chapter 5
- PDFgetX2, GudrunX.....
- GSASII





Or treat the data reduction as an inverse problem

F(Q) can be expressed in a general form as a function of the measured powder diffraction intensity:

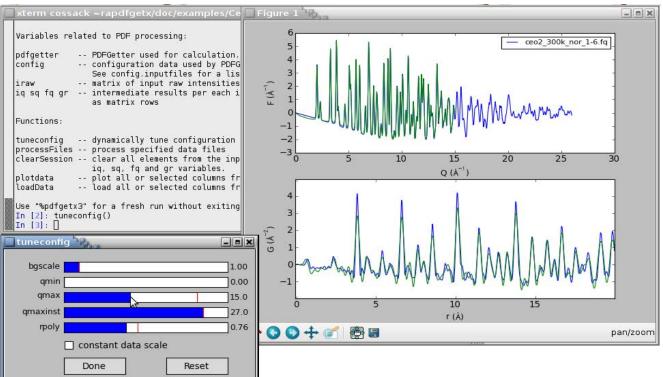
 $F(Q) = \alpha(Q)I_m(Q) + \beta(Q)$ 

- Do we know enough about the form of alpha and beta and the asymptotic behavior of F(Q) to solve this in an ad hoc way?
- Answer is yes:
  - Billinge and Farrow, J. Phys. Condensed Matter (in press)
  - Juhas P., Davis T., Farrow C.L. and Billinge S.J.L., PDFgetX3: A rapid and highly automatable program for processing powder diffraction data into total scattering pair distribution functions, *J. Appl. Crystallogr.* 46, 560-566 (2013).





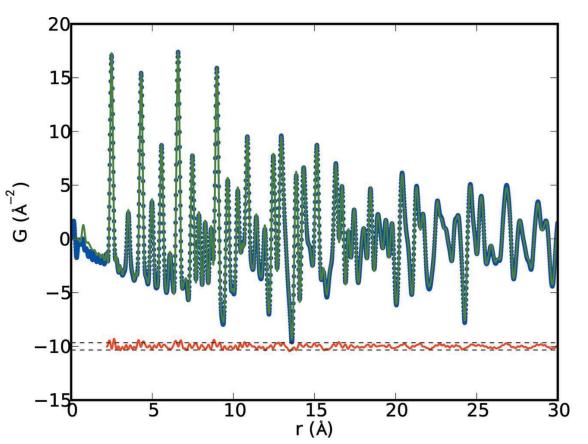
#### PDFgetX3 – conversion of powder data to PDF



- command-line application for extracting PDFs from X-ray powder data
- improved data-correction procedure  $\rightarrow$  few process parameters, simple, easy to use
- automatable and <u>fast</u> hundreds of PDFs processed within few seconds
- interactive parameters tuning with real-time plot updates
- scriptable from system shell or via Python interface included with the program







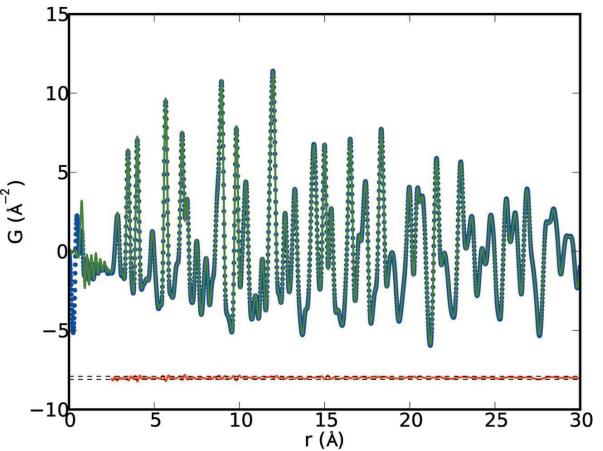
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**.** 

- Green getX3
- Blue getX2
- Red difference
- Nickel

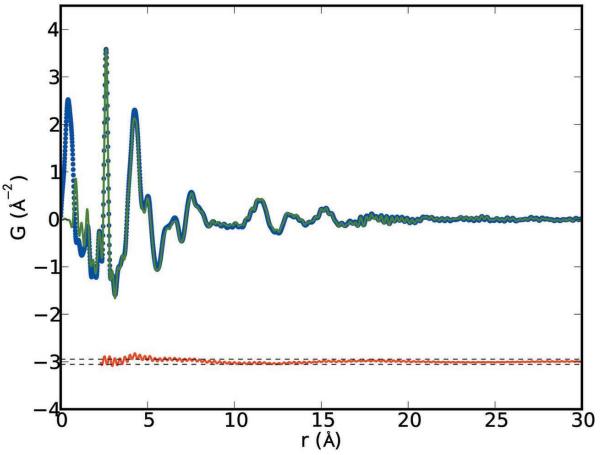


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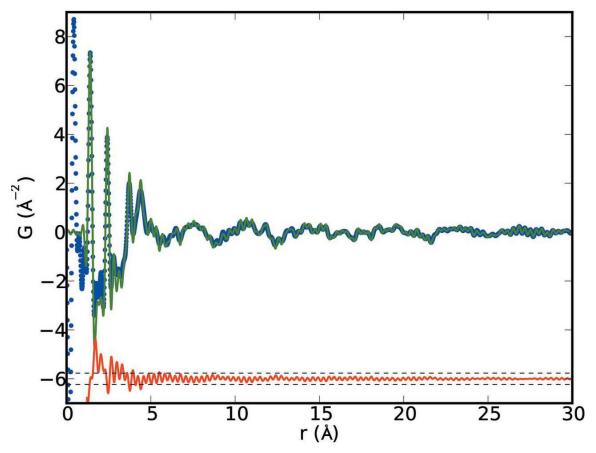
- Green getX3
- Blue getX2
- Red difference
- BaTiO<sub>3</sub>



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- Green getX3
- Blue getX2
- Red difference
- Ultra-small CdSe
   nanoparticles



- Green getX3
- Blue getX2
- Red difference
- Nanostructured carbamazepine (pharmaceutical)



# Summary and outlook

- We now have amazing tools for collecting and analyzing data
- However...
- In complex materials modeling in general: we need more rigor
- Especially in Nanostructure modeling: we need more rigor
- Complex modeling is a first step
  - SrFit is our python-based complex modeling framework

In some sense, accuracy is the least of our problems:

actualcy is more the issue



