



Northeastern University
Center for Resilience Studies

Understanding and Embracing the Resilience Imperative

NIST Workshop on
“Developing A Community-Centered Approach to Disaster Resilience”
Stevens Institute of Technology
Hoboken, NJ

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Stephen E. Flynn, Ph.D.
Professor of Political Science &
Director, Center for Resilience Studies
Northeastern University
s.flynn@neu.edu
617-470-7675

Community Resilience and Critical Infrastructure Resilience are National Imperatives

“The term *resilience* refers to the ability to **prepare for** and **adapt to** changing conditions and **withstand** and **recover rapidly** from disruptions. Resilience includes the ability to withstand and recover from deliberate attacks, accidents, or naturally occurring threats or incidents.*

* President Policy Directive 21 - Critical Infrastructure Security and Resilience February 12, 2013

Community Resilience and Critical Infrastructure Resilience is a Global Imperative

“The abiding strategy of our parents’ generation was “containment” of communism in order to be free. The abiding strategy of our generation has to be “resilience.” **We will only be free to live the lives we want if we make our cities, country and planet more resilient.**”

* Tom Friedman, *The New York Times*, May 24, 2014

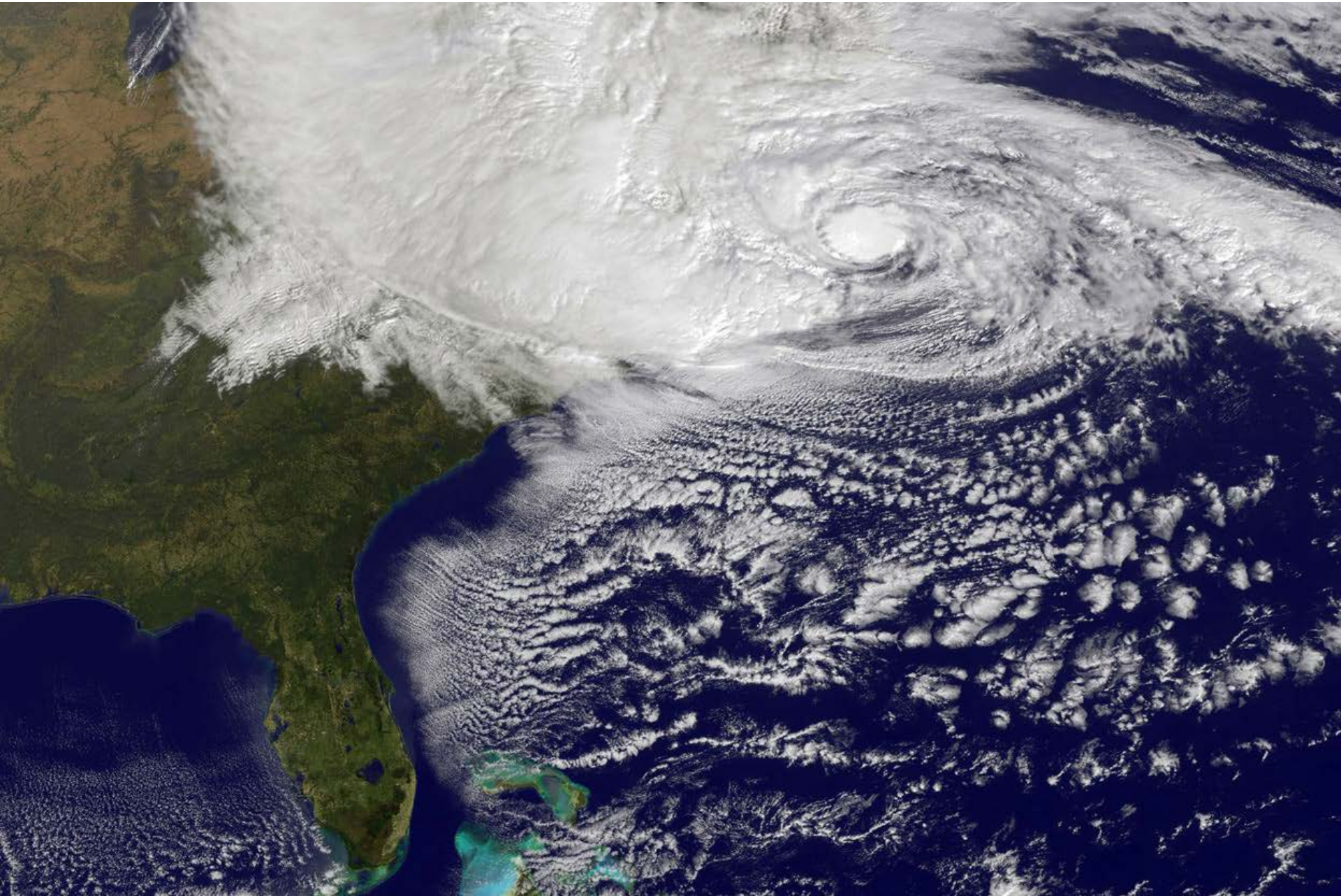
Major Sources of Risk to Critical Infrastructure

- More users that push or exceed infrastructure design capacity
- Aging without adequate investment in maintenance and repair
- Rising urbanization, particularly in coastal areas with substantial exposure to the effects of climate change
- External shocks from naturally occurring and man-made sources
- The absence of political will for undertaking the advanced planning and long-term investment in building, upgrading, and adequately maintaining infrastructure

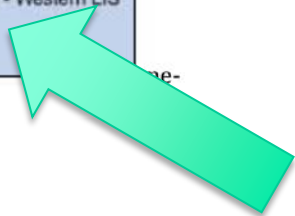
***REQUIRES A NEW EMPHASIS ON BOLSTERNING
CRITICAL INFRASTRUCTURE RESILIENCE***

Hurricane Sandy – a “predictive surprise”















Manhattan 34th St. and 1st Avenue

2012-10-29 22:15 EDT



HOBOKEN, NJ

Water Depth

- > 8 ft
- 8 ft
- 6 ft
- 4 ft
- 2 ft
- Ground

Comparative Resilience: Oct 28, 2012



Goldman Sachs Headquarters
200 West St. New York, NY



Citigroup Headquarters
388 Greenwich Street New York, NY

Goldman Sachs Headquarters

Oct 29, 2012



200 West St. HQ is dry and has electric power, but . . .

- *No employees due to disruption of transportation system.*
- *Little ability to telecommute due to region wide power outages*

The Resilience-Centric Approach (continued)

- Distinguish between *critical processes*, *essential function* & full / “normal” function
- Identify and adopt *resilience design features, processes, and protocols* that mitigate the risk of disruption, and speed recovery when mitigation measures fail.
- Resilience design options include:
 1. *Cushionability* – graceful degradation of non-essential function during periods of stress
 2. *Resistance* – redirecting threat/hazard away from essential function
 3. *Robustness* – harden critical processes & essential function
 4. *Redundancy* – have spares to provide critical processes and essential function
 5. *Graceful extensibility*—the capacity for the infrastructure to adapt to deal with an uncertain future risk environment.

PUTTING RESILIENCE INTO PRACTICE

Pre-event:

- Modeling
- Resilience Design (for predictive risk vs. experienced risk)
- Contingency planning and exercises
- Maintain Situational Awareness

During event:

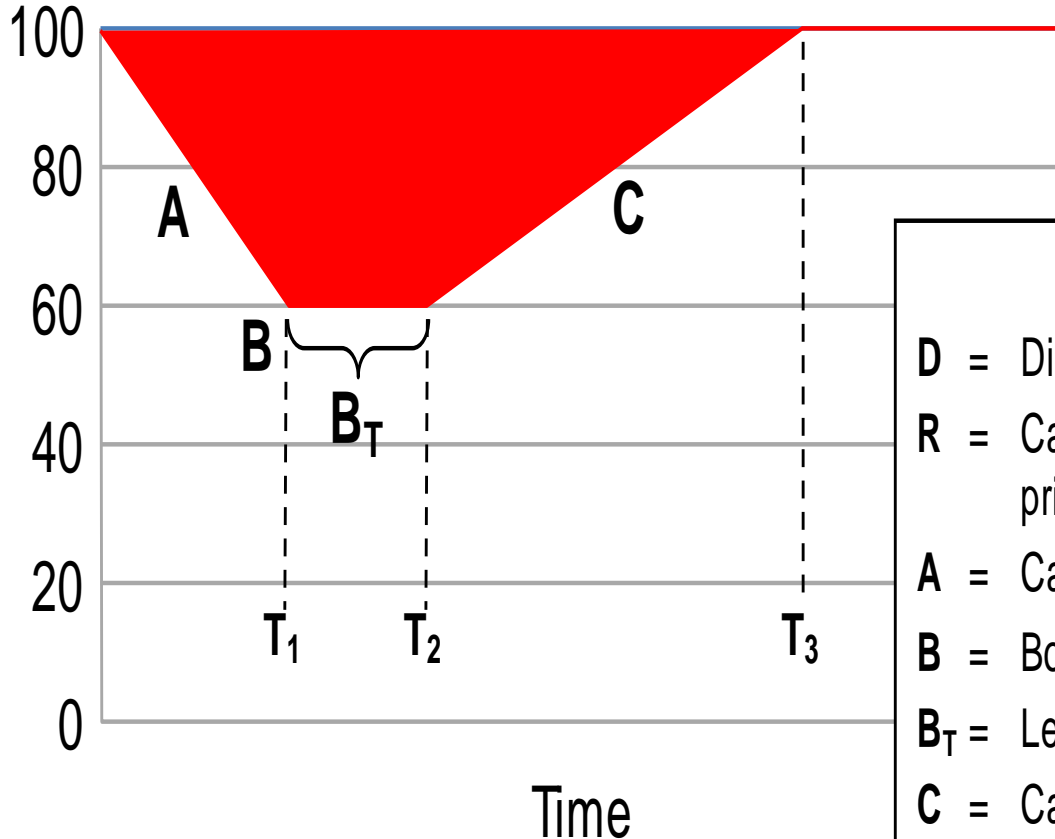
Resourcefulness – decision making and actions should be done nimbly and competently so as to mitigate consequence and support rapid recovery

Post event:

- Restore critical processes and essential function
- Restore full / normal function
- Learn, adapt and improve resilience design

Performance
(Percent)

D → R

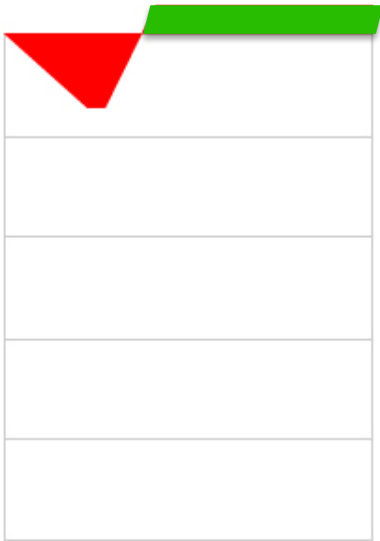


Resilience Parameters

- D = Disruption to System
- R = Capability to attenuate or mitigate effect prior to or at time of event
- A = Capability to absorb and degrade
- B = Bottom out; Threshold Level
- B_T = Length of time at bottom
- C = Capability to reconstitute back to initial level

Source: J. Kahan, et. Al., *Risk and Resilience: Exploring the Relationship*, Homeland Security Studies and Analysis Institute, Nov 20, 2010 & Mary Ellen Hynes, “Extreme Loading of Physical Infrastructure” presentation at the 4th *DHS University Network Summit*, March 11, 2010;

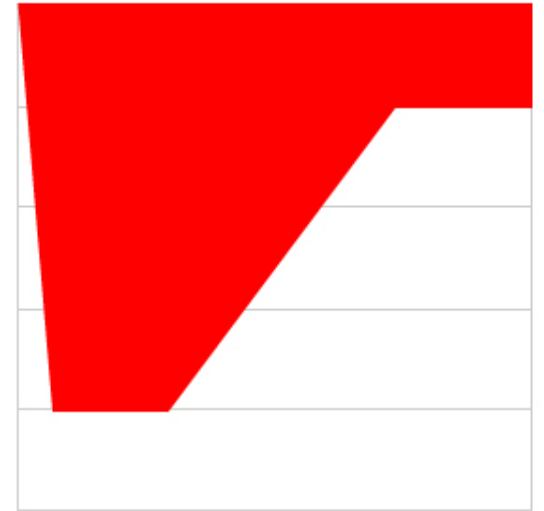
Best (relatively small area)



Acceptable (relatively average area)



Worst (relatively large area)



Resilience as a Deterrent

Resilience Reduces Risk by Undermining Threat

Threat = Intent x Capability

Less vulnerability translates into elevating the requirement that an adversary possess more capability to overcome safeguards

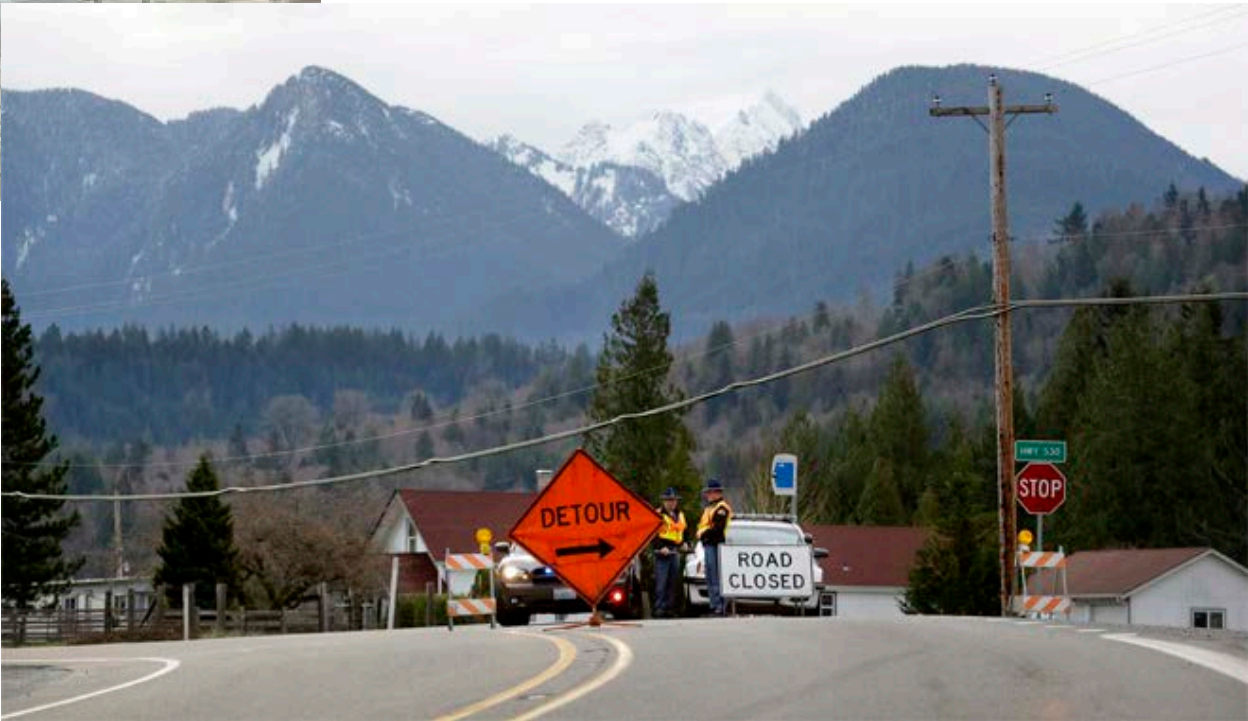
Less consequence will undermine intent; i.e., there is little motivation for carrying out an attack if it does not achieve mass destruction and disruption

The importance of harnessing the capabilities of civil society



Oso, Washington MudSlide, March 22, 2014

The “Professional Protector” Approach





Harnessing the capabilities of volunteers

CONCLUSIONS

- Resilience requires greater emphasis on and investment in forecasting, modeling, monitoring, and assessment capabilities that can support mitigation, speed response and recovery, and inform adaptation in the aftermath of disruptive incidents.
- Large-scale disasters impact regional systems and therefore require an enhanced capacity for undertaking preparedness, response, and recovery at a regional level.
- Resilience requires a deeper understanding of interdependencies and the cascading effects that a major disruption can generate.
- Companies and communities need to “bake-in” resilience into their critical systems and functions.
- When disaster’s strike, the first responders are always local and citizens are indispensable strategic assets.
- Local, regional, and national competitiveness will increasingly be defined by the level of resilience that communities and countries have to withstand, nimbly respond, and rapidly recover from shocks and disruptive events. People will chose to invest in companies and live in areas that possess resilience and gravitate away from those that do not.