

1 The Face Recognition Prize Challenge (FRPC 2017) –

2 Application and Agreement to Participate

3 1 Who can participate

- 4 1.1 Face recognition researchers and developers from industry, research institutions, and academia are eligible
- 5 to participate in the Face Recognition Vendor Test – hereafter referred to as the “FRPC”.
- 6 1.2 Certain organizations are ineligible to participate – see [IARPA-RULES]

7 2 How to Participate

- 8 2.1 To participate in FRPC, an Organization must provide the information requested in Section 5 of this
- 9 Agreement identifying the Responsible Party and the Point of Contact. Organization must also print and
- 10 sign this Agreement, attach business cards from each of the signing parties, and send it to the location
- 11 designated in Section 5. Signatures of both the Responsible Party and the Point of Contact are required.
 - 12 2.1.1 The Responsible Party is an individual with the authority to commit the organization to
 - 13 the terms in this Agreement.
 - 14 2.1.2 The Point of Contact (POC) is an individual with detailed knowledge of the participating
 - 15 Submission.
 - 16 2.1.3 In some cases, the Responsible Party and the POC may be the same person.
- 17 2.2 Upon receipt of the signed application by the National Institute of Standards and Technology (NIST), the
- 18 organization will be classified as a “Participant.” NIST must receive this signed application either before or
- 19 with the algorithm prototypes. Algorithm prototypes (“Submissions”) shall be submitted as pre-compiled
- 20 software libraries. They may be submitted on or after June 21, 2017. The application shall be submitted
- 21 either before or with the Submission; subsequent Submissions do not require additional applications.
- 22 2.3 NIST intends to evaluate all Submissions from all Participants on a first come, first served basis.
- 23 2.4 Participant shall provide a submission (“Submission”), conforming to the specifications in the Concept,
- 24 Evaluation Plan, and API (“Test Plan”) available on the [FRPC website](#).
- 25 2.5 A Submission shall include all libraries, validation results, configuration files, documentation, and all other
- 26 files required by NIST and the Participant to validate and execute the tests specified in the Test Plan.
- 27 2.6 The Submission need not be used in a production system or be commercially available. However, the
- 28 Submission must, at a minimum, be a stable implementation conforming to the Test Plan that NIST has
- 29 published for FRPC.
- 30 2.7 The Submission **must be encrypted** and signed before transmission to NIST. Instructions for Submission can
- 31 be found on the FRPC website. Generic encryption instructions can be found in the Image Group’s
- 32 Encrypting Software for Transmission to NIST [document](#).
- 33 2.8 A box for the Participant’s public key fingerprint is included on the Agreement. Submissions that are not
- 34 signed with the public key fingerprint listed on the Agreement will not be accepted.
- 35 2.9 Submissions must be compliant with the Test Plan, NIST test hardware, and NIST test software.
- 36 Submissions must be delivered to NIST during the submission period given in paragraph 2.2 of this
- 37 agreement according to the technical specifications given in the Test Plan.
- 38 2.10 To the extent allowable by Federal law, NIST agrees to protect Submissions as business proprietary
- 39 information by using same degree of care, but no less than a reasonable degree of care, to prevent the
- 40 unauthorized use, dissemination or publication of the Proprietary Information as NIST uses to protect its
- 41 own confidential information of a like nature. NIST agrees not to transfer any Submissions to any third party
- 42 without the prior written permission of the Participant.

43 2.11 These provisions are consistent with and do not supersede, conflict with, or otherwise alter the employee
44 obligations, rights, or liabilities created by existing statute or Executive order relating to (1) classified
45 information, (2) communications to Congress, (3) the reporting to an Inspector General of a violation of any
46 law, rule, or regulation, or mismanagement, a gross waste of funds, an abuse of authority, or a substantial
47 and specific danger to public health or safety, or (4) any other whistleblower protection. The definitions,
48 requirements, obligations, rights, sanctions, and liabilities created by controlling Executive orders and
49 statutory provisions are incorporated into this Agreement and are controlling.

50 **3 Points of Contact**

51 3.1 The FRPC Liaison is the NIST point of contact for FRPC.

52 3.2 All questions should be directed to FRPC@nist.gov, which will be received by the FRPC Liaison and other
53 FRPC personnel.

54 3.3 These questions and answers may be provided as updates to the Test Plan at the discretion of the FRPC
55 Liaison.

56 **4 Release of FRPC Results**

57 4.1 Anonymous participation will not be permitted. This means that signatories to this document, Application
58 and Agreement to Participate in the Face Recognition Vendor Test ("Agreement"), acknowledge that they
59 understand that the results (see Section 4) of the test of their Submission will be published with full
60 attribution to their institution or organization ("Organization").

61 4.2 After the completion of FRPC testing, NIST will publish all results obtained, along with the Organization's
62 name on the internet, and potentially in government meetings, conferences, workshops or journals. NIST
63 does not intend to publicly disclose any pre-decisional data generated from testing the Participant's
64 Submission in accordance with the Test Plan. Participant acknowledges that such pre-decisional data may
65 be subject to mandatory public disclosure in accordance with relevant Federal regulations.

66 4.3 Participant will be notified of its results via the Responsible Party and the Point of Contact provided on the
67 Agreement.

68 4.4 After the release of FRPC results, Participant may use the results for its own purposes. Such results shall be
69 accompanied by the following phrase: "Results shown from NIST do not constitute an endorsement of any
70 particular system, product, service, or company by NIST." Such results shall also be accompanied by the
71 Internet address (URL) of the FRPC website:

72 <https://www.nist.gov/programs-projects/face-recognition-prize-challenge>

73 **5 Additional Information**

74 5.1 Any data obtained during FRPC, as well as any documentation required by NIST from the Participant (except
75 the Submission), becomes the property of FRPC. Participant will not acquire a proprietary interest in the
76 data and/or submitted documentation. Any data or documentation that qualifies as business proprietary
77 information, as defined by the Freedom of Information Act (5 USC Section 552) will be treated as
78 confidential in accordance with Section 2, and will only be used for the purposes of the FRPC test.

79 5.2 Participant agrees that it will not file any FRPC-related claim against FRPC sponsors, supporters, staff,
80 contractors, or agency of the U.S. Government, or otherwise seek compensation for any equipment,
81 materials, supplies, information, travel, labor and/or other Participant-provided services.

82 5.3 NIST is not bound or obligated to follow any recommendations that may be submitted by the Participant.
83 NIST is not bound, nor is it obligated, in any way to give any special consideration to Participant on future
84 contracts.

85 5.4 NIST is conducting FRPC pursuant to 15 U.S.C. §272(b)(8), (c)(2), and (c)(14).

- 86 5.5 By signing this Agreement, Participant acknowledges that it understands any test details and/or
87 modifications that are provided on the FRPC website supersede the information in this Agreement.
- 88 5.6 Participant may withdraw from FRPC at any time before its Submission is received by NIST, without its
89 participation and withdrawal being documented in the Final Report(s).
- 90 5.7 NIST will use the Participant's Submission only for the agreed-upon FRPC test, and in the event errors are
91 subsequently found, to re-run prior tests and resolve those errors.
- 92 5.8 NIST agrees not to use the Participant's Submission for purposes other than indicated above, without
93 express permission by the Participant.
- 94 5.9 Participant understands that IARPA administers the prize awards, and makes the ultimate decisions on
95 winning algorithms.
- 96 5.10 Original signed copies of the FRPC application are required. Original, signed copies of this application, with
97 business cards from both signing parties attached, must be mailed to the address below. These must be
98 signed paper hardcopies. Scanned documents submitted via email are not acceptable. Please send an email
99 message to FRPC@nist.gov stating that you have sent your application. NIST will not accept applications
100 from generic email addresses (e.g. gmail.com, hotmail.com, qq.com etc.). Upon receipt of your application,
101 we will send you a confirmation email message.

102

103 Mailing Address:

104 FRPC Liaison

105 National Institute of Standards and Technology

106 Information Access Division (774.03)

107 100 Bureau Drive, Mailstop 8940

108 Gaithersburg, MD 20899-8940

109

110 Participants should complete the box below per the instructions for transmission of encrypted content to NIST as
111 defined in the Encrypting Software for Transmission to NIST document and available at
112 <https://www.nist.gov/itl/iad/image-group/products-and-services/encrypting-software/data-transmission-nist> If
113 preferred, participants can fax their public key to the FRPC Liaison at 301-975-5287.

114

115 **Participant's public-key fingerprint (enter here)**

116

117 NIST's public-key fingerprint

F686 F336 77B3 16B3 B683 0D22 184E 8E56 7910 F1DF

118

119 **Request to Participate**

120 With my signature, I hereby request consideration as a Participant in the Face Recognition Vendor Test (FRPC), and
121 I am authorizing my Organization to participate in FRPC according to the rules and limitations listed in this
122 Agreement.

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124 With my signature, I also state that I have the authority to accept the terms stated in this Agreement.

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SIGNATURE, TITLE AND ORGANIZATION OF RESPONSIBLE PARTY

DATE

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PRINTED NAME AND EMAIL ADDRESS OF RESPONSIBLE PARTY

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SIGNATURE, TITLE AND ORGANIZATION OF POINT OF CONTACT

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PRINTED NAME AND EMAIL ADDRESS OF POINT OF CONTACT

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ATTACH BUSINESS CARDS HERE FOR ALL SIGNING PARTIES